A Companion to Michael Haneke

Edited by Roy Grundmann



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Registered Office
John Wiley & Sons Ltd, The Atrium, Southern Gate, Chichester, West Sussex,
PO19 8SO, United Kingdom

Editorial Offices 350 Main Street, Malden, MA 02148–5020, USA 9600 Garsington Road, Oxford, OX4 2DQ, UK

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Library of Congress Cataloging-in-Publication Data

A companion to Michael Haneke / edited by Roy Grundmann.

p. cm. – (Wiley-Blackwell companions to film directors) Includes bibliographical references and index. ISBN 978-1-4051-8800-5 (hardcover : alk. paper)

1. Haneke, Michael, 1942 – Criticism and interpretation. I. Grundmann, Roy, 1963 – PN1998.3.H36C66 2010 791.4302′33092–dc22

2009054210

A catalogue record for this book is available from the British Library.

Set in 11/13pt Dante by Graphicraft Limited, Hong Kong Printed in Singapore

1 2010

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Notes on Contributors

Janelle Blankenship is an Assistant Professor of Film Studies and Graduate Faculty in Comparative Literature and Theory and Criticism at the University of Western Ontario. She previously taught in the Department of German at New York University. She has published numerous essays on early cinema, film theory, and literary modernism in the journals Cinema & Cie: International Film Studies Journal, Kintop: Das Jahrbuch zur Erforschung des frühen Filmes, Modernist Cultures, and Polygraph: An International Journal of Culture and Politics.

Christa Blümlinger is Maître de conférences in film studies at the University Sorbonne Nouvelle (Paris 3). She was assistant and guest professor at the Free University Berlin and has worked as a critic and a curator in Vienna, Berlin, and Paris. Her most recent publication is *Kino aus Zweiter Hand. Zur Ästhetik materieller Aneignung im Film und in der Medienkunst* (Berlin, 2009).

Eugenie Brinkema is a PhD student in modern culture and media at Brown University. Her work focuses on violence, ethics, and sexual difference, and she is completing a dissertation on affects in film, film theory, and continental philosophy. Her articles have appeared in the Dalhousie Review, Camera Obscura, Women: A Cultural Review, Journal of Speculative Philosophy, and Criticism, with forthcoming work in Angelaki: Journal of the Theoretical Humanities.

Peter Brunette is Reynolds Professor of Film Studies at Wake Forest University, where he directs the film studies program. He is the author of books on Rossellini and Antonioni, and co-author or co-editor of several books on film theory. He is also a practicing journalist and film critic and reviews films for the *Hollywood Reporter* at major film festivals around the world. His own book on Michael Haneke's films will be published by the University of Illinois Press in February, 2010.

Barton Byg teaches German and film studies at the University of Massachusetts, Amherst, where he is founding director of the DEFA Film Library and a founding faculty member of the Interdepartmental Program in Film Studies. His recent research and teaching focus on such topics as Brecht and film, documentary, landscape, and color. He is author of the book *Landscapes of Resistance: The German Films of Jean-Marie Straub and Danièle Huillet*.

Michel Chion is a composer of *musique concrète*, a writer, theoretician, and researcher who currently serves as an Associate Professor at the University of Paris III. His translated publications include *Audio-vision: Sound on Screen*, *The Voice in Cinema and Film*, and *A Sound Art* (Columbia University Press) as well as *David Lynch*, *Eyes Wide Shut*, and *The Thin Red Line* (British Film Institute).

Tom Conley, Lowell Professor of Romance Languages and Visual and Environmental Studies at Harvard University, is recently author of *Cartographic Cinema* (2007) and translator of Marc Augé, *Casablanca: Movies and Memory* (2009). His *Errant Eye: Poetry and Topography in Renaissance France* is forthcoming (2010).

Timothy Dail received the Master of Arts in German film studies from the University of Massachusetts, Amherst, where he was also employed as translator and subtitler for the DEFA Film Library. He is currently a PhD candidate in German at the University of Canterbury in Christchurch, New Zealand. His research focuses on the *Ostalgie* phenomenon in German popular cinema, DEFA films, and issues of pan-German identity and historiography since reunification.

Peter Eisenman is principal of Eisenman Architects in New York City and the Louis I. Kahn Visiting Professor of Architecture at Yale. His award-winning projects include the Memorial to the Murdered Jews of Europe in Berlin, the Wexner Center for the Arts at the Ohio State University, and the City of Culture of Galicia in Spain, which is in construction. Also an author, his most recent books are *Written into the Void: Selected Writings*, 1990–2004 and *Ten Canonical Buildings*, 1950–2000.

Thomas Elsaesser is Professor Emeritus of Film and Television Studies at the University of Amsterdam and, since 2005, Visiting Professor at Yale University. His most recent books include *Filmgeschichte und Frühes Kino* (2002), *European Cinema: Face to Face with Hollywood* (2005), *Terror und Trauma* (2007), *Filmtheorie: zur Einführung* (2007, with Malte Hagener), and *Hollywood Heute* (2009).

Monica Filimon is a PhD candidate in comparative literature at Rutgers, the State University of New Jersey. She has published articles on 1930s French cinema and post-1989 Romanian films. Her dissertation focuses on the implications of film melodrama in totalitarian regimes in France and Spain.

Robert Gray is a translator and interpreter. He lives in Canada and France.

Roy Grundmann is Associate Professor of Film Studies in the Department of Film and Television at Boston University. He is the author of *Andy Warhol's Blow Job* (2003) and of a monograph on the cinema of Michael Haneke (Wiley-Blackwell, forthcoming 2010). He is currently co-editing the Wiley-Blackwell *Companion to American Film* (2011). His writings have appeared in numerous publications including *Continuum*, *Cinemaya*, *The Velvet Light Trap*, *GLQ*, and *Cineaste* magazine, where he is a contributing editor.

