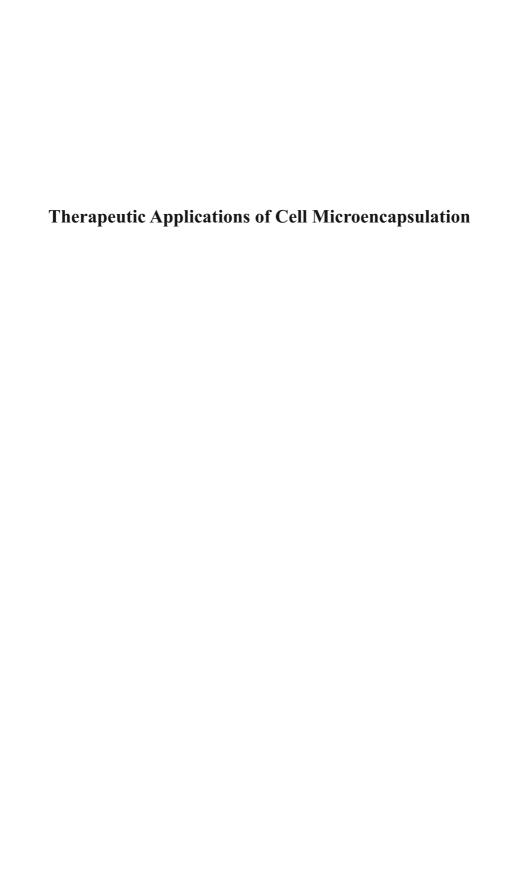


ADVANCES IN EXPERIMENTAL MEDICINE AND BIOLOGY

Volume 670



## ADVANCES IN EXPERIMENTAL MEDICINE AND BIOLOGY

Editorial Board:

NATHAN BACK, State University of New York at Buffalo

IRUN R. COHEN, The Weizmann Institute of Science

ABEL LAJTHA, N.S. Kline Institute for Psychiatric Research

JOHN D. LAMBRIS, University of Pennsylvania

RODOLFO PAOLETTI, University of Milan

#### Recent Volumes in this Series

Volume 662

OXYGEN TRANSPORT TO TISSUE XXXI

Edited by Duane F. Bruley and Eiji Takahasi

Volume 663

STRUCTURE AND FUNCTION OF THE NEURAL CELL ADHESION MOLECULE NCAM

Edited by Vladimir Berezin

Volume 664

RETINAL DEGENERATIVE DISEASES

Edited by Robert E. Anderson, Joe G. Hollyfield, and Matthew M. LaVail

Volume 665

FORKHEAD TRANSCRIPTION FACTORS

Edited by Kenneth Maiese

Volume 666

PATHOGEN-DERIVED IMMUNOMODULATORY MOLECULES

Edited by Padraic G. Fallon

Volume 667

LIPID A IN CANCER THERAPY

Edited by Jean-François Jeannin

Volume 668

SUPERMEN1

Edited by Katalin Balogh and Attila Patocs

Volume 669

NEW FRONTIERS IN RESPIRATORY CONTROL

Edited by Ikuo Homma and Hiroshi Onimaru

Volume 670

THERAPEUTIC APPLICATIONS OF CELL MICROENCAPSULATION

Edited by José Luis Pedraz and Gorka Orive

Continuation Order Plan is available for this series. A continuation order will bring delivery of each new volume immediately upon publication. Volumes are billed only upon actual shipment. For further information please contact the publisher.

# Therapeutic Applications of Cell Microencapsulation

Edited by

## José Luis Pedraz, PhD

Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country, Vitoria-Gasteiz, Spain

## Gorka Orive, PhD

Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country, Vitoria-Gasteiz, Spain

Springer Science+Business Media, LLC Landes Bioscience

## Springer Science+Business Media, LLC Landes Bioscience

Copyright ©2010 Landes Bioscience and Springer Science+Business Media, LLC

All rights reserved.

No part of this book may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopy, recording, or any information storage and retrieval system, without permission in writing from the publisher, with the exception of any material supplied specifically for the purpose of being entered and executed on a computer system; for exclusive use by the Purchaser of the work.

