Rajanikanth Aluvalu Mayuri Mehta Patrick Siarry *Editors*

Explainable Al in Health Informatics



Computational Intelligence Methods and Applications

Founding Editors

Sanghamitra Bandyopadhyay, Machine Intelligence Unit, Indian Statistical Institute, Kolkata, West Bengal, India

Ujjwal Maulik, Dept of Computer Science & Engineering, Jadavpur University, Kolkata, West Bengal, India

Patrick Siarry, LISSI, University of Paris-Est Créteil, Créteil, France

Series Editor

Patrick Siarry, LiSSi, E.A. 3956, Université Paris-Est Créteil, Vitry-sur-Seine, France

The monographs and textbooks in this series explain methods developed in computational intelligence (including evolutionary computing, neural networks, and fuzzy systems), soft computing, statistics, and artificial intelligence, and their applications in domains such as heuristics and optimization; bioinformatics, computational biology, and biomedical engineering; image and signal processing, VLSI, and embedded system design; network design; process engineering; social networking; and data mining.

Rajanikanth Aluvalu • Mayuri Mehta • Patrick Siarry
Editors

Explainable AI in Health Informatics



Editors Rajanikanth Aluvalu Symbiosis Institute of Technology Hyderabad Campus Hyderabad, Telangana, India

Symbiosis International (Deemed University)
Pune, India

Patrick Siarry Lab. LiSSi Universite Paris-Est Creteil Vitry-sur-Seine, France Mayuri Mehta Department of Computer Engineering Sarvajanik College of Engineering and Technology Surat, Gujarat, India

ISSN 2510-1765 ISSN 2510-1773 (electronic) Computational Intelligence Methods and Applications ISBN 978-981-97-3704-8 ISBN 978-981-97-3705-5 (eBook) https://doi.org/10.1007/978-981-97-3705-5

© The Editor(s) (if applicable) and The Author(s), under exclusive license to Springer Nature Singapore Pte Ltd. 2024

This work is subject to copyright. All rights are solely and exclusively licensed by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Singapore Pte Ltd. The registered company address is: 152 Beach Road, #21-01/04 Gateway East, Singapore 189721, Singapore

If disposing of this product, please recycle the paper.

Preface

Artificial Intelligence (AI) has revolutionized the healthcare industry and has become an integral part of the system. AI-enabled autonomous systems are benefiting the healthcare industry. However, most machine learning and deep learning models are black models and are not explainable when the procedure goes wrong. A risk is still associated with unquestioningly trusting AI systems' recommendations, insights, or predictions. They operate as a black box, meaning users do not understand how such systems make decisions. Thus, the critical limitation of today's intelligent systems is their inability to explain their decisions and actions to human users. This issue is crucial for risk-sensitive healthcare applications, such as disease prediction, patient analytics, clinical decision support, surgery, etc. A lack of explainability hampers our capacity to fully trust AI systems.

This book is a collection of 12 chapters that provide an overview of recent advances in this area, that is, how explainable artificial intelligence techniques help provide trustworthy solutions in healthcare. The target audience of this book is researchers, practitioners, and students. A brief description of each chapter is given below.

Chapter 1 extensively discusses various fundamental underpinnings, methodologies, and implementation frameworks of XAI. The bedrock of XAI lies in the urgency to demystify the internal mechanisms of AI models, rendering their decision-making transparent for human stakeholders.

Chapter 2 addresses the capabilities of XAI frameworks to achieve accountability, transparency, result tracing, and model improvement. It discusses various XAI methods, use cases, and different application areas of XAI.

Chapter 3 discusses the use of deep learning in the real world and various datasets in the healthcare domain. It also discusses the transition from healthcare 1.0 to healthcare 6.0 and the use of XAI in various aspects of healthcare.

Chapter 4 introduces available XAI toolkits for experimental purposes and potential avenues for future developments in XAI. These aid researchers in exploring healthcare-oriented applications and contribute to advancing the healthcare 5.0 paradigm.

vi Preface

Chapter 5 explains the implementation of XAI methods using use cases on COVID-19 and cancer diagnosis. It discusses various post hoc methods in XAI and uses cases of using post hoc methods in XAI.

Chapter 6 discusses various applications of AI in disease diagnosis. It also discusses Artificial Intelligence-based design for automated drug z synthesizing. This chapter further stimulates additional research on developing and implementing artificial intelligence methods in drug discovery.

Chapter 7 discusses explainable AI and various big data control challenges. Further it discusses the post hoc explainable AI methods and the categories of explainability in XAI methods.

Chapter 8 casts a spotlight on the pivotal role of XAI within medical systems. It accomplishes this by delving into the essence of XAI, elucidating its various categories, exploring the algorithms harnessed to unveil concealed information within black-box systems, and addressing the challenges inherent to XAI. Furthermore, this chapter offers guidance to its readers on constructing intelligible deep learning models tailored for patient data analytics.

