Genetically Engineered Food

Methods and Detection Second, Updated and Enlarged Edition

Edited by Knut J. Heller



WILEY-VCH Verlag GmbH & Co. KGaA

Genetically Engineered Food

Edited by Knut J. Heller

Related Titles

Wink, Michael (Ed.)

An Introduction to Molecular Biotechnology

Molecular Fundamentals, Methods and Applications in Modern Biotechnology

2006 ISBN 3-527-31412-1

The World Life Sciences Forum (Eds.)

Health for All? – Agriculture and Nutrition – Bioindustry and Environment

Analyses and Recommendations

2005 ISBN 3-527-31489-X

Fischer, R., Schillberg, S. (eds.)

Molecular Farming Plant-made Pharmaceuticals and Technical Proteins

2004 ISBN 3-527-30786-9 Ahmet E. Yousef, Carolyn Carstrom

A Laboratory Manual Food Microbiology

2003 ISBN 0-471-39105-0

Nakai, S., Modler, H. W. (eds.)

Food Proteins Processing Applications

2000 ISBN 0-471-29785-2

Sharma, S. K., Mulvaney, S. J., Rizvi, S. S. H.

Food Process Engineering Theory and Laboratory Experiments

2000 ISBN 0-471-32241-5

Genetically Engineered Food

Methods and Detection Second, Updated and Enlarged Edition

Edited by Knut J. Heller



WILEY-VCH Verlag GmbH & Co. KGaA

Editor

Prof. Dr. Knut J. Heller

Institut für Mikrobiologie Bundesanstalt Milchforschung Hermann-Weigmann-Str. 1 24103 Kiel Germany

1st Edition 2003 1st Reprint 2004 2nd Edition 2006 All books published by Wiley-VCH are carefully produced. Nevertheless, authors, editors, and publisher do not warrant the information contained in these books, including this book, to be free of errors. Readers are advised to keep in mind that statements, data, illustrations, procedural details or other items may inadvertently be inaccurate.

Library of Congress Card No.: applied for

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

Bibliographic information published by the Deutsche Nationalbibliothek

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available in the Internet at http://dnb.d-nb.de.

© 2006 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim

All rights reserved (including those of translation into other languages). No part of this book may be reproduced in any form – by photoprinting, microfilm, or any other means – nor transmitted or translated into a machine language without written permission from the publishers. Registered names, trademarks, etc. used in this book, even when not specifically marked as such, are not to be considered unprotected by law.

Printed in the Federal Republic of Germany Printed on acid-free paper

Composition Hagedorn Kommunikation, Viernheim Printing Strauss GmbH, Mörlenbach Bookbinding Litges & Dopf Buchbinderei GmbH, Heppenheim

ISBN-13:978-3-527-31393-8ISBN-10:3-527-31393-1

Contents

Preface XIII

List of Contributors XV

- Part I Application and Perspectives 1
- **1 Transgenic Modification of Production Traits in Farm Animals** 3 Gottfried Brem and Mathias Müller
- 1.1 Introduction 3
- 1.2 The Creation of Transgenic Animals 4
- 1.2.1 Pronuclear DNA Microinjection 4
- 1.2.2 Retroviral Vectors 5
- 1.2.3 Pluripotent Stem-cell Technology 6
- 1.2.4 Nuclear Transfer Using Transgenic Cells 6
- 1.3 Gene Transfer in Poultry 7
- 1.4 Gene Transfer in Fish 8
- 1.5 Transgenes Gene Constructs 8
- 1.6 Transgenic Animals with Agricultural Traits 11
- 1.7 Improved Growth Rate, Carcass Composition, and Feed Efficiency 11
- 1.7.1 Transgenic Mammalian Farm Animals 11
- 1.7.2 Transgenic Fish 13
- 1.8 Alteration of the Composition of Milk 14
- 1.9 Improved Animal Health 16
- 1.9.1 Additive Gene Transfer of Resistance Genes 17
- 1.9.2 Gene Targeting of Susceptibility Genes 18
- 1.10 Improved Biochemical Pathways 19
- 1.11 Improved Wool Production 19
- 1.12 Transgenic Farm Animals, Biosafety Issues, Animal Welfare, and Ethics 20
- 1.13 Conclusion 21 References 22