Printed in the USA.

Springer Science+Business Media, LLC, 233 Spring Street, New York, New York 10013, USA http://www.springer.com

Please address all inquiries to the publishers: Landes Bioscience, 1002 West Avenue, Austin, Texas 78701, USA Phone: 512/ 637 6050; FAX: 512/ 637 6079 http://www.landesbioscience.com

The chapters in this book are available in the Madame Curie Bioscience Database. http://www.landesbioscience.com/curie

Therapeutic Applications of Cell Microencapsulation, edited by José Luis Pedraz and Gorka Orive. Landes Bioscience / Springer Science+Business Media, LLC dual imprint / Springer series: Advances in Experimental Medicine and Biology.

ISBN: 978-1-4419-5785-6

While the authors, editors and publisher believe that drug selection and dosage and the specifications and usage of equipment and devices, as set forth in this book, are in accord with current recommendations and practice at the time of publication, they make no warranty, expressed or implied, with respect to material described in this book. In view of the ongoing research, equipment development, changes in governmental regulations and the rapid accumulation of information relating to the biomedical sciences, the reader is urged to carefully review and evaluate the information provided herein.

#### Library of Congress Cataloging-in-Publication Data

Therapeutic applications of cell microencapsulation / edited by José Luis Pedraz, Gorka Orive.

p.; cm. -- (Advances in experimental medicine and biology; v. 670)

Includes bibliographical references and index.

ISBN 978-1-4419-5785-6

1. Animal cell biotechnology. 2. Microencapsulation. I. Pedraz, José Luis. II. Orive, Gorka. III. Series: Advances in experimental medicine and biology, v. 670. 0065-2598;

[DNLM: 1. Drug Compounding--methods. 2. Cells, Immobilized. 3. Drug Delivery Systems--methods. OV 778 T398 2010]

TP248.27.A53T54 2010

610.28--dc22

2009046493

# **DEDICATION**

Gorka Orive dedicates the present book to his parents Araceli and Ramón, his wife Raquel and his brother Ibon.

José Luis Pedraz dedicates this book to his parents José Juan and Luisa, his wife Angela and his children Diana and Carlos.

## **FOREWORD**

The advancement of science is ever more contingent upon the interaction of experts with different yet complementary sets of expertise. This is a natural consequence of the vast amount of scientific information being gathered every day that exceeds the ability of any one scientist to acquire. As an illustration of the frantic pace of scientific discovery, no single molecular biologist was able to keep up with all the available knowledge in the field by the 1980s, a mere two decades after its inception. This challenge is even more acute in the case of scientific fields at the interface of different and seemingly distant areas of study. Amidst these, the field of cell encapsulation brings together an array of diverse disciplines such as molecular biology and biopolymers, gene therapy and inorganic membranes, stem cell biology and physicochemistry, immunology and nanotechnology. Clearly, such range of topics is too broad for any individual scientist to cover with sufficient depth. The field is experiencing such a remarkable rate of growth that regular updates are necessary to keep abreast of the current knowledge. This book is aimed at providing the reader with a detailed and up-to-date account of the state-of-the-art in the field of cell encapsulation.

At the core of this technology, there is an interaction of physicochemical and biological elements forming three distinct layers of complexity. First, the chemistry of the biopolymer dictates the degree of protein adsorption, vascularization, toxicity and biocompatibility of the microcapsules. Advances in biopolymer science are providing solutions to overcome existing challenges and to improve microcapsules as delivery vehicles. Second, the choice of cells, and more precisely the plethora of metabolites they secrete, and how they interact with the polymer and the host, are key in determining the immune response elicited by the host to implanted microcapsules. Adequate cell viability is crucial to achieve long-term therapeutic delivery. Finally, most microcapsule applications are aimed at delivering a given therapeutic product that is missing or deficient in the host, and thus it is recognized as foreign by the immune system. In response to this insult, the host often generates a vigorous immune response that can seriously compromise the therapeutic delivery. This immune response is antigen-dependent, it is not necessarily identical for all transgenes, and thus must be considered independently for every medical application. Adding to this complexity, changes in one of the above-mentioned layers may also affect the other layers. As an viii Foreword

example, the choice of encapsulated cells may determine whether there is an immune response against the secretable transgene. Similarly, the purity of the polymer may influence the viability of the encapsulated cells. Therefore, it is imperative to consider and study the interaction of all three layers.