Chapter 9 discusses a proposal framework that will establish a standard for incorporating complex deep learning models into medical IoT devices. The implementation of this approach may offer a reliable and efficient diagnostic tool for the early detection of kidney abnormalities, which can lead to early interventions and better outcomes for patients. This research demonstrates the potential of AI-powered medical diagnostics to revolutionize medical care, particularly in detecting and treating kidney diseases.

Chapter 10 proposes an explainable DNN model for improved CRC detection utilizing stool-based microbiome data. The model employs a square root-based normalization method and a feature extension approach, incorporating customized normalization techniques to enhance prediction performance. These methods effectively address outliers, dominant features, and dimensionality challenges.

Chapter 11 proposes a deep convolutional neural network method called Decompose, Transfer, and Compose (DTC) that simultaneously estimates the present super- and fine-grained states. DTC can handle anomalies in the dataset by exploring its class limits using a class decay process.

Chapter 12 discusses how XAI is vital in diagnosing retinopathy, detecting skin cancer, and predicting ICU mortality. It discusses the complexities of deep learning algorithms that aid healthcare professionals in identifying retinal abnormalities and skin lesions, and forecasting ICU outcomes with utmost confidence. It also explains how XAI approaches illuminate the decision-making process, demystifying the "black box" and establishing a seamless link between AI and human expertise.

The editors are thankful to the authors who submitted their research work to this book and to all the anonymous reviewers for their insightful remarks and significant suggestions that helped enhance the book's quality. We trust that readers will find the book useful.

Hyderabad, Telangana, India Surat, Gujarat, India Vitry-sur-Seine, France 18th November 2023 Rajanikanth Aluvalu Mayuri Mehta Patrick Siarry

Contents

Intr	oducti	on to Explainable AI	1
Ami	t Gana	tra, Brijeshkumar Y. Panchal, Devarshi Doshi,	
Deva	anshi E	Bhatt, Jesal Desai, Bijal Talati, Neha Soni,	
and.	Apurva	a Shah	
1	Introd	luction	2
2	What	Are Black-Box Models?	3
	2.1	Why Is Model Interpretability Important?	4
	2.2	Using Explainable AI to Decipher Black-Box	
		Machine Learning Models	5
3	Transp	parency in Machine Learning Models	6
	3.1	Long-Term Objectives	6
	3.2	Short-Term Objectives	7
	3.3	Theoretical Limits	8
	3.4	Framework and Tools	10
4	Evalua	ation Methods and Metrics for XAI	12
	4.1	What Is the Need of Evaluation?	12
	4.2	General Steps for Evaluation of XAI	13
	4.3	Evaluation of Methods on Time Series	14
5	Challenges of XAI		16
	5.1	Introduction	16
	5.2	Challenges to Achieve Deep Learning	16
6			18
	6.1	Legal Issues Regarding XAI	19
7	Applications of XAI in Real-Life Sectors Such as Healthcare,		
	Transp	portation, Finance, Military, Security, Legal Judgment Etc	21
	7.1	Role of Explainable AI in Different Industries	22
	7.2	Healthcare	22
	7.3	Banking, Financial Services, and Insurance	23
	7.4	How Can Explainable AI Be Implemented in Banks	
		and Finance Industry?	23

viii Contents

	7.5	Automobiles	24
	7.6	Manufacturing	24
	7.7	Judicial System	25
8	Huma	n-Computer Interaction (HCI) and XAI	26
	8.1	History of Interaction	26
	8.2	Definition	26
	8.3	Application.	26
9	Curren	nt Challenges and Future Opportunities in XAI	27
	9.1	Opportunities	29
10	Concl	usion	30
Refe	rences		30
100		1 4736 (1 1 1 4 1) (1	22
_		le AI Methods and Applications	33
		an Mohanthy, Viyyapu Lokeshwari Vinya, Koti Tejasvi,	
	_	dmaja, Sunanda Yadla, and Sahithi Godavarthi	2.4
1		luction	34
	1.1	Need for XAI	35
_	1.2	Principles of XAI	35
2		Methods/Techniques	35
	2.1	Layer-Wise Relevance Propagation (LRP)	36
	2.2	Local Interpretable Model Agnostic Explanations (LIME)	37
	2.3	Counterfactual Method	38
	2.4	SHapley Additive Explanations (SHAP)	38
	2.5	Generalized Additive Model (GAM)	39
3	Exam	ple Use Cases for XAI	39
	3.1	Use Case 1: Building a Model Electronic Medical	
		Record (EMR)	39
	3.2	Use Case 2: Healthcare Helps to Build User	
		Trust—Even During Life-and-Death Decision	39
	3.3	Use Case 3: Explaining Text Data for Natural Language	
		Processing (NLP) Tasks	40
4	Case S	Study on XAI in Healthcare	41
	4.1	Explainable AI for Earlier Warning Score (XAI-EWS)	42
5	Applie	cations of Explainable AI	44
	5.1	Healthcare	44
	5.2	Media and Entertainment	44
	5.3	Education	44
	5.4	Transportation	45
	5.5	Finance	45
	5.6	E-Commerce	45
	5.7	Human Resource Management	46
	5.8	Digital Assistants	46
	5.9	E-Governance.	46
	5.10	Social Networking	47
6		usion	47
	rences		47

