The Palgrave Macmillan Societal Actors in European Integration

Polity-Building and Policy-Making 1958–1992

Edited by Wolfram Kaiser and Jan-Henrik Meyer



Palgrave Studies in European Union Politics

Edited by: Michelle Egan, American University, USA, Neill Nugent, Visiting Professor, College of Europe, Bruges and Honorary Professor, University of Salford, UK and William Paterson OBE, University of Aston, UK.

Editorial Board: Christopher Hill, Cambridge, UK, Simon Hix, London School of Economics. UK, Mark Pollack, Temple University, USA, Kalvoso Nicolaïdis, Oxford UK, Morten Egeberg, University of Oslo, Norway, Amy Verdun, University of Victoria, Canada, Claudio M. Radaelli, University of Exeter, UK, Frank Schimmelfennig, Swiss Federal Institute of Technology, Switzerland

Following on the sustained success of the acclaimed European Union Series, which essentially publishes research-based textbooks, Palgrave Studies in European Union Politics publishes cutting edge research-driven monographs.

The remit of the series is broadly defined, both in terms of subject and academic discipline. All topics of significance concerning the nature and operation of the European Union potentially fall within the scope of the series. The series is multidisciplinary to reflect the growing importance of the EU as a political, economic and social phenomenon.

Titles include:

Iens Blom-Hansen

THE EU COMITOLOGY SYSTEM IN THEORY AND PRACTICE

Keeping an Eye on the Commission?

Oriol Costa and Knud Erik Jørgensen (editors) THE INFLUENCE OF INTERNATIONAL INSTITUTIONS ON THE EU When Multilateralism Hits Brussels

Falk Daviter

POLICY FRAMING IN THE EUROPEAN UNION

Renaud Dehousse (editor) THE 'COMMUNITY METHOD' Obstinate or Obsolete?

Kenneth Dyson and Angelos Sepos (editors) WHICH EUROPE?

The Politics of Differentiated Integration

Michelle Egan, Neill Nugent and William E. Paterson (editors)

RESEARCH AGENDAS IN EU STUDIES Stalking the Elephant

Theofanis Exadaktylos and Claudio M. Radaelli (editors)

RESEARCH DESIGN IN EUROPEAN STUDIES Establishing Causality in Europeanization

David I. Galbreath and Joanne McEvov THE EUROPEAN MINORITY RIGHTS REGIME

Towards a Theory of Regime Effectiveness

Jack Hayward and Rüdiger Wurzel (editors)

EUROPEAN DISUNION

Between Sovereignty and Solidarity

Wolfram Kaiser and Jan-Henrik Meyer (editors) SOCIETAL ACTORS IN EUROPEAN INTEGRATION

Wolfram Kaiser, Brigitte Leucht and Michael Gehler

Polity-Building and Policy-Making 1958-1992

TRANSNATIONAL NETWORKS IN REGIONAL INTEGRATION

Governing Europe 1945–83

Robert Kissack

PURSUING EFFECTIVE MULTILATERALISM

The European Union, International Organizations and the Politics of Decision Making

Xymena Kurowska and Fabian Breuer (editors)

EXPLAINING THE EU'S COMMON SECURITY AND DEFENCE POLICY

Theory in Action

Finn Laursen (editor)

DESIGNING THE EUROPEAN UNION

From Paris to Lisbon

Karl-Oskar Lindgren and Thomas Persson

PARTICIPATORY GOVERNANCE IN THE EU

Enhancing or Endangering Democracy and Efficiency?

Daniel Naurin and Helen Wallace (editors)

UNVEILING THE COUNCIL OF THE EUROPEAN UNION

Games Governments Play in Brussels

Dimitris Papadimitriou and Paul Copeland (editors)

THE EU'S LISBON STRATEGY

Evaluating Success, Understanding Failure

Emmanuelle Schon-Quinlivan

REFORMING THE EUROPEAN COMMISSION

Roger Scully and Richard Wyn Jones (editors)

EUROPE, REGIONS AND EUROPEAN REGIONALISM

Mitchell P. Smith (editor)

EUROPE AND NATIONAL ECONOMIC TRANSFORMATION

The EU After the Lisbon Decade

Asle Toje

AFTER THE POST-COLD WAR

The European Union as a Small Power

Liubomir K. Topaloff

POLITICAL PARTIES AND EUROSCEPTICISM

Richard G. Whitman and Stefan Wolff (editors)

THE EUROPEAN NEIGHBOURHOOD POLICY IN PERSPECTIVE

Context, Implementation and Impact

Richard G. Whitman (editor)

NORMATIVE POWER EUROPE

Empirical and Theoretical Perspectives

Sarah Wolff

THE MEDITERRANEAN DIMENSION OF THE EUROPEAN UNION'S INTERNAL SECURITY

Jan Wouters, Hans Bruyninckx, Sudeshna Basu and Simon Schunz (editors)

THE EUROPEAN UNION AND MULTILATERAL GOVERNANCE

Assessing EU Participation in United Nations Human Rights and Environmental Fora

Palgrave Studies in European Union Politics Series Standing Order ISBN 978-1-4039-9511-7 (hardback) and ISBN 978-1-4039-9512-4 (paperback)

(outside North America only)

You can receive future titles in this series as they are published by placing a standing order. Please contact your bookseller or, in case of difficulty, write to us at the address below with your name and address, the title of the series and one of the ISBNs quoted above.

Customer Services Department, Macmillan Distribution Ltd, Houndmills, Basingstoke, Hampshire RG21 6XS, UK

Societal Actors in European Integration

Polity-Building and Policy-Making 1958–1992

Edited by

Wolfram Kaiser

Professor of European Studies at the University of Portsmouth and Visiting Professor at the College of Europe, Bruges

and

Jan-Henrik Meyer

Post-doctoral Research Fellow, Aarhus University, Denmark





Editorial matter and selection © Wolfram Kaiser and Jan-Henrik Meyer 2013 Individual chapters © Respective authors 2013 Softcover reprint of the hardcover 1st edition 2013 978-1-137-01764-2

All rights reserved. No reproduction, copy or transmission of this publication may be made without written permission.

No portion of this publication may be reproduced, copied or transmitted save with written permission or in accordance with the provisions of the Copyright, Designs and Patents Act 1988, or under the terms of any licence permitting limited copying issued by the Copyright Licensing Agency, Saffron House. 6–10 Kirby Street. London EC1N 8TS.

