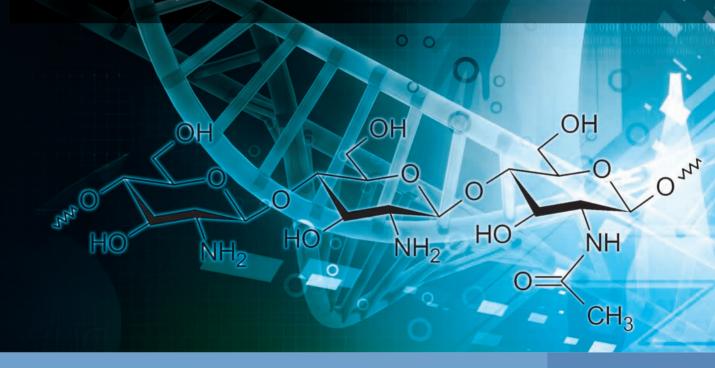
# CHITOSAN-BASED SYSTEMS FOR BIOPHARMACEUTICALS

DELIVERY, TARGETING AND POLYMER THERAPEUTICS





## **Chitosan-Based Systems for Biopharmaceuticals**

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Delivery, Targeting and Polymer Therapeutics

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## **Foreword**

The reading of the book Chitosan-Based Systems for Biopharmaceuticals: Delivery, Targeting and Polymer Therapeutics has given me great pleasure because it represents a nice illustration of the area of research to which I have dedicated an important part of my research career. It was in the early 1990s, working at MIT with Bob Langer on the encapsulation of proteins within poly(lactide-co-glycolide) (PLGA) microspheres, that I became conscious of the necessity of new biomaterials for the controlled delivery of delicate compounds, that is, biopharmaceuticals; biomaterials which would be friendly with the associated compounds; biomaterials which could be converted into nanoparticles using mild techniques; and biomaterials that could have a low price based on their wide availability in nature. Chitosan comes to my mind as a wonderful biomaterial fulfilling all these desirable properties. Our goal was to convert chitosan powders into nanoparticles using a procedure that would be adequate for the association of biopharmaceuticals. We were then the first authors reporting the ionotropic gelation technique for the association of proteins to chitosan nanoparticles in 1997. Now, it is amazing for me to see how the history of this biomaterial has evolved. We find thousands of articles and hundreds of patents using the keywords "chitosan nanoparticles." It is, indeed, the biomaterial that has attracted the most significant research attention in the area of nanodrug delivery. As a consequence of this accumulated information, we got to know this unique material quite well. For example, we currently recognize how we can engineer this material in order to make it useful for a variety of interesting biomedical applications and, even more importantly, we can appreciate how this biomaterial is making its way to a final purpose: to provide us with new solutions for improving our health and quality of life.

This book will be of great value to those readers who want to know about chitosan from the perspective of its potential for the delivery of biopharmaceuticals. Following an introductory section, the book is divided in three major parts. The first part is about the general properties of chitosan, with emphasis on the physical—chemical properties that are critical for processing it into adequate delivery systems and also on those of relevance for its use as a biomaterial for human use (biocompatibility and biodegradability). In addition, this part presents the inherent biological properties of chitosan, its behavioral mechanism of action upon contact with living cells and tissues, and the way it interacts with drugs and more precisely with delicate biomolecules such as peptides, proteins, antigens, and nucleic acid-based biocompounds. This part ends by presenting the possibility of chemically modifying chitosan in order to further extend the properties and functionalities of chitosan with regard to its use for the delivery of biopharmaceuticals.

In the second part of the book, the reader will find a great display of the possibilities of chitosan being processed into different pharmaceutical forms, starting by conventional dosage forms and continuing to microand nanoparticles. This part logically focuses on the special mucoadhesive properties of chitosan and, thus, on its potential for mucosal drug and vaccine delivery.

The third part is particularly illustrative of the degree of chitosan evolution as a biomaterial. It presents various ways to chemically modify and engineer chitosan in order to make it attractive for a variety of interesting applications, including wound dressing, targeted drug delivery, tissue engineering, and regenerative medicine.

The fourth and final section is without a doubt the most critical one for those who want to know where we stand on the prospects of chitosan as a biomaterial for drug delivery. This section complements the first one regarding the toxicological properties of chitosan under the perspective of the regulatory path and presents the

#### xxiv Foreword

quality control and good manufacturing practice required for chitosan-derived products. Most significantly, this part covers the amazing information available on chitosan patents and the patentability of chitosan-based biopharmaceutical products, this one being one of the most important applications of chitosan.

