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Herwig O. Gutzeit and Jutta Ludwig-Müller



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ISBN: 978-3-527-31650-2

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Plant Natural Products

Synthesis, Biological Functions and Practical Applications

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Library of Congress Card No.: applied for

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

Bibliographic information published by the Deutsche Nationalbibliothek

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de>.

© 2014 Wiley-VCH Verlag GmbH & Co. KGaA, Boschstr. 12, 69469 Weinheim, Germany

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Print ISBN: 978-3-527-33230-4 (Softcover)

978-3-527-68198-3 (Hardcover)

 ePDF ISBN:
 978-3-527-68197-6

 ePub ISBN:
 978-3-527-68200-3

 Mobi ISBN:
 978-3-527-68199-0

Cover Design Formgeber, Mannheim

Typesetting Thomson Digital, Noida, India

Printing and Binding betz-druck GmbH, Darmstadt

Printed on acid-free paper.

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Preface

All organisms synthesize biologically active organic compounds that confer a selective advantage for the respective species. Natural substances play a central role in the evolutionary struggle for survival and hence Nature has invented a plethora of organic substances with different activities that, for example, help to cope with stressful situations, permit communication with other organisms, or deter enemies. We feel that this important interdisciplinary topic should be represented in student courses of biochemistry, pharmacology, and, of course, biology. The book is based on a lecture course on the biology of natural substances, which is part of the curriculum for biology students at the TU Dresden.

The book covers a wide range of different aspects concerning the importance of secondary metabolites for the plants in their respective ecological niche. We discuss, for example, the biosynthesis of biologically active substances, their role in the development of plants, and their interaction with beneficial organisms as well as pathogens, and present examples of the communication between plants and animals feeding on the plants. The toxicity of some substances in vegetarian food is of concern and a toxicological assessment is essential. For this reason, we outline basic principles of toxicological tests with relevant natural compounds. The public discussion about health-promoting substances addresses important scientific questions that are not easy to answer. In the chosen examples of natural substances affecting human health, we summarize the results of intensive research and illustrate the complexity of the elicited reactions. Estrogenic compounds and hallucinogenic drugs exemplify the perils and promises of natural compounds. Are some compounds in our food disease-preventing? In the book, we discuss current attempts to answer this important question. Finally, the inspiration of Nature for medicinal chemistry will be briefly addressed.

The focus of the book is on plant secondary metabolites but the reader is occasionally reminded that animals and bacteria also contain most interesting compounds. In view of the vast published literature on the subject, we had to resist the temptation to address every interesting aspect concerning the biology of natural substances. Rather, we present current concepts of general biological mechanisms and illustrate the essential interdisciplinary approach in the functional analysis of natural substances. We hope that the reader will share our

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enthusiasm for the subject and will find this book stimulating and an incentive for further studies.

Dresden February 2014 Herwig O. Gutzeit Jutta Ludwig-Müller

Biosynthesis and Chemical Properties of Natural Substances in Plants

The number of known so-called "secondary metabolites" (also referred to as "natural products") that have been discovered to date is increasing at a constant rate. Yet, it is not only plants (as described in this book) that produce these bioactive compounds; rather, other organisms such as bacteria, fungi, sponges, as well as animals, are also capable of synthesizing a plethora of these metabolites.

Whilst some of these metabolites are discussed in Chapters 4 and 5, a large number remain undiscovered. Moreover, secondary metabolites often possess interesting pharmacological properties, and therefore their characterization is very important. It should not be forgotten that plants synthesize these compounds as part of their own survival strategies, typically as defense compounds or as signals for pollinators or symbionts. In addition, recent evidence has pointed to additional roles for secondary metabolites in plant development. Although the term "secondary metabolites" perhaps infers a less important role for these compounds than those involved in primary metabolism, this is not the case. In fact, many essential and nonessential compounds in this group are found in plants, and even so-called "nonessential materials" can play a role in a plant's responses against abiotic and biotic stress. In this situation, the deletion of a biosynthetic pathway would cause damage to the plant, even if the pathway was not needed under favorable conditions. Interest in the secondary metabolites of plants was further increased when more sensitive analytical instruments became available, as well as genome sequence data for many plant species. Together, these tools allow the details of biosynthetic pathways to be investigated, an example being biotechnological manipulation. Notably, attention also began to be focused on the evolutionary aspects of secondary metabolite synthesis.