Any person who does any unauthorized act in relation to this publication may be liable to criminal prosecution and civil claims for damages.

The authors have asserted their rights to be identified as the authors of this work in accordance with the Copyright, Designs and Patents Act 1988.

First published 2013 by PALGRAVE MACMILLAN

Palgrave Macmillan in the UK is an imprint of Macmillan Publishers Limited, registered in England, company number 785998, of Houndmills, Basingstoke, Hampshire RG21 6XS.

Palgrave Macmillan in the US is a division of St Martin's Press LLC, 175 Fifth Avenue, New York, NY 10010.

Palgrave Macmillan is the global academic imprint of the above companies and has companies and representatives throughout the world.

Palgrave $^{\otimes}$ and Macmillan $^{\otimes}$ are registered trademarks in the United States, the United Kingdom, Europe and other countries.

ISBN 978-1-349-43715-3 ISBN 978-1-137-01765-9 (eBook) DOI 10.1057/9781137017659

This book is printed on paper suitable for recycling and made from fully managed and sustained forest sources. Logging, pulping and manufacturing processes are expected to conform to the environmental regulations of the country of origin.

A catalogue record for this book is available from the British Library.

A catalog record for this book is available from the Library of Congress.

10 9 8 7 6 5 4 3 2 1 22 21 20 19 18 17 16 15 14 13

Contents

Ack	nowledgements	vii
List of Abbreviations		viii
List of Contributors		xiii
1	Beyond Governments and Supranational Institutions: Societal Actors in European Integration Wolfram Kaiser and Jan-Henrik Meyer	1
2	Europeanization of Christian Democracy? Negotiating Organization, Enlargement, Policy and Allegiance in the European People's Party Wolfram Kaiser	15
3	Shaping European Development Policy? Socialist Parties as Mediators from the International to the European Level <i>Christian Salm</i>	38
4	Regulating Markets: Peak Business Associations and the Origins of European Competition Policy Werner Bührer and Laurent Warlouzet	59
5	Developing a 'European Strategy': Business Groups and Trade Policy-Making in the Kennedy Round Lucia Coppolaro	84
6	Preventing Reform: Farm Interest Groups and the Common Agricultural Policy Carine Germond	106
7	From Development Business to Civil Society? Societal Actors in Development Cooperation Martin Rempe	129
8	Demanding Democracy in the Workplace: The European Trade Union Confederation and the Struggle to Regulate Multinationals Francesco Petrini	151

vi Contents

9	Establishing a Constitutional Practice: The Role of the European Law Associations Morten Rasmussen	173
10	Challenging the Atomic Community: The European Environmental Bureau and the Europeanization of Anti-Nuclear Protest Jan-Henrik Meyer	197
11	Beyond Maastricht: Societal Actors in European Integration Since 1992 Karen Heard-Lauréote	221
12	Polity-Building and Policy-Making: Societal Actors in European Integration Wolfram Kaiser and Jan-Henrik Meyer	244
Index		263

Acknowledgements

From the beginning we have conceived of this book as a collaborative endeavour to bring together the latest historical research on the role of societal actors in European integration in historical perspective. Halfway through the project we organized a workshop to clarify conceptual and methodological points and to discuss the empirical results of our research. This meeting of minds and the subsequent editing process have also allowed us to include cross-references at relevant points throughout the book. We are grateful to the Marie Curie Intra European Reintegration Grant scheme within the Seventh European Community framework programme for generously funding the workshop and to the University of Aarhus for hosting it. We would also like to thank Martin Rodden for his diligent final language check.

Portsmouth/Aarhus, July 2012

Abbreviations

ABA

BA

BBU

BDI

Copenhagen, Denmark ACArchivio Confindustria, Rome, Italy ACDP Archiv für Christlich-Demokratischen Politik, Konrad Adenauer Foundation, St. Augustin, Germany ACM Archive of the Council of Ministers, Brussels, Belgium **ACNFP** Archives of the Conseil National du Patronat Français, Roubaix. France ACPAfrican, Caribbean and Pacific states AdsD Archiv der sozialen Demokratie, Bonn, Germany AIE Association des juristes européens ALS Archive of the Legal Service of the Commission, Brussels, Belgium AMAEF Archives du ministère des Affaires étrangères, Paris, AMG Archive of Michel Gaudet, Fondation Jean Monnet pour l'Europe, Lausanne, Switzerland ANF Archives nationales, Fontainebleau, France Archives nationales du monde du travail, Roubaix, ANMT France **ANVER** Archive of the Nederlandse Vereniging voor Europees Recht, The Hague, Netherlands Alianza Popular, Spain ΑP ASM Archief Sicco Mansholt, International Institute of Social History, Amsterdam, Netherlands ASP American Selling Price AUME Association pour l'union monétaire de l'Europe ΑV Auslandsvertretung AWS Archive of Walter Strauss, Institut für Zeitgeschichte,

The Danish Labour Movement's Library and Archives,

Bundesverband Bürgerinitiativen Umweltschutz

Munich, Germany

Bundesarchiv, Koblenz, Germany

Bundesverband der Deutschen Industrie

BDIA Archive of the Bundesverband der Deutschen Industrie.

Berlin, Germany

Benelux Belgium, Netherlands and Luxembourg Bruno Kreisky Archive, Vienna, Austria BKA

BUND Bund für Umwelt- und Naturschutz Deutschland

BVerfG Bundesverfassungsgericht CAPCommon Agricultural Policy

CARAN Centre d'accueil et de recherche des Archives

nationales. Paris. France

CBI Confederation of British Industry CCPCommon Commercial Policy

CD Christian Democratic

CDA Christian Democratic Appeal, Netherlands CDU Christian Democratic Union, Germany CEA Confédération Européenne de l'Agriculture CEEP Centre européen de l'entreprise publique Council of European Industrial Federations **CEIF**