Contents ix

Un	veil the	Black-Box Model for Healthcare Explainable AI	49
Raj	anikant	h Aluvalu, V. Sowmya Devi, Ch. Niranjan Kumar,	
Nitt	tu Gout	ham, and K. Nikitha	
1	Introd	luction	50
	1.1	Motivation	51
	1.2	Scope of the Paper	52
2	Deep	Learning: A Mysterious Black Box	52
	2.1	Deep Learning in the Real World	53
	2.2	Datasets in the Healthcare Domain	53
	2.3	Challenges of Deep Learning in Healthcare	56
3	eXpla	inable AI in Healthcare	58
	3.1	Transition from Healthcare 1.0 to 6.0	58
	3.2	Questionnaire and Proposed Solution	60
	3.3	Step-by-Step Process of XAI	60
	3.4	XAI Stages	62
	3.5	Traditional Programming Vs ML Vs XAI	63
4		World Applications of eXplainable AI in Various Domains	64
5		enges of XAI	66
6		usion and Future Scope	67
			67
		le AI: Methods, Frameworks, and Tools for Healthcare 5.0	71
		ulipeti, Premkumar Chithaluru, Manoj Kumar,	
		simhulu, and Uma Maheswari V	
1		luction	73
	1.1	Healthcare 1.0	73
	1.2	Healthcare 2.0	74
	1.3	Healthcare 3.0	74
	1.4	Healthcare 4.0	74
	1.5	Healthcare 5.0	75
2	State	of the Art	76
	2.1	Critical Analysis.	82
3		its for XAI	82
4	Future	e Research Directions.	83
5	Concl	usion	84
Ref	erences	8	84
Evr	dainah	le AI in Disease Diagnosis	87
		di, Anjali Thukral, and Shivani Dhiman	07
1		luction	88
2		nomy in Explainable AI (XAI)	90
_	2.1	XAI Methods Classifications	90
	2.1	All-Inclusive XAI Taxonomy.	90
2		noc Methods in XAI	91
3			
4		n Disease Diagnosis.	96
5	Case S	Studies of XAI Post-hoc Methods	99

x Contents

6 7		ıssionlusion	105 109
Ref	erence	s	109
	•	ble Artificial Intelligence in Drug Discovery	113
1		duction	114
2	Appl 2.1	ications of Artificial Intelligence in Drug Discovery	116
	2.1	Modelling with Artificial Intelligence	116
	2.2	Artificial Intelligence-Based Approaches in De	
2	A C	Novo Drug Design	120
3		icial Intelligence-Based Design for Automated	122
4	_	Synthesisingssion	124
5		lusion	125
_		S	126
		ble AI for Big Data Control.	135
		th Aluvalu, Swapna Mudrakola, Pradosh Chandra Patnaik, eswari V, and Krishna Keerthi Chennam	
1		duction	136
1	1.1	Big Data and AI Applications	137
	1.2	Explainable Artificial Intelligence (XAI).	138
	1.3	Big Data Control Challenges	139
2		ature Study	140
	2.1	Big Data Control Using Artificial Intelligence.	140
3	Meth	odology	144
	3.1	Post Hoc Explainability (PHE) in XAI	145
	3.2	Category of Explainability in AI Methods	146
	3.3	XAI Techniques and Case Studies	146
4	Conc	lusion	152
Ref	erence	S	152
Pat	ient D	ata Analytics Using XAI: Existing Tools and Case Studies	155
		agirdar, Vijaya Kumar Vakulabharanam,	100
		handra Prasad G, and Anitha Bejugama	
1		ntroduction to Explainable AI	156
	1.1	What Is XAI?	156
	1.2	Why Is XAI Important in Patient Data Analytics?	157
	1.3	Challenges of XAI in PDA.	159
	1.4	Methods of XAI	160
	1.5	A Generic Architecture of the XAI Model for PDA	162
	1.6	Toolkits and Frameworks	165
	1.7	Case Studies	167
	1.8	Future Scope of XAI	170
D a4	1.9	Conclusions	170 171
IVE	CICHCE	3	1/1

Contents xi

Enh	nancing Diagnosis of Kidney Ailments from CT Scan with	
	lainable AI	175
Sura	abhi Batia Khan, K. Seshadri Ramana, M. Bala Krishna,	
Suba	arna Chatterjee, P. Kiran Rao, and P. Suman Prakash	
1	Introduction	176
2	Related Works of Explainable AI in Disease Diagnosis	
	and Prognosis	177
	2.1 XAI Techniques in Medical Diagnosis	181
3	Classification of Kidney Diseases Using Grad-CAM	186
	3.1 Data Collection	186
	3.2 Data Preprocessing	187
	3.3 Feature Extraction and Classification.	187
	3.4 Visual Explanations Using Grad-CAM	191
4	Results and Discussion	193
5	Conclusion	199
Refe	erences	199
_	lainable AI for Colorectal Cancer Classification	203
	enge Mulenga, Manjeevan Seera, Sameem Abdul Kareem,	
	Aznul Qalid Md Sabri	
1	Introduction.	204
2	Related Works	205
3	Methods	208
	3.1 Datasets	209
	3.2 Proposed Methods	210
4	Results and Discussions	212
5	Conclusions	220
Refe	erences	221
Evn	olainable AI (XAI)-Based Robot-Assisted Surgical	
	ssification Procedure	225
	n Subba Reddy Somula, Narsimhulu Pallati,	223
	lhuri Thimmapuram, and Shoba Rani Salvadi	
1	Introduction	226
1	1.1 Background of Surgical State Estimation	227
		228
2		228
2	Related Works	
3	Methods.	230
	3.1 Datasets	232
	3.2 DTC Method	233
4	Results and Discussions	236
	4.1 Evaluating Models	237
5	Conclusions.	239
Refe	erences	240