In general, secondary metabolites occur as complex mixtures (Figure 1.1). Their biosynthesis can be influenced by a variety of factors during development, in addition to stress, which makes the determination of their complete pattern essentially impossible. Whilst secondary metabolites can occur in the tissues as active compounds, they can also be synthesized as inactive compounds that must be transformed into active products; such metabolites that pre-exist are known as "phytoanticipins." Compounds that are biosynthesized under stress conditions are typically not detectable in unstressed tissues; when they are synthesized after the invasion of plants by various pests these metabolites are termed "phytoalexins."



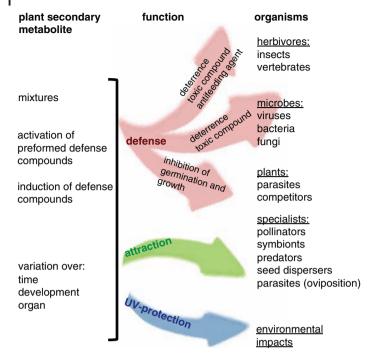


Figure 1.1 Correlation between secondary metabolites, their occurrence, and various functions. Secondary metabolites occur always as complex mixtures, and each plant has a specific set of compounds. The composition varies over time, development, and in different tissues and organs. In addition, the metabolites

can be inactive and must be metabolized to the active form. Others will be produced only in response to biotic stress factors and other stress situations. The latter have a function in deterring a variety of herbivores, but can also attract insects for pollination.

Secondary metabolites play a variety of roles in the interaction of plants with their environment, but they may also be involved in plant development. They can function in defense mechanisms against herbivores such as nematodes, insects or vertebrates, as well as against microbes and competing plants. Metabolites may also play important roles in the attraction of symbionts, such as insects for pollination or zoophagous insects in multitrophic (when more than two organisms are involved) defense mechanisms. Animals that are required for seed-dispersal purposes are attracted by color and aroma compounds; however, some specialist insects and vertebrates have developed mechanisms to cope with the toxic effects of these compounds, and may generate an ecological niche in recognizing a specific host plant for either feeding or oviposition. Abiotic signals also contribute to the specific patterns of secondary metabolites; for example, some compounds are good protectors against ultraviolet (UV) light and photo-oxidation (i.e., oxidation reactions induced by light).

In order to understand the complexity of these compounds it is essential to have a good knowledge of their biosynthesis and the regulation of genes that encode the enzymes involved in syntheses, under changing conditions. A brief description of the biosynthesis of selected compounds is provided in the following sections of this chapter, but for additional information relating to the enzymes and their biochemistry, the reader is referred to respective textbooks on plant physiology and plant biochemistry.

1.1 Selected Classes of Secondary Metabolites

1.1.1

Occurrence and Compartmentation

The patterns of secondary metabolites will differ depending on the species, the developmental stages, and the stress situations occurring in that species. The differences in pattern are the result of the metabolite biosynthesis, and the regulation of genes encoding the biosynthetic enzymes, transcription factors and transport proteins (see Sections 1.1.3 and 1.1.4). It is therefore difficult to generalize the metabolic patterns for a given plant species. The occurrence of some metabolites can be confined to a certain plant species, such as betalains in the Caryophyllales, glucosinolates in the Brassicales (with few exceptions), and polyacetylenes mainly in the Asteraceae and Apiaceae families. In the latter case, the compounds are important as bioactive substances in food crops (see Chapter 6) such as carrot (Daucus carota), celery (Apium graveolens) and fennel (Foeniculum vulgare). A compound from the Asteraceae Carlina acaulis has a strong antimicrobial activity and is also toxic for trypanosomes. Based on their chemical structures, the secondary metabolites can be placed into different classes (Table 1.1).