CEO Chief Executive Officer

CEP Confederation Européenne de l'Industrie de Pâte,

Papiers et Cartons

CET Common External Tariff CLONG NGO-EU Liaison Committee

CMArchive of the Council of Ministers, Brussels CNPF Conseil National du Patronat Français Comité général des cooperatives agricoles COGECA COPA Committee of Professional Agricultural Organisations/Comité des organisations

professionnelles agricoles

Committee of Permanent Representatives COREPER

CRD Conservative Research Department

Civil society organizations **CSO**

CSU Christian Social Union, Germany

DC Democrazia Cristiana

DE/CE Division économique et financière: service de

coopération économique

DG Directorate-General

DG IV Directorate-General for Competition

Directorate-General for Employment and Social Affairs DG V

Directorate-General for Agriculture DG VI

DG VIII Directorate-General for Development Aid DG X Directorate-General for Information

x List of Abbreviations

DG XI Directorate-General for Environment DG XVII Directorate-General for Energy

DREE Direction des Relations Economiques Extérieures
EADI European Association of Development Research and

Training Institutes

EAGGF European Agricultural Guidance and Guarantee Fund

EC European Communities

ECFTU European Confederation of Free Trade Unions

ECJ European Court of Justice

ECSC European Coal and Steel Community
EDC European Defence Community
EDC Equipo Demócratico Cristiano, Spain

EDF European Development Fund
EDU European Democratic Union
EEB European Environmental Bureau
EEC European Economic Community
EFTA European Free Trade Association

EPP European Parliament
EPP European People's Party

ERT European Round Table of Industrialists
ESC Economic and Social Committee
ETUC European Trade Union Confederation

EU European Union

EUCD European Union of Christian Democrats
Euratom European Atomic Energy Community
Eurelectric Union of the Electricity Industry

EWC European Works Councils

FAC Federation of Agricultural Cooperatives FAO Food and Agricultural Organization

FES Friedrich Ebert Foundation FIB Fédérations des Industries Belges

FIDE Fédération internationale pour le droit européen

FoEE Friends of the Earth Europe

GATT General Agreement on Tariffs and Trade

G-77 Group of 77

HAC/HAEC Historical Archives of the European Commission,

Brussels, Belgium

HAEU Historical Archives of the European Union, Florence,

Italy

HSA Helmut Schmidt Archive, Archiv der sozialen

Demokratie, Bonn, Germany

HSS Hanns Seidel Foundation

International Council for Bird Preservation ICBP

ICC International Chamber of Commerce

ICFTU International Confederation of Free Trade Unions

ID Izquierda Democrática, Spain

IFO Institut für Wirtschaftsforschung, Germany

IGO Intergovernmental Organization

HZII International Institute of Social History, Amsterdam,

Netherlands

IIWG Inter-Institutional Working Group ILO International Labour Organization

IUPN International Union for the Protection of Nature

IEF Young European Federalists

KAS Konrad Adenauer Foundation, St. Augustin, Germany KDC Katholiek Dokumentatie Centrum, Nijmegen, Netherlands

LSA Legal Service Archive, European Commission, Brussels,

Belgium

MA Master of Arts

MAEF Ministère des Affaires Étrangères, France MEP Member of the European Parliament

MNC Multinational Companies MP Member of Parliament

Modern Records Centre, University of Warwick, UK MRC

MRP Mouvement Républicain Populaire, France

The National Archives, Kew, UK NA

United States National Archives, College Park, MD. US NARA

NATO North Atlantic Treaty Organization NEI Nouvelles Équipes Internationales NFU National Farmers Union, UK NGO Non-Governmental Organization New International Economic Order NIEO

NPO Non-Profit Organization

NVER Nederlandse Vereniging voor Europees Recht

OECD Organisation for Economic Co-operation and Development

Organisation for European Economic Co-operation **OEEC** PAAA Political Archive of the Federal Foreign Office, Berlin,

Germany

PP Partido Popular, Spain PS Parti socialiste, France

xii List of Abbreviations

PSI Italian Socialist Party

PvdA Partij van de Arbeid, Netherlands ROIR Register of Interest Representatives

RSPB Royal Society for the Protection of Birds, UK SAP Social Democratic Labour Party, Sweden

SATEC Société d'aide technique et de coopération, France

SEA Single European Act

SEPC Service for the Environment and Consumer Protection SGCI Secrétariat général du Comité interministériel pour les questions de coopération économique européenne

questions de cooperation économique

SI Socialist International

SIA Socialist International Archives, International Institute

of Social History, Amsterdam

SID Society of International Development SPD Social Democratic Party, Germany

SPÖ Socialist Party/Social Democratic Party, Austria

TR Transparency Register

TUC Trades Union Congress, UK
UIC Union des Industries Chimiques

UK United Kingdom UN United Nations

UNCTAD United Nations Conference on Trade and Development

UNICE Union des Industries de la Communauté

européenne/Union of Industrial and Employers'

Confederations of Europe

US United States

WBA Willy Brandt Archive, Archiv der sozialen Demokratie

Bonn, Germany

WEU Western European Union

WGE Wissenschaftliche Gesellschaft für Europarecht

WIE Wiener Institut für Entwicklungspolitik

WTO World Trade Organization

WWF Worldwide Fund for Nature (formerly World Wildlife

Fund)

Contributors

Werner Bührer is Professor of Contemporary History at the Munich University of Technology.

Lucia Coppolaro is Post-doctoral Research Fellow at the Institute of Social Sciences, University of Lisbon.

Carine Germond is Assistant Professor of Contemporary European History at Maastricht University and Marie Curie Fellow at the University of Portsmouth.

Karen Heard-Lauréote is Senior Lecturer in European Politics and Public Policy at the University of Portsmouth.

Wolfram Kaiser is Professor of European Studies at the University of Portsmouth and Visiting Professor at the College of Europe in Bruges.

Jan-Henrik Meyer is Post-doctoral Research Fellow at Aarhus University.

Francesco Petrini is Senior Research Fellow in History of International Relations at the University of Padova.

Morten Rasmussen is Associate Professor of Contemporary European History at the University of Copenhagen.

Martin Rempe is Senior Research Fellow in Modern History at the University of Konstanz.

Christian Salm is a doctoral researcher at the University of Portsmouth.

Laurent Warlouzet is Lecturer in History at the University of Artois and Marie Curie Fellow at the London School of Economics.

