Totality, Charisma, Authority

The Origins and Transformations of Totalist Movements

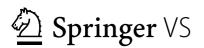


Totality, Charisma, Authority

Mihai Murariu

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The Origins and Transformations of Totalist Movements



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Introduction: Totality and Totalism

Of Man's first Disobedience, and the fruit of that forbidden tree, whose mortal taste Brought death into the world, and all our woe, With loss of Eden, till one greater man Restore us, and regain the blissful Seat, Sing Heav'nly Muse.

(John Milton, Paradise Lost)

1. Introduction¹

The origins of this book are found in the attempt to understand how and why individuals or groups may live, sacrifice, endure, kill, or die in the name of an allencompassing idea, whether overtly secular or religious, in order to fulfil the soteriological principles serving as pillars of a totalist worldview. Such principles have had many names in scholarly literature, with Isaiah Berlin calling them the "final answer to all human ills", or Michael Mann defining them as "ultimate meaning".

Within this wide stream, one term persistently stands out, totality – the concept of totality, a daring ideal dealing with finality, truth, purity and perfection, yet also the application of totality, with its potential for absolute change, revolution or violence. This work covers the emergence, structure and dynamic of totalism, a system of thought which claims to possess an absolute, singular view of existence and which – especially in its politically active, militant variant – pursues the complete reconstruction of society in accordance with its principles, while claiming a monopoly on ideological truth.

A fully developed totalist worldview thus represents an all-encompassing system of interpreting and judging the world, which does not accept other truth claims, while reducing or fully excluding plurality. It is an internal model of reality with potentially devastating effects when put into practice in the material world. It claims to provide answers to painful questions, solace in the face of distress, purity instead of impurity, truth instead of untruth, all alongside a supreme sense of dignity, superiority and belonging. In one form or another, it has been a resilient part of human culture, and of political order.

The book originally had its starting point in the treatment of charismatic authority and militant movements, with a planned focus on Eastern Europe, specifically the movement known as the Legion of the Archangel Michael. The role of charismatization and of charismatic authority was to be linked to the movement's ambition to create a so-called "political religion" at a national level, with the aim of transforming Romania in accordance with its ideological principles. Finally, one would have attempted to point out the strategies which such a movement could use to maintain its hegemony and legitimacy.

Nevertheless, it quickly became apparent that, with some notable exceptions, several of the major concepts initially meant to be used were unevenly defined and presented in existing literature. An important example is the frequency of potentially problematic terms such as "secular religion" or "political religion". Moreover, the ready tendency to use religious terminology in order to explain the dynamics and emotional appeal of very different movements serves as a good example of how the debate can be complicated rather than clarified.

It was in this attempt at clarification that the features which stood out strongest and that had the most consistency in the movements relevant to the book were sought to be isolated. Whether directly stated or implied in primary sources or secondary literature, one concept quickly emerged above all others – the concept of totality. This is not surprising in itself, for the striving for totality, for final, universal truths, has traditionally been a consistent feature of Western civilization. Nevertheless, the vigour of the totalist heterodoxies which

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¹ Several sections of the book have appeared, either in a modified form, or partially verbatim, in the following publications: Mihai Murariu, "The Political Uses of Spatiality: Temporality, Telos, Legitimacy", *Studia Europaea*, 3 (2012): 169-188; "Historical Eschatology, Political Utopia and European Modernity" *Journal for the Study of Religions and Ideologies*, 13/37 (2014): 73-92; "The Nature of Totalism and its Use in the Analysis of Militant Heterodoxies", *Totalitarismus und Demokratie*, 11/1 (2014): 29-48.

flourished throughout Europe was challenged by major forces – forces such as the existence of impersonal, and increasingly accountable institutions, and a healthy interplay between collectivism and individualism – which ultimately prevented their enduring success.

One finds two grand dichotomies straddling the course of European intellectual history. The first dichotomy describes man's perception of the world, pitting monism and totalizing conceptions against pluralist or relativist visions. The second dichotomy deals with man's perception of his own existence and his own sense of meaning. On the one hand, man may attain perfection, absolute freedom or godlikeness through his own efforts; on the other, man is inherently limited and guided by an extra-human agent, namely, the divine. The names and ideas contained within such struggles are at times intertwined, at times poles apart, but always present in one manifestation or another.

The core arguments of the book are linked, first and foremost, to the idea of totality. Nevertheless, one will not only approach the concept of totality itself. This was the case since totalistic perspectives are, in turn, supported or integrated into ideological narratives which rely on one or both of the following major pillars: purity and truth. Of course, the most spectacular display of totalist ideologies in the modern history of Europe is to be found in the emergence of powerful ideological, authoritarian, yet mass-oriented systems. Such systems have been described by various names and means, involving either a religious terminology or, less often, a secular one.

For instance, the authority systems which explode onto the world stage starting with the Bolshevik revolution, have been typically defined – sometimes interchangeably – as secular religions, political religions, pseudo-religions, gnostic regimes, totalitarian dictatorships, totalitarian democracies or ideocracies. It is worth noting here that the temptation to equate such systems with political religions or secular religion was particularly strong from the very beginning. As it shall be seen, this was not in the least due to the religious background and formation of the many intellectuals which came face to face with these systems at the time of their growth and zenith.

Early on in the writing of this work, it became clear that there was a need to attempt another approach to the different genealogies and systems of thought which could seemingly mix religion, politics, or "quasi-religious" dynamism and apply it to the most varied goals, from the restoration of an idealized religious community to the imposition of a perfect social future. As a result, in order to better differentiate between overlapping influences, it was considered necessary to explore the archaeology of totality and its conceptual and historical influence – insofar this was possible within the constraints of the book. This has been done by approaching the impact and evolution of a uniquely European conception of secularism, temporality, progress, institutionalism and individuality on the other. Thus, totality will be the main concept discussed throughout the book, alongside its possible influence and development.

Furthermore, it became obvious that the potential appeal of a totalist worldview should not be sought only in its intellectual dimension, but also in the emotional effect it provides to the individual who has "surrendered" to its systemic requirements. Of course, this does not imply that the principles and ideals of a totalist ideology are uniformly assimilated by its followers, or that major changes are not possible in the short or long term. Indeed, it is only human that the members of even the most regimented and carefully organized movements will tend to understand, to experience, and to implement the systemic principles in different ways. However, this does not mean that their allegiance towards the doctrinal core of the movement will not be maintained. In other words, although individual members might be influenced or driven by different motives, the ideological, totalist component will always remain decisive, making the group stand out, and possibly contributing to its appeal. This is also linked to the way in which movements driven by ideologies favouring the principle of totality may have a greater presence in some cultures, yet be less favoured in others. In this respect, the manner in which totalistic perspectives in Western Europe gradually became split from religion and influenced by secular values and processes will also be worth investigating – even if, in this case, in less detail than the concept of totality itself. Nevertheless, it is important to establish from the onset that a vision of the world marked by a commitment to totality does not automatically imply a propensity for its violent implementation, nor does it necessarily suggest political militantism.

Indeed, totalist movements can also be quietist in nature, instead of politically active. The quietist totalist movement is defined by the fact that its members attempt to live their lives according to the precepts of their ideology, yet they remain politically passive and may also maintain complete isolation from the outside world. Such a movement, at least in incipient phases, is focused mostly on achieving control over its own organization and doctrinal core rather than expanding it. Therefore, the tendency towards totality and the presence of a fully developed totalistic outlook points to a distinctive mindset which increases the *potential* for militantism, though not necessarily for a violent form. In any case, the crossing of the totality threshold, whether ideologically or politically, can only be made possible by a distancing from plurality.

This work shall mostly concentrate on totality and its effects in politically active cases, which either influenced or conquered their host societies, thus implementing their vision with varying degrees of effectiveness and intensity. The formation, appeal and potential impact of totalist movements can be considered all the more important today considering the challenges Occidental, democratic societies face with respect to an accelerated and unprecedented ethnic and religious pluralization, two key processes determined by continuous mass immigration from non-Occidental lands. Indeed, the potential of totalist groups overcoming, or at least influencing these societies should not be automatically categorized as an impossible occurrence simply on the basis that – the extensive theoretical deliberations of its exact nature aside² – a pluralist, democratic society ought to be naturally considered and accepted by various groups as the best legitimate path towards the achievement of a "good life". Although not always expressly approached in the following pages, understanding the tension and conflict between totalism and pluralism remains crucial for this work's background. For the Western world, and, perhaps for Europe in particular, such concerns have come to echo, and in some ways even surpass the fears of the Cold War.

Lastly, it is not altogether difficult, in a world still culturally, militarily, and economically dominated – even if in an increasingly nominal sense – by proponents of the various forms of democracy, to speak of such scenarios as "irrational" outbursts and relics of ages past. Yet, as the following pages will show, there can be no certainty that this perception still holds true.

² On the possible challenges facing pluralistic perspectives in political theory see Ulrich Willems, "Normative Pluralität und Kontingenz als Herausforderung politischer Theorie. Prolegomena zur Theorie eines Politischen Pluralismus", in Katrin Toens and Ulrich Willems eds., *Politik und Kontingenz* (Wiesbaden: Springer VS, 2012) 265-301.

2. The State of Research

The first section will introduce the approach of the book, namely its focus on the importance of totality as a concept. This part will also introduce the term "totalism" and some of the key works used throughout the work, including the approaches undertaken by Erikson and Lifton, both of which will be reviewed in more detail during the subsequent chapter on totalism. Thus, rather than focusing on the concept of totalitarianism itself, or on the structural features of totalitarian regimes – yet acknowledging the importance of both – the book's focus will be on the concept of totality and on totalist worldviews and ideologies.