Type 1, or insulin dependent diabetes, was one of the first main applications of cell encapsulation. However, the initial promise of a treatment for this disease that imposes such a tremendous burden on both patients and health care system has not yet fully materialized. Despite these shortcomings, recent findings have provided us with a more detailed characterization of the immune responses against encapsulated islets, and in the process, new possibilities for more effective treatments are opening. Beyond diabetes, applications of cell encapsulation have been expanded to multiple human disorders. The initial exploration of intrathecal implantation of encapsulated cells into the CNS, have been followed by additional studies aimed at developing potential applications to very serious medical conditions, such as Parkinson, Alzheimer's, and stroke. Similarly, there are efforts to exploit cell encapsulation as a treatment for cancer, blood diseases and metabolic disorders. The maturity of the field is reflected in the various clinical trials that have explored the application of cell microencapsulation in medicine.

Recent developments in cell encapsulation have improved the outlook of this technology as a viable treatment for medical diseases. The knowledge resulting from the clinical trials, together with additional research on the basic physicochemical and biological characteristics of cell encapsulation will ultimately determine its feasibility.

Gonzalo Hortelano, PhD
Department of Pathology and Molecular Medicine
School of Biomedical Engineering
Faculty of Health Sciences
and
Faculty of Engineering
McMaster University
Hamilton, Ontario
Canada

## **PREFACE**

The main objective of this book has been to analyze in depth and discuss the different aspects related to the design and elaboration of cell-enclosing microcapsules, even the regulatory features and clinical trials under development. These improvements will lead to progression in this therapeutic approach which may become one day closer to a realistic proposal for clinical application.

The advances in drug delivery technology have enabled a new era of drug discovery and development. In this regard, cell microencapsulation is a technology that opens new venues and possibilities to the administration of new active principles and may be the key to solve several issues related to the correct administration of new therapeutic agents to finally success in the clinical setting.

The editors believe that this technology may have important applicability not only in the field of drug delivery (to treat diseases such as cancer, neurodegenerative disorders, metabolic diseases etc.) but also in cellular therapy and tissue regeneration among others.

Due to the organization of the chapters, this book can be read at different levels and readers may analyze from basic aspects of encapsulation, biomaterials, clinical applications and regulatory and industrial issues of this technology. This book is useful for both graduate and PhD students in the pharmaceutical, engineering and biomedical fields. Nevertheless, we hope that this book is also useful to expert researchers who may find information and new ideas about the cell microencapsulation area.

The general aspects of the technology are analyzed in Chapter 1. The main issues related to the use and selection of biomaterials employed in this type of technology are discussed in Chapter 2. The importance of microcapsule size and the possibility of reducing it through different approaches are discussed in Chapter 3.

In order to succeed in the clinical setting, it is of great importance to take into consideration all the regulatory aspects that may determine the therapeutic applicability of this technology. These issues are discussed in Chapter 4.

In the following chapters the broad spectrum of pathologies that may be treated using cell microencapsulation technology are discussed. Chapter 5 presents the advances achieved in the treatment of diabetes using microencapsulated islets of

x Preface

Langerhans. The use of microencapsulated cells for the treatment of diseases related to the haematopoyetic system are discussed in Chapter 6. Chapter 7 describes the latest advances achieved in the field of regenerative medicine and cell therapy using cell microencapsulation technology, which is nowadays gaining attention and being considered a very relevant and promising strategy for the area. Other pathologies discussed in the book (Chapter 8) deal with alterations and degenerative processes in the central nervous system and the approaches currently under study for the treatment of cancer (Chapter 9).

Chapters 10 and 11 examine the advances achieved in the field of inorganic nanoporous membranes and the aspects that will determine the future of this type of technology. Finally, Chapter 12 examines a very important issue related to the commercial applicability of cell microencapsulation.

