

THE EXPERT'S VOICE® IN DATABASES

Database Systems

A Pragmatic Approach

—

A textbook introduction to database systems theory and practice

—

Second Edition

—

Elvis C. Foster
with Shripad Godbole

Apress®

Database Systems

A Pragmatic Approach

Second Edition



Elvis C. Foster

With Shripad Godbole

Apress®

Database Systems: A Pragmatic Approach

Elvis C. Foster
Associate Professor of Computer Science
Keene State College, New Hampshire, USA

Shripad Godbole
Senior Manager of Database Administration
Merkle Inc., Marlborough, Massachusetts, USA

ISBN-13 (pbk): 978-1-4842-1192-2
DOI 10.1007/978-1-4842-1191-5

ISBN-13 (electronic): 978-1-4842-1191-5

Library of Congress Control Number: 2016951944

Copyright © 2016 by Elvis C. Foster and Shripad Godbole

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

Trademarked names, logos, and images may appear in this book. Rather than use a trademark symbol with every occurrence of a trademarked name, logo, or image we use the names, logos, and images only in an editorial fashion and to the benefit of the trademark owner, with no intention of infringement of the trademark.

The use in this publication of trade names, trademarks, service marks, and similar terms, even if they are not identified as such, is not to be taken as an expression of opinion as to whether or not they are subject to proprietary rights.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Managing Director: Welmoed Spahr

Lead Editor: Jonathan Gennick

Development Editor: Douglas Pundick

Technical Reviewer: Louis Davidson and Michael Cunningham

Editorial Board: Steve Anglin, Pramila Balan, Laura Berendson, Aaron Black, Louise Corrigan,
Jonathan Gennick, Robert Hutchinson, Celestin Suresh John, Nikhil Karkal, James Markham, Susan
McDermott, Matthew Moodie, Natalie Pao, Gwenan Spearing

Coordinating Editor: Jill Balzano

Copy Editor: Mary Behr

Compositor: SPi Global

Indexer: SPi Global

Artist: SPi Global

Cover Designer: Anna Ishchenko

Distributed to the book trade worldwide by Springer Science+Business Media New York, 233 Spring Street, 6th Floor, New York, NY 10013. Phone 1-800-SPRINGER, fax (201) 348-4505, e-mail orders-ny@springer-sbm.com, or visit www.springer.com. Apress Media, LLC is a California LLC and the sole member (owner) is Springer Science + Business Media Finance Inc (SSBM Finance Inc). SSBM Finance Inc is a Delaware corporation.

For information on translations, please e-mail rights@apress.com, or visit www.apress.com.

Apress and friends of ED books may be purchased in bulk for academic, corporate, or promotional use. eBook versions and licenses are also available for most titles. For more information, reference our Special Bulk Sales—eBook Licensing web page at www.apress.com/bulk-sales.

Any source code or other supplementary material referenced by the author in this text is available to readers at www.apress.com. For detailed information about how to locate your book's source code, go to www.apress.com/source-code/.

Printed on acid-free paper

*This book is dedicated to the honor of my late father, Claudius Foster,
who taught me the discipline of being a responsible, goal-driven person.
The book is also dedicated to my students — past, present, and future.
You are the object of my inspiration and motivation;
you are the reason for this work.*

Contents at a Glance

About the Authors	xxvii
About the Technical Reviewers	xxix
Acknowledgments	xxxi
Preface	xxxiii
■ Part A: Preliminary Topics	1
■ Chapter 1: Introduction to Database Systems	3
■ Chapter 2: The Database System Environment	15
■ Part B: The Relational Database Model	35
■ Chapter 3: The Relational Model	37
■ Chapter 4: Integrity Rules and Normalization	73
■ Chapter 5: Database Modeling and Design.....	101
■ Chapter 6: Database User Interface Design.....	139
■ Chapter 7: Relational Algebra.....	155
■ Chapter 8: Relational Calculus	181
■ Chapter 9: Reflective Look at the Relational Database Model.....	195
■ Part C: The Structured Query Language	203
■ Chapter 10: Overview of SQL	205
■ Chapter 11: SQL Data Definition Statements	211
■ Chapter 12: SQL Data Manipulation Statements.....	249
■ Chapter 13: Logical Views and System Security	305

■ Chapter 14: The System Catalog	327
■ Chapter 15: Some Limitations of SQL	339
■ Part D: Advanced Topics	347
■ Chapter 16: Database Administration	349
■ Chapter 17: Distributed Database Systems	361
■ Chapter 18: Object Databases	371
■ Chapter 19: Data Warehousing	377
■ Chapter 20: Web-Accessible Databases	391
■ Chapter 21: Using Database Systems to Anchor Management Support Systems	403
■ Part E: Overview of Selected DBMS Suites	433
■ Chapter 22: Overview of Oracle	435
■ Chapter 23: Overview of DB2	443
■ Chapter 24: Overview of MySQL	451
■ Chapter 25: Overview of Microsoft SQL Server	461
■ Part F: Appendices	469
■ Appendix A1: Review of Trees	471
■ Appendix A2: Review of Hashing	505
■ Appendix A3: Review of Information-Gathering Techniques	523
■ Appendix A4: BNF Syntax for Selected SQL Statements	539
■ Appendix A5: Sample Exercises and Examination Questions	585
Index	597

