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## Preface

This handbook features selected articles from the 7<sup>th</sup> edition of *ULLMANN'S Encyclopedia of Industrial Chemistry*, including newly written articles that have not been published in a printed edition before.

True to the tradition of the ULLMANN'S Encyclopedia, food and feed are addressed from an industrial perspective, including production figures, quality standards and patent protection issues where appropriate. Safety and environmental aspects which are a key concern for modern process industries are likewise considered.

More content on related topics can be found in the complete edition of the ULLMANN'S Encyclopedia.

## About ULLMANN'S

ULLMANN'S Encyclopedia is the world's largest reference in applied chemistry, industrial chemistry, and chemical engineering. In its current edition, the Encyclopedia contains more than 30,000 pages, 15,000 tables, 25,000 figures, and innumerable literature sources and cross-references, offering a wealth of comprehensive and well-structured information on all facets of industrial chemistry.

1,100 major articles cover the following main areas:

- Agrochemicals
- Analytical Techniques
- Biochemistry and Biotechnology
- Chemical Reactions
- Dyes and Pigments
- Energy
- Environmental Protection and Industrial Safety
- Fat, Oil, Food and Feed, Cosmetics
- Inorganic Chemicals
- Materials
- Metals and Alloys
- Organic Chemicals
- Pharmaceuticals
- Polymers and Plastics
- Processes and Process Engineering
- Renewable Resources
- Special Topics

First published in 1914 by Professor Fritz Ullmann in Berlin, the *Enzyklopädie der Technischen Chemie* (as the German title read) quickly became the standard reference work in industrial chemistry. Generations of chemists have since relied on ULLMANN'S as their prime reference source. Three further German editions followed in 1928 – 1932, 1951 – 1970, and in 1972 – 1984. From 1985 to 1996, the 5<sup>th</sup> edition of ULLMANN'S Encyclopedia of Industrial Chemistry was the first edition to be published in English rather than German language. So far, two more complete English editions have been published in print; the 6<sup>th</sup> edition of 40 volumes in 2002, and the 7<sup>th</sup> edition in 2011, again comprising 40 volumes. In addition, a number of smaller topic-oriented editions have been published.

Since 1997, *ULLMANN'S Encyclopedia of Industrial Chemistry* has also been available in electronic format, first in a CD-ROM edition and, since 2000, in an enhanced online edition. Both electronic editions feature powerful search and navigation functions as well as regular content updates.





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## Symbols and Units

Symbols and units agree with SI standards (for conversion factors see page XI). The following list gives the most important symbols used in the encyclopedia. Articles with many specific units and symbols have a similar list before the references.

| Symbol        | Unit                                  | Physical Quantity  |
|---------------|---------------------------------------|--|
| $a_B$         |                                       | activity of substance B                                      |
| $A_r$         |                                       | relative atomic mass (atomic weight)                         |
| $A$           | $m^2$                                 | area   |
| $c_B$         | $\text{mol}/m^3$ , $\text{mol}/L$ (M) | concentration of substance B                                 |
| $C$           | $C/V$                                 | electric capacity  |
| $c_p$ , $c_v$ | $J\text{kg}^{-1}\text{K}^{-1}$        | specific heat capacity                                       |
| $d$           | cm, m                                 | diameter   |
| $d$           |                                       | relative density ( $\rho/\rho_{\text{water}}$ )              |
| $D$           | $m^2/s$                               | diffusion coefficient  |
| $D$           | Gy (=J/kg)                            | absorbed dose  |
| $e$           | C                                     | elementary charge  |
| $E$           | J                                     | energy   |
| $E$           | V/m                                   | electric field strength                                      |
| $E$           | V                                     | electromotive force  |
| $E_A$         | J                                     | activation energy  |
| $f$           |                                       | activity coefficient   |
| $F$           | $C/\text{mol}$                        | Faraday constant   |
| $F$           | N                                     | force  |
| $g$           | $m/s^2$                               | acceleration due to gravity                                  |
| $G$           | J                                     | Gibbs free energy  |
| $h$           | m                                     | height   |
| $\hbar$       | $\text{W}\cdot\text{s}^2$             | Planck constant  |
| $H$           | J                                     | enthalpy   |
| $I$           | A                                     | electric current   |
| $I$           | cd                                    | luminous intensity   |
| $k$           | (variable)                            | rate constant of a chemical reaction                         |
| $k$           | J/K                                   | Boltzmann constant   |
| $K$           | (variable)                            | equilibrium constant   |
| $l$           | m                                     | length   |
| $m$           | g, kg, t                              | mass   |
| $M_r$         |                                       | relative molecular mass (molecular weight)                   |
| $n_D^{20}$    |                                       | refractive index (sodium D-line, 20 °C)                      |
| $n$           | mol                                   | amount of substance  |
| $N_A$         | $\text{mol}^{-1}$                     | Avogadro constant ( $6.023 \times 10^{23} \text{mol}^{-1}$ ) |
| $P$           | Pa, bar*                              | pressure   |
| $Q$           | J                                     | quantity of heat   |
| $r$           | m                                     | radius   |
| $R$           | $\text{JK}^{-1}\text{mol}^{-1}$       | gas constant   |
| $R$           | $\Omega$                              | electric resistance  |
| $S$           | J/K                                   | entropy  |
| $t$           | s, min, h, d, month, a                | time   |
| $t$           | °C                                    | temperature  |
| $T$           | K                                     | absolute temperature   |
| $u$           | m/s                                   | velocity   |
| $U$           | V                                     | electric potential   |

## Symbols and Units (Continued from p. IX)

| Symbol     | Unit                                       | Physical Quantity                                |
|------------|--|--|
| $U$        | J  | internal energy                                  |
| $V$        | $m^3$ , L, mL, $\mu\text{L}$               | volume   |
| $w$        |  | mass fraction                                    |
| $W$        | J  | work   |
| $x_B$      |  | mole fraction of substance B                     |
| $Z$        |  | proton number, atomic number                     |
| $\alpha$   |  | cubic expansion coefficient                      |
| $\alpha$   | $\text{Wm}^{-2}\text{K}^{-1}$              | heat-transfer coefficient (heat-transfer number) |
| $\alpha$   |  | degree of dissociation of electrolyte            |
| $[\alpha]$ | $10^{-2}\text{deg cm}^2\text{g}^{-1}$      | specific rotation                                |
| $\eta$     | Pa·s                                       | dynamic viscosity                                |
| $\theta$   | $^{\circ}\text{C}$                         | temperature                                      |
| $\kappa$   |  | $c_p/c_v$  |
| $\lambda$  | $\text{Wm}^{-1}\text{K}^{-1}$              | thermal conductivity                             |
| $\lambda$  | nm, m                                      | wavelength                                       |
| $\mu$      |  | chemical potential                               |
| $\nu$      | Hz, $\text{s}^{-1}$                        | frequency  |
| $\nu$      | $\text{m}^2/\text{s}$                      | kinematic viscosity ( $\eta/\rho$ )              |
| $\pi$      | Pa   | osmotic pressure                                 |
| $\rho$     | $\text{g}/\text{cm}^3$                     | density  |
| $\sigma$   | N/m  | surface tension                                  |
| $\tau$     | Pa ( $\text{N}/\text{m}^2$ )               | shear stress                                     |
| $\varphi$  |  | volume fraction                                  |
| $\chi$     | $\text{Pa}^{-1}$ ( $\text{m}^2/\text{N}$ ) | compressibility                                  |

\*The official unit of pressure is the pascal (Pa).