xii Contents

Exp	lainal	ble AI Case Studies in Healthcare	243
Vija	ya Ku	mar Vakulabharanam, Trupthi Mandhula,	
and	Swath	i Kothapalli	
1	Obje	ctive	244
2	Intro	duction	244
3	Back	ground and Motivation	246
	3.1	Evolution of Artificial Intelligence in Healthcare	246
	3.2	Introduction to Explainable Artificial Intelligence	
		(Explainable AI)	250
	3.3	Motivation for Explainable AI in Healthcare	252
	3.4	Challenges and Concerns in Implementing	
		Explainable AI in Healthcare	253
	3.5	Regulatory and Policy Perspectives on Explainable	
		AI in Healthcare	254
	3.6	Significance and Potential Impact of Explainable	
		AI in Healthcare	255
4	Over	view of Explainable Artificial Intelligence	
		lainable AI)	257
	4.1	Definition and Explanation of Explainable AI	257
	4.2	Importance of Explainable AI	258
	4.3	Goals of Explainable AI	259
	4.4	Explainable AI Techniques and Approaches	260
	4.5	Evaluation and Validation of Explainable AI	261
	4.6	Current Trends and Future Directions	262
5		Study-1: ICU Mortality Prediction Using Machine	
	Learning and Explainable AI		263
	5.1	Problem Statement	263
	5.2	Data Analysis and Model Training.	263
	5.3	Feature Engineering and Selection.	263
	5.4	Explainable AI Techniques Applied	264
	5.5	Interpretation and Insights	266
	5.6	Conclusion	266
6		Study-2: Diabetic Retinopathy Detection	
	Using Explainable AI Techniques.		266
	6.1	Problem Statement	266
	6.2	Data Analysis and Model Training.	
	6.3	Explainable AI Techniques Applied	269
	6.4		270
	6.5	Conclusion	271
7		Study-3: Skin Cancer Detection Using Explainable	2/1
,		echniques	272
	7.1	Problem Statement	272
	7.1	Data Analysis	272
	7.3	Explainable AI Techniques Applied	272
	7.4	Interpretation and Insights	273
	/ . T	interpretation and margina	413

xiii

	7.5	Conclusion	274
8	Limitations and Future Scope		
	8.1	Limitations	274
	8.2	Future Scope	275
Ref	erence	S	275

Abbreviations

16S rRNA 16S ribosomal RNA

2AVB-T1 Second degree atrioventricular block Mobitz type I with

Wenckebach phenomenon

2AVB-T2 Second degree atrioventricular block Mobitz type II

2D Two-dimensional
3D Three-dimensional
abs-diff Absolute difference
abs-shift Accuracy

ACC Accuracy

ADASYN Adaptive synthetic

ADMET Absorption, distribution, metabolism, excretion, and toxicity

AEHR Advanced electronic health record

AF Atrial fibrillation
AI Artificial intelligence
AL Accumulated local
AR Augmented reality

AR/VR Augmented reality/virtual reality

AUC Area under the curve

AUROC Area under the receiver operating characteristic

BB Black-box models

BiLSTM Bidirectional long short-term memory

BMI Biomass index

CAM Channel attention module CAVB Complete atrioventricular block

CD Crohn's disease

CDSS Clinical decision support system

CM Counterfactual method

CNN Convolutional neural network

CRC Colorectal cancer
CT Computed tomography

CV Cross validation

xvi Abbreviations

CVD Cardiovascular disease

CX-ToM Counterfactual explanations with the theory-of-mind

DD Disease diagnosis

DEC Decompose, transfer, and compose

DL Deep learning

DNN Deep neural network

DRD Deep radio mic descriptors

DT Decision tree

DTC Decision tree classifier
ECG Electrocardiogram
EHR Electronic health records
EWS Early warning scores

F1 F1 score Fig Figure

FNIRS Functional near-infrared spectroscopy

FPR False positive rates FSM Finite state model

GAM Generalized additive model
GBM Gradient boosting machine
GLM Generalized linear model
GPU Graphical processing units

Grad-CAM Gradient-weighted class activation mapping GW-OLS Geographically weighted OLS regression