Last but not least the editors would like to greatly thank all the participating authors for their dedicated contribution and help, which have been crucial to make the publication of this book possible.

José Luis Pedraz. PhD

Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country, Vitoria-Gasteiz, Spain

Gorka Orive. PhD

Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country, Vitoria-Gasteiz, Spain

# **ABOUT THE EDITORS...**



JOSÉ LUIS PEDRAZ recieved the PhD degree in Pharmacy at the University of Salamanca, Spain. He is a professor of Pharmacy and Pharmaceutical Technology at the Faculty of Pharmacy at the Basque Country University. He is the cofounder and director of the Pharmaceutical Development Unit of the Basque Country. His interest is focused on the development and evaluation of pharmaceutical dosage forms (microcapsules, micro- and nanoparticles) for the administration of gene, proteins, peptides, vaccines and cells. Dr. Pedraz has published over 200 scientific articles and edited several book chapters on cell microencapsulation.

# **ABOUT THE EDITORS...**



GORKA ORIVE received the PhD degree in Pharmacy and is currently an Assistant Professor of Pharmacy and Pharmaceutical Technology at the University of the Basque Country in Vitoria, Spain. He is the director of research publications and scientific coordinator in the field of oral implantology for Biotechnology Institute (BTI, Vitoria, Spain). His main interests are polymer-based cell therapy for long-term and controlled protein and growth factor delivery to different tissues including brain. He is also interested in the potential use of autologous platelets growth factors and fibrin scaffold for regenerative medicine. Dr. Orive has published more than 100 articles in national and international journals including *Nature Medicine, Nature Reviews Neurosciences, Molecular Therapy, Biomaterials, Trends in Pharmacological Sciences* among others and several book chapters focused on cell microencapsulation for therapeutic purposes and the use of plasma rich in growth factors in medicine.

## **PARTICIPANTS**

Anthony Atala Department of Urology Institute for Regenerative Medicine Wake Forest University Winston Salem, North Carolina USA

Briannan Bintz InCytu, Inc. Providence, Rhode Island USA

Thomas Ming Swi Chang
Artificial Cells and Organs Research
Centre
Departments of Physiology, Medicine
and Biomedical Engineering
McGill University
Montreal, Quebec
Canada

Tejal Desai
UCSF/UCB Joint Graduate Group
in Bioengineering
University of California, San Francisco
University of California, Berkeley
and
Department of Bioengineering
and Therapeutic Sciences
Department of Physiology
University of California
San Francisco, California
USA

Dwaine F. Emerich InCytu, Inc. Providence, Rhode Island USA

Marijke M. Faas
Department of Pathology
and Laboratory Medicine
Section of Immunoendocrinology
University Hospital of Groningen
Groningen
The Netherlands

Walter H. Gunzburg Institute of Virology University of Veterinary Medicine Vienna Austria

Rosa Mª Hernández Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country Vitoria-Gasteiz Spain

Gonzalo Hortelano
Department of Pathology
and Molecular Medicine
School of Biomedical Engineering
Faculty of Health Sciences
and
Faculty of Engineering
McMaster University
Hamilton, Ontario
Canada

xiv Participants

Koei Kawakami Department of Chemical Engineering Kyushu University Fukuoka-City, Fukuoka Japan

Grace J. Lim
Department of Urology
Institute for Regenerative Medicine
Wake Forest University
Winston Salem, North Carolina
USA

Zun Chang Liu
Artificial Cells and Organs Research
Centre
Departments of Physiology, Medicine
and Biomedical Engineering
McGill University
Montreal, Quebec
Canada

Stephan M. Meier Düsseldorf Germany Email: s.m.meier@web.de

Adam Mendelsohn
UCSF/UCB Joint Graduate Group
in Bioengineering
University of California, San Francisco
University of California, Berkeley
Califonia
USA

Ainhoa Murua Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country Vitoria-Gasteiz Spain

Gorka Orive Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country Vitoria-Gasteiz Spain José Luis Pedraz Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country Vitoria-Gasteiz Spain