Vinzenz Hediger is Professor of Film and Media Studies at Ruhr University Bochum. He is currently working on a manuscript about André Bazin's cosmological realism. His publications include Films that Work: Industrial Films and the Productivity of Media (2009) and Nostalgia for the Coming Attraction: American Movie Trailers and the Culture of Film Consumption (2010).

T. Jefferson Kline is a Professor of French in the Department of Romance Studies at Boston University. His publications include *André Malraux and the Metamorphosis of Death* (1973), *Bertolucci's Dream Loom: A Psychoanalytic Study of Cinema* (1987), *I film di Bertolucci* (1992), *Screening the Text: Intertexuality in New Wave French Film* (1992), *Bernardo Bertolucci Interviews*, co-edited with B. Sklarew and F. Gerard (2000), *Unraveling French Cinema* (2009), and numerous articles on the French novel, French theater, and European cinema.

Thomas Y. Levin is Professor of Cultural and Media History in the German Department at Princeton University. He is the author of the forthcoming *Resistance to Cinema: Reading German Film Theory*. He has edited and translated a number of books on the work of Siegfried Kracauer, including *The Mass Ornament: Weimar Essays* (1995). Levin has also co-edited *Walter Benjamin, The Work of Art in the Age of its Technological Reproducibility, and other Writings on Media* (2008), as well as *CTRL [Space]: Rhetorics of Surveillance from Bentham to Big Brother* (2002). The latter book is based on a major exhibition curated by Levin, which was on view at the Zentrum für Kunst und Medientechnologie (ZKM) in Karlsruhe through February 2002.

Alex Lykidis is Assistant Professor of Film Studies in the English Department at Montclair State University. His research interests include contemporary European cinema, immigrant and minority representation, and political filmmaking. Born in Athens, Greece, he now lives in Brooklyn, New York.

Jean Ma is an Assistant Professor in the Department of Art and Art History at Stanford University, where she teaches in the Film and Media Studies Program. She is co-editor of *Still Moving: Between Cinema and Photography* (2008). Her forthcoming publications include *Melancholy Drift: Marking Time in Chinese Cinema* and

an essay on Tsai Ming-liang and the post-classical art film in the anthology *Global Art Cinema*, edited by Rosalind Galt and Karl Schoonover.

Jörg Metelmann received his PhD in media and cultural studies from the University of Tübingen in 2003. He is the author of *Zur Kritik der Kino-Gewalt: Die Filme von Michael Haneke* (2003) and co-author of "*Irgendwie Fühl' Ich mich wie Frodo*": *Eine Empirische Studie über gelebte Medienreligion* (2006). He has edited *Porno Pop: Sex in der Oberflächenwelt* (2005) and co-edited *Ästhetik und Religion* (2007) as well as *Bild – Raum – Kontrolle: Videoüberwachung als Zeichen gesellschaftlichen Wandels* (2005). He is currently a researcher in the area of public value management at the Center for Leadership and Values in Society at the University of St. Gallen, Switzerland.

Leland Monk teaches literature, film, and queer studies in the English Department at Boston University. He is the author of *Standard Deviations: Chance and the Modern British Novel* and essays about Jane Austen, Henry James, and E. M. Forster. He is currently at work on a book about Hollywood endings.

Tobias Nagl is Assistant Professor of Film Studies at the University of Western Ontario. He has also worked as a researcher for CineGraph and curator for the Oberhausen Film Festival. He is the author of *Die unheimliche Maschine: Rasse und Repräsentation im Weimarer Kino (The Uncanny Machine: Race and Representation in Weimar Cinema)* (2009) and has published numerous essays on German cinema, popular culture, and film theory.

Fatima Naqvi is an Associate Professor in the Department of German, Russian, and East European Languages and Literatures at Rutgers University, where she teaches Austrian and German literature and film. Her book, *The Literary and Cultural Rhetoric of Victimhood: Western Europe 1970–2005* (2007), analyzes the pervasive rhetoric of victimhood in European culture since 1968. She has also written on the Czech photographer Miroslav Tichý, Elfriede Jelinek's post-dramatic texts, film adaptation as melancholic translation, the aesthetics of violence in Michael Haneke's films, and pedagogy and dilettantism in Thomas Bernhard's works. Her next book on Michael Haneke's films appears with Synema Verlag in Vienna (2010).

Brigitte Peucker is Elias Leavenworth Professor of German and Professor of Film Studies at Yale. Among her books are *Incorporating Images: Film and the Rival Arts* (1985) and *The Material Image: Art and the Real in Film* (2007). She is currently editing Blackwell's *Companion to Rainer Werner Fassbinder*, and is working on a book about Fassbinder and performance. Forthcoming work includes essays on Hitchcock and on Werner Herzog.

Brian Price is Assistant Professor of Screen Studies at Oklahoma State University. He is author of *Neither God Nor Master: Robert Bresson and the Modalities of Revolt*

(2010) and co-editor of *On Michael Haneke* and *Color, the Film Reader* (2006). He is also a founding editor of *World Picture*.

Peter J. Schwartz is Assistant Professor of German at Boston University. He is the author of *After Jena: Goethe's "Elective Affinities" and the End of the Old Regime* (2010), and is writing a book on Aby M. Warburg and the ethnology of superstition during World War I.

Georg Seeßlen studied painting, art history, and semiology in Munich. The author of over twenty film books, he is a freelance writer for *Die Zeit*, *Frankfurter Rundschau*, *taz*, *epd-Film*, *Freitag*, and other publications.