Contents

About the Authors.....	xxvii
About the Technical Reviewers	xxix
Acknowledgments	xxx
Preface	xxxiii
■ Part A: Preliminary Topics	1
■ Chapter 1: Introduction to Database Systems.....	3
1.1 Definitions and Rationale	3
1.2 Objectives of a Database System.....	6
1.2.1 Primary and Secondary Objectives	6
1.2.2 Clarification on Data Independence.....	7
1.3 Advantages of a Database System.....	8
1.4 Approaches to Database Design	8
1.4.1 Conventional Files	9
1.4.2 Database Approach	10
1.5 Desirable Features of a DBS	10
1.6 Database Development Life Cycle.....	11
1.7 Summary and Concluding Remarks.....	12
1.8 Review Questions.....	13
1.9 References and/or Recommended Readings.....	13

■ Chapter 2: The Database System Environment 15

2.1 Levels of Architecture..... 15

 2.1.1 External Level..... 17

 2.1.2 Conceptual Level 17

 2.1.3 Internal Level..... 17

2.2 Inter-Level Mappings..... 18

2.3 Database Personnel 18

 2.3.1 Database Administrator and Data Architect..... 18

 2.3.2 Tools Expert 19

 2.3.3 Application Programmer..... 19

 2.3.4 User Liaison Specialist 20

 2.3.5 Network and Infrastructure Specialist..... 20

2.4 The Database Management System 21

2.5 Components of DBMS Suite 23

 2.5.1 The DBMS Engine 24

 2.5.2 Data Definition Subsystem 24

 2.5.3 The User Interface Subsystem..... 24

 2.5.4 Application Development Subsystem 25

 2.5.5 Data Administration Subsystem 25

 2.5.6 Data Dictionary Subsystem 25

 2.5.7 Data Communications Manager 25

 2.5.8 Utilities Subsystem..... 26

2.6 Front-End and Back-End Perspectives..... 26

2.7 Database System Architecture..... 27

2.8 Database Management System Classifications 30

 2.8.1 Classification Based on Data Models 30

 2.8.2 Classification Based on Number of Users 30

 2.8.3 Classification Based on Site Configuration..... 30

 2.8.4 Classification Based on Database Purpose 31

2.9 Summary and Concluding Remarks.....	31
2.10 Review Questions.....	32
2.11 References and/or Recommended Readings.....	33
■ Part B: The Relational Database Model	35
■ Chapter 3: The Relational Model	37
3.1 Basic Concepts.....	37
3.2 Domains	40
3.3 Relations	42
3.3.1 Properties of a Relation	43
3.3.2 Kinds of Relations.....	43
3.4 Relational Database System	44
3.5 Identifying Relationships.....	44
3.6 Representing Relationships	46
3.6.1 The Entity-Relationship Model.....	46
3.6.2 The Object-Relationship Model.....	52
3.6.3 Summary of Traditional Models.....	52
3.6.4 Multiplicity of Relationships	54
3.7 Implementing Relationships.....	55
3.8 Innovation: Relation-Attributes List and Relationship List.....	59
3.9 Database Naming Convention	64
3.10 Summary and Concluding Remarks.....	69
3.11 Review Questions.....	70
3.12 References and/or Recommended Readings.....	71
■ Chapter 4: Integrity Rules and Normalization	73
4.1 Fundamental Integrity Rules	73
4.2 Foreign Key Concept	74
4.3 Rationale for Normalization.....	76

4.4 Functional Dependence and Non-Loss Decomposition.....	77
4.4.1 Functional Dependence.....	77
4.4.2 Non-Loss Decomposition.....	78
4.5 First Normal Form	81
4.5.1 Problems with Relations in 1NF Only	81
4.6 Second Normal Form	83
4.6.1 Problems with Relations in 2NF Only	83
4.7 Third Normal Form	84
4.7.1 Problems with Relations in 3NF Only	84
4.8 Boyce-Codd Normal Form	85
4.9 Fourth Normal Form	86
4.9.1 Multi-Valued Dependency.....	87
4.9.2 Fagin's Theorem.....	87
4.9.3 The Zoo Revisited	89
4.10 Fifth Normal Form	89
4.10.1 Definition of Join Dependency.....	91
4.10.2 Fagin's Theorem.....	91
4.11 An Example	92
4.12 Other Normal Forms.....	94
4.12.1 The Domain-Key Normal Form	94
4.12.2 The Sixth Normal Form.....	95
4.13 Summary and Concluding Remarks.....	97
4.14 Review Questions.....	99
4.15 References and/or Recommended Readings.....	100
■ Chapter 5: Database Modeling and Design.....	101
5.1 The Database Model and Database Design.....	101
5.1.1 The Database Model.....	102
5.1.2 Database Design.....	102
5.2 The E-R Model Revisited	102
5.3 Database Design via the E-R Model.....	106