HFSM Hierarchical finite state machine HFSM Hierarchical fuzzy sets model

ICE Individual CONDITIONAL EXPECTATION

ICU Intensive care unit

IIM Intrinsically interpretable methods

IoMT Internet of military things
IoMT Internet of medical things

IoT Internet of things

ISIC International Skin Imaging Collaboration

JR Junctional rhythm

LBC Lung and bronchus cancer

LD Local dependence

LIME Local interpretable model-agnostic explanations

LOS Length of staying LR Logistic regression

LRP Layer-wise relevance propagation

LSTM Long short-term memory

MADN Median absolute deviation normalization

MAE Mean absolute error MAM Model agnostic methods

MELLODDY Machine learning ledger orchestration for drug discovery

MI Mutual information min-max Minimum-maximum

Abbreviations xvii

ML Machine learning

MRI Magnetic resonance imaging

MTL Multi-task learning

NHAI National Highway Authorities of India

NLP Natural language processing

NN Neural network
NSR Normal sinus rhythm
OTU Operational taxonomic unit
PDA Patient data analytics
PDP Partial dependence plot

PEARS Pregnancy Exercise And Nutrition Research Study

PM Pacemaker rhythm

PRE Precision

PDP

PROTACs Proteolysis-targeting chimaeras

QSAR Quantitative structure-activity relationship

Partial Dependency Plot

RAM Random access memory RAS Robot-assisted surgery

REC Recall

RF Random forest

RLE Relative log expression RMSE Root mean squared error

RMSprop Root mean square

RNN Recurrent neural network

ROI Region of interest

SAM Spatial attention module SHAP SHapley Additive exPlanations

SMOTE Synthetic minority over-sampling technique

sqrt-prod Square root product sqrt-sum Cube root-sum

SVM Support vector machines SVT Supraventricular tachycardia

TB Tuberculosis

TML Transformational machine learning

TMM Trimmed mean of *M*-values

TPR True positive rates
UAV Unmanned arial vehicles

UC Ulcerative colitis

USMS Universal patient-side manipulators

VT Ventricular tachycardia

XAI Explainable artificial intelligence XDM Explainable deep learning model

XML Explainable ML

ZSM Zero service management ZSN Z-score normalization

Introduction to Explainable AI



Amit Ganatra , Brijeshkumar Y. Panchal , Devarshi Doshi, Devanshi Bhatt, Jesal Desai , Bijal Talati , Neha Soni , and Apurva Shah 📵

Abstract Explainable AI (XAI) has emerged as an essential realm aimed at tackling the opacity of intricate AI models and nurturing confidence in their judgments. This study extensively investigates the fundamental underpinnings, methodologies, and practical implementations of XAI. The bedrock of XAI lies in the urgency to demystify the internal mechanisms of AI models, rendering their decision-making transparent for human stakeholders. Within the domain of XAI, diverse methodologies encompass a spectrum of techniques such as interpretable models, scrutiny of feature significance, localized and holistic elucidations, visual representations, and explications in natural language. These methodologies collectively foster intelligibility and amplify the explicable nature of AI models. This research significantly enriches the expanding reservoir of scholarly exploration by clarifying the core tenets of XAI. This comprehensive survey unmistakably demonstrates that XAI assumes a pivotal role in bridging the chasm between intricate AI processes and human comprehension. Consequently, it clears the path for a more reliable and efficacious partnership between human intellect and mechanical ingenuity.

A. Ganatra

Parul University, Limda, Waghodia, Vadodara, Gujarat, India

B. Y. Panchal (⋈) · N. Soni

Computer Engineering Department, Sardar Vallabhbhai Patel Institute of Technology (SVIT), Vasad, Anand, Gujarat Technological University (GTU), Ahmedabad, Gujarat, India

D. Doshi · D. Bhatt · J. Desai

Department of Computer Science and Engineering, Devang Patel Institute of Advance Technology and Research (DEPSTAR), Faculty of Technology and Engineering (FTE), Charotar University of Science and Technology (CHARUSAT), Anand, India

Department of Computer Science and Engineering, Parul Institute of Technology [PIT], Parul University, Limda, Waghodia, Vadodara, Gujarat, India

Computer Science and Engineering Department, Faculty of Technology and Engineering, The Maharaja Sayajirao University of Baroda, Vadodara, Gujarat, India

© The Author(s), under exclusive license to Springer Nature Singapore Pte

R. Aluvalu et al. (eds.), Explainable AI in Health Informatics, Computational Intelligence Methods and Applications,

A. Ganatra et al.

Keywords Explainable AI · Artificial intelligence · Deep learning · XAI · Healthcare · Automation

1 Introduction

AI stands for Artificial Intelligence. It is a division of computer science that helps in developing or designing a system that can show an intelligent behavior. At the core level, AI is the science and engineering of making intelligent machines, particularly computer programs or systems. In recent years, an AI technique has been successfully engaged to solve a wide variety of real-life problems related to health care, finance, transportation, defense, weather forecasting, etc.

With the advancements in Artificial Intelligence, Humans will have a harder time understanding and retracing the algorithm's steps to a decision. The entire calculating process is transformed into a "black box" that is impossible to understand. These black-box models are built from raw data. One of the major issues with the traditional AI approach lies in the implementation of machine learning techniques. That is, one cannot blindly trust the prediction or the output of the machine learning model as that might have drastic consequences.