VI Contents

2 Genetically Modified Plants 27 Susanne Stirn and Horst Lörz 2.1 Methods for Establishing Genetically Modified Plants 27 2.1.1 Transformation Methods 27 Agrobacterium Transformation 27 2.1.1.1 2.1.1.2 Direct Gene Transfer 28 2.1.2 Tissue Requirements 29 2.1.3 Molecular Requirements 29 2.1.3.1 Promoter 29 2.1.3.2 Codon Usage 30 2.1.3.3 Selectable Marker and Reporter Genes 30 22 GM Plants Already on the Market (EU, USA, Canada, Japan) 32 2.2.1 Herbicide Resistance in Soybean, Maize, Oil-seed rape, Sugar Beet, Wheat, Rice, and Cotton 34 2.2.2 Insect Resistance in Maize, Potatoes, Tomatoes, and Cotton 34 2.2.3 Virus-resistance, Male Sterility, Delayed Fruit Ripening, and Fatty Acid Content of GMPs 36 2.3 GM Plants "In the Pipeline" 41 2.3.1 Input Traits 41 2.3.1.1 Insect Resistance 41 2.3.1.2 Virus, Fungal, Bacterial, and Nematode Resistance 42 2.3.1.3 Tolerance Against Abiotic Stress 45 2.3.1.4 Improved Agronomic Properties 47 2.3.2 Traits Affecting Food Quality for Human Nutrition 48 2.3.2.1 Increased Vitamin Content 48 2.3.2.2 Production of Very-long-chain Polyunsaturated Fatty Acids 49 2.3.2.3 Increased Iron Level 49 2.3.2.4 Improved Amino Acid Composition 49 2.3.2.5 Reduction in the Amount of Antinutritive Factors 50 2.3.2.6 Production of "Low-calorie Sugar" 50 2.3.2.7 Seedless Fruits and Vegetables 50 2.3.3 Traits that Affect Processing 51 2.3.3.1 Altered Gluten Level in Wheat to Change Baking Quality 51 2.3.3.2 Altered Grain Composition in Barley to Improve Malting Quality 2.3.4 Traits of Pharmaceutical Interest 52 2.3.4.1 Production of Vaccines 52 2.3.4.2 Production of Pharmaceuticals 53 Outlook 54 2.4 References 55

51

3	Fermentation of Food by Means of Genetically Modified Yeast
	and Filamentous Fungi 64

Rena Leisegang, Elke Nevoigt, Anja Spielvogel, Georg Kristan, Anke Niederhaus and Ulf Stahl

- 3.1 Introduction 64
- 3.1.1 Why do we Ferment Foodstuffs? 64
- 3.1.2 Fermented Foods of Plant and Animal Origin 65
- 3.2 Yeast 67
- 3.2.1 Methods of Recombinant DNA Technology in Yeast 67
- 3.2.2 Genetically Modified Saccharomyces Strains 72
- 3.2.2.1 Beer 73
- 3.2.2.2 Wine 75
- 3.2.2.3 Sake 76
- 3.2.2.4 Bread 76
- 3.2.3 Genetically Modified Non-Saccharomyces Strains 77
- 3.3 Filamentous Fungi 78
- 3.3.1 Recombinant DNA Technology in Filamentous Fungi 78
- 3.3.1.1 Strategies used to Transform Filamentous Fungi 78
- 3.3.1.2 Selection Systems 79
- 3.3.1.3 The Fate of the Transforming DNA 80
- 3.3.2 Application of RNA-based Methods for Strain Improvement 81
- 3.3.3 Industrial Filamentous Fungi 82
- 3.3.3.1 Fungi Used for Fermentation of Plant Material 82
- 3.3.3.2 Fungal Oils and Other Metabolites 83
- 3.3.3.3 Fungal Proteins and Enzymes 83
- 3.3.3.4 Fungi as Single-cell Protein (SCP) 84
- 3.4 Prospects 85 Acknowledgements 85 References 86
- 4 Production of Food Additives Using Filamentous Fungi 95

Carsten M. Hjort

- 4.1 Filamentous Fungi in Food Production 95
- 4.2 Additives for the Food Industry 98
- 4.3 Design of Genetically Modified Microorganisms for Production of Food Additives and Processing Aids 98
- 4.4 Industrial Enzyme Production Processes 105 References 107

VIII Contents

5	Genetic Engineering of Bacteria Used in Food Fermentation <i>1</i> Arnold Geis	09
5.1	Introduction 109	
5.2	Lactic Acid Bacteria 110	
5.2.1	Lactococcus lactis subsp. lactis and subsp. cremoris 110	
5.2.2	Lactobacillus spp. 111	
5.2.3	Streptococcus thermophilus 113	
5.2.4	Leuconastoc spp. 113	
5.2.5	Pediococcus spp. 114	
5.2.6	Oenococcus spp. 114	
5.3	Perspectives and Objectives 115	
5.3.1	Bioconservation 115	
5.3.2	Bacteriophage Resistance 116	
5.3.3	Exopolysaccharides 118	
5.3.4	Proteolysis 119	
5.3.5	Metabolic Engineering of Lactic Acid Bacteria 120	
5.3.6	Stress Responses in Lactic Acid Bacteria 121	
5.4	Methods 122	
5.4.1	Transformation 122	
5.4.2	Gene Delivery and Expression Systems 122	
5.5	Conclusions 124	
	References 124	

Part II Legislation in Europe 133

6 The Legal Situation for Genetically Engineered Food in Europe 135 Rudolf Steinz and Jan Kalbheim

- 6.1 Introduction 135
- 6.1.1 The Need for Regulation 135
- 6.1.2 The History of the Regulation of Genetically Modified Food 135
- 6.2 The Law Applicable to Genetically Modified Food 137
- 6.2.1 The Genetically Modified Food and Feed Regulation 137
- 6.2.1.1 Introduction 137
- 6.2.1.2 Scope of Application 138
- 6.2.1.3 Requirements for Genetically Modified Food and Feed 138
- 6.2.1.4 Procedure 138
- 6.2.1.5 Labeling 141
- 6.2.1.6 Other Questions 144
- 6.2.2 Problems 145
- 6.2.2.1 Negative Labeling 145
- 6.2.2.2 Coexistence of Genetically Modified Food and Feed and Conventional or Organic Food and Feed 145
- 6.2.2.3 Compliance with World Trade Law 147
- 6.2.3 Competent Authorities in the Member States 147