5.4 The Extended Relational Model	106
5.4.1 Entity Classifications	106
5.4.2 Surrogates	107
5.4.3 E-Relations and P-Relations	109
5.4.4 Integrity Rules	112
5.5 Database Design via the XR Model	113
5.5.1 Determining the Kernel Entities.....	113
5.5.2 Determining the Characteristic Entities.....	113
5.5.3 Determining the Designative Entities	114
5.5.4 Determining the Associations.....	115
5.5.5 Determining Entity Subtypes and Super-types.....	116
5.5.6 Determining Component Entities.....	117
5.5.7 Determining Additional Properties.....	117
5.5.8 Additional Applications of the XR Model.....	119
5.6 The UML Model.....	119
5.7 Database Design via the UML Model.....	122
5.8 Innovation: The Object/Entity Specification Grid	123
5.9 Database Design via Normalization Theory.....	127
5.9.1 Example: Mountaineering Problem.....	127
5.9.2 Determining Candidate Keys and then Normalizing	130
5.10 Database Model and Design Tools	132
5.11 Summary and Concluding Remarks.....	134
5.12 Review Questions.....	135
5.13 References and/or Recommended Readings	136
■ Chapter 6: Database User Interface Design	139
6.1 Overview	139
6.2 Deciding on the User Interface.....	141
6.3 Steps in the User Interface Design.....	142
6.3.1 Menu or Graphical User Interface.....	142
6.3.2 Command-Based User Interface	145

6.4	User Interface Development and Implementation.....	145
6.5	Summary and Concluding Remarks.....	152
6.6	Review Questions.....	152
6.7	References and/or Recommend Readings.....	153
■ Chapter 7:	Relational Algebra.....	155
7.1	Overview	155
7.2	Basic Operations of Relational Algebra	156
7.2.1	Primary and Secondary Operations.....	157
7.2.2	Codd's Original Classification of Operations.....	157
7.2.3	Nested Operations	157
7.3	Syntax of Relational Algebra	157
7.3.1	Select Statement	162
7.3.2	Projection Statement.....	163
7.3.3	Natural Join Statement.....	164
7.3.4	Cartesian Product.....	166
7.3.5	Theta-Join	167
7.3.6	Inner and Outer Joins	170
7.3.7	Union, Intersection, and Difference Statements.....	172
7.3.8	Division Statement	173
7.4	Aliases, Renaming, and the Relational Assignment.....	174
7.4.1	The Alias Operation.....	174
7.4.2	The Assignment Operation.....	175
7.4.3	The Rename Operation	175
7.5	Other Operators.....	176
7.6	Summary and Concluding Remarks.....	177
7.7	Review Questions.....	178
7.8	References and/or Recommended Readings.....	179

■ Chapter 8: Relational Calculus	181
8.1 Overview	181
8.2 Calculus Notations and Illustrations.....	183
8.3 Quantifiers, Free and Bound Variables	185
8.3.1 Well-Formed Formula.....	186
8.3.2 Free and Bound Variables.....	186
8.4 Substitution Rule and Standardization Rules	189
8.5 Introductory Query Optimization	189
8.6 Domain-Oriented Relational Calculus	192
8.7 Summary and Concluding Remarks.....	192
8.8 Review Questions.....	193
8.9 References and/or Recommended Readings	193
■ Chapter 9: Reflective Look at the Relational Database Model	195
9.1 The Relational Model Summarized.....	195
9.2 Ramifications of the Relational Model	196
9.2.1 Codd’s Early Benchmark.....	196
9.2.2 Revised Definition of a Relational System.....	197
9.2.3 Far-Reaching Consequences	200
9.3 Summary and Concluding Remarks.....	200
9.4 Review Questions.....	200
9.5 References	201
■ Part C: The Structured Query Language	203
■ Chapter 10: Overview of SQL	205
10.1 Important Facts	205
10.1.1 Commonly Used DDL Statements.....	205
10.1.2 Commonly Used DML and DCL Statements.....	207
10.1.3 Syntax Convention.....	207