What is a solution to this problem? Explainable AI (XAI). It addresses the challenge of establishing trust in machine learning models. XAI stands for Explainable Artificial Intelligence. Explainable Artificial Intelligence (XAI) is a collection of methods and tactics that enable human users to understand and trust the outcomes and productivity of machine learning algorithms. The term "Explainable AI" pertains to the foreseeable impact of a model and its potential biases. In AI-assisted decision-making, it contributes to the calculation of model correctness, fairness, transparency, and results. The dimensions of an organization are crucial when it comes to incorporating AI models into operational use. An organization's adoption of a responsible AI development approach is also aided by AI's explainability.

It is an emerging artificial intelligence approach. It is also known as transparent artificial intelligence. It indicates that in XAI, one must be able to understand how and why the algorithm makes decisions or predictions. In other words, the system can justify the result that it produces. Within the realm of explainable AI, outcomes or solutions are comprehensible to humans. This is in contrast to the opaque methodology of machine learning, where even the creator or developer of the model is unable to elucidate the rationale behind specific decisions made by the AI system. Explainable artificial intelligence delivers overall data about how an artificial intelligence program decides by disclosing the merits and demerits of the program or a model, the specific criteria that has been used by the program to produce the result. It also assists in understanding why a program produces a specific result as opposed to its substitutes, which induces a level of trust that's proper for many types of decision, what type of error the program is prone to, and how the error can be modified.

There are various benefits of understanding how an AI-enabled system has arrived at a certain conclusion. Explainability can help developers ensure that the

system is working as planned, it may be necessary to meet regulatory standards, or it may be crucial in allowing those who are affected by a decision to challenge or change the decision. To provide clarity on this absence of consensus, it would be resourceful to cite D. Gunning's definition of the term Explainable Artificial Intelligence (XAI): "XAI will create a suite of machine learning techniques that enables human users to understand, appropriately trust, and effectively manage the emerging generation of artificially intelligent partners."

This interpretation amalgamates two concepts that require prior discussion. Nonetheless, it overlooks supplementary factors contributing to the requirement for interpretable AI models, such as causality, transferability, informative attributes, equity, and assurance.

Let's look at the existing and future scenarios. Currently, we are using an artificial intelligence technique in which the choice or suggestion generates several questions, such as why did the model do this? Why not try something new? When does the system produce 100% accurate results? When will the system fail? When can I put my faith in this machine learning model? How can I fix the system's erroneous result? However, unlike the current artificial intelligence method, explainable artificial intelligence will include an AI explanatory model in addition to an explanatory interface is employed to assist in understanding the aspect of artificial intelligence that pertains to both the reasons behind decisions and the reasons for certain decisions not being made. The explanation module and explanation interface will also assist in understanding when the system will succeed and when one must trust this system. In this book chapter, concepts such as black-box models, transparency, XAI tools and techniques, and many more topics will be covered in detail.

2 What Are Black-Box Models?

These black-box models are shaped by a machine learning algorithm directly from data, which implies that no one, even the developers, knows how variables are joint to produce forecasts [1]. Even if one knows a list of input variables, black box predictive models might be such intricate functions of the variables that no social can understand how the variables interact to produce a final forecast. Figure 1 shows the basic diagram of black box.

Interpretable models, which share the same mathematical equivalence as black-box models but can be more ethically sound, differ in their approach by constraining themselves to offer a more profound comprehension of prediction mechanisms. In certain instances, when a compact and valid logic encompasses only a handful of variables, or when utilizing a linear model where variables are assigned weights and combined, the connection between variables and the ultimate forecast can be exceptionally lucid. Decomposable models are frequently employed to generate easily understandable models, or additional limitations are introduced to impart a heightened level of insight. In contrast, many machine learning models prioritize high predictability on static datasets over readability [3] (Fig. 2).

4 A. Ganatra et al.

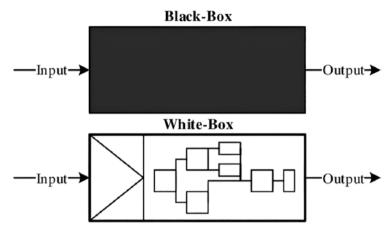


Fig. 2 Black box vs white box model [2]

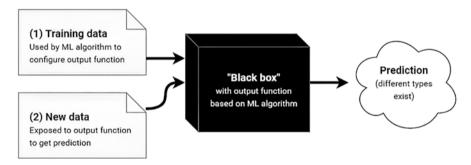


Fig. 1 What are black-box models? [2]

Conversely, certain machine learning models are not designed with the intention of overcoming interpretational challenges; their primary purpose is to deliver precise forecasts based on fixed data entries that might or might not mirror the model's practical application.

2.1 Why Is Model Interpretability Important?

The interpretability of a machine learning algorithm depends on how easy it is for humans to grasp the procedures that it takes to arrive at its results. Earlier, Artificial Intelligence (AI) algorithms were known as "black boxes," with no means of knowing what was going on inside and making it impossible to explain the results to regulators and stakeholders.