## Conversion Factors

| SI unit             | Non-SI unit           | From SI to non-SI multiply by      |
|---------------------|-----------------------|------------------------------------|
| <i>Mass</i>         |                       |                                    |
| kg                  | pound (avoirdupois)   | 2.205                              |
| kg                  | ton (long)            | $9.842 \times 10^{-4}$             |
| kg                  | ton (short)           | $1.102 \times 10^{-3}$             |
| <i>Volume</i>       |                       |                                    |
| $m^3$               | cubic inch            | $6.102 \times 10^4$                |
| $m^3$               | cubic foot            | 35.315                             |
| $m^3$               | gallon (U.S., liquid) | $2.642 \times 10^2$                |
| $m^3$               | gallon (Imperial)     | $2.200 \times 10^2$                |
| <i>Temperature</i>  |                       |                                    |
| $^{\circ}\text{C}$  | $^{\circ}\text{F}$    | $^{\circ}\text{C} \times 1.8 + 32$ |
| <i>Force</i>        |                       |                                    |
| N                   | dyne                  | $1.0 \times 10^5$                  |
| <i>Energy, Work</i> |                       |                                    |
| J                   | Btu (int.)            | $9.480 \times 10^{-4}$             |
| J                   | cal (int.)            | $2.389 \times 10^{-1}$             |
| J                   | eV                    | $6.242 \times 10^{18}$             |
| J                   | erg                   | $1.0 \times 10^7$                  |
| J                   | kW·h                  | $2.778 \times 10^{-7}$             |
| J                   | kp·m                  | $1.020 \times 10^{-1}$             |
| <i>Pressure</i>     |                       |                                    |
| MPa                 | at                    | 10.20                              |
| MPa                 | atm                   | 9.869                              |
| MPa                 | bar                   | 10                                 |
| kPa                 | mbar                  | 10                                 |
| kPa                 | mm Hg                 | 7.502                              |
| kPa                 | psi                   | 0.145                              |
| kPa                 | torr                  | 7.502                              |

## Powers of Ten

|           |           |               |            |
|-----------|-----------|---------------|------------|
| E (exa)   | $10^{18}$ | d (deci)      | $10^{-1}$  |
| P (peta)  | $10^{15}$ | c (centi)     | $10^{-2}$  |
| T (tera)  | $10^{12}$ | m (milli)     | $10^{-3}$  |
| G (giga)  | $10^9$    | $\mu$ (micro) | $10^{-6}$  |
| M (mega)  | $10^6$    | n (nano)      | $10^{-9}$  |
| k (kilo)  | $10^3$    | p (pico)      | $10^{-12}$ |
| h (hecto) | $10^2$    | f (femto)     | $10^{-15}$ |
| da (deca) | 10        | a (atto)      | $10^{-18}$ |



## Abbreviations

The following is a list of the abbreviations used in the text. Common terms, the names of publications and institutions, and legal agreements are included along with their full identities. Other abbreviations will be defined wherever they first occur in an article. For further abbreviations, see page IX, Symbols and Units; page XVII, Frequently Cited Companies (Abbreviations), and page XVIII, Country Codes in patent references. The names of periodical publications are abbreviated exactly as done by Chemical Abstracts Service.

|           |   |           |  |
|-----------|---|-----------|--|
| abs.      | absolute  | BGA       | Bundesgesundheitsamt (Federal Republic of Germany)                   |
| a.c.      | alternating current   | BGB1.     | Bundesgesetzblatt (Federal Republic of Germany)                      |
| ACGIH     | American Conference of Governmental Industrial Hygienists   | BIOS      | British Intelligence Objectives Subcommittee Report (see also FIAT)  |
| ACS       | American Chemical Society   | BOD       | biological oxygen demand   |
| ADI       | acceptable daily intake   | <i>bp</i> | boiling point  |
| ADN       | accord européen relatif au transport international des marchandises dangereuses par voie de navigation intérieure (European agreement concerning the international transportation of dangerous goods by inland waterways) | B.P.      | British Pharmacopeia   |
| ADNR      | ADN par le Rhin (regulation concerning the transportation of dangerous goods on the Rhine and all national waterways of the countries concerned)  | BS        | British Standard   |
| ADP       | adenosine 5'-diphosphate  | ca.       | circa  |
| ADR       | accord européen relatif au transport international des marchandises dangereuses par route (European agreement concerning the international transportation of dangerous goods by road)                                     | calcd.    | calculated   |
| AEC       | Atomic Energy Commission (United States)  | CAS       | Chemical Abstracts Service   |
| a.i.      | active ingredient   | cat.      | catalyst, catalyzed  |
| AICHe     | American Institute of Chemical Engineers  | CEN       | Comité Européen de Normalisation                                     |
| AIME      | American Institute of Mining, Metallurgical, and Petroleum Engineers  | cf.       | compare  |
| ANSI      | American National Standards Institute   | CFR       | Code of Federal Regulations (United States)                          |
| AMP       | adenosine 5'-monophosphate  | cfu       | colony forming units   |
| APhA      | American Pharmaceutical Association   | Chap.     | chapter  |
| API       | American Petroleum Institute  | ChemG     | Chemikaliengesetz (Federal Republic of Germany)                      |
| ASTM      | American Society for Testing and Materials  | C.I.      | Colour Index   |
| ATP       | adenosine 5'-triphosphate   | CIOS      | Combined Intelligence Objectives Subcommittee Report (see also FIAT) |
| BAM       | Bundesanstalt für Materialprüfung (Federal Republic of Germany)   | CLP       | Classification, Labeling and Packaging                               |
| BAT       | Biologischer Arbeitsstofftoleranzwert (biological tolerance value for a working material, established by MAK Commission, see MAK)   | CNS       | central nervous system   |
| Beilstein | Beilstein's Handbook of Organic Chemistry, Springer, Berlin – Heidelberg – New York   | Co.       | Company  |
| BET       | Brunauer – Emmett – Teller  | COD       | chemical oxygen demand   |
|           |   | conc.     | concentrated   |
|           |   | const.    | constant   |
|           |   | Corp.     | Corporation  |
|           |   | crit.     | critical   |
|           |   | CSA       | Chemical Safety Assessment according to REACH                        |
|           |   | CSR       | Chemical Safety Report according to REACH                            |
|           |   | CTFA      | The Cosmetic, Toiletry and Fragrance Association (United States)     |
|           |   | DAB       | Deutsches Arzneibuch, Deutscher Apotheker-Verlag, Stuttgart          |
|           |   | d.c.      | direct current   |
|           |   | decomp.   | decompose, decomposition   |
|           |   | DFG       | Deutsche Forschungsgemeinschaft (German Science Foundation)          |
|           |   | dil.      | dilute, diluted  |