10.2 Advantages of SQL	207
10.3 Summary and Concluding Remarks.....	208
10.4 Review Questions.....	208
10.5 Recommended Readings	209
■ Chapter 11: SQL Data Definition Statements	211
11.1 Overview of Oracle’s SQL Environment.....	212
11.2 Basic Concepts in a Typical Oracle Database Environment.....	213
11.3 Database Creation.....	215
11.4 Database Management	219
11.5 Tablespace Creation	223
11.6 Tablespace Management	226
11.7 Table Creation.....	228
11.8 Dropping or Modifying a Table	234
11.9 Working with Indexes.....	236
11.10 Working with Sequences.....	244
11.10.1 Creating and Using Sequences.....	244
11.10.2 Altering and Dropping Sequences	245
11.11 Working with Synonyms.....	246
11.12 Summary and Concluding Remarks.....	247
11.13 Review Questions.....	247
11.14 References and/or Recommended Readings	248
■ Chapter 12: SQL Data Manipulation Statements.....	249
12.1 Insertion of Data.....	249
12.2 Update Operations.....	252
12.3 Deletion of Data.....	255
12.4 Commit and Rollback Operations.....	256
12.5 Basic Syntax for Queries	258
12.6 Simple Queries	261

12.7	Queries Involving Multiple Tables.....	263
12.7.1	The Traditional Method	263
12.7.2	The ANSI Method	266
12.8	Queries Involving the Use of Functions.....	267
12.8.1	Row Functions.....	268
12.8.2	Date Functions.....	270
12.8.3	Data Conversion Functions.....	272
12.8.4	Programmer-Defined Functions	274
12.8.5	Aggregation Functions.....	275
12.8.6	Analytic Functions	279
12.9	Queries Using the LIKE and BETWEEN Operators.....	280
12.10	Nested Queries	281
12.10.1	Nested Queries Involving Use of the IN Operator	282
12.10.2	Nested Queries Involving use of ANY or ALL Operator	283
12.10.3	Nested Queries Using the Existential Quantifier	285
12.11	Queries Involving Set Operations	285
12.12	Queries with Runtime Variables	287
12.13	Queries Involving SQL*Plus Format Commands.....	288
12.14	Embedded SQL.....	289
12.15	Dynamic Queries	295
12.16	Summary and Concluding Remarks.....	301
12.17	Review Questions.....	303
12.18	References and/or Recommended Readings.....	303
■	Chapter 13: Logical Views and System Security	305
13.1	Traditional Logical Views.....	305
13.1.1	View Creation.....	306
13.1.2	View Modification and Removal	308
13.1.3	Usefulness and Manipulation of Logical Views	309

13.2 System Security	309
13.2.1 Access to the System	310
13.2.2 Access to the System Resources	314
13.2.3 Access to the System Data.....	317
13.3 Materialized Views	320
13.3.1 Creating a Materialized View	320
13.3.2 Altering or Dropping a Materialized View	322
13.4 Summary and Concluding Remarks	324
13.5 Review Questions	324
13.6 References and/or Recommended Readings	325
■ Chapter 14: The System Catalog	327
14.1 Introduction	327
14.2 Three Important Catalog Tables.....	328
14.2.1 The User_Tables View.....	328
14.2.2 The User_Tab_Columns View	329
14.2.3 The User_Indexes View.....	329
14.3 Other Important Catalog Tables.....	331
14.4 Querying the System Catalog.....	334
14.5 Updating the System Catalog.....	336
14.6 Summary and Concluding Remarks.....	337
14.7 Review Questions.....	338
14.8 References and/or Recommended Readings.....	338
■ Chapter 15: Some Limitations of SQL	339
15.1 Programming Limitations.....	339
15.2 Limitations on Views	339
15.2.1 Restriction on Use of the Order-By Clause for Earlier Versions of SQL.....	340
15.2.2 Restriction on Data Manipulation for Views involving UNION, INTERSECT, or JOIN	340
15.2.3 Restriction on the Use of Aggregation Functions for Earlier Versions of SQL.....	341

15.3	Stringent Enforcement of Referential Integrity	341
15.4	Limitations on Calculated Columns.....	343
15.5	If-Then Limitation.....	344
15.6	Summary and Concluding Remarks.....	344
15.7	Review Questions.....	345
15.8	Recommended Readings	345
■	Part D: Advanced Topics	347
■	Chapter 16: Database Administration	349
16.1	Database Installation, Creation, and Configuration	349
16.2	Database Security	350
16.3	Database Management	350
16.4	Database Backup and Recovery.....	351
16.4.1	Oracle Backups: Basic Concept.....	351
16.4.2	Oracle Recovery: Basic Concept.....	352
16.4.3	Types of Failures.....	352
16.4.4	Database Backups.....	353
16.4.5	Basic Recovery Steps.....	354
16.4.6	Oracle's Backup and Recovery Solutions	354
16.5	Database Tuning	355
16.5.1	Tuning Goals	355
16.5.2	Tuning Methodology	356
16.6	Database Removal	358
16.7	Summary and Concluding Remarks.....	358
16.8	Review Questions.....	359
16.9	References and/or Recommended Readings	359
■	Chapter 17: Distributed Database Systems	361
17.1	Preliminaries	361
17.2	Advantages of Distributed Database Systems	362
17.3	12 Rules for Distributed Database Systems.....	363