The second section will briefly present several of the most important classic theories on totalitarianism, starting with the evolution of the term in the 1920s, yet also with a focus on the way in which the authors associated religious features and motifs to what they saw as totalitarian ideologies and movements. The most important authors treated here will be Waldemar Gurian, Hannah Arendt and Carl J. Friedrich. Underlying Friedrich's use of terms such as "totalist", "totalism" and "totalitarian" will be of particular importance for this work and will thus be treated at some length in its own section.

The subsequent section will then deal with the impact made by terms such as political religion or secular religion in theories on totalitarianism. Thus, whether speaking of totalitarianism, of secular religion, or political religion, pointing out the major strengths and weaknesses of such theories will be instrumental in contributing to the approach ultimately chosen by this book. Due to the nature and breadth of his work, the final section will also have a special focus on Eric Voegelin's writings, including his understanding of Gnosticism, immanentizing eschatological thought and its relationship to modernity and totalitarianism.

2.1 The Uses of Totality

As a philosophical concept, the roots of totality run deep in European culture. Nevertheless, for an idea which can be counted among the pillars of the greater Abrahamic world, the systematic treatment of totality, alongside its potential impact and uses has so far remained under-researched.³ This is also the case when one approaches the concept of totality in a political sense – and even more so when one deals with the term "totalism", which, although born from the same root word, never approached the fame and widespread use of "totalitarianism". It is then no surprise that totality is a constant companion in writings on totalitarian regimes, even if the concept itself often takes a back seat to other issues, such as the structure and organisation of the regimes in question.

Such an affirmation necessarily invites the following point on the topic of totalitarianism. The approach towards 20^{th} century totalitarianism throughout the rest of the book is linked, first and foremost, to the way in which the book's main goals are to be pursued. These goals are focussed on developing a new perspective on the origins and

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³ One notable exception in this case is the outstanding work by Martin Jay on the concept of totality in the works of Marxist intellectuals, which remains perhaps the greatest endeavour focusing on the subject to this date. See Martin Jay, *Marxism and Totality. The Adventures of a Concept from Lukács to Habermas* (Berkeley and Los Angeles: University of California Press, 1984).

dynamic of totalism as a system of thought, whilst proposing a possible taxonomy according to the overall direction of its ideological aims. As a result, this work does not intend to serve primarily as a critique or a restructuring of theories associated with the totalitarianism school in general. Furthermore, the work does not focus on the structural features of regimes, even though it does not aim to minimize their importance. Thus, while several classic theories on totalitarianism – the term's somewhat arduous and complicated history notwithstanding – possess many merits, the focus of this work will deal mostly with the concepts of totality and totalism, and their impact and influence in the emergence and evolution of totalist movements.

The book argues that approaching such factors by focussing only on classic perspectives on totalitarianism, including the political religion school, would lead to a conceptual problem on the issue at hand. This problem can be defined as the existence of a blind spot when it comes to cognitive-emotional aspects, or what can be seen as a crucial preliminary step in the formation of a totalistic system in general, including its possible totalitarianism in conceptualising the roots of the emotional fascination and, for lack of a better term, the dynamism which fuels individual drive towards the accomplishment of totalistic principles.

This blind spot is particularly evident in approaches which focus foremost on the form and function of a group or ideology rather than taking into account the fundamental ideas which enable their emergence and offer them vitality. After all, the importance and attraction of totality for an individual or group level is essential for tracing both the origins of totalist ideologies and movements, as well as their possible evolution into totalist ideocracies. Moreover, understanding the intellectual and historical roots of such totalizing perspectives can, in turn, complement the debates on processes of what is usually termed radicalisation⁴, militancy, or even other essentially contested concepts such as terrorism.

Yet another problem is the fact that the vast majority of the relevant literature uses totalism and totalitarianism interchangeably, despite the differences which come to ultimately separate them. In this respect, as it will be made clear throughout the work, one must be prepared to distinguish between totalism and totalitarianism. One issue found in several interpretations of totalitarianism is a somewhat excessive focus on the regime mechanisms – as seen for instance in Franz Neumann's *Behemoth*, or in Friedrich and Brzezinski's *Totalitarian Dictatorship and Autocracy*.⁵ This leads to a secondary or even marginal attention given to the potential fascination and attraction offered by a totalist worldview. It is important to note here that most theories of totalitarianism tend to approach only briefly or superficially the possible appeal of totalist ideologies. For instance, Hannah Arendt's *Origins of Totalitarianism*⁶ does not take into consideration the apparently religious features – and the intense experiences these generated – which other contemporaries and authors see as

⁴ The use of the term *radical* or *radicalisation*, while widespread, has given birth to various interpretations, some more detailed, and occasionally more narrow, or context-dependent than others. Among the foremost approaches from the latter category is the understanding of radicalism as primarily a product of the tension at the heart of modernity itself – a fact which, while intriguing, seems to disregard the long line of premodern movements which can be called radical. Thus, Roger Griffin defines radicalisation as "a psychodynamic process of extraordinary intensity, transforming someone who initially feels powerless and irrelevant in the face of an alien culture or a tyrannical state, or else hopelessly adrift on the boundless ocean of absurdity or decadence, into a fanatical devotee of a cause." Roger Griffin, *Terrorist's Creed. Fanatical Violence and the Human Need for Meaning* (Basingstoke: Palgrave Macmillan, 2012) 8. Similar to its stance on the term *extremism*, this work mostly avoids using the word *radicalism*, focussing instead on the idea of totality and the concept of totalism.

⁵ Friedrich's interpretation of totalitarianism shall be approached in more detail in the following section.

⁶ See Hannah Arendt, *The Origins of Totalitarianism* (San Diego: Hartcourt Brace, 1979). Arendt's approach shall be approached in more detail in the next section.

permeating the ritual and political symbolism of totalitarian regimes.⁷ Instead, Arendt concentrates on features such as logicality, terror and total control.

By contrast, a series of authors sought to explain both the nature and the success of 20^{th} century autocratic regimes by pointing to their strong religious features and motifs – at least as they saw it. Such perspectives often followed the idea that such regimes functioned as "sacralised politics" or "secular religions", which essentially filled the void left in European society by a sustained process of secularisation, offering meaning, hope, and salvation. The process of secularisation was particularly important for the complex culture wars which dominated the internal affairs of states such as the German Kaiserreich. Thus, the very concept has been defined by Herman Lübbe as "ideational and political" (*Ideenpolitisch*) in nature, a philosophy which decisively influenced the politics of the state.⁸ Throughout this work, the importance of this secularisation process will be linked to its impact on the influence of eschatological thought, yet focussed more on political utopianism. In this case, special attention is offered to the debate between the approaches undertaken by Karl Löwith⁹ and Hans Blumenberg.¹⁰

Thus, schools of thought which have focussed on the concept of political religion – or which make extensive use of religious terminology – have also dealt with the potential fascination provided by such systems, particularly by pointing out its ritualistic dimension. Nevertheless, the problem arguably persists even when one turns to terms such as "political religion" – and to religious terminology in general. This is ultimately the case since, as it shall be pointed out in a special section of the method chapter, despite its conceptual strengths, the political religion school of thought possesses its own important analytical limitations and drawbacks.

As the concept of totality is far older than the modern concept of totalitarianism, this only reinforced the necessity of an approach which sought to identify its modern, as well as premodern roots and impact. It must also be mentioned here that, despite its undeniable importance and impact, the origins and appeal of such phenomena should not be sought *only* during a "nomic crisis", to use Roger Griffin's expression, and certainly not only due to the apparent impact of modernity.¹¹ The deeper cultural and historical contexts must also be considered, including the degree of tolerance towards ambiguity and the prevalence of cultural models which can be depicted as totalist in their aims. Furthermore, understanding the formation of a system of thought built on totality can, in turn, contribute to the archaeology of totalist heterodoxies, and of ideocratic polities and their possible totalitarian phases. In this respect, research traditions focussed on the history of ideas, such as those championed by Voegelin and Talmon, can offer great insight in the origins and possible fascination offered by totalizing perspectives – even if these authors remain, perhaps, too attached to religious analogies.

⁷ The extent to which this was ultimately true is open to debate, however, it is understandable that the overall *effect* was described in religious terms, firstly due to the wide spread of religious terminology in secular contexts and the persistent influence of the Christian cultural substratum.

⁸ See Hermann Lübbe, Säkularisierung: Geschichte eines ideenpolitischen Begriffs (Munich: Karl Alber 1975).

⁹ See Löwith, *Meaning in History, The Theological Implications of the Philosophy of History* (Chicago and London: University of Chicago Press, 1949).

¹⁰ See Hans Blumenberg, *The Legitimacy of the Modern Age*, trans. Robert M. Wallace (Cambridge: MIT Press, 1999).

¹¹ This can be exemplified by Mahdism, which has manifested since the early centuries of Islam, in polities which were typically not only untouched by modernity, but also hardly touched by any sort of European presence, militarily or otherwise. Even the famous Sudanese Mahdist movement of the late 19th century cannot be defined as a response to modernity as much as a response to the perceived weakness, or degeneration of pure Islam, thus being part of the ancient *mujaddid* tradition, namely, the rejuvenating of the faith.