Christopher Sharrett is Professor of Communication and Film Studies at Seton Hall University. He is author of *The Rifleman* (2005), and editor of *Mythologies of Violence in Postmodern Media* (1999) and *Crisis Cinema: The Apocalyptic Idea in Postmodern Narrative Film* (1993). He is co-editor of *Planks of Reason: Essays on the Horror Film* (2004). He has published in *Cineaste*, *Framework*, *Film International*, *Cinema Journal*, *Postscript*, *Kinoeye*, *Senses of Cinema*, and elsewhere.

Kevin L. Stoehr, PhD, is Associate Professor of Humanities in an interdisciplinary liberal arts program at Boston University. He has authored, co-authored, and edited numerous publications in the areas of film studies and the philosophy of film. His books and articles deal with various topics including the directors John Ford and Stanley Kubrick, the rise of the A-production Western movie, expressions of nihilism in works of cinema and television, Jungian psychology, film noir, and the film theories of Sergei Eisenstein and Siegfried Kracauer.

Gregor Thuswaldner is Associate Professor of German and Linguistics and Chair of the Department of Languages and Linguistics, as well as a Fellow in the Center for Christian Studies at Gordon College in Wenham, Massachusetts. He has written articles on German and Austrian literature, culture, politics, religion, literary theory, and linguistics. He is the co-editor of *Der untote Gott: Religion und Ästhetik in deutscher und österreichischer Literatur des 20. Jahrhunderts (2007)* and the editor of *Derrida und danach? Literaturtheoretische Diskurse der Gegenwart (2008)*.

Evan Torner is a doctoral candidate in German studies and film studies at the University of Massachusetts, Amherst, where he served as the program assistant of the DEFA Film Library from 2006 to 2009. As part of the 2007 Michael Haneke Retrospective, he subtitled the majority of Haneke's television films into English. He is currently studying at the Academy for Film and Television in Potsdam-Babelsberg on a Fulbright Fellowship for the 2009–10 academic year. His academic interests include German and Austrian science fiction, Cold War genre cinema, electronic music, and race theory.

Charles Warren teaches film studies at Boston University and in the Harvard Extension School. He is the editor of Beyond Document: Essays on Nonfiction Film and, with Maryel Locke, of Jean-Luc Godard's Hail Mary: Women and the Sacred in Film. He writes frequently on film of all kinds for books and journals, and has lately worked with filmmaker Robert Gardner to produce the DVD series Screening Room and the volumes Making Dead Birds: Chronicle of a Film and Human Documents: Eight Photographers.

Acknowledgments

The present volume of essays is based in part on the international, interdisciplinary conference, "Michael Haneke: A Cinema of Provocation," organized at Boston University in October, 2007, in conjunction with the first comprehensive retrospective of Haneke's films in the U.S. and with a masterclass taught by Michael Haneke at Boston University during his visit the same month. Because the conference, the masterclass, and the retrospective brought together many people who provided a unique, stimulating environment from which the scholarship featured in this book could emerge, my list of individuals and organizations whom I wish to thank as chief curator, conference director, and editor of this volume is long. However, having the opportunity to express my gratitude fills me with excitement, pride, and many fond memories.

This book would not have been published had it not been for the original initiative of Boston University, the Goethe-Institut, Boston, and the Cultural Services of the French Consulate, Boston, to make a significant number of Michael Haneke's films available for English language audiences, critics, and scholars. My thanks go to Claudia Hahn-Raabe, former Director of the Goethe-Institut, Boston, and to Brigitte Bouvier, former Cultural Attaché at the French Consulate, Boston, for sharing the initiative to subtitle Haneke's television films with the help of a foundation grant from the Elysée Treaty Fund for Franco-German Relations in Third Countries. I would also like to thank former Dean John Schulz at Boston University's College of Communication for providing funding to supplement the grant. My gratitude also goes to Robert Gardner for his financial contribution. My very special thanks go to Karin Oehlenschläger, Programming Director at the Goethe-Institut, Boston, for her invaluable logistic assistance in coordinating many of the details of the retrospective. Heartfelt thanks also go to Hiltrud Schulz at the DEFA Film Library, University of Massachusetts at Amherst, for overseeing the subtitling of the films, which was performed by Evan Torner and Timothy Dail in Amherst and Silvia Beier in Boston. I would also like to thank the curators at our partner venues for their critical input in presenting Haneke's work, particularly

Haden Guest, Director of the Harvard Film Archive, Ted Barron, former Programmer at the Harvard Film Archive, Bo Smith, former Film Curator at the Museum of Fine Arts, Boston, and Josh Siegel, Assistant Curator of Film and Media at the Museum of Modern Art, New York. I would further like to thank Laura Kim and Craig Platt at Warner Independent Pictures for their logistic and financial support with the retrospective and for making Michael Haneke's attendance of the retrospective and the masterclass possible. My special thanks go to Ulrike Lässer at Wega Film Productions, Vienna, for providing me with curatorial information about the films and with additional materials for this volume of essays.

I would further like to thank the various individuals and organizations who have made the conference at Boston University possible. As it provided a significant foundation for much of the scholarship featured in this collection, their financial, logistical, and intellectual support has also indirectly contributed to the publication of this volume. In particular I would like to thank Andreas Stadler, Director of the Austrian Cultural Forum, New York, and Martin Rauchbauer, Deputy Director, as well as Ulrich Grothus, former Director of the German Academic Exchange Service (DAAD), New York, and his team for their generous financial contributions and their overall enthusiasm for the project. My thanks also go to Irena Grudzinska Gross and Elizabeth Amrien at Boston University's Institute of Human Sciences, to the Max Kade Foundation, New York, the Boston University Humanities Foundation, the Boston University Department of Modern Languages and Comparative Literature, and the Boston University Distinguished Professorship in the Core Curriculum. I am grateful to David Eckel at the Boston University Institute for Philosophy and Religion, for helping organize part of the conference, and to Uwe Mohr, former Director of the Goethe-Institut, Boston, who provided vital logistic support and turned the Goethe-Institut into a splendid host venue for the first day of the conference. My very special thanks go to the conference manager, Colin Root, whose organizational skills and immeasurable patience vitally contributed to the success of this event. Additional logistic help with the conference and the retrospective were provided by Aviv Rubinstein, Allison Miracco, Alan Wong, Maureen Clark, Nat Taylor, Ken Holmes, and Jamie Companeschi at the Boston University College of Communication. Most importantly, I would like to thank former Dean ad interim, Tobe Berkovitz, and Charles Merzbacher, former Chair of the Department of Film and Television, for lending financial and logistic help to the conference and for dispensing me from teaching duties during the academic year 2008/2009 to edit this volume of essays.