17.4	Challenges to Distributed Database Systems	365
17.4.1	Query Optimization	365
17.4.2	Catalog Management	365
17.4.3	Update Propagation	366
17.4.4	Concurrency	366
17.4.5	Transaction Management	367
17.5	Database Gateways.....	367
17.6	The Future of Distributed Database Systems	368
17.6.1	Object Technology	368
17.6.2	Electronic Communication Systems.....	368
17.6.3	Cloud Technology.....	369
17.6.4	Big Data.....	369
17.7	Summary and Concluding Remarks.....	369
17.8	Review Questions.....	370
17.9	References and/or Recommended Readings.....	370
■	Chapter 18: Object Databases	371
18.1	Overview	371
18.2	Overview of Object-Oriented Database Management Systems	373
18.3	Challenges for Object-Oriented Database Management Systems	374
18.4	Hybrid Approach.....	375
18.5	Summary and Concluding Remarks.....	375
18.6	Review Questions.....	376
18.7	References and/or Recommended Readings.....	376
■	Chapter 19: Data Warehousing	377
19.1	Overview	377
19.1.1	Definitions	378
19.1.2	Acquiring a Data Warehouse.....	378

19.2	Rationale for Data Warehousing.....	379
19.3	Characteristics of a Data Warehouse	380
19.3.1	Definitive Features	380
19.3.2	Nature of Data Stored	380
19.3.3	Processing Requirements.....	381
19.3.4	12 Rules for Data Warehousing	383
19.4	Data Warehouse Architecture.....	384
19.4.1	Basic Data Warehouse Architecture	384
19.4.2	Data Warehouse Architecture with a Staging Area.....	384
19.4.3	Data Warehouse Architecture with a Staging Area and Data Marts	385
19.5	Extraction, Transformation, and Loading.....	387
19.5.1	What Happens During the ETL Process	387
19.5.2	ETL Tools.....	387
19.5.3	Daily Operations and Expansion of the Data Warehouse.....	388
19.6	Summary and Concluding Remarks.....	388
19.7	Review Questions.....	389
19.8	References and/or Recommended Readings	390
■	Chapter 20: Web-Accessible Databases	391
20.1	Introduction	391
20.2	Web-Accessible Database Architecture	392
20.3	Supporting Technologies	393
20.4	Implementation with Selected DBMS Suites.....	395
20.4.1	Implementation via Oracle.....	395
20.4.2	Implementation via DB2	396
20.4.3	Implementation via MySQL.....	397
20.5	Generic Implementation via Front-End and Back-End Tools	397
20.6	Challenges and Opportunities	398
20.6.1	Cloud Computing and Big Data.....	398
20.6.2	Cybersecurity.....	399

20.7 Summary and Concluding Remarks.....	400
20.8 Review Questions.....	400
20.9 References and/or Recommended Readings.....	401
■ Chapter 21: Using Database Systems to Anchor Management Support Systems	403
21.1 Overview of Management Support Systems	403
21.2 Building System Security Through Database Design	404
21.3 Case Study: Dynamic Menu Interface Designer	405
21.3.1 Database Requirements of the DMID	406
21.3.2 Overview of the DMID's User Interface Requirements	412
21.3.3 Management of System Constraints via the DMID	413
21.3.4 Access to System Resources.....	415
21.3.5 Usefulness and Applicability of the DMID.....	419
21.4 Selected MSS Project Ideas	419
21.4.1 Electoral Management System.....	419
21.4.2 Health Information Management System.....	420
21.4.3 Strategic Education Management System	421
21.4.4 Flight Information Management System	422
21.4.5 Financial Information Management System.....	422
21.4.6 Disease Recognition System	423
21.4.7 Cognitive Leadership Analysis System	424
21.4.8 Financial Status Assessment System.....	426
21.4.9 College/University Administrative Information System	427
21.5 Summary and Concluding Remarks.....	429
21.6 Review Questions.....	431
21.7 References and/or Recommended Readings.....	431

