

SPRINGER BRIEFS IN ENVIRONMENTAL SCIENCE

Laura Pla · Fernando Casanoves  
Julio Di Rienzo

# Quantifying Functional Biodiversity



 Springer

# SpringerBriefs in Environmental Science

For further volumes:  
<http://www.springer.com/series/8868>

Laura Pla · Fernando Casanoves  
Julio Di Rienzo

# Quantifying Functional Biodiversity

Prof. Laura Pla  
Department of Agricultural Technology  
Francisco de Miranda National  
Experimental University (UNEFM)  
Complejo Docente El Hatillo UNEFM  
Apartado 7434  
Coro 4101, Falcón  
República Bolivariana de Venezuela  
e-mail: laura@reacciun.ve

Dr. Julio Di Rienzo  
Faculty of Agricultural Sciences  
The National University of Cordoba  
Avenida Valparaíso s/n CC 509  
Ciudad Universitaria  
5000 Córdoba  
Argentina  
e-mail: dirienzo@agro.uncor.edu

Dr. Fernando Casanoves  
Tropical Agriculture Research and  
Higher Education Center (CATIE)  
Turrialba 30501  
Costa Rica  
e-mail: casanoves@catie.ac.cr

All files referenced throughout chapters 2 and 4 are available for download at Springer's Extra Materials website: <http://extras.springer.com/>

ISSN 2191-5547  
ISBN 978-94-007-2647-5  
DOI 10.1007/978-94-007-2648-2  
Springer Dordrecht Heidelberg London New York

e-ISSN 2191-5555  
e-ISBN 978-94-007-2648-2

Library of Congress Control Number: 2011939407

© The Author(s) 2012

No part of this work may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, microfilming, recording or otherwise, without written permission from the Publisher, with the exception of any material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work.

*Cover design:* eStudio Calamar, Berlin/Figueres

Printed on acid-free paper

Springer is part of Springer Science+Business Media ([www.springer.com](http://www.springer.com))

# **Acknowledgements**

We thank the partial support given by the Inter-American Institute for Global Change Research, IAI-CRN 2015 (supported by National Science Foundation, Grant GEO-0452325) and Dra. Sandra Díaz who leads the Núcleo DiverSus.

# Contents

|          |   |    |
|----------|---|----|
| <b>1</b> | <b>Introduction</b>   | 1  |
| 1.1      | Functional Diversity Approach to Quantify the Biodiversity                        | 1  |
| 1.2      | Functional Diversity Assessment   | 3  |
| 1.3      | Classification of Ecosystem Services  | 4  |
| 1.4      | Selection of Traits According to Ecosystem Service                                | 4  |
| 1.5      | Functional Diversity Quantification   | 5  |
|          | References  | 6  |
| <b>2</b> | <b>Functional Groups</b>  | 9  |
| 2.1      | Selecting Trait and its Relation With Ecosystem Services                          | 9  |
| 2.2      | A Guide for Data Arrangement  | 10 |
| 2.3      | Statistical Procedures to Define Functional Groups                                | 12 |
| 2.3.1    | The Selection of a Dissimilarity Measure  | 12 |
| 2.3.2    | Standardization   | 13 |
| 2.3.3    | Choosing the Linkage Algorithm Method   | 14 |
| 2.3.4    | Assessing the Number of Functional Groups   | 14 |
| 2.4      | Functional Characterization of Coastal Sandy Plain Vegetation in Southeast Brazil | 15 |
| 2.4.1    | The Data Set  | 15 |
| 2.4.2    | Plant Functional Types from a Restinga Vegetation                                 | 16 |
| 2.5      | Functional Groups for Bird Species in Nicaragua                                   | 18 |
| 2.5.1    | The Data Set  | 19 |
| 2.5.2    | Bird Functional Types from Nicaragua  | 20 |
| 2.5.3    | Characterization of Bird Functional Types of Nicaragua                            | 20 |
| 2.5.4    | Relationship of Functional Types with Land Uses                                   | 22 |
|          | References  | 23 |

- 3 Functional Diversity Indices . . . . .** 27
  - 3.1 About Functional Diversity Indices and Measures . . . . . 27
  - 3.2 Species Diversity Indices . . . . . 29
  - 3.3 Single-Trait Metrics and Indices: Properties and Estimation . . . . . 30
    - 3.3.1 Community Weighted Mean. . . . . 30
    - 3.3.2 Functional Divergence. . . . . 32
    - 3.3.3 Functional Regularity . . . . . 32
  - 3.4 Multi-Trait Indices: Properties and Estimation . . . . . 34
    - 3.4.1 Functional Attribute Diversity . . . . . 34
    - 3.4.2 Functional Diversity Based on Dendrograms . . . . . 36
    - 3.4.3 Convex Hull Hyper-Volume. . . . . 38
    - 3.4.4 Quadratic Entropy. . . . . 38
    - 3.4.5 Extended FD . . . . . 43
    - 3.4.6 Functional Richness, Evenness, Divergence  
and Dispersion . . . . . 43
  - 3.5 Ability of Indices to Detect some Ecological Processes . . . . . 48
  - References . . . . . 49
  