- 6.2.4 Relation to Directive 2001/18/EC of the EP and the Council 148
- 6.2.5 Supplementary National Provisions in German Law: The ECBI Act, the Biotechnology Act, and the Novel Foods and Food Ingredients Instrument 148
- 6.2.5.1 General Rules for Genetically Modified Food and Feed 148
- 6.2.5.2 Availability of Negative Labeling of Foodstuffs Made Without Using Procedures of Genetic Engineering 149
- 6.2.5.3 The Element of the Label 150
- 6.2.5.4 Rules on Criminal Offences and Misdemeanors 151 References 151

Part III Methods of Detection 155

- 7 Detection of Genetic Modifications Some Basic Considerations 157 Knut J. Heller
- 7.1 The Conversion of Genetic Information from DNA to Phenotypes 157
- 7.2 DNA, Protein, and Phenotypes as Targets for Detection Assays 158
- 7.3 Food-grade Modifications 161
- 7.4 Detection of Unknown Modifications 162
- 8 DNA-based Methods for Detection of Genetic Modifications 163

Ralf Einspanier

- 8.1 Introduction 163
- 8.2 Recent DNA Methodology 164
- 8.2.1 Sampling Procedure 165
- 8.2.2 Extraction and Purification of DNA 165
- 8.3 Specific Detection of Genetic Material 166
- 8.3.1 DNA Hybridization-based Detection Technique (Southern Blot) 166
- 8.4 Nucleic Acid Amplification Methods using PCR 167
- 8.4.1 Conventional PCR 167
- 8.4.2 Real-time PCR 169
- 8.4.3 Important Bioinformatic Considerations 172
- 8.5 Alternative and Promising DNA Detection Techniques 173
- 8.5.1 Thermal Cycling Procedures 173
- 8.5.2 Isothermic Amplification 173
- 8.5.3 DNA Microarrays 174
- 8.5.4 Microfluidic and Nanoparticle Techniques 174
- 8.5.5 Mass Spectrometry (MS) of DNA 176
- 8.5.6 Supplementary Photon-driven Monitoring Methods 176
- 8.5.7 Novel Biological Monitoring Approaches 177
- 8.6 Conclusions and Future Prospects for GMO Detection by DNA Analysis 178 References 180

X Contents

9	Genetic Engineering of Fish, and Methods of Detection 186
	Hartmut Rehbein
9.1	Introduction 186
9.2	Development and Production of Transgenic Fish 187
9.2.1	Structure of Gene Cassettes 189
9.2.2	Methods of Gene Transfer 189
9.2.3	Evidence of Gene Transfer and Expression 192
9.3	Examples of Successful Production of Transgenic Fish 193
9.3.1	Atlantic Salmon 193
9.3.2	Pacific Salmon 194
9.3.3	Tilapia (O. hornorum hybrid) 194
9.3.4	Tilapia (O. niloticum) 195
9.3.5	Carp (C. carpio) 195
9.4	Methods of Detecting Processed Transgenic Fish 196
9.5	Food Safety of Transgenic Fish 196 The Gene Product 197
9.5.1	
9.5.2	Pleiotropic Effects 198 References 198
	References 198
10	Detection Methods for Genetically Modified Crops 201
10	Rolf Meyer
10.1	Introduction 201
10.2	Isolation of plant DNA 202
10.2.1	Sampling 202
10.2.2	Sample Preparation 203
10.2.3	DNA Extraction and Analysis 204
10.3	Detection Strategies 205
10.3.1	Screening 206
10.3.2	Specific Detection 208
10.3.3	Example of Qualitative Detection 210
10.3.4	Quantification 210
	Verification 211
10.3.6	Validation 212
10.4	Outlook, Conclusions 216
	References 216
11	Methods for Detection of Genetically Modified Organisms in
	Composite and Processed Foods 219
	Karl-Heinz Engel, Francisco Moreano, and Alexandra Ehlert
11.1	Introduction 219
11.2	Challenges Specific to the Detection of GMO in Composite
	and Processed Foods 220
11.3	Degradation of Proteins and DNA 221
11.3.1	Proteins 221
11.3.2	DNA 222

- 11.4 Analytical Methods 224
- 11.4.1 Protein-based Methods 224
- 11.4.2 DNA-based Methods 224
- 11.4.2.1 Qualitative PCR 225
- 11.4.2.2 Quantitative PCR 230
- 11.4.2.3 Competitive PCR 231
- 11.4.2.4 Real-time PCR 233
- 11.5 Conclusions 239 References 240