I would like to thank the following individuals for their stimulating contributions to the conference that, in turn, also helped shape many of the essays in this volume: Silvia Beier, Odile Cazenave, Patton Dodd, David Eckel, Mattias Frey, Fatima Naqvi, Abigail Gillman, Bradley Herling, and Karen Ritzenhoff. A special thanks also goes to the students of the Michael Haneke seminar that I taught in conjunction with the retrospective and the conference in the fall, 2007, as well as to Charles Merzbacher and John Bernstein for contributing to the masterclass taught

by Michael Haneke during his visit to Boston University. Additional research assistance and logistic support for the conference and for this volume has come from Alexander Horwath, Director of the Austrian Film Museum, and from his team, particularly Richard Hartenberger and Roland Fischer-Briand. I am grateful to T. Jefferson Kline, Julia Kostova, Peter Schwartz, and Brigitte Bouvier for lending their translation skills to various parts of the project. At Boston University I am particularly grateful to Robert Ribera, whose intelligence and skills have been indispensable in preparing the manuscript for publication, as well as to Colin Root for his careful compilation of the filmography.

At Wiley-Blackwell, my special thanks go to Jayne Fargnoli, Executive Editor, for her initiative and her vision in taking on this project, to Margot Morse, Senior Editorial Assistant, for skillfully steering the volume through the many details of the editorial process, and to Annie Jackson and Brigitte Lee Messenger for the patience and skill with which they have overseen the copy-editing.

If there is a single person whose vital support has counted for every part of this project, it is my partner, Mark Hennessey, who, in addition to lending various kinds of assistance and advice, has been a source of tremendous patience and personal support.

Finally, I would like to thank Michael Haneke for his accessibility, his cooperation, and his generosity in sharing comments about his work.

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Fatima Naqvi's essay "A Melancholy Labor of Love, or Film Adaptation as Translation: *Three Paths to the Lake*" was initially published as "A Melancholy Labor of Love, or Film Adaptation as Translation: Michael Haneke's *Drei Wege zum See*" by *The Germanic Review* (2007), pp. 291–315.

Jean Ma's essay, "Discordant Desires, Violent Refrains: *Le Pianiste* (*The Piano Teacher*)" was initially published in *Grey Room* 28, Summer 2007, pp. 6–29, and appears with kind permission of MIT Press's Journals Division.

Georg Seeßlen's essay "Structures of Glaciation" is a translated version of the author's essay "Strukturen der Vereisung" originally published in German in *Michael Haneke und seine Filme: Eine Pathologie der Konsumgesellschaft.* Franz Grabner, Gerhard Larcher, and Christian Wessely eds. (Marburg: Schüren, 2008), pp. 25–44, and appears here with kind permission of Schüren.

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Introduction

Haneke's Anachronism

Roy Grundmann

If there is a dominant characteristic that can be said to mark Michael Haneke's path as a filmmaker, it is that of anachronism. But in contrast to what the term's comparative logic implies, Haneke's anachronism did not develop gradually over the course of his by now four decades-long career. It seems to be one of its longstanding attributes, having been inscribed into his formative years as a critic, screenwriter, and script editor, and having accompanied the evolution of his filmmaking through numerous phases. In 1989, in the first important critical essay on Haneke as a filmmaker, Alexander Horwath singles out anachronism as the very quality that characterizes Haneke's late transition into theatrical feature filmmaking and that distinguishes his artistic status across this transition. Citing examples from Haneke's TV films and his 1989 theatrical feature film debut, The Seventh Continent, Horwath places Haneke among a dwindling group of filmmakers who continue to occupy a middle ground between mass commercial entertainment and the marginal avant-garde and experimental scene (1991: 39). Thematically, Haneke's films remain concerned with central problematics of modernity; aesthetically, they do not constitute radically new territory, but they do pervasively redeploy and combine stylistic idioms of four decades of European art cinema. Since The Seventh Continent premiered at Cannes, Haneke's image of being a holdout from another period has also been cultivated by the director himself. When the same festival, twenty years later, awarded its Palme d'or to The White Ribbon - an austere, two and a half hours-long, black-and-white film about a German village on the eve of World War I – it reconfirmed this image.

But if the 2009 Cannes trophy has ensured that Haneke's image as a representative of a past era remains current, adding to his cultural capital and public esteem even as it triggers a certain amount of critical ambivalence, Haneke's anachronism also adds to the factors that make him a rewarding subject of academic study. His body of work invites the full spectrum of approaches the field of cinema studies has brought to the analysis of narrative film. Consisting of twenty-one feature films¹ that were made over four decades in two media, in several countries, different

languages, and divergent production contexts, Haneke's career is marked by detours and deferrals, belated debuts, and retroactively bestowed memberships. It constitutes a fertile case study for film historians and theorists alike. For historians Haneke's films exemplify the intertwined relations between television and national cinema on the one hand and transnational auteurism and art cinema on the other. For theorists, they raise intriguing questions regarding narrative structure, genre, spectatorship, and the ontology of the image, while also proving of interest to broader debates on the relationship between aesthetics, philosophy, and history, and presenting an intriguing challenge to aesthetic and philosophical periodization. While evincing strong affinities with philosophical and cinematic modernism, Haneke's films also address phenomena associated with postmodernism. Notwithstanding Haneke's own modernist posturing and postmodern critics' eagerness to take him by his word, it may be his films' dual referencing of the modern and the postmodern that merits further interest in them.