■ Part E: Overview of Selected DBMS Suites	433
■ Chapter 22: Overview of Oracle.....	435
22.1 Introduction	435
22.2 Main Components of the Oracle Suite.....	437
22.2.1 Oracle Server.....	437
22.2.2 Oracle PL/SQL and SQL*Plus	438
22.2.3 Oracle Developer Suite	438
22.2.4 Oracle Enterprise Manager Database Control and SQL Developer	439
22.2.5 Oracle Enterprise Manager Grid Control.....	439
22.2.6 Oracle Database Configuration Assistant	439
22.2.7 Oracle Warehouse Builder	439
22.3 Shortcomings of Oracle.....	440
22.4 Summary and Concluding Remarks.....	441
22.5 Review Questions.....	441
22.6 References and/or Recommended Readings	442
■ Chapter 23: Overview of DB2.....	443
23.1 Introduction	443
23.2 Main Components of the DB2 Suite	445
23.2.1 DB2 Universal Database Core.....	446
23.2.2 Command Line Processor Plus (CLPPPlus).....	447
23.2.3 IBM InfoSphere Information Server	447
23.2.4 IBM Data Studio.....	448
23.2.5 IBM InfoSphere Warehouse	449
23.3 Shortcomings of DB2	449
23.4 Summary and Concluding Remarks.....	450
23.5 Review Questions.....	450
23.6 References and/or Recommended Readings	450

■ Chapter 24: Overview of MySQL	451
24.1 Introduction to MySQL.....	451
24.2 Main Features of MySQL	454
24.3 Main Components of MySQL	456
24.4 Alternate Storage Engines.....	457
24.5 Shortcomings of MySQL.....	457
24.5.1 Limitations on Logical Views	458
24.5.2 Limitations on Subqueries.....	458
24.5.3 Limitation on Server-Side Cursors.....	459
24.5.4 Other Limitations	459
24.6 Summary and Concluding Remarks.....	460
24.7 Review Questions.....	460
24.8 References and/or Recommended Readings	460
■ Chapter 25: Overview of Microsoft SQL Server	461
25.1 Introduction	461
25.1.1 Brief History.....	461
25.1.2 Operating Environment.....	462
25.1.3 Microsoft SQL Server Editions.....	462
25.2 Main Features of Microsoft SQL Server	462
25.3 Main Components of Microsoft SQL Server Suite	463
25.3.1 Server Components	463
25.3.2 Management Tools	464
25.3.3 Development Tools	464
25.3.4 Client Connectivity.....	465
25.3.5 Code Samples.....	465
25.4 Shortcomings of Microsoft SQL Server	466
25.5 Summary and Concluding Remarks.....	467
25.6 Review Questions.....	467
25.7 References and/or Recommended Readings	467

■ Part F: Appendices.....	469
■ Appendix A1: Review of Trees	471
A1.1 Introduction to Trees	471
A1.2 Binary Trees	472
A1.2.1 Overview of Binary Trees.....	472
A1.2.2 Representation of Binary Trees	475
A1.2.3 Application of Binary Trees.....	476
A1.2.4 Operations on Binary Trees	476
A1.2.5 Implementation of Binary Trees.....	476
A1.2.6 Binary Tree Traversals	482
A1.2.7 Using Binary Trees to Evaluate Expressions.....	485
A1.3 Threaded Binary Trees	487
A1.4 Binary Search Trees	488
A1.5 Height-Balanced Trees	491
A1.6 Heaps	492
A1.6.1 Building the Heap	492
A1.6.2 Processing the Heap (Heap-Sort).....	493
A1.7 M-Way Search Trees and B-Trees	495
A1.7.1 Definition of B-Tree	497
A1.7.2 Implementation of the B-tree	499
A1.8 Summary and Concluding Remarks.....	502
A1.9 References and/or Recommended Readings.....	503
■ Appendix A2: Review of Hashing.....	505
A2.1 Introduction.....	505
A2.2 Hash Functions	506
A2.2.1 Absolute Addressing.....	506
A2.2.2 Direct Table Lookup.....	506
A2.2.3 Division-Remainder	508
A2.2.4 Mid-Square.....	508
A2.2.5 Folding.....	509

A2.2.6 Truncation.....	509
A2.2.7 Treating Alphanumeric Key Values	510
A2.3 Collision Resolution.....	510
A2.3.1 Linear Probing	510
A2.3.2 Synonym Chaining.....	511
A2.3.3 Rehashing	513
A2.4 Hashing in Java.....	513
A2.5 Summary and Concluding Remarks.....	522
A2.6 References and/or Recommended Readings.....	522
■ Appendix A3: Review of Information-Gathering Techniques	523
A3.1 Rationale for Information Gathering.....	523
A3.2 Interviewing	525
A3.2.1 Steps in Planning the Interview	525
A3.2.2 Basic Guidelines for Interviews.....	525
A3.3 Questionnaires and Surveys	527
A3.3.1 Guidelines for Questionnaires	527
A3.3.2 Using Scales in Questionnaires.....	527
A3.3.3 Administering the Questionnaire	528
A3.4 Sampling	528
A3.4.1 Probability Sampling Techniques	529
A3.4.2 Non-Probability Sampling Techniques.....	529
A3.4.3 Sample Calculations.....	529
A3.5 Observation and Document Review	532
A3.6 Prototyping.....	532
A3.7 Brainstorming	533
A3.8 Object Identification	533
A3.8.1 The Descriptive Narrative Approach	534
A3.8.2 The Rule-of-Thumb Approach	535
A3.9 Summary and Concluding Remarks.....	537
A3.10 References and/or Recommended Readings.....	538