The synthesis of secondary metabolites can occur in all plant organs, including the roots, shoots, leaves, flowers, fruit, and seeds. Some metabolites are stored in specific compartments, which may be either whole organs or specialized cell types. Within these compartments the concentration of toxic secondary metabolites may be very high, so that they can exert an efficient defense against herbivores. For example, the glandular trichomes are often rich in toxic compounds, and some pathways - an example being the synthesis of the labdane diterpene Zabienol in tobacco – occur exclusively in these trichomes. The glandular trichomes of peppermint leaves also contain large amounts of aromatic oils. The latex of Euphorbia is a good example of a toxic mixture of compounds being stored in specialized cells, the laticifers (Figure 1.2).

Lipophilic compounds can be found in the membranes of organelles or in the endoplasmic reticulum (ER), although specific storage vesicles have been reported for some compounds, such as the alkaloid berberin. Hydrophilic compounds are stored preferentially in vacuoles in the inner parts of the organelles and in the cytosol, as well as in the extracellular space (apoplast). Biosynthesis can occur in the cytosol, organelles and the ER, whereas transcriptional control takes place in the nucleus.

 Table 1.1
 The major classes of secondary metabolites based on their chemical structures.

 Chemical structures are from The PubChem Project.

Class of compound	Example	Structure
N-containing		
Alkaloids	Nicotine	
Nonproteinogenic amino acid	Canavanine	H N H
Amines	Butylamine	H N
Cyanogenic glycosides	Dhurrin	HO H
N- and S-containing		
Glucosinolates	Glucobrassicin	H-O S G G G G G G G G G G G G G G G G G G
Without N		
Anthraquinones	Emodin	H O O H

Flavonoids

Quercetin

Polyacetylenes

Falcarinol

Polyketides

Aloeresin

Phenylpropanoids

Rosmarinic acid

Terpenes

Mono-

Thymol

Sesqui-

Helenaline

(continued)

Table 1.1 (Continued)

Class of compound	Example	Structure
Di-	Gingkolide	O O H O O O O O O O O O O O O O O O O O
Tri-	Oleanolic acid	H. O. H
Tetra-	ß-Carotin	H H H H H H H H H H H H H H H H H H H
Poly-	Rubber	

Some metabolites occur only in specialized subcellular compartments. In such cases, the tissue- and cell-specific localizations will depend on the solubility of the compound, notably whether it is lipophilic or hydrophilic (Table 1.2). Most hydrophilic compounds accumulate in vacuoles, and are typically present as inactive precursor substances that must be activated either chemically and/or enzymatically, this is the case for cyanogenic glycosides and glucosinolates. Antimicrobial tannins, which exert their protective effects in the extracellular space, are located in the apoplast, while the laticifers contain both hydrophilic and lipophilic compounds. The metabolite patterns are species-specific, but all are toxic; if human skin comes into contact with a latex extract, a severe irritation results. Lipophilic storage compartments also contain antimicrobial and/or defense compounds. Metabolites located

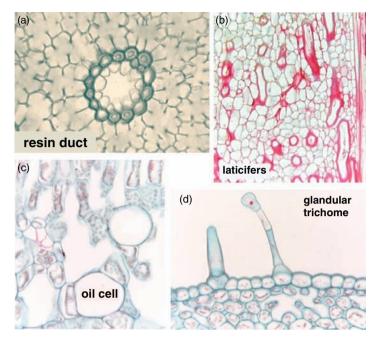


Figure 1.2 Some examples of secondary metabolite-containing plant compartments or cells. (a) Resin duct: cross-section through the needle of a Pinus species; (b) Laticifers; longitudinal section through the shoot of an Euphorbia species: (c) Oil cells: cross-section through a magnolia (Magnolia sp.) leaf; (d) Trichome;

section through a foxglove (Digitalis sp.) leaf with glandular trichome. Image (a) from Bernd Schulz and Jutta Ludwig-Müller, Technische Universität Dresden, Germany; images (b-d) from James D. Mauseth, University of Texas,

in the membranes of the plastids and mitochondria are necessary for primary metabolism, an example being electron-transport chains and light absorbance. Plants secrete volatile compounds either to attract insects for pollination purposes, or zoophagous insects to defend themselves against herbivorous insects (Figure 1.1; see also Chapter 2). Plants may also deliver nonvolatile compounds into the soil, thereby altering the rhizosphere (termed allelopathy; see Section 2.6) that leads to an inhibition of the growth of other, competing, plants.