Born in 1942, Haneke is too young for his modernism to be based on generational membership. Instead, he has assimilated modernism through academic exposure to a broad literary and artistic canon. He has been influenced by a range of authors that include Stéphane Mallarmé, Jean Améry, Joseph Roth, Thomas Mann, Franz Kafka, and Ingeborg Bachmann; by composers Franz Schumann, Alban Berg, and Arnold Schönberg; by philosophers Theodor W. Adorno, Lucien Goldman, and Albert Camus; and, of course, by the filmmakers of the high modernist generation, specifically Robert Bresson, Ingmar Bergman, Michelangelo Antonioni, and Andrei Tarkovsky. Haneke's age sets him two generations apart from these directors, and still one full generation from most proponents of the various European new waves, whose more playful and irreverent films, together with those of the high modernists, formed the apogee of European art cinema, a period that lasted approximately from the mid-1950s to the early 1970s.

This period constitutes Haneke's formative years as an intellectual and his early phase as a director, which is accounted for in detail in Horwath's essay. In the early 1960s, after abandoning a career as a concert pianist, Haneke studied philosophy and literature at the University in Vienna. He worked as a feuilleton critic and, from 1967 to the early 1970s, as a script editor for television. But this job did not lead to any opportunities for directing films. He wrote his own screenplay, which garnered a major film subsidy award but went unproduced, which caused him to leave television and find work in theater. His growing reputation as a stage director finally earned him a directing commission from his erstwhile employer, the regional southwest German network SWF (Horwath 1991: 15). His first film, *After Liverpool* (1974), was a low-budget two-person drama based on James Saunders's play about the oppressive dynamics and entropic patterns of relationships, paying particular attention to the onset of routine, non-communication, alienation, and malaise. These issues would become standard Haneke themes.

Haneke's choice of source material suggests his critical interest in the bourgeoisie.² But in contrast to, say, Rainer Werner Fassbinder or Jean-Luc Godard, who also

came from a bourgeois background, Haneke did not become politically radicalized during the 1960s. Instead of understanding contemporary politics through Marxist models of thought, as was highly common during this period, he was interested in more traditional humanist issues, in metaphysical themes and what he perceived as Western civilization's pervasive spiritual crisis. Thus, he remained closer to Sartre and Camus than to Mao and Marx and he took a particular interest in the religious philosopher Blaise Pascal. Though he developed a keen eye for the problems of his own class, his work did not focus on class struggle, imperialism, or the oppression of third world countries. While eager to work creatively in film and theater, he neither founded a political cinema collective (as Godard did with the Dziga Vertov Group), nor did he join any radical experimental theater group (such as Fassbinder's Antitheater). Instead of fighting the state, whether on the streets or in front of the Cinémathèque Française, he went to work for it, reading scripts for state-funded television. In the early 1970s Haneke quit his full-time job at the network, but his relationship to television would have a lasting impact on his career. Not only would TV remain a central source of film funding for decades to come, but it also in complex ways defined Haneke's status as a national and transnational filmmaker.

Television, Auteurism, and National Cinema

Although the heyday of the new waves was over by the time Haneke got to make his first film, their German variant had produced a second generation of filmmakers who were Haneke's age or slightly younger. By the mid-1970s, these directors, most notably Fassbinder, Werner Herzog, and Wim Wenders, were becoming international art house directors, making what was called the New German Cinema the decade's dominant European art cinema and, in fact, one of the last national cinemas in Europe to stand in this tradition. However, while the New German Cinema relied heavily on television for the financing and exhibition of many of its films and while most of Haneke's TV films of the 1970s and 1980s were made either exclusively by or with coproduction monies from various German television stations, Haneke did not become part of Germany's national film culture. Of course, we need to acknowledge that Haneke, while born in Germany, grew up in Austria and has lived there most of his life. But this statement does not, in and of itself, constitute an argument about the nationally specific aspects of Haneke's filmmaking. The question of national identification (in which citizenship is, in any case, only one aspect) is rather complex, because it tends to raise more questions than it answers about the national as a discursive category and about the cultural, institutional, and historical registers in which it gets debated and defined.3 Raising these questions is partially the purpose of this introduction, and, as we have just started to see, looking at European cinema from the 1960s on means taking into consideration the institution of state-sponsored television.

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The significance of television for Haneke's pre-theatrical feature career is twofold: it provided relatively steady employment for him as a filmmaker and it kept his films from being defined in terms of national cinema. Instead of becoming a nationally identified filmmaker (in either country) with the consequent effect of gaining international auteur status, Haneke during the 1970s and 1980s remained a sought-after theater director (more on this shortly) and a moderately wellrecognized television director in both countries. Given the institutional nature of his TV films, they were treated as in-house commissions, holding the same for-broadcast-only status as most made-for-TV films.⁴ Foreclosing theatrical distribution and also, at least until the mid-1980s, any opportunities for film festival participation, the modality of the in-house commission also made subsidy monies less visible, so that these funds, in Haneke's case, never acquired the status of distinct and publicly acknowledged awards. In Haneke's career, film subsidy did not become a factor of publicly bestowed prestige or publicly debated merit – his films never became politicized as art funded with taxpayers' money.⁵ And while a small number of negative reviews vaguely echoed the populist attacks on state-funded art that were regularly leveled against the New German Cinema, Haneke's TV films never reached the level of attention accorded the New German Cinema's star directors, nor did the press associate him with this cinema even in general terms or in passing. This does not mean that Haneke did not share some of this cinema's proclivities, such as the construction of a self-conscious mise-en-scène that probed film's capabilities for producing both truth and illusion, as well as a preoccupation with such topics as postwar historical amnesia and the postwar generation's historical isolation and psychological alienation. Haneke also shares the New German Cinema's acute awareness of the profound impact of American movies and pop culture on postwar Europe - but in contrast to Wenders's and Fassbinder's complicated love-hate relationship to Hollywood, Haneke has been considerably more critical, to the point of categorically rejecting Hollywood's function as provider of entertainment.