1

Beyond Governments and Supranational Institutions: Societal Actors in European Integration

Wolfram Kaiser and Jan-Henrik Meyer

At first sight, the financial crisis, which began in 2008, thoroughly reshaped European Union (EU) politics. Starting with the Single European Act (SEA) of 1987, the present-day EU had moved away from a more intergovernmental structure, strengthening the role of supranational institutions, the European Commission and most importantly the European Parliament (EP). Now, however, the crisis seems to have halted, if not reversed, this trend. Given the formidable financial risks and commitments at stake, national leaders, notably those of big and wealthy member states, appear to be back in the driver's seat. In times of crisis, only national governments seem to have sufficient power to make credible commitments about resources.

Thus, the financial crisis could encourage another round in the debate over the more intergovernmental or more supranational character of European integration and EU politics. However, this perennial debate, which still underlies a fair share of writing about European integration, has increasingly become fruitless. It is based on the false assumption of a necessary trade-off in power between national governmental and supranational institutional actors. But EU politics is not a zero-sum game between opposed sets of institutional actors. Most importantly for our book, such a reductionist view overlooks the key role that a third type of actor namely, those who have variously been described as non-state actors or private actors, has played, and will continue to play, in EU politics and policy-making. By stressing their role as representatives of preferences of social groups in national societies and the emerging transnational European society, we prefer to characterize them as societal actors.

This book explores the emergence, organization and role in polity-building and policy-making of societal actors who are part of European integration, bringing together for the first time in a systematic manner what recent historical research based on a wide variety of original sources can tell us. We do not claim that societal actors were the real shapers and movers of European integration and Community politics and policy-making. Rather, we argue that various societal actors involved in network-type relations with national governmental and supranational institutional actors were often important for the formation of strategic political alliances, the definition of key political objectives and agendas as well as workable policy compromises. We hypothesize that societal actors provided the crucial glue for the EU's political fabric and its policy-making, even if they did not and still do not normally receive the same media attention as national governments after European Council meetings, for example.

We approach the role of societal actors in European integration from two interrelated perspectives. Firstly, we consider their own Europeanization, defined here as the establishment of organizational and/or more informal cooperative structures at the level of the European Communities (EC) and the present-day EU. We seek to analyse when, why and how societal actors responded to European integration by setting up office in Brussels, by founding a European umbrella organization or agreeing to meet regularly in a formal or informal manner to discuss European political issues, for example. Secondly, we discuss the involvement of societal actors in and their impact on European politybuilding and policy-making. All chapters in this book address these two core dimensions in this sequence by drawing on a variety of case studies.

In this book we focus on polity-building that is the creation of institutions, procedures and institutional working patterns, and on policy-making. We find, however, that these two are easier to delineate conceptually than empirically. For instance, when the European Environmental Bureau (EEB) pleaded for more staff within the Commission's Service for the Environment and Consumer Protection (SEPC), it supported institutional and policy objectives at the same time. Despite the EEB's generally pro-European outlook, it was not primarily motivated by a desire to strengthen the European supranational institutions by reinforcing Commission staff levels. Rather, the EEB backed the expansion of this service, its main partner within the EC institutions, first and foremost because a larger SEPC meant a greater EC capacity in advancing environmental policy.²

In a similar way, the changing composition of all EC institutions as a consequence of the various enlargements, which were in part mediated by political parties, not only affected formal rules such as voting rights and informal practices – for example, bureaucratic traditions and modes of doing things imported into the EC by the newcomers – it also changed policy-making. British accession was central for the introduction of European bird protection in the 1970s, for example, not least because of the expertise, the lobbying and the transnational networking capacities of the Royal Society for the Protection of Birds.³

Crucially, drawing upon their transnational connections societal actors facilitated the transfer of new ideas from national societies and international organizations to the EC, thus contributing to the rise of new policy ideas and agendas. At the same time, the link to European societies helped institutional actors in Brussels to keep in touch with societal interests and debates which still took place predominantly in national public spheres and which national governments frequently intended to keep within the national container for a variety of reasons.

The chapters in this book cover developments and cases from 1958 to 1992. The Treaties of Rome, which were signed in 1957, came into force in 1958, and complemented the older European Coal and Steel Community (ECSC) of 1951–2 with the European Atomic Energy Community (Euratom) and the more important European Economic Community (EEC), marking the beginning of European integration in the presentday EU. The treaties established the familiar institutions and covered a broad and slowly expanding array of policies. The most important of these - agriculture, trade, competition and development - feature prominently in this book, as do environmental and social policy, areas of new Community ambition in the 1970s. The three Communities merged their institutions in 1967, operating from then onwards as the EC.

We conclude our empirical exploration of societal actors in European integration in 1992, for three reasons. Firstly, the period after the Maastricht Treaty has been extensively studied and analysed by political scientists. This research is conceptually sophisticated, with a key interest in theory-building, and empirically based on publicly accessible documents and interviews. Secondly, drawing upon the conceptual and empirical fruits of this research, our goal is to put the findings of social science research on EU polity-building and policy-making into a longer-term historical perspective. We want to trace more long-term developments and possible path dependencies. At the same time, we are interested in change during this period - with respect to the structures,

but also the focus of societal actors, which perhaps shifted somewhat towards engaging with policy-making. Thirdly, the chosen time frame also allows historians to make the most of their greatest advantages in transdisciplinary research on the EU by enabling a greater distance from their object of research, and consequently the ability to ask new questions. Such a distance frequently goes hand in hand with less excitement about allegedly novel phenomena. Thus, we seek to dispel the myth in contemporary social science research that the involvement of societal actors (especially so-called public interest groups) in EU polity-building and policy-making only really took off in the 1990s.⁴ Finally, access to archival sources which are now available for all but the last few years before the Maastricht Treaty can shed new light on past events.

Despite this limited time frame of just over 30 years, we recommend that historians adopt a much broader temporal perspective. Many of the societal actors, their organizational structures and policy objectives have a much longer history, at times dating back to the late nineteenth century. At the same time, the phenomena the contributors study in their chapters created continuities leading up to the present day. This becomes apparent in outlines in the final empirical chapter on developments since the Maastricht Treaty.

In the next few sections, we briefly introduce our working definition of societal actors. Secondly, we present the topics of the individual empirical chapters, each covering a specific type of societal actor and challenge of polity-building and/or policy-making. Thirdly, we introduce the core questions we have developed, in order to strengthen the cohesion of this book and to be able to arrive at common conclusions. All of the chapters address these questions with regard to their specific actors and cases. These questions, fourthly, relate to a multidisciplinary debate in European Studies, which we briefly outline before finally raising more general concluding questions about change over time and normative dimensions. We come back to these questions in the final concluding chapter of this book.