|             |   |             |   |
|-------------|---|-------------|---|
| DIN         | Deutsche Industrienorm (Federal Republic of Germany)  |             | (regulation in the Federal Republic of Germany concerning the transportation of dangerous goods by rail)  |
| DMF         | dimethylformamide   |             |   |
| DNA         | deoxyribonucleic acid   | GGVS        | Verordnung in der Bundesrepublik Deutschland über die Beförderung gefährlicher Güter auf der Straße   |
| DOE         | Department of Energy (United States)  |             | (regulation in the Federal Republic of Germany concerning the transportation of dangerous goods by road)  |
| DOT         | Department of Transportation – Materials Transportation Bureau (United States)  |             |   |
| DTA         | differential thermal analysis   | GGVSee      | Verordnung in der Bundesrepublik Deutschland über die Beförderung gefährlicher Güter mit Seeschiffen  |
| EC          | effective concentration   |             | (regulation in the Federal Republic of Germany concerning the transportation of dangerous goods by sea-going vessels)   |
| EC          | European Community  |             |   |
| ed.         | editor, edition, edited   |             |   |
| e.g.        | for example   |             |   |
| emf         | electromotive force   |             |   |
| EmS         | Emergency Schedule  |             |   |
| EN          | European Standard (European Community)  | GHS         | Globally Harmonised System of Chemicals (internationally agreed-upon system, created by the UN, designed to replace the various classification and labeling standards used in different countries by using consistent criteria for classification and labeling on a global level) |
| EPA         | Environmental Protection Agency (United States)   |             |   |
| EPR         | electron paramagnetic resonance   |             |   |
| Eq.         | equation  | GLC         | gas-liquid chromatography   |
| ESCA        | electron spectroscopy for chemical analysis   | Gmelin      | Gmelin's Handbook of Inorganic Chemistry, 8th ed., Springer, Berlin – Heidelberg – New York   |
| esp.        | especially  |             |   |
| ESR         | electron spin resonance   | GRAS        | generally recognized as safe  |
| Et          | ethyl substituent ( $-C_2H_5$ )   | Hal         | halogen substituent ( $-F$ , $-Cl$ , $-Br$ , $-I$ )   |
| et al.      | and others  | Houben-Weyl | Methoden der organischen Chemie, 4th ed., Georg Thieme Verlag, Stuttgart  |
| etc.        | et cetera   |             |   |
| EVO         | Eisenbahnverkehrsordnung (Federal Republic of Germany)  |             |   |
| exp (. . .) | $e^{(\dots)}$ , mathematical exponent   |             |   |
| FAO         | Food and Agriculture Organization (United Nations)  |             |   |
| FDA         | Food and Drug Administration (United States)  | HPLC        | high performance liquid chromatography  |
| FD&C        | Food, Drug and Cosmetic Act (United States)   | H statement | hazard statement in GHS   |
| FHSA        | Federal Hazardous Substances Act (United States)  | IAEA        | International Atomic Energy Agency  |
| FIAT        | Field Information Agency, Technical (United States reports on the chemical industry in Germany, 1945)                       | IARC        | International Agency for Research on Cancer, Lyon, France   |
| Fig.        | figure  | IATA-DGR    | International Air Transport Association, Dangerous Goods Regulations  |
| <i>fp</i>   | freezing point  | ICAO        | International Civil Aviation Organization   |
| Friedländer | P. Friedländer, Fortschritte der Teerfarbenfabrikation und verwandter Industriezweige Vol. 1–25, Springer, Berlin 1888–1942 | i.e.        | that is   |
|             |   | i.m.        | intramuscular   |
| FT          | Fourier transform   | IMDG        | International Maritime Dangerous Goods Code   |
| (g)         | gas, gaseous  | IMO         | Inter-Governmental Maritime Consultative Organization (in the past: IMCO)   |
| GC          | gas chromatography  | Inst.       | Institute   |
| GefStoffV   | Gefahrstoffverordnung (regulations in the Federal Republic of Germany concerning hazardous substances)                      | i.p.        | intraperitoneal   |
|             |   | IR          | infrared  |
| GGVE        | Verordnung in der Bundesrepublik Deutschland über die Beförderung gefährlicher Güter mit der Eisenbahn                      | ISO         | International Organization for Standardization  |
|             |   | IUPAC       | International Union of Pure and Applied Chemistry   |

|                     |  |                |   |
|---------------------|--|----------------|---|
| i.v.                | intravenous  | NIOSH          | National Institute for Occupational Safety and Health (United States)   |
| Kirk-Othmer         | Encyclopedia of Chemical Technology, 3rd ed., 1991–1998, 5th ed., 2004–2007, John Wiley & Sons, Hoboken  | NMR            | nuclear magnetic resonance  |
| (1)                 | liquid   | no.            | number  |
| Landolt-Börnstein   | Zahlenwerte u. Funktionen aus Physik, Chemie, Astronomie, Geophysik u. Technik, Springer, Heidelberg 1950–1980; Zahlenwerte und Funktionen aus Naturwissenschaften und Technik, Neue Serie, Springer, Heidelberg, since 1961   | NOEL           | no observed effect level  |
| LC <sub>50</sub>    | lethal concentration for 50 % of the test animals  | NRC            | Nuclear Regulatory Commission (United States)   |
| LCLo                | lowest published lethal concentration  | NRDC           | National Research Development Corporation (United States)   |
| LD <sub>50</sub>    | lethal dose for 50 % of the test animals   | NSC            | National Service Center (United States)   |
| LDLo                | lowest published lethal dose   | NSF            | National Science Foundation (United States)   |
| ln                  | logarithm (base e)   | NTSB           | National Transportation Safety Board (United States)  |
| LNG                 | liquefied natural gas  | OECD           | Organization for Economic Cooperation and Development   |
| log                 | logarithm (base 10)  | OSHA           | Occupational Safety and Health Administration (United States)   |
| LPG                 | liquefied petroleum gas  | p., pp.        | page, pages   |
| M                   | mol/L  | Patty          | G.D. Clayton, F.E. Clayton (eds.): Patty's Industrial Hygiene and Toxicology, 3rd ed., Wiley Interscience, New York   |
| M                   | metal (in chemical formulas)   | PB             | Publication Board Report (U.S. Department of Commerce, Scientific and Industrial Reports)   |
| MAK                 | Maximale Arbeitsplatzkonzentration (maximum concentration at the workplace in the Federal Republic of Germany); cf. Deutsche Forschungsgemeinschaft (ed.): Maximale Arbeitsplatzkonzentrationen (MAK) und Biologische Arbeitsstofftoleranzwerte (BAT), WILEY-VCH Verlag, Weinheim (published annually) | report         |   |
| max.                | maximum  | PEL            | permitted exposure limit  |
| MCA                 | Manufacturing Chemists Association (United States)   | Ph             | phenyl substituent (—C <sub>6</sub> H <sub>5</sub> )  |
| Me                  | methyl substituent (—CH <sub>3</sub> )   | Ph. Eur.       | European Pharmacopoeia, Council of Europe, Strasbourg   |
| Methodicum Chemicum | Methodicum Chemicum, Georg Thieme Verlag, Stuttgart  | phr            | part per hundred rubber (resin)   |
| MFAG                | Medical First Aid Guide for Use in Accidents Involving Dangerous Goods   | PNS            | peripheral nervous system   |
| MIK                 | maximale Immissionskonzentration (maximum immission concentration)   | ppm            | parts per million   |
| min.                | minimum  | P statement    | precautionary statement in GHS  |
| mp                  | melting point  | q.v.           | which see (quod vide)   |
| MS                  | mass spectrum, mass spectrometry   | REACH          | Registration, Evaluation, Authorisation and Restriction of Chemicals (EU regulation addressing the production and use of chemical substances, and their potential impacts on both human health and the environment) |
| NAS                 | National Academy of Sciences (United States)   | ref.           | refer, reference  |
| NASA                | National Aeronautics and Space Administration (United States)  | resp.          | respectively  |
| NBS                 | National Bureau of Standards (United States)   | R <sub>f</sub> | retention factor (TLC)  |
| NCTC                | National Collection of Type Cultures (United States)   | R.H.           | relative humidity   |
| NIH                 | National Institutes of Health (United States)  | RID            | réglement international concernant le transport des marchandises dangereuses par chemin de fer (international convention concerning the transportation of dangerous goods by rail)                                  |
|                     |  | RNA            | ribonucleic acid  |
|                     |  | R phrase       | risk phrase according to ChemG and GefStoffV (Federal Republic of Germany)  |
|                     |  | (R-Satz)       |   |
|                     |  | rpm            | revolutions per minute  |
|                     |  | RTECS          | Registry of Toxic Effects of Chemical Substances, edited by the   |