Most of Haneke's TV films have been coproductions between Austrian and German television stations. In briefly outlining their characteristics in terms of nationally related themes and contexts, it should be noted that their status as coproductions in and of itself ensured a binational cultural legibility and an overlap of themes related to both national contexts. If Haneke, despite this dual legibility, can ultimately be read more or less clearly as an Austrian filmmaker, this argument, too, requires the kind of detail I want to provide below. By way of initial overview, we note that Haneke's career as a TV director veered from predominantly Austrian concerns in the 1970s to more German or international concerns in the 1980s and back to a more Austrian frame of reference in the 1990s. *Three Paths to the Lake* (1976), which the director himself has characterized as his first "real" film, adapts Austrian author Ingeborg Bachmann's story about an Austrian professional woman's melancholic return to her hometown in Carinthia, in the course of which the film develops a dense system of references to twentieth-century Austrian history.

His next film, the two-part drama Lemmings (1979), is a portrait of Haneke's own generation of Austrians, who came of age during the postwar decades. He depicts them at two historical moments, the late 1950s and the late 1970s, at which point the unacknowledged shortcomings of their youth, partially caused by Austria's inability to deal with the mid-century tension between tradition and modernity, have evolved into more pervasive dysfunctions. While Three Paths to the Lake and Lemmings were Austrian-German coproductions, two of the three television films Haneke made during the 1980s were exclusively produced in Germany and all three are explicitly related to German settings, topics, and cultural attitudes. Variation (1983), produced by a Berlin TV station (SFB), is a semi-comic story about a man and a woman's illicit love affair that is set in Berlin. It takes Goethe's drama Stella (about a triangular relationship) as its point of departure and its spectatorial address probes questions of the public sphere and TV's role as a consensusbuilding artistic medium. The Austrian–German coproduction Who Was Edgar Allan? (1984), though set in Venice and based on the book of Austrian novelist Peter Rosei, had the thematic and stylistic hallmarks of an "American Friend"-type story, replete with the kinds of nationally inflected Oedipal overtones, identification patterns, and meta-cinematic phantasmagorias that the New German Cinema became famous for. Fraulein (1986), an exclusively German production, is the story of a woman who runs a movie theater in a small town in post-World War II West Germany and who has to deal with her husband's release from a Russian POW camp ten years after the war. It was intended as a response to Fassbinder's The Marriage of Maria Braun (1979). Haneke's three TV films of the 1990s are once again related to a more specific Austrian context: the Austrian production Obituary for a Murderer (1991) is an experimental collage of an episode of a well-known Austrian talk show that dealt with a horrific killing that had shocked Austria the previous year. The Rebellion (1992), another exclusively Austrian production, is an adaptation of Joseph Roth's novel about a war veteran's failed integration in post-World War I Vienna. The Castle (1997), which was coproduced by Austrian Television, Bavarian Broadcasting, and the Franco-German network ARTE, is an adaptation of Franz Kafka's unfinished novel about a land surveyor's paralyzing social and professional entanglements in the fabric of a rural town. It has a specific Austrian frame of reference below its pan-national relevance.

Before discussing the specifically Austrian dimension of Haneke's work, we ought to understand the broader impact that television had on Haneke's evolution as a filmmaker and his status as an auteur. Haneke perceived television's emphasis of its communicative function and educational mission as a confinement of artistic possibilities. That his television films regularly constituted departures from, in some cases overt violations of, the medium's mandatory embrace of realism is self-evident. But their proto-cinematic aesthetics notwithstanding, these films, at the time of their broadcast, garnered neither the institutional definition nor the cultural trappings of cinema. This also affected Haneke's auteur persona: while his de facto creative efforts and his staging of authorship may have been no less defined

by gestures of heroism, self-sacrifice, and rebellion against his main sponsor than were common for the directors of the New German Cinema, these gestures went unnoticed. When Who Was Edgar Allan?, which has a distinctive art cinema look, was invited to screen at the 1985 Berlin Film Festival,6 the moment was marked by one of the ironies that have accompanied Haneke's artistic path and that constitute, as it were, his anachronism: just when he was poised to enter the institutional circles of art cinema, the kind of art cinema that he had been adulating and had found worthy of engaging was about to vanish. Its end was not merely metaphoric. Bergman officially retired in 1984 after making Fanny and Alexander; Antonioni had started to work for television, the medium Haneke was trying so hard to transcend; Fassbinder died in 1982, Truffaut in 1984, and Tarkovsky in 1986. But no matter the significance one might want to attribute to these individual markers of demise, just as important is the fact that, in terms of actual film output, modernist art cinema had already been superseded by a new, more adamantly postmodern European cinema that had little investment in upholding a divide between art and popular film. It was made by a new generation of filmmakers who were Haneke's juniors. They included Pedro Almodóvar (Dark Habits, 1982; Labyrinth of Passion, 1983; What Have I Done to Deserve This?, 1984), Stephen Frears (My Beautiful Laundrette, 1985), and Jean-Jacques Beineix (Diva, 1981; The Moon in the Gutter, 1983; Betty Blue, 1986).