- Summary 248
- 12.1 Introduction 248
- 12.2 The Composition of the Genome of Lactococcus lactis 249
- 12.3 Flexibility in the Genome of Lactococcus lactis 250
- 12.4 Conjugation 250
- 12.5 Transduction 251
- 12.6 Transformation 252
- 12.7 IS Elements and Transposons 252
- 12.8 Lactococcal Phages as Sources of Genetic Plasticity 253
- 12.9 An Example of Natural Genetic Flexibility: The *L. lactis* NCDO712 Family 254
- 12.10 Mutations in *Lactococcus lactis* as a Consequence of Environmental Factors and DNA Metabolism 254
- 12.11 Methods of Mutating the Genome of L. lactis 255
- 12.12 Genetic Engineering of Lactococcus lactis 256
- 12.13 Strategies for Detection of Genetically Modified Lactococcus lactis 259
- 12.14 Sample Preparation 259
- 12.15 DNA-based Procedures 260
- 12.15.1 Southern Hybridization 260
- 12.15.2 PCR 260
- 12.15.3 Qualitative PCR 261
- 12.15.4 Quantitative PCR (End-point and Real-time) 261
- 12.15.5 Exhaustive Limiting Dilution PCR 262
- 12.15.6 Nucleotide Sequence-based Procedures 262
- 12.15.7 Microarrays 262
- 12.16 Protein-based Procedures 263
- 12.16.1 Western Hybridization 263
- 12.16.2 ELISA 263
- 12.16.3 Protein Chips 264
- 12.16.4 Two-dimensional Gel Electrophoresis and Mass Spectrometry 264
- 12.17 Conclusions 265 References 266

¹² Mutations in *Lactococcus lactis* and their Detection 248 Jan Kok and Bertus van den Burg

XII Contents

Methods for Detection of Genetically Modified Microorganisms 13 used in Food Fermentation Processes 269 Walter P. Hammes, Christian Hertel, and Torsten Bauer Introduction 269 13.1 Current Methods for Detection of GMM 272 13.2 13.3 DNA Isolation 274 13.4 DNA Stability 275 Organism-specific Detection of the GMM 275 13.5 Conclusion 277 13.6 References 278

Index 281

Preface

The first edition of this book was published in 2003. At that time one could not foresee its success, because admission of genetically engineered food into Europe had been suspended for several years. Since the lifting of the moratorium, however, applications, especially for admission of genetically engineered plants to be used as either food or feed, have again increased, showing that this is still a dynamic field of applied molecular biology.

The era of molecular biology entered a new phase thirty years ago with the construction and successful transformation of the first recombinant DNA molecule (Cohen SN, Chang AC, Boyer HW, Helling RB. Construction of biologically functional bacterial plasmids in vitro. Proc Natl Acad Sci USA 1973; 70:3240-3244). This event marked the birth of genetic engineering which enabled very thorough analysis of cellular functions and provided the tool for targeted manipulation of the genetic material of cells and organisms. Supported by the development of the efficient chain-termination method for DNA sequence analysis (Sanger F, Nicklen S, Coulson AR. DNA sequencing with chain-terminating inhibitors. Proc Natl Acad Sci USA 1977; 74:5463–5467) and the polymerase chain reaction method for targeted amplification of DNA segments of choice (Mullis K, Faloona F, Scharf S, Saiki R, Horn G, Erlich H. Specific enzymatic amplification of DNA in vitro: the polymerase chain reaction. Cold Spring Harb Symp Quant Biol. 1986; 51:263-273), genetic engineering of prokaryotic organisms and, later, of eukaryotes became a task easily performed in many laboratories. The potential of genetic engineering for food production was very soon recognized and the first genetically engineered food organisms, the famous "Flavr Savr" tomato with delayed ripening, was constructed and approved in the United States of America in 1994. Many other plants followed, for example rape, maize, and soy beans. The development of this new breeding technique initiated in Europe the introduction of new legislation needed for harmonization of legislation concerning free trade, for protection of public health and consumer rights, and for consideration of environmental aspects. This legislation has been as dynamic as the science behind genetic engineering - for example, the famous "Regulation (258/97/EC) concerning novel foods and novel food ingredients" established only in 1997 was replaced by "Regulation (EC) No 1829/2003 on genetically modified food and feed" to encompass food produced with the aid of genetic engineering. To enforce this legislation, detection methods had to be developed enabling unambiguous identification of foods produced with

XIV Preface

the aid of genetic engineering. Today, these methods must be capable of quantitative determination of amounts of genetically engineered ingredients at levels of 0.9% of the entire amount of the ingredient. This is necessary to guarantee that the accepted level of contamination of food with technically unavoidable genetically engineered material is not exceeded.

Because of the success of the first edition of this book, we have used the same structure in the second edition. Current applications and future potentials of this breeding technique are discussed in Part 1. Part 2 covers the current state of legislation in Europe; the framework it sets for application of this technique is presented. Methods developed for detection of foods produced with the aid of genetic engineering are highlighted in Part 3.

The book is by no means comprehensive. The focus of detection methods is clearly on detection of DNA. Issues of food safety and consumer acceptance are deliberately not dealt with. Whereas food safety is not a specific issue for novel foods but an issue for food in general, consumer acceptance of genetically modified foods is a very controversial topic of debate, and often the arguments in that debate are all but scientific. It is my feeling as editor that covering the consumeracceptance issue would obstruct consideration of the scientific data presented in the book.

Last but not least, I wish to acknowledge the excellent cooperation of all the authors in updating their contributions to this second edition and to thank Waltraud Wuest, Wiley-VCH, for her support during the entire production phase of this edition.