To reiterate, rather than focusing on the concept of totalitarianism or on the structural features of totalitarian regimes, the book's focus will be on the concept of totality itself and on totalistic systems and ideologies. This is important to note, since the prevalence of totality is the forerunner and probably most important building block for any potential totalitarian project. Such a perspective is not meant to ignore or to contest the overall merits of the theories which deal with totalitarianism. Indeed, the authors who lived at the time of the great totalitarian experiments approached the concept of totality time and again in their works. Nevertheless, they typically devoted more effort to describing and analysing the practical aspects of totalitarian government, its policies and structure.

Thus, such theories must remain limited in approaching the potential appeal which totality as an idea can represent, as well as the dynamism which it may help generate in certain contexts.¹² An important exception to this is to be found in schools of thought approaching totalitarianism – and, crucially, the totalist ideologies possessed by various movements – from a psychological perspective. In this case, the most important examples can be found in the works of Erik H. Erikson¹³ and Robert J. Lifton¹⁴, with both making use of a term which is very important for this work's purposes: totalism. Nevertheless, as it will be discussed in later sections, the way in which Erikson and Lifton make use of this fundamental concept can also be somewhat prone to vagueness. The potential problem is only intensified by Lifton's own definition of totalitarianism merely as "political totalism"¹⁵, a definition which, it can be argued, has a limited conceptual use at best.

As a result, it is essential that one should analyse the importance of totality in individual worldviews and to understand its role in the formation and evolution of charismatic, totalist movements. As it shall be seen throughout later chapters, a fruitful path to understanding both the origins and possible transformations of totalist ideologies and totalist movements can also be found by making use of research from fields such as neurobiology (Wexler)¹⁶, sociology (Eisenstadt)¹⁷ and psychology (Erikson, Lifton), rather than relying only on the classic theories on totalitarianism and on the political religion school – even when taking into account the seminal contributions made by authors such as Eric Voegelin¹⁸ and Jacob Leib Talmon.¹⁹

 $^{^{12}}$ The benefits and limitations of two key concepts – totalitarianism and political religion – will be discussed in the following sections.

¹³ See Erik Homburger Erikson, "Wholeness and Totality: A Psychiatric Contribution", in Carl J. Friedrich ed., *Totalitarianism* (New York: Grosset and Dunlap, 1964) 156-171; "The Problem of Ego Identity", *Journal of the American Psychoanalytic Association*, 4 (1956): 56-121; *Identity, youth, and crisis* (New York: W. W. Norton, 1968).

 ¹⁴ See Robert Jay Lifton, *Thought Reform and the Psychology of Totalism: A Study of "Brainwashing" in China* (New York: W. W. Norton & Co., Preface to the University of North Carolina Edition 1989).
 ¹⁵ Ibid., 446.

¹⁶ See Bruce E. Wexler, *Brain and Culture. Neurobiology, Ideology and Social Change* (Cambridge: The MIT Press 2006).

¹⁷ See S.N. Eisenstadt, Fundamentalism, Sectarianism and Revolution, The Jacobin Dimension of Modernity (Cambridge: Cambridge University Press, 1999). In turn, Martin Riesebrodt divides "fundamentalism" according to its desire to withdraw from the world (Fundamentalismus der Weltflucht) or to subject the world to its principles (Fundamentalismus der Weltbeherrschung). See Martin Riesebrodt, Fundamentalismus als patriarchalische Protestbewegung: amerikanische Protestanten (1910-28) und iranische Schiiten (1961-79) im Vergleich (Tübingen: Mohr, 1990) 20-21.

¹⁸ See Eric Voegelin, "The Political Religions"; "The New Science of Politics" and "Science, Politics and Gnosticism", in Voegelin, *Modernity without Restraint* vol. 5 of *The Collected Works of Eric Voegelin*, Manfred Henningsen ed, (Columbia: University of Missouri Press, 2000).

¹⁹ See Jacob Leib Talmon, Die Geschichte der totalitären Demokratie Band I. Die Ursprünge der totalitären Demokratie, Uwe Backes in collaboration with Silke Isaak and Annett Zingler eds. (Göttingen: Vandenhoeck and Ruprecht, 2013); Talmon, Die Geschichte der totalitären Demokratie Band II. Politischer Messianismus. Die romantische Phase, Uwe Backes in collaboration with Silke Isaak and Annett Zingler eds. (Göttingen: Vandenhoeck and Ruprecht, 2013); Talmon, Die Geschichte der totalitären Demokratie Band II. Der Mythos

For instance, despite its abstract categorization, Eisenstadt's work on premodern and early modern heterodoxies is very useful due to its ability to describe the intellectual similarities uniting them. Furthermore, Eisenstadt rightly points to the importance of totalizing projects, a fact which has not gone unnoticed with respect to applicability, as encountered, for instance, in Roger Griffin's book on the metapolitical motivations of terrorism.²⁰ Thus, even as they pursued different political or spiritual aims, such movements remained defined by a desire for a totalistic reconstruction of the world according to their doctrinal core, and a low tolerance for ambiguity.

The usefulness of such an approach is also made apparent, for example, when looking at Wexler's work dealing with the workings of the brain and ideology – specifically, the imperative of an individual to maintain a concordance between external structures and internal, neurocognitive structures, as well as the resistance offered in the face of contradictions. Moreover, the research done by Roger Griffin into palingenetic²¹ ultranationalism as well as the emergence and the legitimization strategies used by ideocracies²² – including what this work calls totalist heterodoxies – has been extremely valuable throughout various sections of the book.

In various incarnations, the idea of totality or the striving for totality has long been part of human culture. In this respect, it is not surprising that the term "totality" in the political sense greatly predates the concept of totalitarianism, found, for instance, in a Hegelian context²³, or in the vision of a "total revolution", which can already be encountered during the French Revolution²⁴ as well as in the writings of Karl Marx.²⁵ This enables Abbot Gleason to write in his conceptual analysis of totalitarianism that there is "some overlap between 'totality,' grasping/understanding the world as an integral whole, and 'totalitarian', making it a whole, especially in the work of philosophers who are the students of Hegel and Marx.²⁶ Nevertheless, in a socio-political sense, it was the term "totalitarian" which became most widely used and recognized when associated with the rise and nature of the Bolshevik,

der Nation und die Vision der Revolution: Die Ursprünge ideologischer Polarisierung im zwanzigsten Jahrhundert, Uwe Backes in collaboration with Silke Isaak and Annett Zingler eds. (Göttingen: Vandenhoeck and Ruprecht, 2013).

²⁰ See for instance Griffin, Terrorist's Creed, 111-136.

²¹ In his well-known *Nature of Fascism*, Griffin makes use of "palingenesis" for the first time in order to describe what he considers to be the essential myth of Fascism: "(...) fascism is best defined as a revolutionary form of nationalism, one which sets out to be a political, social and ethical revolution, welding the 'people' into a dynamic national community under new elites infused with new heroic values. The core myth which inspires this project is that only a populist, trans-class movement of purifying, cathartic national rebirth (palingenesis) can stem the tide of decadence." Roger Griffin, *The Nature of Fascism* (London and New York: Routledge, 1993) xii. ²² See Roger Griffin, "The Legitimizing Role of Palingenetic Myth in Ideocracies", in Uwe Backes and Steffen Kailitz eds., *Ideokratie. Legitimation – Kooptation – Repression* (Göttingen: Vandenhoeck & Ruprecht 2014).

²³ The relationship between the total and Hegelianism was considered essential by many scholars. To give only one example, Waldemar Gurian thought it possible that Mussolini had taken the term "totalitarianism" from Hegel's understanding of the organic unity of the people. Waldemar Gurian, "The Totalitarian State", *The Review of Politics*, 40/4 Fortieth Anniversary Issue (1978): 514-527. Also see Yirmiyahu Yovel, "Totalitarianism and Totality. A Response to Michael Walzer", in Yehoshua Arieli and Nathan Rotenstreich eds., *Totalitarian Democracy and After* (London and Portland: Frank Cass, 2002) 193-196.

²⁴ See James H. Billington: *Fire in the Minds of Men. Origins of the Revolutionary Faith* (New York: Basic Books Inc. Publishers, 1980) 78.

²⁵ "Meanwhile, the antagonism between the proletariat and the bourgeoisie is a struggle of class against class, which carried to its highest expression is a total revolution." Karl Marx, *The Poverty of Philosophy* in Marx, *Collected Writings. Revised Edition*, David McLellan ed., (Oxford: Oxford University Press 2000) 232.

²⁶ Abbot Gleason, Totalitarianism. The Inner History of the Cold War (Oxford: Oxford University Press, 1995) 9. Thus, when it came to society itself, the importance of totality was particularly salient in Marxian and Hegelian works, whether in an idealist perspective in the former, or a materialist understanding in the latter. See David D. Roberts, The Totalitarian Experiment in Twentieth-Century Europe. Understanding the Poverty of Great Politics (New York and London: Routledge, 2006) 70.

Fascist and National Socialist regimes. Indeed, as the long 19th century ended in the catastrophe of the Great War, the idea of the total as a political reality was quickly linked with Bolshevism, the war's most dynamic and terrifying progeny.