- 4 How to Estimate Functional Diversity Indices . . . . .** 53
  - 4.1 The FDiversity Software: Capabilities and Data Management. . . . . 53
    - 4.1.1 How to Install FDiversity. . . . . 53
    - 4.1.2 Data Menu . . . . . 55
    - 4.1.3 Statistics and Output Menus. . . . . 56
  - 4.2 Case Study: Changes in Functional Diversity  
in an Altitudinal Gradient. . . . . 63
    - 4.2.1 Sample Design and Trait Evaluation . . . . . 63
    - 4.2.2 The Database . . . . . 64
    - 4.2.3 Changes of Plant Functional Types with the Altitude . . . . . 64
    - 4.2.4 Changes of Functional Diversity Indices  
with the Altitude. . . . . 73
  - 4.3 Case Study: Changes in Functional Diversity  
in a Chronosequence . . . . . 81
    - 4.3.1 Sample Design and Trait Evaluation . . . . . 81
    - 4.3.2 The Database . . . . . 81
    - 4.3.3 Changes of Plant Functional Types  
in the Chronosequence. . . . . 83
    - 4.3.4 Changes of Functional Diversity Indices  
in the Chronosequence. . . . . 89
  - 4.4 Multivariate Graphical Projection Methods. . . . . 93
  - References . . . . . 95
  
- Index . . . . .** 97

# Abbreviations

|       |   |
|-------|---|
| CWM   | Community weighted mean                             |
| DGC   | Univariate partitive mean comparison method         |
| ES    | Ecosystem services                                  |
| FAD1  | Functional attribute diversity, index 1             |
| FAD2  | Functional attribute diversity, index 2             |
| FDc   | Functional diversity index community based          |
| FDIs  | Functional dispersion index (multi-trait)           |
| FDiv  | Functional divergence index (multi-trait)           |
| FDvar | Functional divergence (single-trait)                |
| FEve  | Functional evenness index (multi-trait)             |
| FRic  | Functional richness index (multi-trait)             |
| FRO   | Functional regularity (single-trait)                |
| GFD   | Generalized functional diversity index              |
| gDGC  | Multivariate partitive mean comparison method       |
| LA    | Leaf area   |
| LDMC  | Leaf dry matter content                             |
| LMA   | Leaf mass per area                                  |
| LNC   | Leaf nitrogen content                               |
| LTS   | Leaf tensile strength                               |
| masl  | Meters above sea level                              |
| MEA   | Millennium Ecosystem Assessment                     |
| MFAD  | Modified functional attribute diversity index       |
| NPC   | Leaf phosphorus content                             |
| PFT   | Plant functional types                              |
| RTQ   | Mexican land use system ‘roza-tumba-quema’          |
| SLA   | Specific leaf area                                  |
| TRY   | International database of plant traits              |
| WD    | Wood density  |
| wFDc  | Weighted functional diversity index community based |
| wFDp  | Weighted functional diversity index plot based      |



# Chapter 1

## Introduction

**Abstract** Functional diversity is an increasingly used concept to address changes in biodiversity. It is an emerging concept which summarizes key properties of ecosystems of special interest in global climate change studies and in the evaluation of the effects of land management in the preservation of ecosystem services for human wellbeing. In this chapter we introduce the main notions associated with functional diversity approach, including definition of functional diversity, ecosystem processes, and ecosystem services and linking these concepts to species traits. We highlight the importance of functional diversity approach using some examples to show the relationship between ecosystem services with species traits.

**Keywords** Ecosystem services • Functional traits • Functional diversity assessment • Millennium ecosystem assessment • Functional ecology

### 1.1 Functional Diversity Approach to Quantify the Biodiversity

Functional ecology establishes principles and tools to forge links between the characteristics of communities, and ecosystem functions and services (Cornelissen et al. 2003; Lavorel et al. 2007). For example, the energy and materials flow through the biotic and abiotic components of an ecosystem is directly related to productivity, while resistance and resilience are measures of the ability of a system to respond before the disturbance or adapting to change (Díaz and Cabido 2001).

The functional approach allows simplify the floristic complexity and the effects of vegetation to understand the responses, in terms of key ecological processes. It also provides tools to identify and monitor global change effects and other consequences of human activity, emphasizing ecosystem services (ES). This functional

approach transcends the descriptive analysis. It can be done in a relatively easy, inexpensive and standardized way, allowing the comparison among communities and between community properties and environmental variables.