> Knut J. Heller Kiel, June 2006

List of Contributors

Torsten Bauer Institute of Food Technology University of Hohenheim 70593 Stuttgart Germany

Gottfried Brem Institut of Animal Breeding and Genetics Veterinary University of Vienna Veterinärsplatz 1 1210 Vienna Austria

Bertus van den Burg IMEnz Bioengineering B.V. L.J. Zielstraweg 1 9713 GX Groningen The Netherlands

Alexandra Ehlert Technical University of Munich Center of Food and Life Sciences Chair of General Food Technology Am Forum 2 85350 Freising-Weihenstephan Germany

Ralf Einspanier Institut für Veterinär-Biochemie Freie Universität Berlin Oertzenweg 19b 14167 Berlin Germany Karl-Heinz Engel Technical University of Munich Center of Food and Life Sciences Chair of General Food Technology Am Forum 2 85350 Freising-Weihenstephan Germany

Arnold Geis Institut für Mikrobiologie Bundesanstalt für Milchforschung Hermann-Weigmann-Str. 1 24103 Kiel Germany

Walter P. Hammes Institute of Food Technology University of Hohenheim 70593 Stuttgart Germany

Knut J. Heller Institut für Mikrobiologie Bundesanstalt für Milchforschung Hermann-Weigmann-Str. 1 24103 Kiel Germany

Christian Hertel Institute of Food Technology University of Hohenheim 70593 Stuttgart Germany

XVI List of Contributors

Carsten M. Hjort Novozymes A/S Krogshoejvej 36 2880 Bagsvaerd Denmark

Jan Kalbheim Ludwig Maximilians Universität München Lehrstuhl für Öffentliches Recht und Europarecht Professor-Huber-Platz 2 80539 München Germany

Jan Kok Department of Genetics Groningen Biomolecular Sciences and Biotechnology Institute University of Groningen Kerklaan 30 9751 NN Haren The Netherlands

Georg Kristan Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany

Rena Leisegang Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany

Horst Lörz Biozentrum Klein-Flottbek Ohnhorststr. 18 22609 Hamburg Germany Rolf Meyer Nestec Ltd Nestlé Product Technology Centre Orbe Quality Management Department 1350 Orbe Switzerland

Francisco Moreano Bavarian Health and Food Safety Authority LGL Veterinärstr. 2 85764 Oberschleißheim Germany

Elke Nevoigt Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany

Anke Niederhaus Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany

Hartmut Rehbein Institut für Fischereitechnik und Fischqualität Bundesforschungsanstalt für Fischerei Palmaille 9 22767 Hamburg Germany

Anja Spielvogel Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany Ulf Stahl Technische Universität FG Mikrobiologie und Genetik Gustav-Meier-Allee 25 13355 Berlin Germany

Susanne Stirn Forschungsschwerpunkt Biotechnik Gesellschaft und Umwely (FSP BIOGUM) Universität Hamburg Ohnhorststr. 18 22609 Hamburg Germany Rudolf Streinz Ludwig Maximilians Universität München Lehrstuhl für Öffentliches Recht und Europarecht Professor-Huber-Platz 2 80539 München Germany

Part I Application and Perspectives

1 Transgenic Modification of Production Traits in Farm Animals

Gottfried Brem and Mathias Müller

1.1 Introduction

"Genetic engineering" is the umbrella term for procedures that result in a directed alteration in the genotype of an organism. The combined use of molecular genetics, DNA recombination and reproductive biology enables the generation of transgenic animals. For animals the term "transgenic" originally referred to the stable introduction of new genetic material into the germ line [1, 2]. This definition of transgenic animals has to be extended for two reasons. First, further developments in the genetic engineering of animals enable not only additive gene transfer (gain of function) but also deletive gene transfer (knock-out, loss of function), replacement gene transfer (knock-in, exchange of function), and spatial-temporal gene transfer (conditional knock-out) [3, 4]. Second, in addition to germ line integration of transgenes, somatic gene-transfer approaches result in (mostly transient) gene expression with the longest duration being a life span and no transmission of the transgenes to the progeny. Although somatic gene-transfer experiments in farm animals have been performed for production purposes [5, 6] this technology in animal production is more beneficial for the development of DNA-based vaccines [7]. Here we will mainly concentrate the discussion on germ line transgenic animals. The production of transgenic farm animals was first reported in the mid-1980s [8, 9]. The main progress in exploiting this technology has been made in the establishment of animal models for human diseases, production of heterologous proteins in animals (gene farming), and the production of organs for xenotransplantation [10-12]. In addition to these biomedical approaches research has focused on improvement of the efficiency and quality of animal production by transgenic means (this review and other work [13, 14]).

1.2 The Creation of Transgenic Animals

1.2

The Creation of Transgenic Animals

The main routes to transgenesis in mammals include:

- 1. microinjection of DNA into the pronucleus of a fertilized oocyte (zygote);
- 2. integration of a (retro)viral vector into an oocyte or an early embryo;
- 3. incorporation of genetically manipulated pluripotent stem cells into an early embryo; or
- 4. transfer of genetically altered nuclei into enucleated oocytes.

For alternative gene transfer methods we refer to another review [15]. Spermmediated gene transfer, at least for pigs, has been proven to result in high-efficiency transgenesis ([16] and Refs. therein), although the method is not as broadly established as the methods described below.