Thus, one of the earliest recorded uses of the word "totalism" – possibly the very first – is found in a phrase employed by Alfons Paquet, a correspondent for the "Frankfurter Zeitung". In his book, *Im kommunistischen Rußland (In Communist Russia*), published in 1919, Paquet describes how the fallen Russian empire transformed into a group of republics and how "Lenin's revolutionary totalism" attempted to create an ideological cement between those republics and the new "people's states", which the government in St. Petersburg wished to see arise throughout Europe and Asia.²⁷ Nevertheless, Paquet did not approach the term in a systematic fashion; after all, he apparently never revisited the term. Thus, his use of the word points merely to an intuitive use of a descriptive term derived out of the word "total".

In 1926, the word "totalism" can also be found in the work of Theodor Geiger, who, in his *Die Masse und Ihre Aktion*, writes of the "totalism of the masses" (*Totalismus der Masse*).²⁸ In Geiger's analysis, the term is associated – aside from homogeneity and violence – with a revolutionary process marked by a mission to redeem the world (*Welterlösungsmission*).²⁹ Moreover, Geiger's dialogue with Eugen Rosenstock-Huessy would lead to the phrase "totalistic revolution" (*totalistische Revolution*).³⁰ Nevertheless, one would have to wait for Erikson's work in the 1950s before the concept of totalism would be consistently used in a theoretical framework, even if in a different manner from its beginnings in Europe.³¹

Taken as a whole, a majority of the works on totalitarianism deal mostly with the praxis of totalitarianism, as well as with the structural dimension and organization of such regimes. In comparison, there have been fewer works concentrating on philosophical and especially on psychological theories on totalitarianism. Regarding the latter type, *The Authoritarian Personality*³² still remains by far the most famous, albeit greatly diminished in the influence it once enjoyed. Two important early critics of the *Authoritarian Personality* were Edward Shils and Hans Eysenck, who wrote that the theory simply associated authoritarianism with conservative political beliefs – and thus had a clear ideological agenda – an argument which was later continued by Milton Rokeach, who sought to uncover a "general" rather than a "political" authoritarianism.³³ Such positions were in turn disputed, also on essentially ideological grounds.³⁴ Nevertheless, the overall view on *The Authoritarian*

²⁷ "Das zentralistisch geordnete Imperium der Vergangenheit verwandelte sich zunächst in eine lose Gruppe von Republiken. Aber der revolutionäre Totalismus Lenins sucht bereits zwischen diesen Republiken und den neuen Volksstaaten, die die Petersburger Regierung in ganz Europa und Asien entstehen sehen möchte, den ideologischen Kitt zu bilden." Alfons Paquet, *Im kommunistichen Ruβland. Briefe aus Moskau* (Jena: Eugen Diederichs, 1919) 111.

²⁸ See Theodor Geiger, Die Masse und Ihre Aktion: Ein Beitrag zur Soziologie der Revolutionen (Stuttgart: Enke, 1967).

²⁹ See Ibid., 113.

³⁰ See Hans J. Lietzmann, *Politikwissenschaft im "Zeitalter der Diktaturen": Die Entwicklung der Totalitarismustheorie Carl Joachim Friedrichs* (Leske and Budrich: Opladen, 1999) 169.

³¹ For instance, one of the very few uses of the term "totalism" before Erikson's contribution is found in a 1949 master thesis on Thomas Hobbes, which, as the title shows, uses the term interchangeably with totalitarianism. See Melville Kirzon, *Elements of totalitarianism in the political philosophy of Thomas Hobbes; a study of the rise of totalism as an ideological force* (M.A. Thesis, Washington, 1949).

³² See Theodor Adorno, Else Frenkel-Brunswik, Daniel J. Levinson, and R. Nevitt Sanford, *The Authoritarian Personality* (New York: Norton, 1950).

³³ See William F. Stone, Gerda Lederer, and Richard Christie, "Introduction: Strength and Weakness", in Stone, Lederer and Christie eds., *Strength and Weakness. The Authoritarian Personality Today* (New York: Springer, 1993) 3-21.

³⁴ Stone himself is sceptical of left-wing authoritarianism, asserting the following: "The existence of regimes that proclaim leftist ideology while engaging in authoritarian governance may indeed by [sic] 'obvious from even the

Personality has never regained even part of its early popularity, to the point where some authors see it today as thoroughly discredited.³⁵

By contrast, the work of Erik H. Erikson, while less well-known, can ultimately be considered far more useful in its potential, since Erikson never limited himself to a so called authoritarian personality which essentially came to embody right-wing characteristics. Indeed, as Dick Anthony points out, Erikson's concept of totalism "broadens the concept of authoritarianism from Fascist to Communist types of totalitarianism, and to other types of totalitarian influence as well."³⁶ As already mentioned, Erikson can be considered the first researcher which consistently uses and defines the concept of totalism as a fundamental part of a theoretical framework. He sees totalism primarily – but not solely – as a psychological predisposition for an individual to "convert" to what he calls a "totalitarian ideology"³⁷, a predisposition born out of an individual tendency to "split" the world and the inner self into "totally good" or "totally bad" categories.³⁸

At the same time, whilst recognizing the useful heuristically suggestive nature of the term, some authors have pointed to its somewhat vague usage.³⁹ In any case, Erikson could also see totalism, in Anthony's description, as denoting "an all-encompassing belief system that conceptualizes the world in terms of a comprehensive set of evaluative polarities, with a central duality such as 'Aryan/non-Aryan' or 'capitalist/communist', which renders subordinate and auxiliary polarities compelling."⁴⁰ The use of the concept of totalism would carry over in Lifton's work, which contributed greatly to its later popularization.

Lifton himself, whilst defining "ideological totalism" as the interaction of "immoderate ideology with equally immoderate character traits"⁴¹, associated the term with mindsets, ideologies and organizations. Most importantly, Lifton writes that some potential form of all-or-nothing emotional alignment exists within everyone, a fact which, he argues, can have an effect on ideologies. The more sweeping in its content and the more ambitious in its claims, the greater the chance for its adherents to carry it in a totalistic direction, whether this takes place in a religion, a political movement, or even in a scientific organization.⁴²

Erikson and Lifton's understanding of totalism will be approached in more detail during the following chapter. For now, it is important to note here the following. While taking into account the primary meanings of totalism in Erikson's or Lifton's approach, this book

most casual observation,' but it is neither obvious nor correct to make the several inferential leaps required to translate this observation into evidence that authoritarian personality traits are as common among leftists as among rightists. The casual claim of authoritarian leftist governments as evidence for the latter claim is a non sequitur that has been committed since the time of Shils. As to why so many competent social scientists have glibly acceded to this reasoning, we will not here offer an explanation, although both the 'centrist bias' (Stone, 1980) and the anticommunism of social scientists seem to play a part." Stone, "Authoritarianism: Left and Right", in Stone, Lederer and Christie eds., *Strength and Weakness*, 155.

³⁵ Aside from its methodological, procedural, and substantive errors, John Levi Martin argues that *The Authoritarian Personality* should be seen as an example of intrinsic bias arising from the choice of methodological assumptions. See John Levi Martin, "*The Authoritarian Personality*, 50 Years Later: What Lessons Are There for Political Psychology", *Political Psychology*, 22/1 (2001): 1-26.

³⁶ Dick Anthony, "Tactical Ambiguity and Brainwashing Formulations: Science or Pseudo Science" in Thomas Robbins, Benjamin David Zablocki eds., *Misunderstanding Cults. Searching for Objectivity in a Controversial Field* (Toronto: University of Toronto Press, 2001) 243.

³⁷ Erikson, "Wholeness and Totality", 159.

³⁸ See Ibid., 167.

³⁹ See Dick Anthony, Thomas Robbins and Steven Barrie-Anthony "Cult and Anticult Totalism: Reciprocal Escalation and Violence", in Jeffrey Kaplan ed., *Millennial Violence: Past present and future* (London and Portland: Frank Cass, 2002) 214.

⁴⁰ This division of the world can be understood as a more general manifestation of the concept of splitting. Dick Anthony, *Misunderstanding Cults*, 67.

⁴¹ Lifton, Thought Reform and the Psychology of Totalism, 419.

⁴² Ibid., 419.

will consistently use the term to point to its value as an all-encompassing system of thought – a perspective which, after all, is also present in the writings of these authors, even if somewhat unevenly and ambiguously at times. Aside from a few authors directly influenced by Erikson and Lifton, the term totalism makes few if any appearances in works which directly deal with totalitarianism. As an example, David D. Roberts mentions totalism several times throughout his work on totalitarianism, but without ever defining the term, or mentioning either Erikson's or Lifton's approach on totalism, despite the fact that he is familiar with Lifton's work on National Socialist doctors.⁴³

While taking into account its psychoanalytical dimension (Erikson and Lifton), totalism should not be understood merely as the predisposition of an individual to adhere to the systemic requirements of a totalist ideology, but also as a system of thought which holds totality at its centre and which, conversely, moves away from plurality or even attempts to exclude it completely. The concept of totalism, when treated in the wider scope of totality and totalitarianism, should be seen here as an all-encompassing belief system, marked by a clear division of the world, typically into categories associated with purity and truth. At the same time, this worldview may form the ideological bedrock of a movement whose fundamental goals are the pursuit and implementations of the laws and principles defined by their totalist doctrinal core. Throughout the book, such movements will be called totalist.

Lastly, the differentiation between totalism and totalitarianism can be particularly useful if applied to the ideal development path of such movements. One can ultimately describe this ideal development path of a successful totalist movement in three major steps: 1) Heterodoxy 2) Hegemony 3) Ideocracy. Such a trajectory can be said to represent the fundamentally successful story of any totalistic system, from its emergence as a minor group to a stage when it may successfully conquer political power – either peacefully or through violence – in its host society.