|                   |  |                   |   |
|-------------------|--|-------------------|---|
|                   | National Institute of Occupational Safety and Health (United States)   |                   | Chemistry, 5th ed., VCH Verlagsgesellschaft, Weinheim 1985–1996; Ullmanns Encyclopädie der Technischen Chemie, 4th ed., Verlag Chemie, Weinheim 1972–1984; 3rd ed., Urban und Schwarzenberg, München 1951–1970  |
| (s)               | solid  |                   |   |
| SAE               | Society of Automotive Engineers (United States)  |                   |   |
| SAICM             | Strategic Approach on International Chemicals Management (international framework to foster the sound management of chemicals)   | USAEC             | United States Atomic Energy Commission  |
| s.c.              | subcutaneous   | USAN              | United States Adopted Names   |
| SI                | International System of Units  | USD               | United States Dispensatory  |
| SIMS              | secondary ion mass spectrometry  | USDA              | United States Department of Agriculture   |
| S phrase (S-Satz) | safety phrase according to ChemG and GefStoffV (Federal Republic of Germany)   | U.S.P.            | United States Pharmacopeia  |
| STEL              | Short Term Exposure Limit (see TLV)  | UV                | ultraviolet   |
| STP               | standard temperature and pressure (0°C, 101.325 kPa)   | UVV               | Unfallverhütungsvorschriften der Berufsgenossenschaft (workplace safety regulations in the Federal Republic of Germany)   |
| $T_g$             | glass transition temperature   | VbF               | Verordnung in der Bundesrepublik Deutschland über die Errichtung und den Betrieb von Anlagen zur Lagerung, Abfüllung und Beförderung brennbarer Flüssigkeiten (regulation in the Federal Republic of Germany concerning the construction and operation of plants for storage, filling, and transportation of flammable liquids; classification according to the flash point of liquids, in accordance with the classification in the United States) |
| TA Luft           | Technische Anleitung zur Reinhaltung der Luft (clean air regulation in Federal Republic of Germany)  |                   |   |
| TA Lärm           | Technische Anleitung zum Schutz gegen Lärm (low noise regulation in Federal Republic of Germany)   |                   |   |
| TDLo              | lowest published toxic dose  |                   |   |
| THF               | tetrahydrofuran  |                   |   |
| TLC               | thin layer chromatography  |                   |   |
| TLV               | Threshold Limit Value (TWA and STEL); published annually by the American Conference of Governmental Industrial Hygienists (ACGIH), Cincinnati, Ohio  | VDE               | Verband Deutscher Elektroingenieure (Federal Republic of Germany)   |
| TOD               | total oxygen demand  | VDI               | Verein Deutscher Ingenieure (Federal Republic of Germany)   |
| TRK               | Technische Richtkonzentration (lowest technically feasible level)  | vol               | volume  |
| TSCA              | Toxic Substances Control Act (United States)   | vol.              | volume (of a series of books)   |
| TÜV               | Technischer Überwachungsverein (Technical Control Board of the Federal Republic of Germany)  | vs.               | versus  |
| TWA               | Time Weighted Average  | WGK               | Wassergefährdungsklasse (water hazard class)  |
| UBA               | Umweltbundesamt (Federal Environmental Agency)   | WHO               | World Health Organization (United Nations)  |
| Ullmann           | Ullmann's Encyclopedia of Industrial Chemistry, 7th ed., Wiley-VCH, Weinheim 2011; Ullmann's Encyclopedia of Industrial Chemistry, 6th ed., Wiley-VCH, Weinheim 2002; Ullmann's Encyclopedia of Industrial | Winnacker-Küchler | Chemische Technologie, 4th ed., Carl Hanser Verlag, München, 1982-1986; Winnacker-Küchler, Chemische Technik: Prozesse und Produkte, Wiley-VCH, Weinheim, 2003–2006   |
|                   |  | wt                | weight  |
|                   |  | \$                | U.S. dollar, unless otherwise stated  |

## Frequently Cited Companies (Abbreviations)

|                |   |                     |  |
|----------------|---|---------------------|--|
| Air Products   | Air Products and Chemicals                  | IFP                 | Institut Français du Pétrole               |
| Akzo           | Algemene Koninklijke Zout Organon           | INCO                | International Nickel Company               |
| Alcoa          | Aluminum Company of America                 | 3M                  | Minnesota Mining and Manufacturing Company |
| Allied         | Allied Corporation                          | Mitsubishi Chemical | Mitsubishi Chemical Industries             |
| Amer. Cyanamid | American Cyanamid Company                   | Monsanto            | Monsanto Company                           |
| BASF           | BASF Aktiengesellschaft                     | Nippon Shokubai     | Nippon Shokubai Kagaku Kogyo               |
| Bayer          | Bayer AG                                    | PCUK                | Pechiney Ugine Kuhlmann                    |
| BP             | British Petroleum Company                   | PPG                 | Pittsburg Plate Glass Industries           |
| Celanese       | Celanese Corporation                        | Searle              | G.D. Searle & Company                      |
| Daicel         | Daicel Chemical Industries                  | SKF                 | Smith Kline & French Laboratories          |
| Dainippon      | Dainippon Ink and Chemicals Inc.            | SNAM                | Società Nazionale Metandotti               |
| Dow Chemical   | The Dow Chemical Company                    | Sohio               | Standard Oil of Ohio                       |
| DSM            | Dutch Staats Mijnen                         | Stauffer            | Stauffer Chemical Company                  |
| Du Pont        | E.I. du Pont de Nemours & Company           | Sumitomo            | Sumitomo Chemical Company                  |
| Exxon          | Exxon Corporation                           | Toray               | Toray Industries Inc.                      |
| FMC            | Food Machinery & Chemical Corporation       | UCB                 | Union Chimique Belge                       |
| GAF            | General Aniline & Film Corporation          | Union Carbide       | Union Carbide Corporation                  |
| W.R. Grace     | W.R. Grace & Company                        | UOP                 | Universal Oil Products Company             |
| Hoechst        | Hoechst Aktiengesellschaft                  | VEBA                | Vereinigte Elektrizitäts- und Bergwerks-AG |
| IBM            | International Business Machines Corporation | Wacker              | Wacker Chemie GmbH                         |
| ICI            | Imperial Chemical Industries                |                     |  |

## Country Codes

The following list contains a selection of standard country codes used in the patent references.