1.2.1

Pronuclear DNA Microinjection

Microinjection of foreign DNA into pronuclei of zygotes is the classic method of gene transfer into farm animals. Since the first reports [8, 9] this technique, nearly unchanged, has accounted for most transgenic farm animals [15, 17]. DNA microinjection results in random integration of the foreign DNA into the host genome and is therefore not suitable for targeted modification of genomes. Although microinjections are performed at the one-cell-stage approximately 20-30% of the founder animals are mosaic and, therefore, may not transmit the integrated gene construct to their progeny [18]. Random integration of the gene constructs may cause alteration of one or more gene loci. Insertional mutagenesis is recessive and mostly characterized by a recombination event in the kilobase range at the transgene integration site. In mice approximately 5–15% are affected by this recessive mutation [19]. Except for studies in transgenic rabbits [20, 21], little has been published on analysis of homozygous transgenic farm animals. This is mainly because of the long generation intervals. There is, however, no reason to doubt the mutagenesis frequencies estimated for mice in other transgenic mammals generated by the same technology. Random integration of the gene constructs may also result in varying, aberrant, or abolished transgene expression, because of effects of the adjacent chromatin overcoming the transgene's regulatory sequences. One possible means of avoiding these integration site-dependent effects is the transfer of large DNA constructs, which can form functionally independent chromatin domains [22]. The first successful example for this strategy in livestock was the generation of transgenic rabbits harboring yeast artificial chromosomes (YACs) [23]. An alternative means of protecting transgenes from chromosomal position effects is the use of boundary elements (e.g. insulators, locus control regions, matrix attachment regions) in the gene constructs to achieve copy number-dependent and promoter-dependent and position-independent expression of transgenes [24]. Although success with this strategy has been reported, the effects of the elements were not always as expected.

Gene transfer efficiency (transgenic newborns and/or microinjected zygotes) is usually rather low, especially for large animals. One transgenic animal can be expected after microinjection of 40, 100, 90–110, and 1600 zygotes in mice, pigs, small ruminants, and cattle, respectively [25]. Differences in efficiency emphasize fundamental differences in the reproductive biology of species. Hence a high level of technical skills and experience in embryo collection and embryo transfer are critical for efficient transgenic production. This applies for all gene-transfer programs, however.

As mentioned above, the procedures for generating large mammals by DNA microinjection have remained basically unchanged and little improvement in DNA-transfer efficiency has been achieved. After DNA microinjection, embryos are transferred to synchronized foster mothers. Great progress has been made in the field of embryo transfer. For all farm animal species embryo transfer has been facilitated by the development of endoscopy-guided minimally invasive techniques, reducing stress to the foster mothers and maximizing embryo survival and pregnancy rates [26–29]. Methods are currently being developed with the objective of improving the *in-vitro* production (IVP) of embryos [30, 31]. Endoscopic embryo transfer and IVP is also advantageous in the gene transfer methods discussed next.

1.2.2 Retroviral Vectors

The first germ-line transgenic mice were produced by retroviral infection of early embryos [32]. Retroviruses can be considered natural vehicles for gene delivery to mammalian cells. Endogenous retroviruses (ERVs) are a subset of retro-elements which represent up to 10% of the mammalian genome [33]. The capability of ERVs to reintegrate into the genome through reverse transcription mechanisms results in continuous insertion of new ERVs into the host genome. Until recently, retroviral vectors were not considered for farm-animal transgenesis, because of biosafety concerns and the dependence of most retroviruses on dividing cells for integration into the host genome. Retroviral gene transfer therefore often results in genetic mosaics when developing embryos are infected. With the development of replication-defective retroviral vectors mainly for gene-therapy purposes, a powerful tool for gene transfer in mammalian cells has been established [34]. To avoid mosaicism Chan et al. [35] inoculated bovine oocytes in the final stage of maturation with retroviral vectors. They achieved a remarkably high rate of transgenesis and, as expected, no mosaic transgenic cattle. A similar approach resulted in the generation of transgenic piglets [36]. One major limitation of retroviral vectors is their limited cloning capacity (<10 kb). Gene constructs, however, grow larger and larger to omit variegated transgene expression (see above). A second problem with many retroviral vector-mediated transgenesis relates to transcriptional shutdown of the transgenes [37]. Lentiviral vectors are a new generation of retroviral vectors which, in contrast with standard oncoretrovirus-based vectors, are reported to escape transcriptional silencing. In addition, lentiviruses are able to infect both dividing and

5 1.2 The Creation of Transgenic Animals

nondividing cells (reviews are available elsewhere [38, 39]). Germ-line transmission and expression of transgenes delivered by lentiviral vectors to one-cell mouse embryos has been reported [40]. Subsequently lentiviral gene transfer has been successfully used in swine [38, 39] and cattle [41]. This technique of transgenesis is more efficient and cost-effective, and technically less demanding, than pronuclear injection. The obstacle of the limited size of the constructs to be transferred remains, however. In the same way as for gene transfer by pronuclear injection, retrovirus-mediated gene transfer can only be used for additive gene transfer and also bears the danger of insertional mutagenesis. Analysis of transgene expression in lentiviral transgenic pigs revealed that, in contrast with early reports, some transgenics showed epigenetic silencing [42].