Several important questions remain to be addressed. Why do totalist movements appear to thrive more in certain cultures but less in others – and to what extent can this be determined by cultural factors such as an eschatological mindset or political utopianism, or by neurobiological imperatives? Why and how was the idea of totality, more or less, split from religion and appear in secular manifestations in the European case? What are the hallmarks of a totalist worldview and what part do they play in the process of crossing the totality threshold? What are the main differences separating the renovative, utopian and "hybrid" totalist types? Throughout this book, one will seek to offer at least partial answers to such questions.

2.2 The Concept of Totalitarianism

In contrast to the long history of the concept of totality, the term "totalitarian" is a recent development, even as it has generated several schools of thought.⁴⁴ It was used for the first time in a theoretical framework by Luigi Sturzo, an Italian priest, sociologist and

⁴³ Roberts mostly uses the word totalism in the concluding chapter of his book. See Roberts, *The Totalitarian Experiment in Twentieth-Century Europe*, 468-482.

⁴⁴ See Marc-Pierre Möll, Gesellschaft und totalitäre Ordnung, eine theoriegeschichtliche Auseinandersetzung mit dem Totalitarismus (Baden-Baden: Nomos, 1998); Eckhard Jesse ed., Totalitarismus im 20. Jahrhundert. Eine Bilanz der Internationale Forschung (Nomos: Baden-Baden, 1999).

politician, as well as a staunch opponent of the Fascist regime.⁴⁵ It is important to note that Sturzo's use of the term "totalitarian" seems to have actually been used almost six months before⁴⁶ the famous 1923 article written by Giovanni Amendola, which is typically mentioned as having pioneered the term.⁴⁷ Amendola himself described the fascist state as a *sistema totalitaro* in comparison to the democratic *sistema maggioritario*. Soon enough, the term would move from the negative connotations it possessed in the eyes of the opposition to being used by the Fascists themselves, ultimately spreading beyond Italy's borders.

In the Weimar Republic, the idea of the *total* would leave its mark on the work of various authors throughout the 1920s and 1930s. As already mentioned, Theodor Geiger describes the "totalism" of the masses, whereas Ernst Jünger writes of the "total mobilisation" (*Die totale Mobilmachung*) which is the key in any modern conflict,⁴⁸ while, later on, Eugen Rosenstock-Huessy uses the term "total revolution" (*Totalrevolution*).⁴⁹ By 1933, marking the collapse of the Weimar Republic, Carl Schmitt would articulate his influential vision of a "total state" (*totaler Staat*),⁵⁰ whereas Erich Ludendorff later wrote of the "total war" (*totaler Krieg*).⁵¹

Alongside the increasing influence of non-democratic social models, the popularisation of totalitarianism theories beyond the European continent can also be linked to the work and activities of émigrés, such as Waldemar Gurian, Voegelin or Arendt. Indeed, totality functioned as an important, even central, feature in the newly established ideocratic regimes in Russia and Italy. Of course, there were differences in the intensity with which each regime pursued totality, as is portrayed, for instance, by Gurian in his writings on the Bolshevik state.

Gurian himself was a seminal figure in approaching totalitarianism in the German space and important early on for the discussion of the term. As Heinz Hürten points out, he played a part in recognizing the parallels between Bolshevism and Fascism, while also helping form a terminology that attempted to capture the nature of these new regimes.⁵² For instance, he would write that the Fascist state was considerably less "total" than the Bolshevik one.⁵³ Nevertheless, Gurian never formulated a concept of totalitarianism in a sense of academic schools, but preferred to offer philosophical interpretations.⁵⁴

It is important to note here that Gurian gradually moved from the concept of totalitarianism to that of political religion, which ultimately suited his own religious worldview and his character. Indeed, the concept of political religion and the religious element predominates in his understanding of the modern autocratic regimes arising in the

⁴⁵ Norbert Kapferer, "Totalitarismus", in Joachim Ritter and Karlfried Gründer eds., *Historisches Wörterbuch der Philosophie*, vol. 10 (Basel: Schwabe, 1998) 1297.

⁴⁶ See Uwe Backes, "Luigi Sturzo: Begründer und früher Wegbereiter des Totalitarismuskonzepts", in Frank Schale/Ellen Thümmler eds., *Den totalitären Staat denken* (Reihe Staatsverständnisse, edited by Rüdiger Voigt), (Baden-Baden: Nomos, 2015).

⁴⁷ See Jens Petersen, "The history of the concept of Totalitarianism in Italy", Hans Maier ed., *Totalitarianism and Political Religions. Volume I: Concepts for the comparisons of dictatorships* (London and New York: Routledge, 2004) 6.

⁴⁸ See Ernst Jünger, "Die totale Mobilmachung", in Ernst Jünger ed., *Krieg und Krieger*. (Berlin: Junker und Dünnhaupt, 1930) 9-30.

⁴⁹ See Eugen Rosenstock-Huessy, *Die europäischen Revolutionen und der Charakter der Nationen* (Jena: Eugen-Diederichs-Verlag, 1931).

⁵⁰ See Carl Schmitt, *State, Movement, People. The Triadic Structure of the Political Unity*, ed. and trans. Simona Drăghici (Corvallis: Plutarch Press, 2001).

⁵¹ See Erich Ludendorff, Der totale Krieg (München: Ludendorff's Verlag, 1935).

⁵² See Hein Hürten, "Waldemar Gurian and the development of the concept of totalitarianism", in Hans Maier ed., *Totalitarianism and Political Religions. Volume I*, 40.

⁵³ See Waldemar Gurian, Der Bolschewismus, Einführung in Geschichte und Lehre (Freiburg: Herder, 1931) VI.

⁵⁴ Hürten, "Waldemar Gurian and the development of the concept of totalitarianism", 48.

20th century. In one of his later essays, *Totalitarian Religions*, he writes on the absolute, total nature of such regimes:

The totalitarian movements which have arisen since World War I are fundamentally religious movements. They aim not at changes of political and social institutions, but at the reshaping of the nature of man and society. They claim to have the true and obligatory knowledge about life and its aims. They emphasize that they are based on doctrines which describe and determine totally and completely the existence and activities of men and society. (...) The pretense of having the true doctrine gives to the totalitarian movements their basic character. They are intolerant. They aim at the extirpation of all other doctrines and philosophies. They cannot tolerate any limitation of their claims and their power. Totalitarian movements cannot conceive of realms of life outside and beyond their control; they cannot accept the fact that there are other doctrines or institutions with the right to remain independent, having a dignity and a validity of their own. That they do accept for a time, as long as power considerations demand it, the existence of other groups and other doctrines does not meant that they abandon their aim of absolute domination of making all other doctrines disappear.⁵⁵

One may recognize in his description the importance played by a stark division of the world between ideological truth and untruth, as described by other authors, notably Eric Voegelin.⁵⁶ Gurian insists that totalitarian movements cannot be interpreted as a distinctive form of authoritarian rule, since "authoritarian regimes do not claim to bring a new faith, an all-embracing doctrine determining the whole of life".⁵⁷ In interacting with Hannah Arendt's own writings on totalitarianism, Gurian later argued that "the totalitarian masters shape the world according to their doctrine".⁵⁸ Thus, a vicious circle appears, with the doctrine justifying absolute domination of the totalitarian elite and the doctrine itself being proven true by the absolute domination and the replacement of "God's order" by "a man-made order, the artificial order required by the doctrine and created by the power exercised in its name."⁵⁹ All in all, Gurian can be considered one of the foremost representatives of conservative or religious thinkers, who were among the first to draw attention to the distinct nature of the new regimes, with Voegelin's writings being among the most ambitious in scope, as it shall be seen later on.

The concept of totalitarianism would thus grow in importance throughout the 1930s, with the first scientific symposium on the totalitarian state – organized by the American Philosophical Society – taking place in November 1939. The war itself could only contribute to an increased interest in the debates surrounding the term, with National Socialism in particular being singled out as its representative, even though an ex-Communist – but still leftist – intellectual like Franz Borkenau could make a point of calling both the Third Reich and the Soviet Union totalitarian.⁶⁰

Yet for others during the war, the focus on National Socialism remained the most prominent, for instance, in the works of leftist writers such as Ernst Fraenkel⁶¹ and Franz Neumann.⁶² If both insisted on the relationship between capitalism and National Socialism, it was Neumann's work which has been described as "the only one of the wartime texts that

⁵⁵ Waldemar Gurian, "Totalitarian Religions", The Review of Politics, 14/1 (1952): 3-4.

⁵⁶ This shall be approached in more detail in later sections.

⁵⁷ Ibid., 4.

⁵⁸ Ibid., 8.

⁵⁹ Ibid., 8.

⁶⁰ See Franz Borkenau, The Totalitarian Enemy (London: Faber and Faber, 1940).

⁶¹ Ernst Fraenkel, *The Dual State. A Contribution to the Theory of Dictatorship*, trans. E. A. Shils in collaboration with Edith Lowenstein and Klaus Knorr (Clark: The Lawbook Exchange, 2006).

