## SPRINGER BRIEFS IN ENVIRONMENTAL SCIENCE

Alex Caveen Nick Polunin Tim Gray Selina Marguerite Stead

# **The Controversy over Marine Protected Areas** Science meets Policy



SpringerBriefs in Environmental Science

SpringerBriefs in Environmental Science present concise summaries of cutting-edge research and practical applications across a wide spectrum of environmental fields, with fast turnaround time to publication. Featuring compact volumes of 50 to 125 pages, the series covers a range of content from professional to academic. Monographs of new material are considered for the SpringerBriefs in Environmental Science series.

Typical topics might include: a timely report of state-of-the-art analytical techniques, a bridge between new research results, as published in journal articles and a contextual literature review, a snapshot of a hot or emerging topic, an in-depth case study or technical example, a presentation of core concepts that students must understand in order to make independent contributions, best practices or protocols to be followed, a series of short case studies/debates highlighting a specific angle.

SpringerBriefs in Environmental Science allow authors to present their ideas and readers to absorb them with minimal time investment. Both solicited and unsolicited manuscripts are considered for publication.

More information about this series at http://www.springer.com/series/8868

Alex Caveen • Nick Polunin • Tim Gray Selina Marguerite Stead

## The Controversy over Marine Protected Areas

Science Meets Policy



Alex Caveen Seafish Grimsby United Kingdom

Nick Polunin School of Marine Science and Technology Newcastle University Newcastle on Tyne United Kingdom Tim Gray School of Geography, Politics and Sociology Newcastle University Newcastle on Tyne United Kingdom

Selina Marguerite Stead School of Marine Science & Technology Newcastle University Newcastle on Tyne United Kingdom

 ISSN 2191-5547
 ISSN 2191-5555 (electronic)

 ISBN 978-3-319-10956-5
 ISBN 978-3-319-10957-2 (eBook)

 DOI 10.1007/978-3-319-10957-2
 Springer Cham Heidelberg New York Dordrecht London

Library of Congress Control Number: 2014948602

#### © Springer International Publishing Switzerland 2015

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

*This book is dedicated to Ian and Pam Caveen* 

#### Acknowledgements

We would like to thank two UK research councils—the Natural Environment Research Council and the Economic and Social Research Council—for indirectly funding this project.

#### **Executive Summary**

This book is a contribution to a controversy which has pre-occupied marine governance across the world during the past 20 years, and shows little sign of resolution. This is the debate over whether marine reserves (MRs) are a better means of protecting commercial fish stocks and marine biodiversity than is conventional fisheries management (CFM), which includes quota restrictions, gear regulations, and minimum landing sizes, combined with multi-use marine protected areas (MUMPAs). The debate is between 'nature protectionists' (NPs) who argue for an extensive network of marine reserves (MRs) or no-take zones (NTZs) in which all fishing activity would be legally prohibited; and 'social conservationists' (SCs) who argue for CFM complemented by carefully selected spatial restrictions designed to protect spawning areas of target fish and biodiversity. This book has six objectives: (a) to explain the extraordinary speed with which the NP argument gathered pace to make MRs the most favoured global policy initiative in current marine management policy (Chap. 2); (b) to confirm the ascendancy of the MR model in the academic literature (Chap. 3); (c) to discuss whether scientific advocacy for MRs has exceeded the limits of scientific objectivity by introducing a pro-MR bias into the peer-review process (Chap. 4); (d) to examine the scientific credentials of the case for MRs (Chap. 5); (e) to test whether NP or SC discourses have prevailed in the recent designation of marine conservation zones (MCZs) in the UK (Chap. 6); and (f) to discuss the wider implications of the debate between NR and SC, including whether they can be reconciled in practice if not in principle (Chap. 7).

#### Contents

1	Intr	oduction	1
	1.1	The NP Argument	2
		1.1.1 Empirical Dimension	2
		1.1.2 Normative Dimension	5
	1.2	The Social Conservationist Argument	5
		1.2.1 Empirical Dimension	5
		1.2.2 Normative Dimension	8
	1.3	Growth of MPAs	11
	1.4	Structure of the Book	14
2	The	Rise and Rise of the Marine Reserves 'Bandwagon'	15
	2.1	Introduction	15
	2.2	Policy Networks	15
		2.2.1 Epistemic Community	16
		2.2.2 Advocacy Coalitions	19
	2.3	Conclusion	23
3	Bibl	liometric Test of the MR 'Bandwagon'	25
	3.1	Introduction	25
	3.2	Methods	25
		3.2.1 Social Network Analysis	26
		3.2.2 Citation Analysis	26
	3.3	Results and Discussion	27
	3.4	Conclusion	33
4	Bias	s in the Peer-reviewed Literature, and Crossing the	
	Scie	nce/Policy Divide	35
	4.1	Introduction	35
	4.2	Pro-MR Bias	36
		4.2.1 Methods	37
		4.2.2 Results and Discussion	38