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|    |   |    |   |
|----|---|----|---|
| AT | Austria   | IL | Israel                                      |
| AU | Australia   | IT | Italy                                       |
| BE | Belgium   | JP | Japan*                                      |
| BG | Bulgaria  | LU | Luxembourg                                  |
| BR | Brazil  | MA | Morocco                                     |
| CA | Canada  | NL | Netherlands*                                |
| CH | Switzerland   | NO | Norway                                      |
| CS | Czechoslovakia  | NZ | New Zealand                                 |
| DD | German Democratic Republic                                | PL | Poland                                      |
| DE | Federal Republic of Germany<br>(and Germany before 1949)* | PT | Portugal                                    |
| DK | Denmark   | SE | Sweden                                      |
| ES | Spain   | SU | Soviet Union                                |
| FI | Finland   | US | United States of America                    |
| FR | France  | YU | Yugoslavia                                  |
| GB | United Kingdom  | ZA | South Africa                                |
| GR | Greece  | EP | European Patent Office*                     |
| HU | Hungary   | WO | World Intellectual Property<br>Organization |
| ID | Indonesia   |    |   |

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\*For Europe, Federal Republic of Germany, Japan, and the Netherlands, the type of patent is specified: EP (patent), EP-A (application), DE (patent), DE-OS (Offenlegungsschrift), DE-AS (Auslegeschrift), JP (patent), JP-Kokai (Kokai tokkyo koho), NL (patent), and NL-A (application).

### Periodic Table of Elements

element symbol, atomic number, and relative atomic mass (atomic weight)

1A "European" group designation and old IUPAC recommendation  
 1 group designation to 1986 IUPAC proposal  
 IA "American" group designation, also used by the Chemical Abstracts Service until the end of 1986

|                     |                         |                    |                    |                    |                    |                     |                    |                    |                    |                    |                    |                      |                      |                    |                    |                    |                    |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
|---------------------|-------------------------|--------------------|--------------------|--------------------|--------------------|---------------------|--------------------|--------------------|--------------------|--------------------|--------------------|----------------------|----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------------------|-------------------|-------------------------|---------------------|---------------------|---------------------|-------------------------|
| 1<br>H<br>1.0079    | 2A<br>2<br>He<br>4.0026 |                    |                    |                    |                    |                     |                    |                    |                    |                    |                    | 3B<br>13<br>III A    | 4B<br>14<br>IV A     | 5B<br>15<br>V A    | 6B<br>16<br>VI A   | 7B<br>17<br>VII A  | 8<br>18<br>VIII A  |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
| 3<br>Li<br>6.941    | 4<br>Be<br>9.0122       |                    |                    |                    |                    |                     |                    |                    |                    |                    |                    | 5<br>B<br>10.811     | 6<br>C<br>12.011     | 7<br>N<br>14.007   | 8<br>O<br>15.999   | 9<br>F<br>18.998   | 10<br>Ne<br>20.180 |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
| 11<br>Na<br>22.990  | 12<br>Mg<br>24.305      | 3A<br>3<br>IIIB    | 4A<br>4<br>IVB     | 5A<br>5<br>VB      | 6A<br>6<br>VIB     | 7A<br>7<br>VIIB     | 8<br>8<br>VIII     | 8<br>9<br>VIII     | 8<br>10<br>VIII    | 1B<br>11<br>IB     | 2B<br>12<br>IIB    | 13<br>Al<br>26.982   | 14<br>Si<br>28.086   | 15<br>P<br>30.974  | 16<br>S<br>32.066  | 17<br>Cl<br>35.453 | 18<br>Ar<br>39.948 |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
| 19<br>K<br>39.098   | 20<br>Ca<br>40.078      | 21<br>Sc<br>44.956 | 22<br>Ti<br>47.867 | 23<br>V<br>50.942  | 24<br>Cr<br>51.996 | 25<br>Mn<br>54.938  | 26<br>Fe<br>55.845 | 27<br>Co<br>58.933 | 28<br>Ni<br>58.693 | 29<br>Cu<br>63.546 | 30<br>Zn<br>65.409 | 31<br>Ga<br>69.723   | 32<br>Ge<br>72.61    | 33<br>As<br>74.922 | 34<br>Se<br>78.96  | 35<br>Br<br>79.904 | 36<br>Kr<br>83.80  |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
| 37<br>Rb<br>85.468  | 38<br>Sr<br>87.62       | 39<br>Y<br>88.906  | 40<br>Zr<br>91.224 | 41<br>Nb<br>92.906 | 42<br>Mo<br>95.94  | 43<br>Tc*<br>98.906 | 44<br>Ru<br>101.07 | 45<br>Rh<br>102.91 | 46<br>Pd<br>106.42 | 47<br>Ag<br>107.87 | 48<br>Cd<br>112.41 | 49<br>In<br>114.82   | 50<br>Sn<br>118.71   | 51<br>Sb<br>121.76 | 52<br>Te<br>127.60 | 53<br>I<br>126.90  | 54<br>Xe<br>131.29 |                    |                    |                    |                         |                   |                         |                     |                     |                     |                         |
| 55<br>Cs<br>132.91  | 56<br>Ba<br>137.33      |                    |                    |                    |                    |                     |                    |                    |                    |                    |                    | 72<br>Hf<br>178.49   | 73<br>Ta<br>180.95   | 74<br>W<br>183.84  | 75<br>Re<br>186.21 | 76<br>Os<br>190.23 | 77<br>Ir<br>192.22 | 78<br>Pt<br>195.08 | 79<br>Au<br>196.97 | 80<br>Hg<br>200.59 | 81<br>Tl<br>204.38      | 82<br>Pb<br>207.2 | 83<br>Bi<br>208.98      | 84<br>Po*<br>208.98 | 85<br>At*<br>209.99 | 86<br>Rn*<br>222.02 |                         |
| 87<br>Fr*<br>223.02 | 88<br>Ra*<br>226.03     |                    |                    |                    |                    |                     |                    |                    |                    |                    |                    | 104<br>Rf*<br>261.11 | 105<br>Db*<br>262.11 | 106<br>Sg          | 107<br>Bh          | 108<br>Hs          | 109<br>Mt          | 110<br>Ds          | 111<br>Rg          | 112<br>Cn          | 113<br>Uut <sup>a</sup> | 114<br>Fl         | 115<br>Uup <sup>a</sup> | 116<br>Lv           |                     |                     | 118<br>Uuo <sup>a</sup> |