■ Appendix A4: BNF Syntax for Selected SQL Statements	539
A4.1 Database Management	540
A4.1.1 Syntax for Create-Database Statement.....	540
A4.1.2 Syntax for Alter-Database Statement	541
A4.2 Tablespace Management	545
A4.2.1 Syntax for Create-Tablespace Statement.....	545
A4.2.2 Syntax for Alter-Tablespace Statement	546
A4.3 Tables Management	547
A4.3.1 Syntax for Create-Table Statement	547
A4.3.2 Syntax for Alter-Table Statement.....	555
A4.4 Index Management	562
A4.4.1 Syntax for Create-Index Statement	562
A4.4.2 Syntax for Alter-Index Statement	564
A4.5 Data Insertion, Update, and Deletion	566
A4.5.1 Abridged Syntax for the Insert Statement	566
A4.5.2 Abridged Syntax for the Update Statement	566
A4.5.3 Syntax for Delete Statement and Truncate Statement	567
A4.6 Transaction Management	567
A4.7 Building Queries	568
A4.7.1 Abridged Syntax for the Select Statement.....	568
A4.7.2 Modified From-Clause for ANSI Join	569
A4.7.3 Some Commonly Used Row Functions.....	570
A4.7.4 Some Commonly Used Date Manipulation Functions.....	573
A4.7.5 Some Commonly Used Data Conversion Functions.....	574
A4.7.6 Some Valid Date and Numeric Formats.....	575
A4.7.7 Commonly Used Aggregation Functions.....	576
A4.7.8 Syntax for Using Analytic Functions	577
A4.7.9 Syntax for Nested Queries.....	577
A4.8 Managing Logical Views	578
A4.8.1 Creating the Logical View	578
A4.8.2 Altering or Dropping the Logical View	579

A4.9 Managing System Security	580
A4.9.1 Syntax for Create-Profile Statement.....	580
A4.9.2 Syntax for Altering or Dropping a Profile.....	581
A4.9.3 Syntax for Creating User Account(s).....	581
A4.9.4 Syntax for Altering or Dropping User Account(s)	582
A4.9.5 Syntax for Creating, Altering, or Dropping Role(s)	582
A4.9.6 Syntax for Granting or Revoking Privilege(s).....	583
■ Appendix A5: Sample Exercises and Examination Questions.....	585
A5.1 Introduction.....	585
A5.2 Sample Assignment 2A.....	586
A5.3 Sample Assignment 3B.....	586
A5.4 Sample Assignment 4A.....	588
A5.5 Sample Assignment 5A.....	590
A5.6 Sample Assignment 6A.....	591
A6.7 Sample Assignment 7A.....	592
A5.8 Sample Assignment 8.....	593
A5.9 Sample Assignment 9.....	594
Index.....	597

About the Authors



Elvis C. Foster is Associate Professor of Computer Science at Keene State College, New Hampshire. He holds a Bachelor of Science (BSc) in Computer Science and Electronics, as well as a Doctor of Philosophy (PhD) in Computer Science (specializing in strategic information systems and database systems) from University of the West Indies, Mona Jamaica. Dr. Foster has over 25 years of combined experience as a software engineer, database expert, information technology executive and consultant, and computer science educator. He has had the favorable experience of being involved with the design and/or development of software systems for various medium-sized and large organizations, including the central bank of his own country. He has lectured at the tertiary level in three different countries, including the United States, where he now resides. He has produced many outstanding computer science and information technology professionals. Many of them have excelled at graduate school; others have gone straight to industry after their first degree; the thorough grounding acquired often serves them well, be it in leading software engineering enterprises around the world, or in other chosen vocations. His textbooks draw from that experience.



Shripad V. Godbole is an independent database administrator/consultant with over 20 years of experience in diverse business environments, information infrastructure planning, diagnostics, and administration. His qualifications include Bachelor of Science (BS) in Physics, Bachelor of Computer Science (BCS), Master of Science (MS) in Physics with specialization in Electronics, all from Poona University in Pune, India. He is also an Oracle Certified Professional Database Administrator (OCPDBA), and holds a Master of Business Administration (MBA) in Technology Management from University of Phoenix.