Defining societal actors in European integration

In this book, we use the term 'actor' as shorthand for a group of individuals or collective bodies representing certain collective preferences that can arise from their normative commitments and/or material or other interests. Collective preferences refer to shared interests that are meaningful to a sufficient number of people or collective bodies for them to be able to overcome collective action problems and organize around

these interests.⁵ Collective actors can be established in a variety of legal and organizational ways. They are routinely represented by individuals acting on their behalf as authorized agents, who are often able to interpret their mandate quite freely. We argue that as a result the individual qualities of such agents frequently matter for policy processes and outcomes. Moreover, individuals may have multiple memberships of different collective bodies or actors, with overlapping but sometimes also contradictory interests. Given that multiple memberships tend to strengthen these individuals' resources in terms of access to information, for example, such individuals are frequently core players, building bridges between collective actors.

We characterize the collective actors that feature in this book as societal actors, as they all claim to represent collective interests emerging from national societies and an emerging transnational European society. Most of these collective actors develop from the bottom up, organizing around certain interests shared by their members. Groups representing special, frequently business interests, relating to tangible economic benefits, are usually called interest or lobby groups. These are often viewed more critically from a normative perspective. In social science research, those groups representing general interests are routinely viewed more positively, since general interests relate to collective public goods, the benefits of which are more widely shared throughout society. Following the practice established by the United Nations, these groups have either been called non-governmental organizations (NGOs) or have been described as voluntary associations or civil society organizations, for example.⁶ Since the end of the Cold War, the Enlightenment concept of civil society, with its strong normative implications, has gone through a veritable renaissance.⁷ The European Commission has used the notion to beef up the legitimacy of its consultation procedures, and its own institutional legitimacy.8 By contrast, we want to steer clear of the strong and often misleading normative implications of all of these terms. Instead, the term 'societal actors' includes those who represent special and general interests. These are frequently much more difficult to distinguish empirically than conceptually, as some of the chapters in this book demonstrate. Moreover, the term also covers political parties as societal actors of a specific kind, organized from the bottom up, but acting within both the public sphere and representative institutions, with important bridge-building functions.

The term 'societal actors' helps us to avoid the rigid dichotomies between public and private or state and non-state actors, which are pervasive in political science research.9 The distinction between public and private actors carries the same problematic normative connotations as the juxtaposition of general and special interests. The second distinction in turn assumes an opposition of some kind between the state and the non-state actors. This terminology obscures the fact that most societal actors are linked to and implicated with the state in various ways, just as state institutions frequently rely on societal actors. The state is often an important source of funding for societal actors, and the key target of lobbying. Furthermore, as some of the chapters in this book make clear, state institutions frequently assist the creation of organized societal groups. Moreover, societal actors are often granted privileged access to information sources, consultation and decision-making circles in neo- or quasi-corporatist arrangements. In fact, the structures and nature of societal actors' relations with the member states and the EU institutions are important questions for all the contributors to this book.

Societal actors in polity-building and policy-making

This book comprises nine historical chapters covering different societal actors engaged in polity-building and policy-making. The first two chapters address the contributions of the two major party groups in the Community in the 1970s, when the parties regrouped with a view to direct elections to the EP, which eventually took place in June 1979. Wolfram Kaiser's chapter on the Christian Democrats focuses on different dimensions of the Europeanization of the emerging European People's Party and its member parties. This includes their response to the challenges of southern enlargement, the controversial debate over the concept of the social market economy uploaded from German political discourse as well as issues of cross-border cooperation and allegiance. In his chapter, Christian Salm analyses the role of various transnational socialist actors and networks in the downloading of policy objectives into a European development policy which combined national aid policies with EC-level competences, for example for trade, which were crucial to the Lomé conventions. 10

The next two chapters provide insights into the relationship between polity-building and emerging policy-making. Werner Bührer and Laurent Warlouzet study the emergence of the new supranational policy field of competition policy, and how national- and Community-level business associations sought to influence its development. In her chapter, Lucia Coppolaro analyses how a variety of business interest

groups tried to influence trade negotiations during the Kennedy Round of the General Agreement on Tariffs and Trade.

Producer interests are equally central to the two subsequent chapters. Carine Germond discusses the privileged role of the European agricultural lobby and national-level farm organizations in the larger agricultural policy community and how this role enabled them to prevent any substantial reform of the EC's most extensive and expensive policy until 1992. Martin Rempe then looks at the role of business actors in the post-colonial European development policy in the 1960s, showing how problematic the distinction between business actors and development NGOs is and how close but also how fragile their links with the member states and the Commission were. His case study focuses on the issue of water supply for Dakar, the capital city of Senegal, a former French colony, and how different societal actors competed for the best use of Community funding.

The final three historical chapters explore how societal actors negotiated the boundaries of Europe's polity and the scope, framing and substance of policies. Francesco Petrini analyses the struggle for effective EC-level regulation of multinational companies in the 1970s. He shows how and why the labour unions and an initially sympathetic European Commission lost out to the delaying tactics of business, in the face of an increasingly business-friendly neo-liberal climate for economic policy-making within the European Commission. In his chapter Morten Rasmussen analyses the role of legal elites organized within the Fédération internationale pour le droit européen and how they sought to co-shape a constitutional order for the Community in league with the Legal Service of the Commission and the Court of Justice, but also how they encountered strong resistance by national legal elites opposed to their preferences. Finally, Jan-Henrik Meyer explores the Europeanization of environmentalist groups in the wake of the First Environmental Action Programme of 1973, analysing how the environmentalists tried to extend the boundaries of environmental policy by seeking to reframe energy policy as an environmental concern. Here, too, policy debates went hand in hand with institutional issues, namely, the established division into distinct policy areas.

In order to provide a stronger link with social science perspectives on societal actors in European integration and EU politics and policymaking, the final chapter by Karen Heard-Lauréote discusses developments in the presence of societal actors in Brussels and their role in policy-making since the Maastricht Treaty.