<sup>a</sup> provisional IUPAC symbol

|                     |                     |                     |                    |                     |                     |                     |                     |                     |                     |                     |                      |                      |                      |                      |
|---------------------|---------------------|---------------------|--------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|----------------------|----------------------|----------------------|----------------------|
| 57<br>La<br>138.91  | 58<br>Ce<br>140.12  | 59<br>Pr<br>140.91  | 60<br>Nd<br>144.24 | 61<br>Pm*<br>146.92 | 62<br>Sm<br>150.36  | 63<br>Eu<br>151.97  | 64<br>Gd<br>157.25  | 65<br>Tb<br>158.93  | 66<br>Dy<br>162.50  | 67<br>Ho<br>164.93  | 68<br>Er<br>167.26   | 69<br>Tm<br>168.93   | 70<br>Yb<br>173.04   | 71<br>Lu<br>174.97   |
| 89<br>Ac*<br>227.03 | 90<br>Th*<br>232.04 | 91<br>Pa*<br>231.04 | 92<br>U*<br>238.03 | 93<br>Np*<br>237.05 | 94<br>Pu*<br>244.06 | 95<br>Am*<br>243.06 | 96<br>Cm*<br>247.07 | 97<br>Bk*<br>247.07 | 98<br>Cf*<br>251.08 | 99<br>Es*<br>252.08 | 100<br>Fm*<br>257.10 | 101<br>Md*<br>258.10 | 102<br>No*<br>259.10 | 103<br>Lr*<br>260.11 |

\* radioactive element; mass of most important isotope given.





## **Part I**

### **Introduction**



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## 1. Introduction

Foods are mixtures of chemicals that are consumed by humans to satisfy their appetites for nourishment and pleasure. The nature and reactivity of the chemical constituents determine the properties of foods. The sensory properties, i.e., appearance, flavor, and texture, determine the acceptance of food and the pleasure derived from consuming it. Water-soluble components (salts, sugar, acids, and bitter substances) determine the taste, and volatile compounds determine the aroma. The texture is due to insoluble complexes or compounds such as proteins and polysaccharides; in some foods, lipids contribute to texture. Pigments are critical contributors to appearance. Most of the nutrients are provided by proteins, vitamins, and minerals, whereas carbohydrates and fats provide the energy. The ideal food should be both delicious and nutritious. Unfortunately, many nutritious foods are considered unappetizing by many people.

The following procedures or processes have been used to produce appealing, nutritious foods (for details, see → Foods, 2. Food Technology):

1. *mixing products*, e.g., fruit salads, vegetable salads, and fruit yogurts

2. *adding seasonings or other materials*, e.g., adding soy protein to sausage or smoking meats
3. *fractionating* to produce new products, e.g., separating milk to yield cream and skim milk
4. *homogenizing* to produce a more uniform product, e.g., peanut butter or milk
5. *fermenting* and *pickling* to produce new and more stable products, e.g., cheese manufacture
6. *enzymatic treatment*, e.g., enzymatic modification of starch to produce corn syrups of varying sweetness
7. *thermal processing* including cooking and baking, to destroy undesirable microbes, enzymes, and antinutrients such as trypsin inhibitors and enhance sensory appeal.

## 2. History of Food Production and Preservation

In the beginning, foods were selected from available natural products [1–8]. This led to the development of regional eating habits. The

origin of modern economic plants was possibly as follows [1]:

|                          |   |
|--------------------------|---|
| Central Asia             | apple, barley, broad bean, carrot, celery, cherry, cucumber, date, eggplant, lentil, lettuce, melon, mulberry, mustard, olive, onion, pea, pear, plum, pomegranate, quince, radish, rye, spinach, turnip, wheat |
| Mediterranean            | artichoke, asparagus, cabbage, cauliflower, fig, horseradish, parsley, parsnip  |
| Southeast Asia           | banana, breadfruit, orange, peach, persimmon, rice, soybean, sugar cane, yam  |
| Central or South America | avocado, cassava, corn, cranberry, kidney and lima bean, pineapple, potato, pumpkin, squash, sweet potato, tomato   |

At first, selection of food from the available supply was based primarily on sensory properties. By trial and error, people learned that some products satisfied their appetites while others adversely affected their health. The people who made the right choices lived to pass on the information to the next generation.

In the days when humans were merely hunters or gatherers of foods, the adequacy of diet depended on the types and quantity of available plants and animals. The need to develop methods for preserving food for use throughout the year became obvious. Concern over the quantity and quality of food increased as population increased. These food problems stimulated development of methods for producing and preserving foods (→ Foods, 2. Food Technology). The progression of civilization from the food gathering stage to the early food production era, i.e., from the Old Stone Age to 400 AD, is shown in Table 1.

In Germany, substantial increases in agricultural production occurred after the introduction of the iron plow in the 6th century, the horse-shoe in the 9th century, and the horse-collar soon after. The threefold rotation system (one-third of the land remained fallow, summer crops were raised on another third, and winter crops on the other third) also increased production.

**Table 1.** History of growing, processing, and preserving food [1]

| Date (approximate) Period                                      | Food  | Farming–trading  | Preserving–processing  | Scientific–technological advances   |
|--|---|--|--|---|
| Prior to 15 000 BC<br>Paleolithic (second period of Stone Age) | eggs, fish, fruits, honey, insects, nuts, seeds, roots, small animals                         |  | drying, pounding, roasting   | bags, baskets, clothes, language, “made” fire, painting, sculpture, stone and bone implements   |
| 15 000 BC Mesolithic (transitional period of Stone Age)        | bigger selection of food, storing berries and wild fruits                                     |  | boiling, drying fish, smoking, steaming, storing food  | bow and arrow; dog, goat, reindeer, and sheep (domesticated); claycovered baskets   |
| 9000 BC Neolithic (last period of Stone Age) <i>villages</i>   | domesticated animals, milk, butter, cheese, gruel, dates, olives, grapes, beer, vinegar, wine | cultivating cereals (seasonal) in permanent fields using hoes and hand plows, pruning  | alcoholic fermentation, adding acetic acid, salting, baking, making bread, sieving, pressing primitively, seasoning  | pottery wheel; spinning; weaving; wood, flint, and bone sickles; saddle quern; mortar; fishing with hooks and nets  |
| 3500 BC Bronze <i>cities</i>                                   | soybeans, figs, rice, olive oil, vegetables, lentils, cabbage, cucumbers, onions              | irrigating, plowing with horses and oxen, much trading locally and externally          | filtering, lactic acid fermentation, more types of flavoring, floting, leavening bread, making sausage, frying, pressing (sophisticated and complex), clarifying | architecture, smelting, wheeled carts, ships, writing, bronze tools, mathematics, rotary millstones, bronze weapons, astronomy, shadoofs, medicine, chemistry |
| 1500 BC Iron   | artichokes, beans, fruits, lettuce, sauces, spices  | trading by land and sea, using heavier plows   | refinement of flavoring and cooking  | pulleys, glass, improved and cheaper tools and weapons, currency  |
| 600 BC–400 AD Roman  | sugarcane, apples, asparagus, beets, oranges  | using reaping machines, rotating legumes, using plows with wheels, trading extensively | food adulteration common   | water mills, donkey mills, wooden cooperage   |

**Table 2.** Some components isolated from foods prior to 1800

| Component       | CAS registry number | Food                      | Investigator               |
|-----------------|---------------------|---------------------------|----------------------------|
| Lactose         | [63-42-3]           | milk                      | F. BARTOLETTI (1586–1630)  |
| Fructose        | [57-48-7]           | honey, raisins            | J. R. GLAUBER (1604–1668)  |
| Gluten          | [8002-80-0]         | flour                     | F. M. GRIMALDI (1618–1663) |
| Tartar, ethanol | [868-14-4, 64-17-5] | wine                      | J. D. PORTIUS (1636–1703)  |
| Sucrose         | [57-50-1]           | beets                     | A. S. MARGGRAF (1709–1792) |
| Citric acid     | [77-92-9]           | lemon juice, gooseberries | C. W. SCHEELE (1742–1786)  |
| Malic acid      | [6915-15-7]         | apples                    |                            |
| Glycerol        | [56-81-5]           | olive oil                 |                            |

During the *Middle Ages* the variety of available foods increased as a result of increased traveling and trading. The discovery of America had a pronounced effect on the variety of food with the introduction of potatoes and corn, as well as other native American products, such as tomatoes, peanuts, lima beans, and turkeys.