	4.3	The Science/Policy Divide	45
		4.3.1 The Linear Model	45
		4.3.2 The Deliberative Model	45
		4.3.3 Is Scientific Advocacy of MRs Acceptable?	47
	4.4	Conclusion	49
5	Crit	ique of the Scientific Evidence for Fisheries Benefits of MRs	51
5	51	Introduction	51
	5.2	Methods	52
	0.2	5.2.1 Data Collection	52
		5.2.2 Literature Classification	52
	5.3	Results	53
	0.0	5.3.1 Empirical Studies	53
		5.3.2 Theoretical Studies	59
	5.4	Discussion.	62
		5.4.1 Drawbacks of Targets	62
		5.4.2 Skewed Focus of Literature	65
		5.4.3 Mixed Evidence	68
		5.4.4 Difficulties of Enforcement	79
	5.5	Conclusion	80
6	Cas	a Study of the (English Dations)	01
0		Introduction	01
	6.1	Sources of Data	01
	6.2	Paculte and Discussion	04 85
	0.5	6.2.1 Planning Work Preceding MCAA Drafting (1000, 2006)	0 <i>3</i> 85
		6.3.2 Planning of MC7s (2006, present)	03
		6.3.2 Thanning of MCZS (2000-present)	100
	61	Conclusion	109
	0.4	Conclusion	112
7	Con	clusion	113
	7.1	Introduction	113
	7.2	Summary	114
	7.3	Wider Implications	115
		7.3.1 Role of Politics in the MR Debate	116
		7.3.2 Role of Scientific Advocacy in the MR Debate	120
		7.3.3 Role of Stakeholders in the MR Debate	123
		7.3.4 Role of Caution in the MR Debate	125
		7.3.5 Reconciliation Between NP and SC	128
	7.4	Conclusion	133
Re	feren	ces	135
Ind	dex		157

### **List of Abbreviations**

ABNJ	areas beyond national jurisdiction
AC	advocacy coalition
AMSA	Australian Marine Sciences Association
BACI	before-after-control-impact
BDACI	before-during-after-control-impact
BIOT	British Indian Ocean Territory
BMR	Barbados Marine Reserve
CBD	Convention on Biological Diversity
CCAMLR	Convention for the Conservation of Antarctic Marine Living Resources
CEFAS	Centre for Environment, Fisheries and Aquaculture Science
CFC	chlorofluorocarbon
CFM	conventional fisheries management
CFMA	conventional fisheries management approach
CFP	Common Fisheries Policy
CPUE	catch-per-unit-effort
Defra	Department for Environment, Food and Rural Affairs
EBA	ecosystem-based approach
EBFM	ecosystem-based fisheries management
EBM	ecosystem-based management
ECHR	European Court of Human Rights
EDM	early day motion
EEZ	exclusive economic zone
EMS	European marine site
ENG	ecological network guidance
ENGO	environmental non-governmental organisation
EpC	epistemic community
EUNIS	European nature information system
FAO	Food and Agriculture Organization of the United Nations
GBRMPA	Great Barrier Reef Marine Protected Area
GCS	global citation score
GOC	Global Ocean Commission
HPMCZ	highly protected marine conservation zone

HSMPA	high seas marine protected area
ICCAT	International Commission for the Conservation of Atlantic Tunas
IFCA	Inshore Fisheries and Conservation Authority
IMO	International Maritime Organization
ISCZ	Irish sea conservation zone
ISI	Institute for Scientific Information
IUCN	International Union for Conservation of Nature
IUU	illegal, unreported, and unregulated fishing
IWC	International Whaling Commission
JNCC	Joint Nature Conservation Committee
LCS	local citation score
LSMR	large-scale marine reserve
Marinet	Marine Network of Friends of the Earth Local Groups
MARXAN	marine spatially explicit annealing
MCAA	Marine and Coastal Access Act
MCBI	Marine Conservation Biology Institute
MCZ	marine conservation zone
MCS	Marine Conservation Society
MEOW	marine ecoregions of the world
MMO	Marine Management Organisation
MNR	marine nature reserve
MPA	marine protected area
MPAC	Marine Protected Area Coalition
MR	marine reserve
MRAG	Marine Resources Assessment Group
MSFD	Marine Strategy Framework Directive
MSP	marine spatial planning
MSY	maximum sustainable yield
MUMPA	multi-use marine protected area
NAMMCO	North Atlantic Marine Mammal Commission
NAMPAN	North America Marine Protected Area Network
Natura	EU-wide network of nature protection areas established under the
	Habitats Directive
NCEAS	National Center for Ecological Analysis and Synthesis
NE	Natural England
NEAFC	North East Atlantic Fisheries Commission
NFFO	National Federation of Fishermen's Organisations
NGO	non-governmental organisation
NOAA	National Oceanographic and Atmospheric Administration
NTMR	no-take marine reserves
NP	nature protectionist/protectionism
NTA	no-take area
NTMPA	no-take MPA
NTZ	no-take zone
NWIFCA	North Western Inshore Fisheries Conservation Authority