Shinji Sakai Department of Chemical Engineering Kyushu University Fukuoka-City, Fukuoka Japan

Brian Salmons Austrianova Singapore Pte Ltd. Singapore

Edorta Santos Laboratory of Pharmacy and Pharmaceutical Technology University of the Basque Country Vitoria, Gasteiz Spain

Milica Spasojevic
Department of Pathology
and Laboratory Medicine
Section of Immunoendocrinology
University Hospital of Groningen
Groningen
The Netherlands

Christopher G. Thanos InCytu, Inc. Providence, Rhode Island USA

Paul de Vos
Department of Pathology
and Laboratory Medicine
Section of Immunoendocrinology
University Hospital of Groningen
Groningen
The Netherlands

Participants xv

Mark Van Dyke Department of Urology Institute for Regenerative Medicine Wake Forest University Winston Salem, North Carolina USA

J. van Zanten Department of PLG Medical Biology University Medical Center Groningen University of Groningen Groningen The Netherlands Jon Zarate
Laboratory of Pharmacy
and Pharmaceutical Technology
University of the Basque Country
Vitoria, Gasteiz
Spain

Shirin Zare
Department of Urology
Institute for Regenerative Medicine
Wake Forest University
Winston Salem, North Carolina
USA

# **CONTENTS**

1. HIGHLIGHTS AND TRENDS IN CELL ENCAPSULATION	1
Gorka Orive and José Luis Pedraz	
Abstract	
Introduction	
Pivotal Issues for the Progress in the Field	
Therapeutic Applications of Cell Encapsulation Technology  Conclusion	
2. BIOMATERIALS IN CELL MICROENCAPSULATION	5
Edorta Santos, Jon Zarate, Gorka Orive, Rosa Ma Hernández and José Luis Pedr	az
Abstract	
Introduction	
Alginate	
Other Polymers and Type of Biomaterials	
3. DEVELOPMENT OF SUBSIEVE-SIZE CAPSULES	
AND APPLICATION TO CELL THERAPY	22
Shinji Sakai and Koei Kawakami	
Abstract	22
Introduction	
Narrow Dispersed Subsieve-Size Capsule Production Via the Jetting Process	
Effect of Preparation Process on Mammalian Cells	
Effect of Reduction in Microcapsule Diameter	
Conclusion	25

xviii Contents

4. REGULATORY CONSIDERATIONS IN APPLICATION	
OF ENCAPSULATED CELL THERAPIES	31
J. van Zanten and Paul de Vos	
Abstract	31
Introduction	
Background	
Good Manufacturing Practice	32
Cell-Based Therapies	
Conclusion	37
5. TREATMENT OF DIABETES WITH ENCAPSULATED ISLETS	38
Paul de Vos, Milica Spasojevic and Marijke M. Faas	
Abstract	
Introduction	
Concepts of Encapsulation	
Intravascular Designs	
Extravascular Macrocapsules  Host Responses and Macroencapsulation	
Extravascular Microcapsules	
Biocompatibility and Microcapsule Composition	44
Biology of Encapsulated Cells	
Conclusion	46
6. EPO DELIVERY BY GENETICALLY ENGINEERED	
C <sub>2</sub> C <sub>12</sub> MYOBLASTS IMMOBILIZED IN MICROCAPSULES.	54
Ainhoa Murua, Gorka Orive, Rosa Ma Hernández and José Luis Pedraz	
Abstract	54
Introduction	
Therapeutic Applications Beyond Erythropoiesis	55
Novel Erythropoiesis Stimulating Strategies: Potential New Treatments	
for Ånemia	
Cell Encapsulation Technology as an Alternative to Frequent Dosing Schemes	
Conclusion	63
7. ARTIFICIAL CELL MICROENCAPSULATED STEM CELLS	
IN REGENERATIVE MEDICINE, TISSUE ENGINEERING	
AND CELL THERAPY	68
Zun Chang Liu and Thomas Ming Swi Chang	
Abstract	68
Introduction	
Cell Encapsulation	69