The 16th century marked the beginning of the so-called *Golden Age of Science* [1], [6–10]. During this time, scholars investigated the nature and composition of foods. Some of the components discovered are listed in Table 2. These discoveries gave credibility to the scientific approach to biological problems and stimulated further research and technical developments (Table 3).

In the *last two centuries* considerable progress has been made in increasing the quantity and improving the quality of food [11, 12].

**Food Quantity.** Quantitative increases in the food supply have resulted from the following:

1. The use of better breeding practices and careful genetic selection, which led to the development of high yielding varieties of wheat and rice.
2. Replacing draft animals with mechanical power increased the acreage that could be farmed and released millions of hectares of land that had been devoted to raising feed for horses. However, mechanization of agriculture increased fossil fuel consumption.
3. Irrigation has converted vast areas from desert or semidesert to profitable food producing areas. Unfortunately, continuous irrigation has caused water logging and increased soil salinity.
4. The use of chemical fertilizers has created remarkable increases in production (→ Fertilizers, 1. General). The gains have been partially offset by the cost of the fertilizers in terms of dollars and expenditure of fossil fuel. In some cases the runoff of chemical

**Table 3.** Chronology of some developments in food technology

| Date | Inventor         | Process or product             | Purpose  |
|------|------------------|--------------------------------|--|
| 1679 | PAPIN            | digester                       | cooking in iron pot with clamped on lid  |
| 1809 | N. APPERT        | appertization                  | cooking in sealed glass jars   |
| 1810 | N. APPERT        | autoclave                      | cooking canned food under pressure   |
| 1830 | A. COFFEY        | Coffey still                   | continuous distillation  |
| 1830 | N. RELIEUX       | triple effect evaporator       | energy efficient evaporation   |
| 1835 | SULZBERGER       | roller milling                 | roller process for flour milling   |
| 1855 | J. A. JUST       | roller dryer                   | energy-efficient drying  |
| 1856 | G. BORDEN        | evaporated milk                | milk evaporated under vacuum and packed in hermetically sealed cans                |
| 1860 | F. CARRE         | mechanical refrigerator        | water–ammonia system for providing refrigeration                                   |
| 1865 | L. PASTEUR       | heat treatment                 | initially to preserve wine, then used to destroy pathogens in grape juice and milk |
| 1874 | A. K. SHRIVER    | retort                         | closed kettle using super-heated or live steam for canning                         |
| 1877 | G. DE LAVAL      | cream separator                | rapid centrifugal separation of cream  |
| 1911 | A. J. A. OTTESEN | quick freezing process         | minimizing adverse effects on food during freezing                                 |
| 1920 | KIDD & WEST      | controlled atmospheric storage | minimizing quality changes during storage of fruits                                |
| 1931 | C. BIRDSEYE      | multiple freezer               | energy-efficient freezing  |
| 1945 | E. W. FLOSDORF   | freeze-dryer                   | drying of frozen food under vacuum   |

fertilizers has polluted drinking water and increased the growth of algae in the streams, which has killed fish.

5. The use of pesticides has also contributed to the world food supply by eliminating pests that reduce yields or pests that consume harvested foods (→ Crop Protection). Because pesticides contribute to pollution and are hazardous to some species of animal life, alternative pest control methods have been and are being sought. Some success has been achieved in breeding disease-resistant varieties of plants and in developing biological methods, e.g., microbial parasites, that destroy the pests.
6. Reduction in postharvest losses has been achieved by using storage facilities and packages that protect the food from biological, chemical, and physical damage (→ Foods, 4. Packaging). In developing countries, postharvest losses can be as high as 50% for some commodities [13].
7. Recovery of foods and ingredients from underutilized products. For example, recovery of proteins from whey and blood [14–17], underutilized meats from chicken [18, 19] and fish [20, 21].

**Food Quality.** Much of the progress in solving food quality problems can be attributed to basic scientific studies that have identified the causes for changes in quality, e.g., LOUIS PASTEUR's studies, which led to pasteurization (→ 3.7 Foods, 2. Food Technology). In the process of studying the mechanism of thermal destruction of microbes, researchers noted that in some cases thermal processing also affected flavor, color, and texture [6], [7], [22–24]. Heating fluid dairy products produced cooked (custardlike) flavor, which was found to be due to the release of sulfhydryl groups from proteins [25]. More drastic heating of milk or other foods containing carbonyl and amino groups caused both flavor and color changes. In 1908, A. R. LING described this reaction, and in 1912 L. C. MAILLARD investigated the mechanism [26]. Direct heating of products with high sugar content produced both caramel color and flavor. Heating affected the texture of meat and eggs by altering the proteins. It was found that the activity of

many endogenous food enzymes could be controlled by thermal processing [27], [28]. The importance and nature of enzymatic changes are discussed in Section 3.7 (→ Enzymes, 1. General).

Scientific studies have shown that many changes in food quality are due to either oxidative or hydrolytic reactions, and therefore, controlling them is important [6, 7, 29–31]. *Oxidative changes* have been minimized by (1) storing food in a controlled atmosphere, (2) using packages that are impervious to oxygen, (3) inactivating oxidative enzymes, (4) avoiding contamination with catalytic metals, or (5) addition of antioxidants (→ Antioxidants; → Foods, 3. Food Additives). *Hydrolytic changes* have been inhibited by (1) inactivating hydrolytic enzymes, (2) maintaining the integrity of cell walls or membranes, which protect the substrate, or (3) adding inhibitors [32].

### 3. Components and Their Reactions

Foods range in complexity from a single compound (e.g., sugar) to multicomponent mixtures (e.g., meat). The properties of foods reflect the properties of the individual components and their interactions. *Food interactions* include all types of noncovalent (van der Waals, hydrogen, ionic, and hydrophobic) and covalent bonds. These interactions contribute to a variety of structural features such as cell walls, micelles, fat globules, fat globule membranes, three-dimensional gel structures, muscle fibers, and curds.

The *reactions of components* in foods are affected by pH, temperature, concentration of reactants, available water, catalysts, activators, and inhibitors. They may be catalyzed by light, enzymes, and nonenzymatic materials such as metals. Inhibitors can be substances that chelate metal catalysts or that interact with one of the reactants. Chelating agents can react with calcium or iron and thus reduce their nutritional availability. The nutritional quality of foods can also be reduced by oxidation of essential fatty acids or other nutrients, or by the interaction of proteins with tannins. The optimal sensory and nutritional properties can be obtained by controlling the reactions of the components.