Societal actors in European integration: the key issues

For a long time, historical research on European integration was very state-centric. But, as the chapters in this book testify, research on a much greater variety of actors which competently links its findings to social science perspectives and the role of member state governments and supranational institutions in politics and policy-making is now mushrooming. This book includes a broad range of societal actors, such as political parties, business actors, trade unions and organizations, that developed out of the new social movements of the 1960s and 1970s. Given that we insisted on empirically grounded chapters, the coverage of actors in this book is not representative of EC polity-building and policy-making. Due to the dominance of economic issues in European integration, special business interests are better covered than organizations derived from the new social movements, on which much more research is necessary.

In order to ensure the greatest possible cohesion, all authors address the same issues in their respective chapters. A first set of questions relates to the organizational Europeanization of societal actors at the European level. How and why did these actors get involved at the European level? What were their motivations? Were they 'pulled in'11 by specific incentives provided by the EC institutions or the specific opportunities the institutional framework provided? Or, alternatively, did they 'push' towards the EC level because they realized that they needed to be present, or because they themselves deemed this the best framework for attaining their policy objectives? The second aspect of Europeanization relates to the organizational structures that the societal actors established. How was their membership composed, that is, did they manage to get all relevant groups on board? What did their European representation look like, in terms of staff and funding? What, finally, were the internal organizational problems the EC-level actors faced when they set up shop in Brussels, in terms of preference formation, for example?

A second set of questions relates to societal actors' involvement in polity-building and policy-making: in what areas did the societal actors try to intervene? Did they focus on influencing polity-building and shaping the Community's institutional order or did they limit themselves to policy content, without trying to move the goalposts in their field? The second aspect relates to how societal actors intervened. Which methods did they use? Did they prefer internal lobbying or public protest? Were they embedded in privileged consultation arrangements? How professionalized were these attempts? Did they amount to cohesive strategies, or were they limited to haphazard intervention? Furthermore, how and to what extent did they cooperate with other actors, building networks? Notably, which European institutions and member state institutions did they collaborate with? Did they team up with other societal actors in what has more recently been called 'transversal lobbying'?12

The answers to these questions provide new insights into the multidisciplinary academic debate about the role of societal actors in European integration and EU politics and policy-making from a historical perspective. This debate has chiefly been conducted by historians, political scientists and sociologists.

Societal actors in European integration: disciplinary perspectives

In the first instance, we seek to contribute to the historiography of European integration. At the same time, our findings are relevant to the research on the emergence of a European society by social historians. 13 More generally, this book intends to speak to those interested in the history of post-war (Western) Europe, which has all too often neglected the increasingly pervasive influence of European integration and the present-day EU.14

Highlighting the role of societal actors in European integration can play a crucial role in modernizing research on European integration history, moving it further away from the traditional state-centric focus of diplomatic and economic historians on grand bargains among the member states.¹⁵ At the same time, we also seek to move beyond a supranational history, which mainly focuses on the role of the supranational European institutions. 16 This research tends to treat these institutions as largely autonomous relative to societal factors and forces and often focuses exclusively on bargaining with the member states in the Council as well as traditional EC policy areas such as competition and agriculture.¹⁷ Of course, societal actors have occasionally been treated in research on European integration. However, they have mostly been attributed an auxiliary role, subordinate to the more important national and supranational state actors. 18 By taking societal actors seriously as a third type of relevant players in their own right, who cooperate with state actors in a number of ways, we seek to contribute to the ongoing research and debate about the role of various forms of transnational cooperation and informal networks in shaping European integration.¹⁹ We thus enable the viewing of the societal context of European integration, which has also been stressed in research on a European public sphere.²⁰

In contrast to historical research, which for a long time relied on archival sources from state archives, in political science, the role of societal actors in European integration was at the core of the neo-functionalist research agenda. In the late 1950s and 1960s, neofunctionalist students of international relations sought to explain the apparent success of early European integration.²¹ They predicted that societal actors would play a key role in driving this process further. In the wake of growing EC competences, interest groups would shift their focus to the Community level, where more and more decision-making would take place. Neo-functionalists also predicted a shift in allegiance to the Community.²² Evidence for such a shift in allegiance has been hard to find, however. Given the sudden end of integration dynamics with the so-called Empty Chair crisis of 1965,²³ it was only in the context of the Single Market Programme that neo-functionalism was rejuvenated in a new guise in the late 1980s and early 1990s, with a renewed emphasis on societal actors and their role in policy-making, notably market-building, which subsequently led to further polity-building with the SEA and the Maastricht Treaty.²⁴

By contrast, comparative politics only began to study European integration from the mid-1980s and mainly from the 1990s onwards.²⁵ Accordingly, empirical findings here mostly relate to the period since the 1990s. However, comparativists arrived with a large conceptual toolbox for the analysis of European public policy and the role of societal actors as lobbyists. Students of comparative politics and policy studies have highlighted the organizational problems of umbrella organizations compared to the strength of specialist organizations with a narrower range of preferences. In specific case studies, researchers have pointed to consultation circles involving a diversity of groups interested in a certain policy problem, which the Commission deliberately set up to force groups to arrive at a compromise. Hence, the Commission externalized the painful path to reaching an agreement. Effectively, the Commission thus laid the basis for what is now called transversal lobbying.²⁶ Moreover, research has emphasized how societal actors are embedded in expert groups, networks and quasi-corporatist arrangements.²⁷ All of these phenomena raise a number of normative issues: in particular, societal groups are specifically at risk of falling prey to the 'iron law of oligarchy'.28 Their officers, who need to act professionally and accumulate important resources, notably information, inevitably become increasingly disconnected from the grass-roots rank and file.²⁹ This is all the more problematic as it is an important function of societal actors to represent societal concerns to policy-makers, contributing to the input legitimacy³⁰ of policy-making and to its effectiveness.³¹ The Commission's official discourse on civil society, mentioned above, raises questions about how well societal actors are actually capable of fulfilling these great expectations, as Karen Heard-Lauréote also stresses in her chapter in this book.32

In contrast to political science, sociology has only recently started to focus on the societal impact of European integration. The key question here is whether and to what extent this leads to the emergence of a European society and what this society looks like.³³ While initially sociologists were only interested in European elites, the scope of their research has broadened to include mobilization of interests and the public sphere, as well as studies on European policy areas understood, following Pierre Bourdieu, as fields of contention.³⁴ Studies on the sociology of groups have occasionally focused on specific cases of European societal actors since the 1990s. These studies tend to use different sociological approaches, such as interest group theory, including notions of resource mobilization and the pluralist versus corporatist divide; organizational theory including neo-institutionalist ideas; and normative ideas of civil society that they share with political science studies.³⁵

Societal actors in European integration: what have we learned?