The process of compartmentation has three important implications:

- 1) The plant itself may not be in contact with the toxic compounds, because it is only after wounding or attack by other organisms that the metabolites will be released from the storage compartments.
- 2) The biosynthetic pathways are also compartmentalized, but this necessitates the involvement of transport processes for metabolite movement.
- 3) The target molecules for the secondary metabolites may be present in all compartments; however, the target is not necessarily colocalized with an active compound.

Table 1.2 Tissue-specific and subcellular compartmentation of hydrophilic and lipophilic compounds.

Characteristics	Storage compartment		Class of compounds		
	Tissue Cell		-		
Hydrophilic	Laticifer		Some alkaloids		
			Nonproteinogenic amino acids		
			Digitalis glycosides		
			Cyanogenic glycosides		
		Vacuole	Many alkaloids		
			Nonproteinogenic amino acids		
			Saponins		
			Glycosides		
			Flavonoids		
			Anthocyanidins		
			Betalains		
			Tannins		
			Cyanogenic glycosides		
			Glucosinolates		
			Amines		
		Apoplast	Tannins		
		Cytoplasm	Most hydrophilic compounds during thei		
			biosynthesis		
		Nucleus	Flavonoids		
Lipophilic	Trichome		Terpenoids		
	Resin		Flavonoids		
	duct		Terpenoids		
	Laticifer		Diterpenes		
			Quinones		
			Flavonoids		
			Polyterpenes		
	Oil cell		Anthraquinones		
			Terpenoids		
		Cuticula	Wax		
			Flavonoids		
			Terpenoids		
		Mitochondrion	Alkaloids (Conium)		
		Plastid	Terpenes		
			Alkaloids (coniin, quinolizidine, coffein)		
		Specialized vesicles	Alkaloids (protoberberin)		
		Plastid membrane	Ubiquinones		
Tetraterpenes		memorane			
retrater peries		Endoplasmic	Lipophilic substances during enzymatic		
		reticulum	hydroxylation steps		

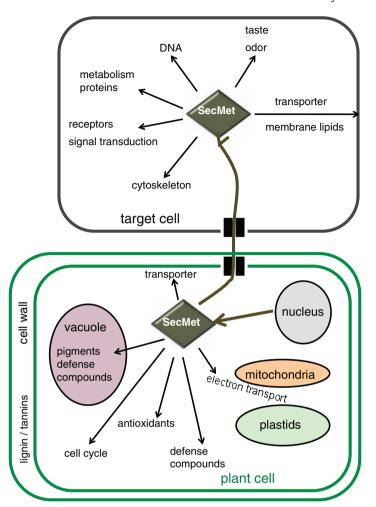


Figure 1.3 Cellular and molecular targets for secondary metabolites in plants and other organisms. In the plant cell (green), secondary metabolites (SecMet) are synthesized and can exert their effects in different compartments, such as the electron-transport chain in organelles, transporters at the plasma membrane, as antioxidants, and as fortification elements in the cell walls. They are stored in vacuoles or

cell wall compartments as coloring or defense compounds. In the cells of the target organisms (brown), into which the metabolites must be transported, the targets can be in all compartments. Target molecules encompass transporters and channels, enzymes and proteins, nucleic acids, the cytoskeleton, receptor molecules and signal transduction chains, as well as membrane lipids.

A few cellular targets will be discussed in the following section (see Figure 1.3), and further descriptions are provided in Chapters 5 and 6, with details of the compounds' biological activities. Many compounds that are used to protect plants against microbes and herbivores exert their biological activities outside the plant tissues; however, if these compounds were to exert any toxic effect on the plant, their compartmentation would provide protection against self-poisoning.