It is wrong to believe that accuracy must be compromised for interpretability. When extremely basic interpretable models exist for the same tasks, it has allowed

corporations to promote and sell proprietary or sophisticated black-box models for high-stakes judgments. As a result, it permits the model's developers to profit while ignoring the negative repercussions for the people who are affected. A minority of individuals contest these models, as their developers hold the view that complexity is a prerequisite for accuracy. The Explainable Machine Learning Challenge of 2018 provides an illustrative example of evaluating the advantages and disadvantages of opaque models compared to transparent models.

When utilizing the results of an algorithm to make high-stakes judgments, it is critical to understand which factors the model took into consideration and which it did not. Furthermore, if a model is not easily interpretable, the company may not be able to use its insights to make process adjustments lawfully. In tightly regulated sectors like banking, insurance, and healthcare, understanding the factors that contribute to anticipated outcomes is critical in order to comply with regulations and industry best practices.

For a variety of other reasons, interpretability is essential. For example, if researchers do not grasp how a model works, they may have trouble translating their findings to a larger knowledge base. Interpretability is also necessary for avoiding embedded bias and debugging an algorithm. It also assists scholars in decisive the impact of trade-offs in a model. More concisely, as algorithms play a larger role in society, knowing how they arrive at their conclusions will become crucial gradually.

Currently, scholars must compensate for inadequate interpretability through judgment, expertise, observation, monitoring, and careful risk management, which includes a full grasp of the datasets they utilize. Regardless of the machine learning model, there are a number of strategies for improving interpretability.

2.2 Using Explainable AI to Decipher Black-Box Machine Learning Models

Machine Learning (ML) and Artificial Intelligence (AI) have surged in popularity, finding utility across diverse sectors. However, they have also encountered escalating critique due to concerns about the reliability of their decision-making. Certain ML systems, especially Deep Neural Networks (DNNs), are often labeled as enigmatic entities because comprehending their inner workings post-training proves arduous. This opacity impedes a full grasp and explication of a model's reasoning process. Nevertheless, the provision of explanations is indispensable to establish the dependability of a model's predictions. This assumes paramount importance when machine learning algorithms underpin decision support systems in sensitive domains. Explanations not only corroborate the precision of a model's prognoses but also play a pivotal role in preempting inadvertent errors and unearthing potential biases. Furthermore, they facilitate a comprehensive comprehension of a model, which is imperative for prospective enhancements and rectification of its limitations.

A. Ganatra et al.

Explainable AI (XAI) tackles the quandary of furnishing explanations for models that surpass human understanding due to their intricacy. These explanations span from individual (local) explications elucidating specific outcomes of black-box models—such as unraveling the rationale behind a denied loan application or an erroneous image classification—to collective (global) explanations that unveil broader patterns within such opaque models. These comprehensive explanations can address queries like identifying the most influential risk factor for a certain type of cancer.

3 Transparency in Machine Learning Models

Transparent machine learning is introduced as a new kind of machine learning which explains itself. This means that it tells us how it works, its predictions, its insights—so that the user can understand and trust the outcome. If addressed, this technology might be the best-case scenario for AI system safety and security in the future [4].

Models created by current machine learning (ML) techniques are difficult or impossible to comprehend. Security, safety, and prejudice are all issues that these deployments face. Insight into the automated decision-making process is also difficult with opaque models.

Transparent machine learning aims to tackle these issues by creating understandable models and data. It would accomplish this by displaying and altering source code representations. Consequently, you would have a possibly self-contained executable that could be used right away.

It is critical that Transformational Machine Learning (TML) systems use well-known programming languages and data formats that are simple to comprehend. Furthermore, the source code and data it generates in those languages and formats must be clear enough for an engineer of acceptable competence to understand and modify it. This is a fundamental principle that should take precedence over all other factors, even if it means sacrificing model efficiency. Later, recommendations will be made on how to achieve both efficiency and readability without permanently abandoning either.

3.1 Long-Term Objectives

Transformational Machine Learning (TML) is primarily oriented toward enhancing one or more dependently typed programming languages that possess robust specification support. This would involve the incorporation of elements from the comprehensive deep specification initiative, which strives to meticulously validate the complete developmental continuum, spanning from applications to the operating system and extending down to the hardware level [5].

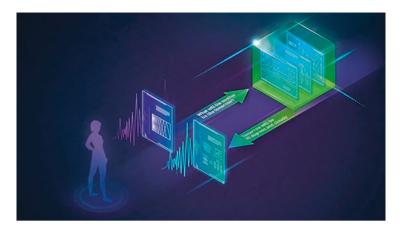


Fig. 3 Transparency in machine learning models [6]

Furthermore, the development of source code adheres to long-term quality aspirations, including:

- Support for multiple language targets
- · Comprehensive and concise commenting
- Incorporation of high-level abstractions
- Mitigation of unnecessary complexity
- Utilization of accelerated hardware for improved performance

3.2 Short-Term Objectives

The immediate objectives are:

- 1. Develop a transparent machine learning method that works.
- 2. Has it generated readable source code?