### 3.1. Water

**Occurrence.** Water [7732-18-5] is one of the basic and most ubiquitous constituents of living organisms, [6, 7, 33–36]. The water content of raw fruits, vegetables, and meats exceeds 50 wt %, but the concentration in cereals, nuts and many processed foods is lower (→ Foods, 2. Food Technology).

Water exists naturally as an intracellular or extracellular component in plants and animal products. It is used as a solvent for sugars, salts, and acids in some foods, as a dispersing medium for hydrophilic macromolecular carbohydrates or proteins, and as a dispersed phase in emulsified products such as butter and margarine.

**Properties.** Compared to other substances with similar molecular masses, water is unique (→ Water, 1. Properties, Analysis, and Hydrological Cycle). Its ability to engage in three-dimensional hydrogen bonding enables it to bond with organic molecules that contain nitrogen, oxygen, fluorine, or chlorine. The dissolution of sugar is because of bonding between water and the polar groups of the sugar. Dissolution of salts involves electrostatic forces between water and the positive ions which are greater than the attraction between ions.

**Effect on Food.** Water acts as a dispersing medium for amphipathic molecules, such as polar lipids, which have both hydrophilic and hydrophobic groups. The water associates with the hydrophilic moieties, such as phosphate groups, to “solubilize” the molecules. The amphipathic molecules form macromolecular aggregates, which are called micelles. Introducing hydrophobic substances, such as apolar groups of fatty acids, amino acids, and proteins, into water leads to an association of the apolar groups, i.e., hydrophobic interactions.

Water may be present in food as “free” water or “bound” water. *Free water* behaves like pure water, whereas the behavior of bound water is limited. *Bound water* has been defined as water that is not available as a solvent and does not freeze at  $-40\text{ }^{\circ}\text{C}$ , although some scientists have suggested other definitions. The term “water binding” has been defined as the tendency of water to associate, with various degrees of tenacity, to hydrophilic substances. “Water-holding

capacity” has been used to describe the ability of a matrix of molecules to entrap a large amount of water in such a manner that exudation is prevented [7]. Although the entrapped water does not flow freely from the product, it behaves very much like pure water during processing. The water-holding capacity of meats and gels have a profound effect on rheological properties. The water-holding capacity of meats is affected by pH and salts, especially phosphates. A classification of water–protein thermodynamic associations is as follows [34]:

*Structural water* is water that is hydrogen-bonded to specific groups; it participates in stabilization of structure and is unavailable for chemical reaction.

*Hydrophobic hydration water* is structured cagelike water surrounding apolar residues; like structural water, it is very much involved in stabilizing protein structure.

*Monolayer water* is the first adsorbed water monolayer; it is hydrogen-bonded and is unavailable as solvent, but may be available for chemical reactions; ranges from 4 to 9 g/100 g protein.

*Unfreezable water* includes roughly all water (structural monolayer, and perhaps some adsorbed multilayer water) that does not freeze at normal temperature; amounts to 0.3–0.5 g/g of protein and corresponds to water up to  $a_w = 0.9$ ; amount varies with polar amino acid content and includes some water available for chemical reactions.

*Capillary water* is water that is held physically in clefts or by surface forces in the protein molecule (e.g., water entrapped in gels or cheese curd); its physical properties are similar to those of bulk water.

*Hydrodynamic hydration water* “loosely” surrounds the protein and is transported with the protein during diffusion (centrifugation); it has properties typical of normal water.

**Water Activity** [6]. A complete discussion of water activity can be found under → Foods, 2. Food Technology.

**Perishability.** A general correlation exists between the perishability of food and water content, but a better correlation exists between perishability and water activity (→ Foods, 2.



Food Technology). Both enzymatic and non-enzymatic reactions tend to increase as water activity increases. However, enzymatic reactions are less sensitive to water activity changes. Nonenzymatic browning reactions reach a maximum rate at  $a_w \approx 0.80$ . Lipid oxidation is inhibited by increasing water content. Under some conditions water may form a protective film around the fat. The destruction of vitamin C and chlorophyll increases rapidly as the water activity increases. The rate of vitamin B<sub>1</sub> destruction reaches a maximum at  $a_w \approx 0.5$  [7].

**Food Quality.** A complete discussion of the effect of freezing the water in food has on food quality can be found under → Foods, 2. Food Technology.

### 3.2. Proteins (→ Amino Acids; → Proteins); [6, 7, 29, 37–44]

**Structure.** Proteins are polymers composed of amino acids linked together by peptide bonds. Proteins constitute ca. 50% of the dry matter of living cells and perform a vital role in the function and structure of the cells. Proteins containing only amino acids are called homoproteins, whereas those containing additional groups (i.e., prosthetic groups) are called heteroproteins. The heteroproteins include lipoproteins, glycoproteins, phosphoproteins, hemoproteins, metalloproteins, and nucleoproteins.

The complexity of proteins depends on the number and types of amino acids and other groups. The structural properties of some major food proteins are shown in Table 4.

**Denaturation.** The secondary and tertiary structures of proteins are fragile and, therefore, can be changed by a variety of treatments that do not cleave covalent bonds (with the exception of disulfide bonds). The term denaturation is applied to such processes. *Heating* is the most commonly used physical method for denaturing proteins. Most proteins are denatured or coagulated between 55 and 75 °C. The susceptibility of proteins to denaturation depends on the nature of the protein and environmental factors such as pH, water activity, ionic strength, and the types

of ions present. The proteins of egg white are readily denatured by heat, whereas casein and gelatin are relatively resistant. This resistance is attributed to the presence of high amounts of proline and hydroxyproline and low levels of sulfur-containing amino acids. Thermal denaturation of proteins can result in the following:

1. inactivation of enzymes
2. destruction of microbes
3. detoxification of toxic proteins
4. increased protein digestibility
5. improved texture of protein-rich foods
6. increased exposure of hydrophilic groups

The exposure of hydrophobic groups can cause changes in water and flavor-binding capacity and in solubility.

Physical treatments other than heating can also cause protein denaturation or other protein alterations. *Freezing and low-temperature storage* can cause aggregation and precipitation of some proteins (e.g., gliadin, 11 S soy proteins, and some egg and milk proteins). Aggregation refers to clustering of protein molecules or particles. In food systems, aggregation usually involves hydrophobic forces, but other forces may be involved in some cases. Precipitation involves separation of the protein from the rest of the solution or suspension. In some cases aggregation leads to precipitation. After freezing, fish may become tough and rubbery and lose moisture as a result of protein destabilization. Some *mechanical treatments*, such as the rolling and kneading of bread, may denature proteins. *High hydrostatic pressure* may also have a denaturing effect. Ovalbumin and trypsin have been reported to be denatured at pressures of 50 and 60 kPa, respectively [7]. *Electromagnetic irradiation* can cause changes in the conformation of proteins. The extent of such changes depends on the wavelength and energy involved.

Proteins can also be denatured by *chemical reagents*. The structural stability of proteins is influenced by pH, with each protein having a characteristic stable range. Consequently, shifting the pH outside of this range with either acid or base denatures the protein. In some cases, native structure can be restored by readjusting the pH to within the stable range.