Oslo Paris Convention for the Protection of the Marine Environment of the North-East Atlantic
protected area
Partnership for Interdisciplinary Studies of Coastal Oceans
Prime Minister's Strategy Unit
precautionary principle
Ramsar Convention on Wetlands of International Importance
Royal Commission on Environmental Pollution
Research Excellence Framework
Regional Fisheries Management Organisation
Royal Society for the Protection of Birds
special areas of conservation
Science Advisory Panel
social conservationist/conservationism
special protection area
Statutory Nature Conservation Agency
site of special scientific interest
sea surface temperature
transnational advocacy network
transboundary marine protected area
traditional ecological knowledge
United Nations Convention on the Law of the Sea
United Nations Environment Programme
Whale and Dolphin Conservation Society
Web of Science
World Summit on Sustainable Development
World Wide Fund for Nature

## **List of Figures**

Fig. 3.1	Coauthor network of the most productive authors in MR science from 1970 to 2000	28
Fig. 3.2	Most highly cited studies on MPAs	29
Fig. 3.3	Coauthor network of the most productive authors in MPA science from 1970 to 2005	30
Fig. 3.4	Coauthor network of the most productive authors in MPA science from 1970 to 2010	30
Fig. 3.5	Coauthor advocacy network of the most productive authors in MPA science from 1970 to 2010	31
Fig. 3.6	Paper citation networks of the top 20 papers	32
Fig. 4.1	Reasons given by author for their paper's rejection	38
Fig. 4.2	Scientists' perceived political bias amongst editors for their paper being rejected	39
Fig. 4.3	The total number of ecological MPA studies by general journal type	40
Fig. 4.4	Number of scientists who admitted that they did not submit or prioritise work showing non-significant or negative MR effects	44
Fig. 4.5	Circumstances when scientific advocacy is acceptable or unacceptable	49
Fig. 5.1	Classification scheme for the empirical and theoretical MPA biological literature	53
Fig. 5.2	Publications concerned with the biology of MPAs in the published literature, 1990–2010	54
Fig. 5.3	Empirical studies broken down by type	54
Fig. 5.4	Type of MPA studied: 'Reserve' defined as an area where no fishing occurred	55
Fig. 5.5	Top 10 MPAs studied 1990–2010. Note that all are NMRs	56
Fig. 5.6	Number of empirical field studies undertaken in MRs	56
Fig. 5.7	Number of empirical field studies that have measured the effect of an MR	57
Fig. 5.8	Main focus organism(s) of MPA effect studies	57

Fig. 5.9	Research effort (defined as number of empirical studies) per	
	marine province	58
Fig. 5.10	Temporal aspects of empirical literature investigating MR	
	effects	58
Fig. 5.11	Number of theoretical studies by model type	60
Fig. 5.12	Total numbers of theoretical studies by ecosystem	61
Fig. 5.13	Total numbers of tactical models per marine province	61
Fig. 6.1	Map of the UK MPA network	83
Fig. 6.2	The advocacy coalitions that have shaped outcomes on the	
	design and management of MCZs	93

#### **List of Tables**

Table 1.1	Some high profile studies that made recommendations for MPAs to achieve EBM
Table 1.2	Five potential shortfalls of MPAs in relation to EBFM
Table 2.1	Characteristics of the two networks
Table 3.1	A summary of the number of publications for different search terms (1970–2010)
Table 4.1	Description of the categories that were used to code each scientist's response
Table 4.2	The top 20 journals where ecological studies of MPAs have been published
Table 4.3	Description of interest criteria
Table 4.4	A continuum of policy advocacy
Table 4.5	Conclusions from the Scientific Consensus Statement on MRs
Table 5.1	Summary of before-after studies of marine reserves
Table 5.2	Factors determining the strength of an MPA effect
Table 5.3	Some examples of tactical models
Table 6.1	Principles of UK marine strategy relevant to the science– policy interface
Table 6.2	Principles of an ecologically coherent network of important areas
Table 6.3	Contrasting characteristics of the NP and SC perspectives on MCZs in English waters
Table 7.1	Potential roles scientists can take in policy debates 12