1.2.3

Pluripotent Stem-cell Technology

Pluripotent stem cells are capable of developing into many cell types, including germ cells, on fusion with pro-implantation embryos (morulae, blastococysts). Pluripotent stem cells can be maintained in tissue culture and genetically manipulated and selected *in vitro* before reconstitution of the embryo. With mice, handling of pluripotent cells has become a routine method for targeted modification of the genome by homologous recombination, i.e. deletive or replacement gene transfer [43]. Numerous efforts to establish pluripotent stem cells in species other than mice have so far failed. Possible reasons for this are discussed elsewhere (Refs [44, 45] and references cited therein). Since nuclear transfer using transgenic donor cells (see below) became an attractive alternative tool for targeted gene transfer, efforts to establish germ-line-competent stem cells from farm animals have been reduced.

1.2.4

Nuclear Transfer Using Transgenic Cells

Nuclear transfer technology – also known as cloning – comprises transfer of a donor nucleus (karyoplast) into the cytoplasm of an enucleated zygote or oocyte (cytoplast). Initial nuclear transfer experiments in farm animals used early embryonic stages as nuclear donors [46]. In breakthrough experiments with sheep it was demonstrated that *in-vitro*-cultured differentiated fetal cells and even cells derived from adult tissues could serve as nuclear donor for the reconstitution of enucleated oocytes [47, 48]. Cloning by nuclear transfer has subsequently been achieved in cattle [49–51], goats [52], pigs [53–55], rabbits [56], mules [57], horses [58], cats [59], dogs [60], and some wildlife species (a review is available elsewhere [61]). For farm animal transgenesis a novel tool has become available in that cultured cells can be genetically modified by conventional transfection methods before their use for nuclear transfer. The first reports on this novel gene transfer using transfected and selected fetal fibroblasts [62, 63]. Transgenesis by nuclear transfer of geneti-

cally modified cells has several advantages over the other additive gene transfer techniques:

- 1. mosaicism is avoided and germ-line transmission is guaranteed, because all cells of the cloned animal contain the transgene;
- 2. insertional mutagenesis and chromosomal positioning effects can be avoided, because integration and, eventually, transgene expression can be monitored *in vitro*; and
- 3. the use of male or female cell lines determines the gender of the transgenic animal.

Most importantly, gene transfer by nuclear transfer provides a means of gene targeting in farm-animal species [64, 65]. Both the targeted disruption of genes by homologous recombination (deletive gene transfer, knock-out) in sheep and pigs [66–68] and the targeted integration of a gene of interest into a given locus (replacement gene transfer, knock-in) [69] have been reported. The sequential targeting of both alleles of two genes has been achieved in cattle [70].

Despite these impressive reports and the intriguing advantages of the nuclear transfer technique for generation of transgenic farm animals, widespread use is not an easy task because:

- 1. the primary fibroblasts currently used for gene transfer have limited capacity to divide;
- 2. homologous recombination is less frequent in somatic cells than in pluripotent stem cells; and
- 3. cloning by nuclear transfer has a low yield which is still diminished when nuclear donor cells are previously cultured [61].

There is also an ongoing debate about whether it is possible to overcome abnormalities observed in cloned animal [71, 72]. The abnormalities are not restricted to transgenic cloned animals, suggesting they originate from the nuclear transfer procedure or the *in vitro* culture conditions. Although healthy clones have been reported [73], improvement of the technology and further investigation of the effects of cloning are required [74].

1.3 Gene Transfer in Poultry

Depending on the developmental stage a variety of strategies are used for generation of transgenic birds, including DNA microinjection of fertilized ova, retroviral infection of blastodermal cells, and genetic manipulation of primordial germ cells (PGCs) or embryonic stem (ES) cells. In the same way as in mammals, the first method developed to transfer genes into birds was microinjection of DNA into the germinal disk of fertilized ova [75]. Although successful germ line transmission has been reported [76], the method is labor-intensive, ineffective, and frequently results in mosaicism. Retroviral vectors can introduce transgenes into the genome with low but acceptable efficiency. The first transgenic birds were produced using replication-competent vectors and thus could not be used for broad appli-

8 1.5 Transgenes – Gene Constructs

cation [77]. The development of replication-defective vectors led to wide use of this technique for production of transgenic birds and stable transgene expression [78, 79]. As an alternative, chimeras bearing transfected pluripotent cells originating from the blastoderm, from PGCs, or from ES cells have been reported (reviews are available elsewhere [80–82]).

1.4 Gene Transfer in Fish

The techniques for gene transfer into fish have focused on direct transfer of DNA into gametes or fertilized eggs and include DNA microinjection, electroporation, retroviral vector infection, and biolistic methods (Ref. [83] and references cited therein). Stem-cell-based technology is not available for farmed fish. The making of transgenic fish is different from gene transfer in mammals or birds because:

- 1. fish usually undergo external fertilization and no culture or transfer of eggs into recipient females is required;
- 2. the eggs of many fish have a tough chorion requiring special methods for delivering the gene constructs; and
- 3. DNA delivery, including by microinjection, is usually into the cytoplasm.

Probably because of the cytoplasmic nature of DNA delivery, many founder transgenic fish are mosaic. Germ line mosaicism seems also to occur because frequencies of transgene transmission to F1 are clearly less than at Mendelian ratios. Transmission of the transgenes to later progeny occurs at Mendelian frequencies, indicating stable integration of the transgenes. A variety of inducible and targeted transgene strategies developed for mammals are now available to be tested and explored in fish (a review is available elsewhere [84]).