According to Grime (1998) three groups of species may be identify related to its contribution to the community performance: dominants, subordinates and transients. Dominant species are the most important species as determinants of ecosystem properties such as productivity, carbon sequestration, water relations, nutrient cycling and storage, litter quality and resistance and resilience to perturbations. Ecosystem functions are likely to be closely predictable from the most abundant species, those which contribute highly to the total plant biomass. This is known as mass ratio hypothesis (Grime 1998). The contributions to ecosystem functions are dictated by the laws of physics and chemistry. They state that the greater the effects of large autotrophs within the ecosystem, there will be a greater participation in processes like photosynthesis, resources inputs, nutrient cycling, and hydrology cycle, among others. This implies that ecosystem properties should be determined mainly by dominants species and some subordinates, and much less by transients' species.

Application of the mass ratio hypothesis is restricted to autotrophs in ecosystem processes. In animals, when attention is turned to trophic elements, like parasites, herbivores, and predators, impact on ecosystem functions is less related to abundance (Grime 1998).

Functional diversity approach using plants is based on the most abundant species, which implies the inclusion of all the species necessary to account for the 80% of the total biomass. When species' biomass is not available, other measures like cover, basal area or abundance may be used as surrogate for biomass (Díaz et al. 2007a; Lavorel et al. 2008). The protocols applied for the functional characterization comply with this recommendation discarding the less represented species in the community.

Ecosystem services are the benefits that humans obtain from ecosystems for support their survival and quality of life. The benefit may be directly associated to survival like food production or to effects indirectly related to quality of life, like energy provision (MEA 2005). ES are also used to link the ecological concept of functional diversity with the social concept of social actor strategies (Díaz et al. 2011). Going deeper into the links among biodiversity, ES, and social actors it is necessary to consider the contributions that biodiversity provides to an ES, the social actors perception, their needs, access, and management capability of the ES (Carpenter et al. 2009).

The ecosystem services depend on ecosystem properties which in turn are determined by ecosystem functions and ecosystem processes. For example, soil fertility (as service that ecosystem provide) depends on textural composition, organic matter accumulation and nutrient cycling. Not all ES depend directly upon ecosystem processes; some are associated to aesthetic or spiritual value of species (Díaz et al. 2007a; de Bello et al. 2010). For example, the aesthetic value of flowers from *Rafflesia arnoldii*, a parasitic species, with flowers up to more than 1 m, the largest in the world, growing in Sumatra (Beaman et al. 1988), or the

presence of a relic species of dolphins, *Lipotes vexillifer*, in the Yangtze river in China (Zhou et al. 1998), which is threatened by the dam harbor the largest hydropower plant in the world (López-Pujol 2008).

The ecosystem functions are determined by the role of different species in maintaining ecosystem processes. Changes in species composition and changes in the relative abundance have a direct implication over ecosystem structure in terms of community dynamics. Ecosystem properties related to ES would be referred as a function or process. As emphasis of functional diversity is placed on the services that an ecosystem can provide, we will use ecosystem properties to describe collectively the ecosystem processes and functions.

## 1.2 Functional Diversity Assessment

Functional diversity is defined as the value, range, distribution and relative abundance of the functional characteristics of organisms in a community (Chapin et al. 2000; Loreau and Hector 2001; Hooper et al. 2005). In contrast to the taxonomic biodiversity, based only on the relative abundance of species in the community, functional diversity summarizes various aspects of the biological composition and hence the role of populations in the community. Functional diversity may be linked directly to the ecosystem services (Díaz et al. 2007c).

As functional diversity states for characteristics of individuals of species in the community, a set of characteristics has to be evaluated. A trait is a well-defined, measurable property of organisms, usually measured at the individual level and used comparatively across species. A functional trait is one that strongly influences organismal performance in the community (Lavorel and Garnier 2002; Cornelissen et al. 2003; Violle et al. 2007). Trait values influence growth, reproduction and survival of organisms, and affect relationship among organisms of different species. These, in turn, drive the properties and services that ecosystem may provide (Luck et al. 2009).

The best subset of traits are those that provided the most complete information related to an ecosystem service under study and that, simultaneously, may be easily measured with the least sample effort and at a low cost. For example, to study photosynthesis capacity, measurement of area and weight of leaves may be used to estimate specific leaf area, meanwhile, maximum high or diameter at breast height registered at two or more times may be used to study growth rate.

There is empirical evidence that specific leaf area is positively correlated with photosynthetic potential and hence growth rates, recruitment and mortality, and negatively correlated with longevity and investment in defenses. For example, Garnier et al. (2004) found that the 58% of variation ( $r^2 = 0.58$ ) of specific above-ground net primary productivity ( $\text{g kg}^{-1} \text{d}^{-1}$ ) in 12 plots of vegetation in south France may be estimated using specific leaf area ( $\text{m}^2 \text{kg}^{-1}$ ). Also, leaf dry matter content, and leaf tensile strength are negatively correlated with photosynthetic potential and hence growth rates, recruitment and mortality, and are positively