#### Chapter 1 Introduction

The most important controversy in fisheries management in recent years has been the debate over marine protected areas (MPAs). The epicentre of this controversy is the issue of whether large networks of no-take MPAs (NTMPAs), more usually known as marine reserves (MRs), are necessary to protect fish stocks. On the one hand, advocates of MRs argue that without MRs the worldwide decline in fish stocks will continue to the point of threatening more stocks with extinction. On the other hand, critics of MRs argue that conventional fisheries management (CFM) which includes restrictions on quota, fishing gear, effort controls, and selective spatial restrictions, if properly enforced, is perfectly adequate to protect fish stocks. There is another element in this controversy—which concerns marine biodiversity. Advocates of MRs argue that the only way to protect non-target marine species and their habitats (biodiversity) is to establish large networks of MRs; whereas critics of MRs argue that biodiversity can be adequately protected by CFM together with some selected MRs.

Miller et al. (2011, p. 948, 952) have depicted this conflict as between "nature protectionists" (NPs) and "social conservationists" (SCs):

In one corner, are what might be called "nature protectionists", or conservation scientists and scholarly allies in fields such as environmental philosophy who defend protected areas (PAs) and conservation policies that strictly limit human presence and who advance biodiversity protection as the primary goal of international conservation efforts...In the other, are "social conservationists" who advocate various forms of sustainable use and privilege conservation-oriented development and welfare-oriented goals such as poverty alleviation and social justice...NPs generally conceive of humans as a threat to strict biodiversity conservation...while SCs believe that humans...can be allies in the conservation effort if incorporated effectively in park planning and management

Although the Miller et al. (2011) analysis was conducted in relation to terrestrial protected areas (the parks versus people debate), we will use their terminology to exemplify the MR controversy as a debate between NPs and SCs. Jones (2002) characterised this division as top-down versus bottom-up; science-based versus science-guided; principled versus pragmatic, and emphasised the ethical divide between NPs and SCs: "NTMPA proponents being more influenced by preservationist

and ecocentric perspectives, and CFMA proponents being more influenced by the utilitarian resource conservation perspective" (Jones 2007, p. 38) (see also Hilborn 2007c; Agardy et al. 2003).

The controversy between NPs and SCs has, therefore, two dimensions: an empirical dimension and a normative dimension. The empirical dimension is a factual dispute over whether extensive networks of MRs are necessary to protect fish stocks and biodiversity. Here both NPs and SCs agree on the objective (to protect fish stocks and biodiversity), but they disagree about the means to achieve that objective: NPs hold that extensive MR networks are necessary, whereas SCs hold that they are not necessary. This empirical dispute is potentially resolvable if sufficient data become available, or if a compromise can be reached between NPs and SCs to agree on some MRs. The normative dimension is an ethical dispute over whether marine resources should be preserved or utilised. Here NPs and SCs do not agree on the objective (whether to preserve or use marine resources), and so this normative dispute may never be resolved, unless one side persuades the other to change its value system. In this book, we will see how the controversy between NPs and SCs shifts confusingly between its empirical dimension and its normative dimension, making it difficult to predict whether a resolution between them will ever emerge.

#### **1.1 The NP Argument**

#### 1.1.1 Empirical Dimension

The foundation of the nature protectionist argument is a pessimistic assessment of the state of the world's fish stocks. A growing body of evidence has documented the declining abundance and diversity of marine resources (Worm et al. 2009) and the negative effects of fishing on marine ecosystems (Agardy 2000). Many marine species have become extinct (Jackson et al. 2001) or are in the process of becoming extinct (Roberts and Hawkins 1999), and there have been significant declines in large predatory fish (Pauly et al. 2002; Myers and Worm 2003). According to the latest Food and Agriculture Organisation (FAO) (2012) report, as of 2009, globally 29.9% of fish stocks are overexploited<sup>1</sup>, 57.4% are fully exploited, and 12.7% are not fully exploited. Many scientists argue that we are facing a fisheries crisis (Roberts 1997), with massive implications for long-term food security (Pauly et al. 2002; Smith et al. 2010; Godfray et al. 2010). Moreover, overfishing has destroyed habitats (Dayton et al. 1995) and altered marine ecosystems either directly (Watling and Norse 1998) or indirectly (Pinnegar et al. 2000; Baum and Worm 2009). Koldewey et al. (2010, p. 1910) claimed that "Fisheries are the largest anthropogenic threat to pelagic ecosystems, therefore preventing fishing will potentially have the greatest beneficial effect for the ecosystem".

<sup>&</sup>lt;sup>1</sup> According to some, this is likely to be an underestimate. Pauly and Froese (2012) suggested that 37% of fish stocks yield less than 10% of their historic maximum catches.