1.5

Transgenes – Gene Constructs

The exogenous DNA integrated into the host genome usually is referred to as the gene construct or transgene. The different transgenic sequences used for the different gene-delivery methods and gene-transfer programs are summarized in Table 1.1.

For additive gene transfer experiments the gene construct encompasses the elements controlling gene expression (5' promoter region, 3' control regions) and the sequences (cDNA, genomic DNA) encoding the transgene product. For DNA microinjection or sperm-mediated gene transfer the prokaryotic cloning vector sequences are removed from the gene construct. Prokaryotic sequences – especially their CpG dinucleotide base pairs – may undergo methylation or heterochromatin formation in animal cells, which leads to transgene silencing. It is becoming increasingly clear that silenced transgenes have been recognized as foreign elements by host cellular mechanisms, as have retroviral and transposon sequences [85, 86].

Functional consequence of gene transfer	Methods of gene transfer	Sequences not related to the transgene per se	Composition and origin of the transgene	Example (see below)
Gain of function	Pronuclear DNA micro- injection; sperm-mediated; physical and/or chemical methods	1	 Species-specific sequences cross-species sequences new combination of promoter and coding sequences (species- specific or cross-species) 	 Additional copies of casein alleles humanized milk mammary gland-specific expression of antibodies
	Artificial nonmammalian chromosomes	PAC-, BAC-, YAC-vector elements	See above	See above
	Artificial mammalian chromosomes	Chromosomal elements	See above	See above
	Retroviral vector	Viral sequences	See above	See above
	Cloning by transfer of genetically modified nuclei	Selectable marker genes*	See above	See above
Loss of function	Cloning by transfer of genetically modified nuclei	Selectable marker genes*	Deleted or non-sense-mutated species-specific sequences	Generation of PrPc gene-deficient ruminants
Exchange of function	Cloning by transfer of genetically modified nuclei	Selectable marker genes*	 Introduction of novel allelic variants exchange of coding sequences in a transcription unit 	 Targeted alteration of milk protein genes replacement of genes
Reduction of function by RNAi	Pronuclear DNA micro- injection; sperm-mediated; physical and/or chemical methods; retroviral vectors	RNAi expression construct	RNAi expression construct gene(s)	Specific "knock-down" of target

Tab. 1.1 Characteristics of the transgenes used for different gene-delivery methods and gene-transfer programs in farm animals.

 $^{^{\}ast}$ Unwanted sequences may be removed in vitro by site-specific recombinases

10 1.5 Transgenes – Gene Constructs

As mentioned above, one obvious way of avoiding transgene silencing or chromosomal positioning effects would be the use of large gene constructs and the abandoning of viral vectors. An increasing number of transgenic animals therefore carry gene constructs based on phage (PAC), bacterial (BAC), or yeast (YAC) artificial chromosomes [22]. For expression and replication, these large transgenes depend on integration into the host genome. In contrast, mammalian artificial chromosomes (MACs) provide independent transcription and replication units. Work originating mainly from human gene therapy programs has resulted in the development of human artificial chromosomes based on episomal viral vectors or engineered minimal chromosomal elements [87, 88]. MACs were recently used to generate "transchromosomic" cattle; this was achieved by introducing human artificial chromosomes *in vitro* into bovine cells which were subsequently used for cloning by nucleus transfer [89, 90].

Alternatively, gene transfer *in vitro* then reconstitution of embryos by nuclear transfer or stem-cell technology enables targeting of transcription units in the host genome or *in-vitro* analysis of the chromosomal integration site. These gene-transfer techniques, however, require methods for the identification of the genetically modified cells. Identification of transgenic cells is mostly based on (drug) selectable markers, e.g. antibiotic-resistance genes, added to the gene constructs. In plants the presence of marker genes in the genetically modified organism is a topic of concern about biosafety [91]. Analogous a genetically modified farm animal going on the market should be free from such genes. This can be achieved by use of site-specific recombinases to remove undesirable sequences after successful identification of the transgenic cells [92, 93]. Additional methods for site-directed genome modification are reviewed elsewhere [94, 95]. It must, however, be mentioned that these additional genetic engineering steps have not yet been conducted in farm animals.

RNA interference (RNAi) is the process in which dsRNA leads to gene silencing, by either inducing the sequence-specific degradation of complementary mRNA or inhibiting translation. RNAi has been very successfully applied as gene-silencing technology in both plants and invertebrates, but many practical obstacles need to be overcome before it becomes a versatile tool in mammalian cells. Greater specificity and efficiency of RNAi in mammals is being achieved by improving the design and selection of small interfering RNAs (siRNAs), by increasing the efficacy of their delivery to cells and organisms, and by engineering their conditional expression (reviews are available elsewhere [4, 96, 97]).

The power of this technology in transgenic animals has been demonstrated by production of mice constitutively expressing RNAi directed against a given transcript which show a similar phenotype as mice with homologous targeted disruption of the locus [98].

Retroviral vector-mediated gene transfer methods have the advantage of the transgene being actively delivered to the cells and integrated into the host genome. The disadvantage of the system is the above mentioned transcriptional shutdown and heterochromatin formation of the transgenes, because of the presence of the viral sequences.