But in what constitutes a further ironic twist, by the late 1980s it was arguably the very disappearance of the kind of art cinema with which Haneke had identified, but to which he stood at a historical remove, that created a vacuum for Haneke to step into, a need to which he could respond by more or less self-consciously assuming the persona of the last modernist. Its subtending attributes comprise the image of someone whose films resist pretty pictures and a slick commercial look; of someone whose films have controversial topics and idiosyncratic treatments, but eschew shock value for its own sake; of someone projecting a moral conscience that defines any assault on the spectator as an invitation to engage an argument - and, in this sense, of someone who proposes ethics not only as a topic of his films but as a central vector defining the filmgoing experience itself.⁷ Thus, if Haneke's theatrical features since The Seventh Continent have incurred such labels as difficult, didactic, rarefied, abstruse, and excessively dark, I would argue - without detracting from Haneke's artistic project - that these adjectives say less about the films themselves than about art cinema as a cultural construct. Its nomenclature is eagerly taken up by distributors, particularly if the label in question helps generate controversy and aids the promotion of the film. And it tends to emerge in close interrelation with the persona of the filmmaker as auteur with whom the film forms a product package in the circuits of international art film exhibition.

When Haneke's auteur image fully emerged around the release of *The Seventh Continent*, which, in addition to screening at Cannes, received awards at the Flanders International Film Festival and the Locarno International Film Festival, it

instantly threw into relief an auteur persona's requisite features, which are structured in terms of oppositions. On the one hand, the status of auteur, particularly if freshly bestowed, signals a sense of freshness, of being new, unused, and innovative, and it also implies provocation, perhaps even rebelliousness - all of which signify a break with the status quo. On the other hand, auteurs tend to project artistic, cultural, and, in some cases, political expertise, all of which also imply a certain authority that, in turn, already invites their alignment with tradition (if only at some point in the future, when they can be assimilated into lineages and are worthy of retrospectives). Haneke instantly fits the bill: The Seventh Continent was provocative, but not brattish. Its minimalism and its putatively nihilistic ending were controversial and confrontational, yet it projected gravitas – just like the artist himself, who was appealingly new and unknown, while also reassuringly middle-aged and "serious" about his work, so that authority itself could become a central part of his image. In this sense, Haneke's auteur persona is not simply the work of a self-fashioned self-promoter, but the result of a complex dialog between the auteur in question and film festival organizers and audiences, film and television producers, feuilleton critics, and "the public," to the extent that the latter pays attention to these discourses by following the arts pages in newspapers and their counterparts on late night television.

If Haneke in the decades after his first Cannes appearance would cultivate the persona of the serious artist, it was not the least in order to meet the public on the level it had designated for him. To this day, during audience Q&As and at press conferences, Haneke has no problem letting the audience know if he is dissatisfied with their questions. His answers can be teasingly short or elliptical, or they tend to take the form of counter-questions, or he answers a specific question with a more general, often parable-like story or by drawing on literary or philosophical references. By acting this way, however, Haneke is far from being uncooperative. "Difficulty" becomes a generic expectation. Add to this the inevitable observation that Haneke looks like a continental intellectual (full beard, gray hair, pensive expression), that he dresses like a continental intellectual (mostly in black), that he speaks like a continental intellectual (French and German; no English, please), and that he generally behaves like a continental intellectual (he is a careful listener; his demeanor ranges from the measured to the reticent; he tries to be polite and frequently smiles).

But the carefully fine-tuned anatomy of Haneke's auteur persona should not be seen exclusively against the background of the film world with its specific economic pressures, cultural protocols, discursive rituals, generic expectations, and psychological dynamics. What most commentators have thus far missed in assessing Haneke's persona and artistic development is the fact that he has, to a very significant extent, also been a theater director, and a rather prolific and prominent one at that. In contrast to Fassbinder, whose theater work quickly took the back seat to his filmmaking and was always firmly identified with bohemian radicality and considerable controversy, Haneke, with increasing success as a stage

director, came to occupy a kind of middle position in German and Austrian theater similar to the one that would mark his status as a filmmaker. He situated himself between the radical experimental scene of urban subcultures and alternative spheres and the commercial orbits of musical theater, folk theater, and boulevard comedy. All through the 1970s and into the 1980s, he systematically pursued engagements at prestigious houses in the theater centers of Germany – Hamburg, Düsseldorf, Darmstadt, Berlin.⁸ Building a reputation with his direction of bourgeois classics and the modern repertoire rather than authoring or staging experimental plays, he became appreciated among the educated bourgeoisie because he provoked audiences with intellectual arguments and with his solid professional skills (evident, most importantly, in his famously creative and precise direction of the actor) rather than through improvised performance pieces, absurdist scenarios, neo-dadaist shock experiments, or Marxist-inflected manifestos that were the domain of the politically radicalized avant-garde of the 1960s and 1970s.9 Haneke's detour into theater ended up having a lasting impact on his career, as it significantly contributed to an intermedially defined persona and, indeed, an intermedially defined body of work. By this I do not mean that Haneke's films looked "stagey" or were structured like plays. Rather, his exposure to theater further broadened his already considerable and very precise understanding of the inherently textual nature of art and the literary possibilities of expression that can inform the medium of film. A further irony thus lies in the fact that, while Haneke's long years in the theater seem to underscore the anachronistic qualities of his emergence as a film director, it is this enforced sojourn outside of film that has made his films formally more advanced.

A final aspect should be considered with regard to the anachronism of Haneke's auteur persona, and it returns our discussion to the context of national cinema. Haneke's artistic identity never became determined by the same Oedipal dynamics that so heavily shaped the French New Wave and the New German Cinema. For filmmakers of both these cinemas, who had to overcome their own historical disconnectedness from earlier cultural and cinematic traditions, it became de rigueur to select in demonstrative, even ritualistic fashion a coterie of surrogate father figures either from Hollywood's studio era or from among the ranks of European modernist artists and intellectuals, whose work and influence had been disrupted by fascism and the war. New wave directors' adoption of such figures was widely perceived to be an act of historical bridging and, thus, a successful negotiation of burdensome historical legacies, which, in turn, made them and their work altogether contemporary and redemptive. It is not that Haneke's films and his artistic identity are free from Oedipal issues - a point I will discuss shortly. But in Haneke's case, these dynamics played out differently - and I believe there are two reasons for it.