About the Technical Reviewers



Louis Davidson has been in the IT industry for more than 15 years as a corporate database developer and architect. He has spent the majority of his career working with Microsoft SQL Server, beginning from the early days of version 1.0. He has a bachelor's degree from the University of Tennessee at Chattanooga in Computer Science, with a minor in Mathematics. Louis is the data architect for Compass Technology (Compass.net) in Chesapeake, Virginia, leading database development on their suite of nonprofit-oriented CRM products, built on the Microsoft CRM platform and SQL Server technologies.



Michael Cunningham has been in IT for 23 years. The first 10 years he spent as a software engineer, and then converted to being an Oracle DBA. I suppose you could say he's a real Oracle geek since he totally enjoys it. There is always something to learn and share. In his spare time, he enjoys sailing, archery, RVing, and a bunch of other things that keep him away from being "connected" (because we all need a break from time to time).

Acknowledgments

I express profound gratitude to my wife, Jacqueline, and to my children, Chris-Ann and K. V. Rhoden, for putting up with me during the extended periods of preparation of this text. There have been countless nights spent in my study at home, and as many forgone opportunities for family time; still my family members understood that this project meant a lot to me, and their support was unwavering.

Also, I must recognize several of my past and current students at Keene State College (KSC), on whom my lecture notes have been repeatedly tested. Of the groups taught at the institution, I must single out the contributions of a few students: Georgie Hill committed personal time to assist me in redoing many of the illustrations; Jesse Schmidt, James Dahlen, Joshua Pritchett, Myles Dumas, and Thomas O’Dea participated on various ongoing projects, some of which have been mentioned in Chapter 21.

Prior to my stint at KSC, I had the good fortune of grooming several students from three different institutions. These earlier experiences were foundational for the eventual publication of this volume. In this regard, I would like to make special mention of Dionne Jackson, Kerron Hislop, Brigid Winter, Abrams O’Buyonge, Sheldon Kennedy, and Ruth Del Rosario.

Special appreciation is offered to my colleague Shripad Godbole, who has coauthored some of the chapters with me, particularly in Division E of the text. Being a practicing database administrator, Shripad also served as a valuable resource in these areas.

The editorial and production teams at Apress Publishing deserve mention for their work in facilitating the publication of this volume. I make special mention of Jonathan Gennick, Jill Balzano, and Douglas Pundick. Finally, authors Louis Davidson and Michael Cunningham fulfilled the role of peer reviewers of the manuscript; they were exceptional, and I thank them. Earlier editions and drafts were reviewed by Han Reichgelt, Marlon Moncrieffe, and Jacob Mangal; they also deserve mention. Thanks to everyone!

—Elvis C. Foster, PhD; Keene State College; Keene, New Hampshire, USA

Preface

This book has been compiled with three target groups in mind. The book is best suited for undergraduate students of computer science (CS) or a related discipline who are pursuing a course in database systems. Graduate students who are pursuing an introductory course in the subject may also find it useful. Finally, practicing software engineers and/or information technology (IT) professionals who need a quick reference on database design may find it useful.

The motivation that drove this work was a desire to provide a concise but comprehensive guide to the discipline of database design, construction, implementation, and management. Having worked in the software engineering and IT industries for several years before making a career switch to academia, it has been my observation that many IT professionals and software engineers tend to pay little attention to their database design skills; this is often reflected in the proliferation of software applications with inadequately designed underlying databases. In this text, the discipline of database systems design and management is discussed within the context of a bigger picture: that of software engineering. The reader is led to understand from the outset that a database is a critical component of a software system, and that proper database design and management is integral to the success of the software system. Additionally and simultaneously, the reader is led to appreciate the huge value of a properly designed database to the success of a business enterprise.

The book draws from lecture notes that have been compiled and tested over several years, and with outstanding results. The lecture notes draw on personal experiences gained in the industry over the years, as well as the suggestions of various professionals and students. The chapters are organized in a manner that reflects my own approach in teaching the course, but each chapter may be read on its own.

The text has been prepared specifically to meet three objectives: comprehensive coverage, brevity, and relevance. Comprehensive coverage and brevity often operate as competing goals. In order to achieve both, I have adopted a pragmatic approach that gets straight to the critical issues for each topic, and avoids unnecessary fluff, while using the question of relevance as the balancing force. Additionally, readers should find the following features quite convenient:

- Short paragraphs that express the salient aspects of the subject matter being discussed
- Bullet points or numbers to itemize important things to be remembered
- Diagrams and illustrations to enhance the reader's understanding
- Real-to-life examples
- Introduction of a few original methodologies that are applicable to database design. The main ones are the Relations-Attributes List (RAL, as in section 3.8); the object naming convention (ONC, as in section 3.9); the object/entity specification grid (O/ESG, as in section 5.8); the user interface topology chart (UITC, as in section 6.3); the dynamic menu interface designer (DMID, as in section 21.3); and the information topology chart (ITC, as in subsection 21.4.9).
- Step-by-step, reader-friendly guidelines for solving generic database systems problems