Overall, the book presents, in a didactic and well-structured form, critical information for readers interested in the delivery of biopharmaceuticals. It would also be of great benefit for researchers attempting to design, produce, and characterize new biomaterials. It would, of course, also be of interest for any student or researcher interested in the growing field of nanodrug delivery.

María José Alonso Professor of Biopharmacy and Pharmaceutical Technology University of Santiago de Compostela (USC), Spain

### **Preface**

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Since the market launch in 1982 of the first recombinant "human" insulin (Humulin<sup>®</sup>, Eli Lilly, Indianapolis, IN, United States), biopharmaceutical medicinal products have seen a steady rise (with particular boosting in recent years) as important tools of modern therapeutics. With an estimated global market of over \$US 167 billion by 2015 [1], biopharmaceuticals are currently widely recognized as highly effective molecules in the management of many metabolic, oncologic, and infectious diseases, as well as in the prevention and *in vivo* diagnosis of such diseases. This particular class of pharmaceuticals is quite heterogeneous and not always clearly defined, comprising different active biological molecules of different complexity such as proteins, peptides, and nucleic acids, among others, which are of biological origin and/or manufactured by biotechnological techniques, usually involving living organisms, cells, or their active components [2]. However, unfavorable physical—chemical properties, poor stability, low permeability, and unsuitable biodistribution of biopharmaceuticals pose important challenges for their adequate pharmaceutical formulation and delivery, and thus their use in therapy. In particular, the challenges in developing adequate materials and systems that allow the use of biopharmaceuticals in daily life are huge. Among the wide variety of proposed solutions for advancing the field [3,4], delivery systems based on chitosan and derivatives have deserved recent singular attention.

The history of chitosan dates back to 1859, when French physiologist Charles Rouget (1824–1904) described the deacetylation of chitin by means of its boiling in the presence of concentrated potassium hydroxide [5]. Immediately, he recognized that the newly obtained product was soluble in acidic solutions, contrasting with the water-insoluble nature of native chitin, thus opening new possibilities for its use. However, it wasn't until 35 years later that the modified chitin received the name "chitosan", which has been attributed to the German physiologist and chemist Felix Hoppe-Seyler (1825–1895) [6]. Nearly one century went by until this modified natural polymer started receiving enough attention as a useful material to be used in the design of drug products [7–9]. Over the years, the study of chitosan revealed that it exhibits several favorable biological properties, such as biocompatibility, biodegradability, low toxicity, and mucoadhesiveness, thus making this polymer a promising candidate for the formulation of biopharmaceuticals. More than a simple excipient for the design of conventional pharmaceutical dosage forms, the development of novel biopharmaceutical delivery systems based on chitosan is a rising subject irrespective of the intended route of administration.

In the present book, renowned experts and researchers from academia, industry, and regulatory bodies provide a concise and up-to-date overview of different issues regarding the application of chitosan and its

derivatives for the development and optimization of biopharmaceutical medicinal products. The book is divided in four different parts. Part One discusses general aspects of chitosan and derivatives, with particular emphasis on issues related to the development of biopharmaceutical chitosan-based systems, comprising a useful background for the following chapters. Part Two deals with the use of chitosan and derivatives in the formulation and delivery of biopharmaceuticals, and focuses on the synergistic effects between chitosan and this particular subset of pharmaceuticals. Further, Part Three continues and complements the previous part by discussing in detail specific applications of chitosan and/or some particular derivatives for biopharmaceutical use. Finally, Part Four presents diverse viewpoints on different issues such as the regulatory, manufacturing, and toxicological requirements of chitosan and its derivatives related to the development of biopharmaceutical products, as well as their patent status and their clinical application and potential.

We expect this book to provide scientists and researchers in the fields of drug delivery, material science, medical science, and bioengineering, as well as professionals in the pharmaceutical, biotechnology, and healthcare industries, with an important compendium of fundamental concepts and practical tools for their daily activities. Also, the broad emphasis on different regulatory issues may turn this book into a relevant starting point for discussion among worldwide regulatory bodies, drug policymakers, and biopharmaceutical companies in pursuing suitable biopharmaceutical products based on chitosan and its derivatives, mostly due to their undoubtedly favorable properties.

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