Membrane targets can be found at the plasma membrane, ER or Golgi apparatus, where the functions of molecules such as transporter and channels, and also of membrane receptors, can be altered. The electron-transport chains of both plastids and mitochondria may also serve as targets, and plant compounds may inhibit their function. In addition, the structural proteins of the cell wall and of enzymes may be affected, while the cytoskeleton, with its component proteins myosin and tubulin, may be a target for secondary metabolites and result in an altered cell cycle (see Figure 2.5). Within the nucleus and the organelles, desoxyribonucleic acid (DNA) is a target for interaction with secondary metabolites; it is well known that some molecules alkylate DNA, which in turn affects its replication, transcription, and repair mechanisms. It should be noted that, in order to be active in these compartments, the metabolites must be transported across the respective membranes (see Section 1.1.4).

Although the major role of these compounds seems to be the defense of plants against other organisms, humans are also affected by secondary metabolites (see Chapters 3.2 and 6). When defending plants against microbes, the toxic effect of the secondary metabolites on one or several target molecules constitutes a major role, although their "bitter-tasting" properties will generally deter attacks by herbivores. In these organisms, the targets may be located as indicated in Figure 1.3, with the exception of plastids, which only play a role as target in allelopathy. This might not be the case for parasitic plants, however, which are dependent on the host photosynthesis for their nutrient acquisition. Many secondary metabolites, such as sterols, can influence membrane fluidity (see Figure 2.28), while other compounds may interact with or intercalate in DNA, and are thus cytotoxic; mitosis may also be inhibited (see Figure 2.5).

Secondary metabolites can also inhibit the activity of various enzymes. Alkaloids and amines are often similar to the neurotransmitters of vertebrates, or to hormones involved in the development of insects, and thus mimic their effects. Cyanogenic glycosides release toxic cyanide, which inhibits cytochrome c oxidase in the mitochondrion and, as a consequence, energy production in the form of adenosine triphosphate (ATP). Isothiocyanates, which are released from glucosinolates, can influence membrane fluidity. Terpenes also interact with membranes, though some demonstrate similarities to biologically important sterols in fungi and animals. For example, the Na+-, K+-ATPase, which is important in animals, can be inhibited by several groups of metabolites, including the pyrrolizidine alkaloid monocrotalin, the digitalis glycosides, and/or polyketides such as anthraquinones. The latter also interfere with the formation of cyclic AMP (cAMP) by inhibiting adenylate cyclase, which itself influences many signal transduction pathways. Interestingly, plants contain cyclic guanosine monophosphate (cGMP) rather than cAMP. Flavonoids also have the ability to inhibit enzymes, but may cause additional mutagenic and toxic effects on DNA via alkylation.

1.1.2 **Biosynthesis**

The biosynthetic pathways derive from various precursors of primary metabolism (Figure 1.4). The precursor is defined as a molecule used by a biosynthetic enzyme as a substrate and converted to a product. The product can be an intermediate in the pathway, and in this case it is used as precursor for the next biosynthetic enzyme, or it is the final product of the reaction chain.

$$substrate (precursor) \rightarrow intermediate \rightarrow intermediate \rightarrow product \\ \updownarrow \\ precursor \rightarrow intermediate \rightarrow product$$

In a complex reaction scheme, which has many junctions, an intermediate is simultaneously also a precursor for another part of the pathway. In Figure 1.4, shikimic acid would be an intermediate for the amino acid metabolism, and also

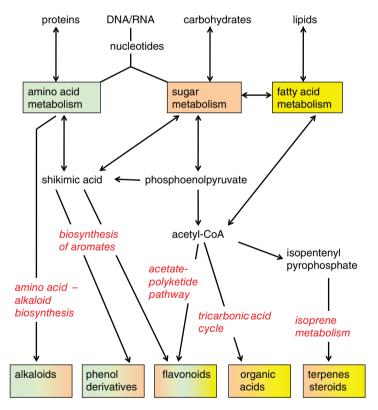


Figure 1.4 General scheme of biosynthetic pathways and precursors for the major classes of secondary metabolites. Pathways deriving from aromatic amino acids are shown in green;

pathways deriving from carbohydrates are shown in brown; pathways deriving from lipids are shown in yellow. Modified from Larcher, W. (1995) Physiological Plant Ecology, 3rd edn, Springer-Verlag.