First, because of the close association between auteurs and national cinemas, filmmakers' Oedipalized attempts to grapple with issues of generational succession and artistic legacy are intertwined with imaginary and actual negotiations of

nationhood, national history, and national identity. But precisely to the extent that these dynamics are contingent on specific national histories and were played out among a particular generation of filmmakers, Haneke represents an uneasy fit. With Haneke being too young even to be a new wave filmmaker, and being neither fully German nor fully Austrian, let alone French, artistic identification with(in) specific national contexts was hampered by a lack of membership in any of the groups that constitute national cinema as a historical and socio-cultural formation. As became clear in the cases of the New German Cinema and the French New Wave, the dynamics of obtaining symbolic membership in a national project of reconstructing cultural lineages and realigning artistic traditions pivoted on highly publicized acts of transference that were the product of filmmakers' personal friendships, mentoring relations, elective affinities, and fate-wrought affiliations with past artistic and intellectual leaders and role models. In Haneke's case, however, there was no attempt to compensate for his generational distance to European modernism by seeking out personal relations to figures such as Adorno or Bresson.

Certainly, Haneke's transnational status has not prevented his identification with both German and Austrian cultural traditions and legacies - which is most overt in Haneke's literary adaptations. But if one looks at the films themselves as an index of such national identification, and particularly at the way this identification is filtered through the director's imaginary relation to the paternal and the filial, we see the second reason why Oedipal issues in Haneke's films play themselves out differently from the new wave films. In contrast to Wenders, Herzog, and Truffaut, Haneke does not imagine himself on one level with his filial characters. With the exception of Lemmings, their purpose is not to become more or less direct stand-ins for the director himself, and, as it were, to invite the audience to empathize with him through them. If one wanted to look for biographical details about Haneke and his generation as inscribed in his films, one would have to note that Haneke, more than anything, seems to identify himself with the prominent postwar figure of the absent father. Here I don't even mean to refer to any actual depictions of initially absent but suddenly returning, inevitably dysfunctional, and ultimately failing fathers. While these certainly have a presence in Haneke's cinema, what I have in mind is the condition of paternal absence as such that Haneke has come to identify with.¹¹ This condition of absence – which is extendable to a range of experiences of loss that characterize modernity as the period of the decline of master narratives - structures Haneke's films on a very basic level. It determines their visual dispositif, their spectatorial address, and the fragmented state of their diegetic world.

Individually and as a body of work, the films function as an edifice, a house of sorts, that all protagonists get to live in as "children" – along with us, the audience, who become their siblings of sorts. When the kids become naughty – when they start wrecking the furniture, kill off a few guests, other home owners, random strangers, or even themselves, or, to cite an alternate scenario, when outsiders break into the house and pose a threat – the father remains aloof. He leaves it up to his

audience to figure out why and how we, as puzzled and perturbed siblings and as menaced "co-tenants," should care about these goings-on. In this sense, Oedipal issues do assume a political-allegorical dimension in Haneke's cinema. But with the exception of *Lemmings*, they constitute a departure from, or even reversal of, the well-known filial dynamics of (self-)identification so common to other national cinemas and auteurs, for whom the part of fictional son and/or quasi-orphan became a place holder on whom they projected their own self-image as heirs to a disrupted history (Rentschler 1984: 103; Elsaesser 1989: 207; Kaes 1989).

However, the fact that the transnational nature of Haneke's personal and professional pedigree does not as easily fit the mold of a single nation's lost, exiled, or orphaned son did not mean his auteur persona became altogether lost to the discourse of national cinema and its twin projects of revising history and bridging historical discontinuities. In Haneke's case, however, his relative anonymity as a TV director for some time kept these twin projects from being officially attributed to the artistic labor of an auteur. When the auteur eventually emerged, this attribution, as is by no means unusual within the discourse of auteurism, had to proceed backwards. In fact, the construction of Haneke as an auteur was partially contingent on critics' appreciation of the construction of history in his films. Both were acts of reconstruction and, in their combined effort, led to a third axis of reconstruction: Critics and scholars who focused on reading Haneke's films as reflections on Austrian history also read these works as constitutive elements of Austrian film history. Haneke's belated and retroactive construction as an auteur thus went hand in hand with the overdue reconstruction of Austrian film history in terms of national cinema.

It is here that Haneke's anachronism generated another irony: Haneke's emerging auteur status became explicitly linked to the concept of Austrian national cinema at the moment when the very category of national cinema began to lose its significance, a process which was ushered in by the globalization of film financing structures and the rise of transnational cinemas, and which occurred more or less concurrently with the demise of art cinema and its great names. In this sense, even as this multilayered process of re/constructing the auteur and his national cinema was coming under way in the form of career overviews by critics such as Horwath, Haneke's emerging persona became heavily inflected by a new set of terms. These marked the era's shift away from expressing authorship through narratives of exile, return, and sacrificial heroism towards demonstrations of professionalism and a virtuoso command of film's artistic resources, capacities, and potentials (Elsaesser 2005: 51). In other words, the traditional figure of the auteur as a nation's prodigal son – a compendium of the divine bestowal of creative genius and the vicissitudes of biography - was left behind in favor of a self-conscious performance of the author as a globally recognizable craftsman, storyteller, and stylist.

The 1989 premiere of *The Seventh Continent* thus became a cumulative index of the anachronisms and ironies that mark Haneke's status as auteur and representative of a national cinema. One of three Austrian films in the Cannes program,