Given that research on societal actors has so far been largely limited to the past two decades, the contribution of a longer-term view on their role in European integration in this book addresses an important lacuna. In order to fill this gap, in our concluding chapter we seek to establish some more general findings from the research presented in this book. Four issues are central to this book. Firstly, we analyse the conditions for the (partial) Europeanization of societal actors and the emergence of institutional and policy-making path dependencies. Secondly, we discuss the contributions by societal actors to polity-building and policy-making. Thirdly, we address the question of change over time. Our guiding hypothesis is that there has been a slow shift from contributions to polity-building to a greater focus on policy-making, as well as a growth in numbers and diversity among the societal actors engaged in European integration. Increasingly, a comprehensive cross section of society has come to be represented in the European institutions in response to the broadening range of policy-making. Fourthly, we briefly sketch the extent to which societal actors have lived up to the normative expectations of societal representation. Finally, we outline challenges for future historical research on societal actors and their role in polity-building and policy-making.

Notes

- 1. On the varying uses of the term see Johan P. Olsen, The Many Faces of Europeanization, in: Journal of Common Market Studies, vol. 40, no. 5 (2002), 921–52; Tanja A. Börzel and Diana Panke, Europeanization, in: Michelle Cini and Nieves Pérez-Solórzano Borragán (eds.), European Union Politics, Oxford: Oxford University Press, 2010, 405-17.
- 2. For example, EEB, Ten Years of the European Environmental Bureau (EEB), in: Ten Years of European Community Environmental Policy (1974–1984) [Typescript], Brussels: European Environmental Bureau, 1984, 7.
- 3. Jan-Henrik Meyer, Saving Migrants. A Transnational Network supporting Supranational Bird Protection Policy in the 1970s, in: Wolfram Kaiser, Brigitte Leucht and Michael Gehler (eds.), Transnational Networks in Regional Integration. Governing Europe 1945-83, Basingstoke: Palgrave, 2010, 176-98, 187 - 9.
- 4. See, for example, Falk Daviter, Policy Framing in the European Union, in: Journal of European Public Policy, vol. 14, no. 4 (2007), 654-66, 658.
- 5. Mancur Olson, The Logic of Collective Action. Public Goods and the Theory of Groups, Cambridge, MA: Harvard University Press, 1965.
- 6. James McKay and Matthew Hilton, Introduction, in: Nick J. Crowson, Matthew Hilton and James McKay (eds.), NGOs in Contemporary Britain: Non-State Actors in Society and Politics since 1945, Basingstoke: Palgrave, 2009, 1-20, 3.
- 7. Ulrike Liebert and Hans-Jörg Trenz (eds.), The New Politics of European Civil Society, Abingdon: Routledge, 2011.
- 8. European Commission, European Governance. A White Paper. Brussels, 25 July 2001, COM (2001) 428 final, 2001, available from: http://eur-lex. europa.eu/LexUriServ/site/en/com/2001/com2001_0428en01.pdf> (accessed: 10 July 2012).
- 9. See, for example, Helen V. Milner, Power, Interdependence, and Nonstate Actors in World Politics. Research Frontiers, in: eadem and Andrew Moravcsik (eds.), Power, Interdependence and Nonstate Actors in World Politics, Princeton, NJ: Princeton University Press, 2009, 3-27.
- 10. The terminology 'uploading' and 'downloading' draws on Börzel and Panke, Europeanization, 410-12.
- 11. This dichotomic metaphor of push versus pull was originally used in migration research, but has now been more widely applied. Everett S. Lee, A Theory of Migration, in: *Demography*, vol. 3, no. 1 (1966), 47–57.
- 12. Daniel Guéguen, European Lobbying, Brussels: Europolitics, 2007, 135-8.
- 13. Arnd Bauerkämper and Hartmut Kaelble (eds.), Gesellschaft in der europäischen Integration seit den 1950er Jahren. Migration - Konsum - Sozialpolitik -Repräsentationen, Stuttgart: Franz Steiner, 2012.

- 14. For example, Jeremy Black, Europe since the Seventies, London: Reaktion Books, 2009; Harold James, Europe Reborn. A History 1914–2000, Harlow: Longman, 2003. Even Judt only devotes brief sections to European integration, without, however, engaging with the debate in European integration history. See Tony Judt, Postwar: A History of Europe since 1945, London: Penguin, 2005, 153-9, 302-9, 526-34, 723-36.
- 15. For example, Alan S. Milward, The European Rescue of the Nation-State, London: Routledge, 1992; Jan van der Harst (ed.), Beyond the Customs Union: The European Community's Quest for Completion, Deepening and Enlargement, 1969–1975. Brussels: Bruylant. 2007. For an overview of this line of research see Michael Gehler, At the Heart of Integration. Understanding National European Policy, in: Wolfram Kaiser and Antonio Varsori (eds.), European Union History: Themes and Debates, Basingstoke: Palgrave, 2010, 85–108.
- 16. For example, N. Piers Ludlow, The European Community and the Crises of the 1960s: Negotiating the Gaullist Challenge, London: Routledge, 2006; idem, Governing Europe. Charting the Development of a European Political System, in: Kaiser and Varsori (eds.), European Union History, 109-27; Morten Rasmussen, Supranational Governance in the Making. Towards a European Political System, in: Wolfram Kaiser, Morten Rasmussen and Brigitte Leucht (eds.), The History of the European Union. Origins of a Trans- and Supranational Polity 1950-72, Abingdon: Routledge, 2009, 34-55.
- 17. For a critical view see Wolfram Kaiser, From State to Society? The Historiography of European Integration, in: Michelle Cini and Angela K. Bourne (eds.), Palgrave Advances in European Studies, Basingstoke: Palgrave, 2006, 190–208.
- 18. For example, Éric Bussière, Michel Dumoulin and Sylvain Schirmann (eds.), Milieux économiques et intégration européenne au XXe siècle. La crise des années 1970. De la conférence de La Haye à la veille de la relance des années 1980, Brussels: PIE, 2007.
- 19. Wolfram Kaiser, Transnational Networks in European Governance. The Informal Politics of Integration, in: W. Kaiser, M. Rasmussen and B. Leucht (eds.), The History of the European Union, 12-33; Wolfram Kaiser, Brigitte Leucht and Michael Gehler, Transnational Networks in European Integration Governance. Historical Perspectives on an Elusive Phenomenon, in: idem (eds.), Transnational Networks in Regional Integration, 1–17.
- 20. For example, Jan-Henrik Meyer, The European Public Sphere. Media and Transnational Communication in European Integration 1969–1991, Stuttgart: Franz Steiner, 2010.
- 21. Notably Leon N. Lindberg, The Political Dynamics of European Economic Integration, Stanford, CA: Stanford University Press, 1963; Ernst B. Haas, The Uniting of Europe. Political, Social, and Economic Forces, 1950-1957, Stanford, CA: Stanford University Press, 1958.
- 22. Arne Niemann and Philippe C. Schmitter, Neofunctionalism, in: Antje Wiener and Thomas Diez (eds.), European Integration Theory, Oxford: Oxford University Press, 2009, 45-66.
- 23. Philip Robert Bajon, Europapolitik 'am Abgrund'. Die Krise des 'leeren Stuhls' 1965-66, Stuttgart: Franz Steiner, 2012.
- 24. For example, Wayne Sandholtz and John Zysman, 1992: Recasting the European Bargain, in: World Politics, vol. 42, no. 1 (1989), 95–128.