a precursor for the biosynthesis of aromatic secondary metabolites. Similar precursors are used within one class of compounds for the biosynthesis, but the same precursors can be also used for a range of different metabolites.

The final products are derived from three major classes of compounds and marked in the same color in Figure 1.4. The major precursors are derived from protein (amino acids), carbohydrate (sugars) and lipid (fatty acid) metabolism. The biosynthetic pathway for aromatic amino acids is one of the major sources of aromatic compounds such as phenols, flavonoids and some alkaloids. Acetyl-CoA is a central metabolite formed by glycolysis and also via the β-oxidation of fatty acids, and is used in the tricarboxylic acid cycle in the synthesis of organic acids, which are also precursors for secondary metabolites. In addition, acetyl-CoA is involved in the synthesis of terpenes, which form a distinct class of metabolites. In the following sections some selected biosynthetic pathways will be discussed, but for more detail the reader is referred to textbooks on plant biochemistry. Knowledge of the biosynthetic pathways of natural compounds is essential for the targeted manipulation of these pathways in biotechnology. In addition to knowledge on the enzymes and genes involved in these biosyntheses, it is important to understand the transcriptional control of the genes. Therefore, a few examples will be provided where the transcriptional control of pathways has been well elucidated, notably of the glucosinolates and flavonoids (Section 1.1.3). Finally, details on biosynthetic pathways and corresponding genes will help to provide an understanding of how these secondary metabolites have evolved (Section 1.2).

When the basic skeleton of a secondary metabolite has been synthesized, it is the many enzymatic modifications (which are added later to the structure) that form the main reason for the large variations among these molecules. The chemical reactions involved include oxidations, hydroxylations, reductions, acylations, methylations, prenylations and glycosylations (see also Table 2.5).

1.1.2.1 Alkaloids

Within the group of alkaloids many compounds with stimulating, hallucinogenic and analgesic properties can be found (see Chapter 5). Many of these compounds are toxic, or they can be converted from nontoxic to toxic compounds. Depending on the chemical structure of an alkaloid, different precursors are required for the biosynthesis. Examples of the major groups of alkaloids, together with a typical chemical structure, a plant in which the compound is found and the main precursor(s) involved in the biosynthesis, are shown in Table 1.3.

The biosynthetic pathway for an individual compound is very complex. Many different precursors are involved for the various pathways, including not only aromatic amino acids such as tryptophan, tyrosine and phenylalanine but also aspartate, glutamine, lysine, glycine and valine for other alkaloids (Figures 1.5 and 1.6; Table 1.3). In addition, the nonproteinogenic amino acid ornithine is an important precursor for various alkaloids. Anthranilic acid, from which tryptophan is synthesized, is the precursor for acridine alkaloids, and thus the shikimate pathway (which is responsible for the biosynthesis of all aromatic amino acids) is involved. For several alkaloids two different precursors are needed for the biosynthetic

Table 1.3 The major classes of alkaloids and their precursors, according to their chemical structure. One example, with chemical structure, and one plant species where it occurs, is given for each class. Chemical structures are from The PubChem Project.