⁶² See Franz Neumann, Behemoth. The Structure and Practice of National Socialism 1933-1944 (Chicago: Ivan R. Dee, 2009).

attempted systematically to consider several other theoretical approaches to the Nazi order and to provide a corrective to their perceived weaknesses."⁶³ Significantly, Neumann's own approach argued that, like the mythical Behemoth, National Socialism was defined by chaos and lawlessness, rather than by a consistent ideology or coherent structure.⁶⁴

The end of the war, whilst leading to the collapse of Italian Fascism and German National Socialism, ensured the expansion of the Soviet Union and made clear the open competition between its system and that of American dominated Western Europe. Henceforth, the term "totalitarian" would be associated exclusively with negative connotations, gradually linking National Socialism and Soviet Communism in their opposition to the democratic world.⁶⁵ It was at this onset of this struggle between the two blocks, that Karl Popper published *The Open Society and Its Enemies*. Written during the war, and expanding on themes explored in *The Poverty of Historicism*,⁶⁶ the book was an attack on the nature and claims of historicism⁶⁷, portraying key figures of the Western philosophical tradition, such as Plato, Hegel and Marx, as forerunners to modern totalitarianism. For Popper, totalitarianism is merely the latest incarnation of "reactionary movements", which are trying to overthrow civilization and return it to tribalism.⁶⁸ For instance, when writing about Plato, Popper claims that behind his very definition of justice one can discover the demand for a totalitarian class rule⁶⁹ and that totalitarianism is also linked to his ethics.⁷⁰

Furthermore, he sees Hegel as being nothing less than "the father of modern historicism and totalitarianism"⁷¹, arguing that nearly all important ideas of modern totalitarianism are "directly inherited from Hegel".⁷² By contrast, he repeatedly calls Marx a prophet – albeit a false one – whose vision is weighed down by the most developed and purest form of historicism.⁷³ Nevertheless, Popper's own method in pursuing these claims has been

⁶³ William David Jones, *The Lost Debate: German Socialist Intellectuals and Totalitarianism* (Urbana and Chicago: Illinois University Press, 1999) 149.

⁶⁴ See Neumann, Behemoth, 467-470.

⁶⁵ Their relationship to totality was not the only connection between National Socialism and Communism. Indeed, the rival ideologies could sometimes share a number of adherents which moved from one to the other. See Samuel Goodfellow, "From Communism to Nazism: The Transformation of Alsatian Communists", *Journal of Contemporary History*, 27/2 (1992): 231-258.

⁶⁶ First published as an article in 1944, the paper would later appear in book form a decade later. See Karl R. Popper, *The Poverty of Historicism* (Boston: The Beacon Press, 1957).

⁶⁷ "They claim that everybody tries to use his brains to predict impending events; that it is certainly legitimate for a strategist to try to foresee the outcome of a battle; and that the boundaries between such predictions and more sweeping historical prophecies are fluid. (...) They also believe that they have discovered laws of history which enable them to prophesy the course of historical events. The various social philosophies which raise claims of this kind, I have grouped together under the name *historicism*." Popper, *The Open Society and its Enemies, Volume I, The Spell of Plato* (London: Routledge, 1947) 3.

⁶⁸ "(...) what we call nowadays totalitarianism belongs to these movements, which are just as old or just as young as our civilization." Popper, *The Open Society and its Enemies, Volume I*, 1. It is noteworthy, that Popper associates this stage with the idea of the *closed society*: "It is one of the characteristic features of the magical attitude of a primitive tribal or 'closed' society that it lives in a charmed circle of unchanging taboos, of laws and customs which are felt to be as inevitable as the rising of the sun, or the cycle of the seasons, or similar obvious regularities of nature. And it is only after this magical 'closed society' has actually broken down that a theoretical understanding of the difference between 'nature' and 'society' can develop." Ibid., 49.

⁷⁰ "But we must also realize that those who, deceived by the identification and by high-sounding words, exalt Plato's reputation as teacher of morals and announce to the world that his ethics is the nearest approach to Christianity before Christ, are preparing the way for totalitarianism and especially for totalitarian, anti-Christian interpretation of Christianity. And this is dangerous thing, for there have been times when Christianity was dominated by totalitarian ideas." Ibid., 91.

⁷¹ Popper, *The Open Society and its Enemies, Volume II, The High Tide of Prophecy: Hegel, Marx and the Aftermath* (London: Routledge, 1947) 20.

⁷² Ibid., 58.

⁷³ See Ibid., 77-78.

criticized by Walter Kaufmann as being similar to that of the totalitarian schools he so emphatically rejects.⁷⁴ Other reservations aside, Kaufmann also points to Popper's narrow vision of totalitarianism when the latter turns Hegel into a "missing link" between Plato and modern totalitarianism, while claiming that most of the modern totalitarians are aware of their debt to Hegel.⁷⁵ Thus, although his work has certainly not been without its critics, Popper's attack on historicism and totalitarianism had an enduring legacy, a part of the efforts made by predominantly German émigrés both before and after the war.⁷⁶

Although spanning a wide political spectrum, these scholars were united to an extent by their writings on totalitarianism, a term which they greatly influenced through their research and led to a variety of approaches. Most importantly for the present work however, after an "apogee of acceptance" during the 1940s in the United States, the concept of totalitarianism experienced a renewal which "restored greater significance to one of its central meanings: the Hegelian stress on 'totality'."⁷⁷ The work of one such émigré, *The Origins of Totalitarianism* has been often called the classic approach to the concept. Despite ultimately being criticized by many for her interpretation of totalitarianism, Hannah Arendt's book greatly influenced the debate on the concept throughout following decades.

One of the most significant features of Arendt's work is that totalitarianism is seen as a new, distinctly modern phenomenon – rather than with premodern or early modern roots – born of modern crises and catastrophes, its essence found in what she sees as total domination and terror.⁷⁸ Nevertheless, her approach has been criticized for overstating the overall power which the leaders of the totalitarian states were able to acquire in reality and their ability to penetrate and transform the mindset of the populace.⁷⁹ Arendt does not understand totalitarianism as replacing a transcendent belief system,⁸⁰ concentrating instead on what she sees as the logical system and the "supersense" derived out of its ideology:

While the totalitarian regimes are thus resolutely and cynically emptying the world of the only thing that makes sense to the utilitarian expectations of common sense, they impose upon it at the same time a kind of supersense which the ideologies actually always meant when they pretended to have found the key to history or the solution to the riddles of the universe. Over and above the senselessness of totalitarian society is enthroned the ridiculous supersense of its ideological superstition. Ideologies are harmless, uncritical, and arbitrary opinions only as long as they are not believed in seriously. Once their claim to total validity is taken literally they become the nuclei of logical systems in which, as in the systems of paranoiacs, everything follows comprehensibly and even compulsorily once the first premise is accepted. The insanity of such systems lies not only in their first

⁷⁴ Walter A. Kaufmann, "The Hegel Myth and its Method", in John Steward ed., *Hegel Myths and Legends* (Evanston: Northwestern University Press, 1996) 83.

⁷⁵ "Seeing that the context indicates a reference to Nazism, and that all the totalitarians cited in this chapter are fascists, not communists, Popper only shows his ignorance of this particular form of totalitarianism. Hegel is rarely cited in Nazi literature and, when he is referred to, it is usually by way of disapproval. Rosenberg, in *Der Mythus des Zwanzigsten Jahrhunderts*, mentions, and denounces, Hegel twice." Ibid., 86.

⁷⁶ On the influence of these intellectuals during the post-war era see Udi Greenberg, *The Weimar Century. German Émigrés and the Ideological Foundations of the Cold War* (Princeton: Princeton University Press, 2014).
⁷⁷ Gleason, *Totalitarianism*, 94.

⁷⁸ "Yet as long as totalitarian rule has not conquered the earth and with the iron band of terror made each single man a part of one mankind, terror in its double function as essence of government and principle, not of action, but of motion, cannot be fully realized." Arendt, *The Origins of Totalitarianism*, 467.

⁷⁹ For instance, for the sociologist David Riesman, this is an important part his objections to Arendt's exaggerated portrayal of totalitarian omnipotence and its capacity to transform human nature. See Peter Baehr *Hannah Arendt, Totalitarianism and the Social Sciences* (Stanford: Stanford University Press, 2010) 45-53.

⁸⁰ Indeed, she never uses terms such as "political religion" or "secular religion" throughout her work. See Brigitte Gess, "The conceptions of totalitarianism of Raymond Aron and Hannah Arendt", in Maier ed., *Totalitarianism and Political Religions. Volume 1*, 219.

premise but in the very logicality with which they are constructed. The curious logicality of all isms, their simple-minded trust in the salvation value of stubborn devotion without regard for specific, varying factors, already harbours the first germs of totalitarian contempt for reality and factuality.⁸¹

More recently, Arendt's interpretation of totalitarianism and the relationship between ideology and the one-party state has been criticized by Emilio Gentile as not corresponding to historical reality. Moreover, Gentile rightly points out that despite the instrumental nature of ideas in totalitarian regimes, ideology itself had a central role in Fascism, National Socialism and Communism and, especially in the former two, domestic and foreign policy consistently reflected their ideological tenets.⁸²

Furthermore, as Peter Baehr has shown, Arendt's approach avoids taking into account how religious features or expressions could "permeate totalitarian discourse" and the way in which they were recognized as such by their contemporaries.⁸³ Arendt's view is thus in stark opposition to authors such as Eric Voegelin, Raymond Aron, Jacob Talmon or Jules Monnerot, who repeatedly pointed to what they saw as the (quasi)religious features influencing or linked to the various aspects of modern ideocratic regimes.⁸⁴ Despite their limits, such perspectives were important in their interpretation and analysis of totalitarianism and its legitimization strategies, as argued by the authors depicted in the next section.