- 25. Simon Hix, The Study of the European Community. The Challenge to Comparative Politics, in: *West European Politics*, vol. 17, no. 1 (1994), 1–30; Simon Bulmer, Domestic Politics and European Community Policy Making, in: *Journal of Common Market Studies*, vol. 21, no. 4 (1983), 349–64.
- 26. See, for example, Jeremy Richardson, EU Water Policy. Uncertain Agendas, Shifting Networks and Complex Coalitions, in: *Environmental Politics*, vol. 3, no. 4 (1994), 139–67.
- 27. For an overview see Jan Beyers, Rainer Eising and William Maloney, Researching Interest Group Politics in Europe and Elsewhere: Much We Study, Little We Know?, in: West European Politics, vol. 31, no. 6 (2008), 1103–28; Beate Kohler-Koch and Berthold Rittberger, The 'Governance Turn' in EU Studies, in: Journal of Common Market Studies, vol. 44, Annual Review (2006), 27–49.
- 28. Robert Michels, *Political Parties: A Sociological Study of the Oligarchical Tendencies of Modern Democracy*, New York: Free Press, 1962 [1911]. More recently see: Darcy K. Leach, The Iron Law of What Again? Conceptualizing Oligarchy across Organizational Forms, in: *Sociological Theory*, vol. 23, no. 3 (2005), 312–37.
- 29. Alex Warleigh, 'Europeanizing' Civil Society: NGOs as Agents of Political Socialization, in: *Journal of Common Market Studies*, vol. 39, no. 4 (2001), 619–39.
- 30. Fritz Wilhelm Scharpf, *Governing in Europe: Effective and Democratic?*, Oxford: Oxford University Press, 1999.
- 31. For a critical view see Sabine Saurugger, Interest Groups and Democracy in the European Union, in: *West European Politics*, vol. 31, no. 6 (2008), 1274–91.
- 32. Beate Kohler-Koch, The Three Worlds of 'European Civil Society'. Different Images of Europe and Different Roles for Civil Society, in: U. Liebert and H.-J. Trenz (eds.), *The New Politics of European Civil Society*, 57–72.
- 33. Adrian Favell and Virginie Guiraudon (eds.), Sociology of the European Union, Basingstoke: Palgrave, 2011; Jay Rowell and Michel Mangenot (eds.), A Political Sociology of the European Union: Reassessing Constructivism, Manchester: Manchester University Press, 2010; Robert Hettlage and Hans-Peter Müller (eds.), Die europäische Gesellschaft, Konstanz: UVK, 2006.
- 34. Virginie Guiraudon, The Constitution of a European Immigration Policy Domain. A Political Sociology Approach, in: *Journal of European Public Policy*, vol. 10, no. 2 (2003), 263–82; eadem, Mobilization, Social Movements and the Media, in: A. Favell and V. Guiraudon (eds.), *Sociology of the European Union*, 128–49; Niilo Kauppi, EU Politics, in: ibidem, 150–71; Douglas R. Imig and Sidney G. Tarrow (eds.), *Contentious Europeans: Protest and Politics in an Emerging Polity*, Lanham: Rowman & Littlefield, 2001; Ruud Koopmans and Paul Statham (eds.), *The Making of a European Public Sphere. Media Discourse and Political Contention*, New York: Cambridge University Press, 2010.
- 35. For example, Jochen Roose, *Die Europäisierung von Umweltorganisationen. Die Umweltbewegung auf dem langen Weg nach Brüssel*, Wiesbaden: Westdeutscher Verlag, 2003; William T. Markham and C.S.A. van Koppen, Nature Protection in nine Countries. A Framework for Analysis, in: idem (eds.), *Protecting Nature. Organizations and Networks in Europe and the USA*, Cheltenham: Edward Elgar, 2008, 1–33, 20–6.

2

Europeanization of Christian Democracy? Negotiating Organization, Enlargement, Policy and Allegiance in the European People's Party

Wolfram Kaiser

Are the European Communities (EC) transforming into 'a Europe of the parties'? Against the background of the British debate about the nature of integration and (continued) membership in the EC, the political scientist David Marquand posed this question in an influential article published in 1978.¹ Marquand, a former Labour Party MP until 1977, had just taken up an academic post after returning from a one-year stint in Brussels, where he had worked as special advisor to the new Commission President Roy Jenkins. He anticipated (and supported) a much greater politicization of Community politics and an increased role of political parties in the wake of the first direct elections to the European Parliament (EP) scheduled for the following year. This expectation was widely shared among political scientists engaged in research on the formation of the new transnational European party organizations such as the European People's Party (EPP), which was created in 1976. In fact, this first phase of research on European party cooperation had been sparked in the mid-1970s by the debate about direct elections already foreseen in the Rome Treaties. This research petered out in the early 1980s. It turned out that without additional legislative powers for the EP, the direct elections alone did not change significantly either the role of the EP or that of political parties in the Community's public policy-making.2

This early political science research on European party cooperation is characterized by four main deficiencies. These are closely linked to the