Basic structure	Precursor	Example of structure (Plant)	Formula basic structure
Acridine	Anthranilate	OH-N-Methyla- cridone (Ruta graveolens)	
Benzophenanthrinidine	Tyrosine O O H H H H	Sanguinarine (Eschscholzia californica)	
Quinazoline	Ornithine	Peganine (Peganum harmala)	
Quinoline	Anthranilate Tryptophan	Quinine (Cinchona officinalis)	
Quinolizidine	Lysine	Lupanine (Lupinus polyphyllus)	
Imidazole	Histidine	Pilocarpine (Pilocarpus jaborandi)	N N N N N N N N N N N N N N N N N N N

(continued)

Table 1.3 (Continued)

Basic structure	Precursor	Example of structure (Plant)	Formula basic structure
Indole	Tryptophan	Serotonin (Musa x paradisiaca)	T T
Isoquinoline	Tyrosine	Morphine (Papaver somniferum)	N
Phenylalkylamine	Phenylalanine	Cathinone (Catha edulis)	H N H
Piperidine	Lysine	Coniine (Conium maculatum)	N H
Purine	Aspartate H.O.H.	Caffeine (Coffea arabica)	N N N
	H-O N-H		
	Glutamate H H O H O H		
Pyridine	Aspartate Ornithine	Nicotine (Nicotiana tabacum)	
Pyrrolidine	Aspartate Ornithine	Muscarine (Amanita muscaria)	√N-H

Pyrrolizidine	Ornithine Valine	Senecionine (Senecio jacobaea)	
Terpene indole	Tryptophan Isoprene	Physostigmine (Physostigma venenosum)	NH O
Tropane	Ornithine Arginine	Cocaine (Erythroxylon coca)	
Tropolone	Tyrosine Phenylalanine	Colchicine (Colchicum autumnale)	₩ O

pathways. In the case of terpene indole alkaloids (Figures 1.5 and 1.6; see also Figure 1.34), it is not only tryptophan that is involved as a precursor for the indole moiety, but also monoterpenes for the synthesis of side chains. Another example is the biosynthesis of the tropane alkaloids hyoscyamine and scopolamine, where ornithine and phenylalanine are required for the different parts of the molecule (Figure 1.5; Table 1.3).

Although many alkaloids are of importance to humans (Chapter 5), their biosynthetic pathways are often not fully understood. However, it is important to know as much as possible about the precursors, enzymes and limiting steps in the pathway, if these secondary metabolites should be changed in abundance by breeding or biotechnological methods employed in plants (Section 1.3). It is important that changes in one pathway do not lead to limitation of a precursor for a second pathway, in case the two pathways compete for the same precursor. This can be observed especially for aromatic amino acids, which play many roles in different pathways in the plant; examples include their requirement in proteins, a role in the synthesis of cell wall components, and as precursors for the plant hormone indole-3-acetic acid (IAA). In secondary metabolite synthesis, amino acids

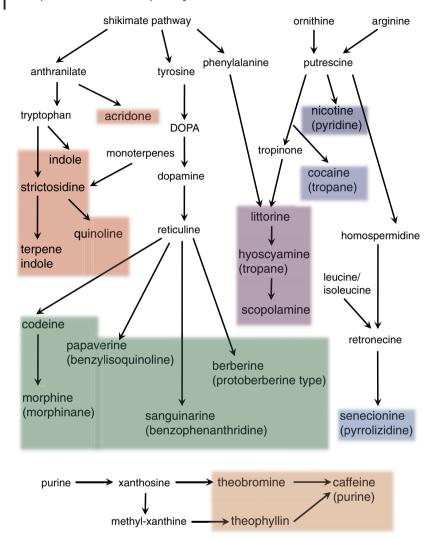


Figure 1.5 Overview on the biosynthesis of selected alkaloids. The shikimate pathway leads to the synthesis of many different alkaloids given in colors depending on their origin (red = anthranilate/tryptophan, green = tyrosine). In addition, ornithine and arginine via putrescine result in the synthesis of pyridine (dark

blue), tropane (blue) and pyrrolizidine alkaloids (light blue). Phenylalanine together with ornithine is needed for the synthesis of a second group of tropane alkaloids (violet). Caffeine and related substances is derived from purine (brown). The class of compounds is given in brackets.

are involved in the synthesis of alkaloids, of phenolic compounds, and also of pigments such as anthocyanins and betalains. This indicates that, even under natural conditions, alkaloid biosynthesis competes with many other pathways, because the other amino acids are also needed for protein synthesis.