Yet another émigré would be Karl Wittfogel, a former Marxist turned anti-Communist, and author of the erudite, albeit controversial *Oriental Despotism*. By using the Marxian writings on the "Asiatic mode of production", Wittfogel likens Communist rule to the great, premodern, slave-owning "hydraulic empires" – where the regulation of water was the paramount activity for the survival and prosperity of the polity in question.⁸⁵ For Wittfogel, the hydraulic society – and implicitly, total power – is found in "a state stronger than society", which has a debilitating effect on possible nongovernmental forces.⁸⁶

This is enabled, Wittfogel argues, by the interaction between the military faction, the bureaucracy, and the religion of the hydraulic empire.⁸⁷ At the same time, Wittfogel's thesis is certainly open to criticism, whether in its treatment of China⁸⁸ or in its ideological eagerness to name Communist totalitarianism a more despotic variant of premodern hydraulic

⁸¹ Arendt, The Origins of Totalitarianism, 457-458.

⁸² See Emilio Gentile, "Total and Totalitarian Ideologies", in Michael Freeden, Lyman Tower Sargent, Marc Stears eds., *The Oxford Handbook of Political Ideologies* (Oxford: Oxford University Press, 2013) 69-70.

⁸³ Peter Baehr, *Hannah Arendt, Totalitarianism and the Social Sciences*, 112. Furthermore, this ultimately fit with her claim that totalitarianism was the product of a complete break with the past and foreign from Western tradition. See Ibid., 117-118.

⁸⁴ While Aron's own objections were ignored, she did briefly interact with Monnerot on the theory of secular religion. See Ibid., 93-123. She also sparred more extensively – but always respectfully – over a series of letters with Voegelin.

⁸⁵ "A large quantity of water can be channelled and kept within bounds only by the use of mass labor; and this mass labor must be coordinated, disciplined, and led." Karl August Wittfogel, *Oriental Despotism. A Comparative Study of Total Power* (New Haven and London: Yale University Press, 1967) 18.

⁸⁶ "The hydraulic state is a genuinely managerial state. This fact has far-reaching societal implications. As manager of hydraulic and other mammoth constructions, the hydraulic state prevents the nongovernmental forces of society from crystallizing into independent bodies strong enough to counterbalance and control the political machine." Ibid., 49.

⁸⁷ "Different from the society of feudal Europe, in which the majority of all military leaders (the feudal barons) were but loosely and conditionally linked to their sovereigns, and in which the dominant religion was independent of the secular government, the army of hydraulic society was an integral part of the agromanagerial bureaucracy, and the dominant religion was closely attached to the state. It was this formidable concentration of vital functions which gave the hydraulic government its genuinely despotic (total) power." Ibid., 100.

⁸⁸ See Wolfram Eberhard, Conquerors and Rulers. Social Forces in Medieval China (Leiden: Brill, 1970) 53-88.

societies.⁸⁹ Nevertheless, one element found in Wittfogel's analysis remains particularly relevant to the work at hand, that is, the idea of total power in a premodern context. It is thus that one must turn to what is often called the standard text on totalitarianism, namely, Carl J. Friedrich's and Zbigniew Brzezinski's *Totalitarian Dictatorship and Autocracy*.

2.3 Carl J. Friedrich: "Total", "Totalist", "Totalitarian"

Friedrich's work follows an approach which seeks to compare the structural similarities between the regimes in Italy, Germany and Russia in an ostensibly neutral, value-free way. In contrast to Arendt, this approach argues for an origin of totalitarianism steeped in Western tradition, with totalitarianism being "rooted in the totality of Western ideas"⁹⁰ albeit distorting it.⁹¹ Most importantly, the second edition of the book takes into account the importance of the term "totalism", even if does so in a very brief manner. Firstly, the text points out that a tendency towards totality has long been part of human culture, since "such ideologically motivated concern for the whole of man, such intent upon total control, has been characteristic of other regimes in the past, notably theocratic ones such as the Puritans' or the Moslems'."⁹²

However, Friedrich argues that the innovation of totalitarian regimes lies in its means, which are modern⁹³ rather than its overall aims, which are far older. In his own words, a totalitarian dictatorship is "a system of autocratic rule for realizing totalist intentions under modern technical and political conditions".⁹⁴ Thus, Friedrich rebuffs any attempt to call totalitarian the works of individuals who stress the importance of total control, as well as historical examples of autocracies and societies which had pursued the same principle of total control or total power before the modern era.⁹⁵ After mentioning several examples which he does not consider totalitarian, such as Plato, Sparta, "the medieval monastery" or "much 'primitive' government", Friedrich concludes:

What is really the specific difference, the innovation of the totalitarian regimes, is the organization and methods developed and employed with the aid of modern technical devices in an

⁸⁹ See for instance, Einsenstadt's persistent criticism of Wittfogel's "monolithic" interpretation of Oriental societies: S. N. Eisenstadt, "The Study of Oriental Depotisms as Systems of Total Power", *The Journal of Asian Studies* 17 (1958): 435-46.

⁹⁰ Carl J. Friedrich and Zbigniew Brzezinski, *Totalitarian Dictatorship and Autocracy* (New York: Praeger Publishers, 1969) 105.

⁹¹ "(...) the roots of totalitarian ideologies, both communist and fascist, are actually intertwined with the entire intellectual heritage of Western man and that all specific links should be seen, not in terms of causation – of this or that thinker or group of thinkers being "responsible for" the totalitarian ideologies – but as strands of a complex and variegated tapestry. However, the specific totalitarian ingredient – the employment, even glorification, of violence for the realization of the goals that the ideology posits is largely absent from the thought of those whose ideas these ideologies have utilized and, in utilizing them, distorted." Ibid., 106.

⁹³ Moreover, Friedrich sees totalitarian dictatorships as born only "in the context of mass democracy and modern technology." Ibid., 27.

⁹⁴ Carl J. Friedrich, "The Evolving Theory and Practice of Totalitarian Regimes" in Carl J. Friedrich, Michael Curtis, and Benjamin R. Barber eds., *Totalitarianism in Perspective. Three Views* (New York: Praeger, 1969) 136.

⁹⁵ See Ibid., 16-17.

effort to resuscitate such total control in the service of an ideologically motivated movement, dedicated to the total destruction and reconstruction of a mass society. It seems therefore highly desirable to use the term "totalism" to distinguish the much more general phenomenon just sketched, as has recently been proposed by a careful analyst of the methods of Chinese thought control.⁹⁶

Despite coming to such conclusions, Friedrich ultimately remains uninterested in the origins of totalitarian systems, focussing on the forms and structures of the regimes themselves. This is distinguishable in the famous checklist which attempts to identify the fundamental features common to totalitarian regimes, putting forth the argument that totalitarian dictatorships are "basically alike" (which also means they are not "wholly alike").⁹⁷ The six interrelated traits of this approach are "an ideology, a single party typically led by one man, a terroristic police, a communications monopoly, a weapons monopoly, and a centrally directed economy."⁹⁸ Whilst this approach has been criticized from a variety of quarters, it is the first feature that is of special interest for this work.⁹⁹ The "ideology" feature is detailed as follows:

An elaborate ideology, consisting of an official body of doctrine covering all vital aspects of man's existence to which everyone living in that society is supposed to adhere, at least passively; this ideology is characteristically focused and projected toward a perfect final state of mankind – that is to say, it contains a chiliastic claim, based upon a radical rejection of the existing society with conquest of the world for the new one.¹⁰⁰

In spite of some severe – at times politicized – criticism and debates regarding his list of features and analysis (which he modified over time)¹⁰¹, Friedrich consistently focuses on the "totalist" character of ideologies associated with totalitarianism. He does this even more clearly in another work, where he presents a modified version of the totalitarian checklist, with the first feature now being "a totalist" ideology.¹⁰² It is essential in this respect that Friedrich draws attention to the totalistic nature of the ideology, along with his differentiation between totalism and totalitarianism on historical grounds.

In any case, it is primarily this differentiation between what Friedrich understands as (essentially premodern) "totalism" and modern totalitarianism, which allows him to call the latter "a system of rule for realizing totalist intentions under modern political and technical conditions, as a novel type of autocracy."¹⁰³ As Hans J. Lietzmann shows, these "totalist intentions", aided by modern technology and the specificities of a modern, industrialised

⁹⁶ Ibid., 17. Robert J. Lifton is the analyst of thought control methods mentioned here.

⁹⁷ Ibid., 19.

⁹⁸ Ibid., 21.

⁹⁹ It is important to note that Brzezinsky did not collaborate with Friedrich on the second edition and ultimately moved away from the concept of totalitarianism, despite continuing to defend it throughout the 1950's and 1960s. See Jones, *The Lost Debate*, note 6, 224.

¹⁰⁰ Friedrich and Brzezinski, Totalitarian Dictatorship and Autocracy, 22.

¹⁰¹ For an extended discussion of Friedrich's approach, including its strengths and weaknesses see Lietzmann, *Politikwissenschaft im "Zeitalter der Diktaturen"*. Also see Achim Siegel, "Carl Joachim Friedrich's Concept of Totalitarian Dictatorship: A Reinterpretation, in Achim Siegel d., *The Totalitarian Paradigm After the End of Communism. Toward a Theoretical Reassessment* (Amsterdam and Atlanta: Rodopi, 1998) 273-302. Siegel argues that Friedrich's approach can stand up to the severe criticism it has been subjected since the 1960s. However, this would be possible only if Friedrich's concept is interpreted as "a functionalistic approach that idealizes the phenomenon of totalitarianism in varying degrees of abstraction". Ibid., 297.

¹⁰² See Friedrich, "The Evolving Theory and Practice of Totalitarian Regimes", 126.

¹⁰³ Friedrich and Brzezinski, *Totalitarian Dictatorship and Autocracy*, 17. This phrase has occasionally been misquoted as "a system of rules" instead of the original "a system of rule". For instance, see Gleason, *Totalitarianism*, note 33, 248.

society, are at the core of Friedrich's understanding of the totalitarian enterprise, and the direct road to the praxis of totalitarianism.¹⁰⁴

One could attempt to dispute this in the same manner as Simon Tormey, who has also focussed on the importance of a "totalist" ideology for Friedrich's overall argument. First of all, Tormey rightly interprets Friedrich's analysis as giving pride of place to the "totalist ideology", since many of the traits otherwise associated with totalitarianism can be found in other systems.¹⁰⁵ Nevertheless, he has (altogether understandable) doubts on the applicability of the "totalitarian ideology" as revolutionary, although the arguments he uses in support of his position are perhaps less than convincing. For instance, he points out that National Socialism, albeit revolutionary in its heterodox stage, actually suppressed its revolutionary "socialist" wing, and that Hitler himself focussed on achieving and maintaining his dominance, while, Tormey argues, relinquishing the more revolutionary elements of his own ideology.¹⁰⁶

The questionable nature¹⁰⁷ of this last claim aside, Tormey is prepared to consider the "ideology" American Revolution as "totalising"¹⁰⁸, whilst – somewhat perplexingly – denying this quality to the National Socialist state, since, in his view, Hitler's vision of an orderly, racially purified Germany did not ultimately lead to a total reconstruction of society.¹⁰⁹ Yet, Tormey seems to neglect the fact that, even as newly hegemonic movements in Italy and Germany were, at times, forced to compromise for pragmatic reasons, the ideologies of the regimes in question remained totalistic in essence.¹¹⁰

¹⁰⁴ See Lietzmann, Politikwissenschaft im "Zeitalter der Diktaturen", 157.

¹⁰⁵ "Only the 'totalist ideology' seems to be missing from other systems that might be regarded as near relatives of totalitarian states. The most important characteristic of totalitarian regimes is that they are uncompromisingly radical. For the totalitarian elite the ideology is not just a mere device to secure compliance or to cement together the members of the ruling class. The ideology forms the very *raison d'etre* of the system. It explains why these people are in power and what they are in power to achieve. It explains why there are concentration camps, Gulags and executions, why the regime wants a monopoly over every aspect of social, political and economic life, and why it seeks to expand indefinitely. It is therefore the totalist ideology that is the key feature of totalitarian systems." Simon Tormey, *Making Sense of Tyranny: Interpretations of Totalitarianism* (Manchester: Manchester University Press, 1995) 82-83.

¹⁰⁶ See Tormey, Making Sense of Tyranny, 91-92.

¹⁰⁷ Despite his flexibility in pursuit of power and ability for such tactical political coups as the Night of the Long Knives, Hitler nonetheless stayed true to his main views throughout his adult life. On this, Ian Kershaw writes: "It would be a serious error to underestimate the ideological driving-force of Hitler's few central ideas. He was no mere propagandist or 'unprincipled opportunist'. He was indeed both a masterly propagandist and an ideologue. There was no contradiction between the two. (...) Hitler himself was flexible, even indifferent, towards ideological issues which could obsess his followers. Opponents at the time, and many later commentators, frequently underestimated the dynamism of Nazi ideology because of its diffuseness, and because of the cynicism of Nazi propaganda. Ideology was often regarded as no more than a cloak for power-ambitions and tyranny. This was to misinterpret the driving-force of Hitler's own basic ideas, few and crude as they were. And it is to misunderstand the ways those basic ideas came to function within the Nazi Party then, after 1933, within the Nazi state. What mattered for Hitler was indeed the road to power. He was prepared to sacrifice most principles for that. But some – and those were for him the ones that counted – were not only unchangeable. They formed the essence of what he understood by power itself. Opportunism was always itself ultimately shaped by the core ideas that determined his notion of power." Ian Kershaw, *Hitler: 1889-1936 Hubris* (New York and London: W.W. Norton, 1999) 252-253.

¹⁰⁸ He identifies the following problem: "The American revolutionaries were quite self-conscious in their desire to sweep away what they regarded as the old and the moribund and to institute entirely new practices for what they considered to be a New Age. It is not too difficult to argue, in the terms offered by Friedrich and Brzezinski, that this new ideology was 'totalising'; but do we want to say that because it was totalising it was at the same totalitarian? Were these the first tentative steps on the road to the Gulag?" Tormey, *Making Sense of Tyranny*, 92.

¹¹⁰ Such compromises include, for instance, the frozen conflict of the National Socialists with the Christian churches, or the admittedly rocky modus vivendi of the Italian Fascists with the Catholic Church. Friedrich himself writes on the subject as follows: "The tendency of isolated fragments of the preceding state of society to

Nevertheless, Tormey rightly shows that the subtext of Friedrich's analysis means attacking revolutionary theories in general.¹¹¹ This is a conclusion he shares with Lietzmann, as seen in his analysis of Friedrich's "destruction-reconstruction-syndrome".¹¹² Friedrich understands totalitarian dictatorships as radical, revolutionary movements, which are marked by "the declared intention to create a 'new man".¹¹³ In a chapter titled *The Nature of Total Ideology* he argues that the "totalitarian ideology" is "concerned with total destruction and total reconstruction, involving typically an ideological acceptance of violence as the only practicable means for such total deconstruction."¹¹⁴

The problem that arises is that throughout his book Friedrich uses the terms "totalist", "total" and "totalitarian" (but apparently not "totalism") to refer to the same tendency towards revolutionary destruction and reconstruction. Thus, it is natural that he should criticize what he sees as the "chiliastic" nature of such ideologies, warning of their inherent danger to pluralist, democratic systems. At the same time, Friedrich's automatic association of totalist ideologies with the practices of totalitarianism itself – seen as an essentially revolutionary process necessarily implying total destruction and reconstruction – can be considered problematic, at least to an extent. As Tormey points out, what Friedrich – erroneously – insists on "is that since any call for the radical transformation of social institutions and structures is 'totalist', it must at the same time be totalitarian."¹¹⁵

This is made clear especially if one accepts to move beyond Friedrich's understanding of totalism, this step being made all the more necessary by the comparably more sophisticated analyses and, for good or ill, the empirical evidence employed in Erikson's and Lifton's works. Even so, this work will attempt to use the "totalism" of the latter two in a manner which will bring it closer to the focus and goals of the former. It is important to note that Friedrich's understanding of totalism, like his entire theory on totalitarianism, was decisively shaped by the sociological debates on revolutions during the 1920s.¹¹⁶ While both Erikson's and Lifton's works were known to him, even if this did not alter his basic conviction about the novelty of totalitarianism as a form of autocracy¹¹⁷ and, it is likely, his older understanding of the concept of totalism.

survive has been a significant sources of misinterpretation of the fascist totalitarian society, especially in the case of Italy." Friedrich and Brzezinski, *Totalitarian Dictatorship and Autocracy*, 21.

¹¹¹ See Tormey, Making Sense of Tyranny, 83.

¹¹² See Lietzmann, Politikwissenschaft im "Zeitalter der Diktaturen", 163-172.

¹¹³ Friedrich and Brzezinski, *Totalitarian Dictatorship and Autocracy*, 17. Friedrich's argument on the revolutionary new man, which must serve as a renewer of mankind is similar to those found in the works of Friedrich Feder and Eugen Rosenstock-Huessy. See Lietzmann, *Politikwissenschaft im "Zeitalter der Diktaturen"* 168-169.

¹¹⁴ Friedrich and Brzezinski, *Totalitarian Dictatorship and Autocracy*, 88. Interestingly, the use of political violence was consistently traced back to Machiavelli by a number of revolutionaries, particularly in the Russian case. As E.A. Rees points out: "A peculiar sub-theme that emerges is the affinity between Machiavellism and Jesuitical practices, and the close interest shown by Russian socialists in Campanella's ideas. (...) The rise of revolutionary Machiavellism also reflected the central dilemma of change in Russia in the nineteenth century; the apparent impossibility of effecting peaceful change; the intransigence of the authorities and the propertied classes; the isolation of the revolutionaries themselves and the difficult task of rousing the masses. But revolutionary Machiavellism was not simply a political manual of how to win and hold power, it was also inflused with a quasireligious socialist vision of the transformation of mankind." E.A. Rees, *Political Thought from Machiavellism, Revolutionary Machiavellism* (Basingstroke and New York: Palgrave Macmillan, 2004) 92.
¹¹⁵ Tormey, *Making Sense of Tyranny*, 96.

¹¹⁶ Lietzmann argues that Geiger's "totalism" is part of Friedrich's analysis and conceptualisation, which also makes use Rosenstock-Huessy's "*Totalrevolution*" and Alfred Vierkandt's theory on revolution, which led to his focus on destruction and reconstruction. See *Politikwissenschaft im "Zeitalter der Diktaturen*", 298. Curiously, Lietzmann does not mention Erikson or Lifton throughout his book.

¹¹⁷ After all, Erikson's "Wholeness and Totality" was presented in 1953, at a conference on totalitarianism where Friedrich participated, as well as being published later, with Friedrich as editor. As already shown previously,