Achieving a viable proof-of-concept poses challenges. Identifying Transparent Machine Learning (TML) systems that match or surpass the performance of leading Machine Learning (ML) models would serve as a positive initial step. This rationale is supported by the potential to invigorate research enthusiasm. Nonetheless, prioritizing comprehensibility remains paramount; disregarding this aspect would undermine the project's objective, as incomprehensible source code resembles another variation of an opaque model. As depicted in Fig. 3, the illustration portrays transparency within ML models.

8 A. Ganatra et al.

3.3 Theoretical Limits

It is crucial to distinguish between program readability and program comprehension ease. This does not mean that the usual criteria of reasonable competence established in the TML definition is irrelevant. Its purpose is to promote discussion of TML source model theoretical restrictions at the intersection of maximal model complexity and perfect human understanding.

Two complementary definitions of AI's foundations will be examined to aid discussion:

- *Inexplicability*: There is no explanation that is both 100% correct and understandable to humans for some judgments made by an intelligent machine.
- *Incomprehensibility*: Certain intelligent system judgments will have a 100% true explanation that no human can fully comprehend.

To address these statements, we must first explore the difference between *opaque* and *transparent* machine learning. The explanation is inextricably linked to the model in TML because the model is the explanation. Also included in that model might be a description of the TML system.

3.3.1 Layer-Wise Relevance Propagation (LRP)

Layer-wise Relevance Propagation (LRP) is a method that gives potentially complicated deep neural networks like explainability and scalability. It works by applying a set of specially developed propagation rules to propagate the prediction backward through the neural network.

3.3.2 Counterfactual Method

The counterfactual impact evaluation approach enables for determining how much of the observed real change (e.g., a rise in income) may be attributed to the intervention's influence (since such improvement might occur not only due to the intervention but also due to other factors, e.g., overall economic growth).

3.3.3 Local Interpretable Model-Agnostic Explanations (LIME)

The term LIME stands for Local Interpretable Model-Agnostic Explanations, representing key aspects of the explanation process. "Local fidelity" refers to the objective of ensuring that the explanation faithfully reflects the classifier's behavior in the vicinity of the instance under prediction.

3.3.4 Generalized Additive Model (GAM)

A Generalized Additive Model (GAM) in statistics extends the concept of a generalized linear model. Within a GAM, the linear predictor is intricately connected to smooth functions of specific predictor variables. The primary emphasis lies in making inferences regarding these smooth functions. GAMs were conceived by Trevor Hastie and Robert Tibshirani to amalgamate the advantages found in both generalized linear models and additive models.

$$g(E(Y)) = \beta_0 + f_1(x_1) + f_2(x_2) + \dots + f_m(x_m)$$
 (1)

In this framework, a solitary response variable (Y) is linked to particular predictor variables (x_i). The distribution governing Y belongs to the exponential family, encompassing distributions like normal, binomial, or Poisson. A link function (g), such as the identity or logarithmic function, establishes a connection between the predicted value of Y and the predictor variables. The model structure incorporates functions (f_i) that can be parametrically defined, perhaps as polynomials or unpenalized regression splines of a variable. Alternatively, these functions can be estimated non-parametrically, taking the form of smooth functions and relying on non-parametric techniques. To illustrate, within a conventional GAM, the function $f_1(x_1)$ might leverage techniques like scatterplot smoothing, such as locally weighted means. Conversely, $f_2(x_2)$ could involve a factor model associated with x_2 . This adaptive nature enables non-parametric adjustments, minimizing assumptions about the genuine relationship between outcomes and predictors. While this adaptability can potentially enhance data fitting when compared to entirely parametric models, it does come at the expense of simplified interpretation.

3.3.5 Rationalization

AI rationalization involves the creation of explanations for the behavior of autonomous systems, simulating human-like reasoning. In this context, we introduce a rationalization technique that employs neural machine translation to convert an autonomous agent's internal state-action representations into everyday language. To validate our approach, we implement it within the Frogger gaming environment. Our objective is to train an autonomous game-playing agent to express its chosen actions using natural language. To build the training dataset, we utilize insights from human players who articulate their thoughts while playing.

We advocate for the adoption of rationalization as a strategy for generating explanations and present the outcomes of two studies that assess its effectiveness. The results underscore the efficacy of neural machine translation in generating rationalizations that faithfully capture agent behavior. Furthermore, the findings suggest that rationalizations are more favorably received by humans in comparison to alternative explanation methods.

3.4 Framework and Tools

Explainable AI is a new and developing discipline in the realm of artificial intelligence and machine learning. It's critical to establish human trust in AI models' choices. It's only conceivable if the dark box of machine learning models is made more transparent. Explainable AI frameworks are programmed that create reports on how a model works and attempt to explain how it works. Now we'll talk about six AI frameworks that are easy to understand.

3.4.1 SHAP

SHAPley Additive Explanations, commonly referred to as SHAP, is an abbreviation for SHapley Additive Explanations. It serves as a versatile tool for elucidating various machine learning algorithms, ranging from fundamental ones like linear regression, logistic regression, and tree-based models to more intricate models like deep learning models used in tasks such as image classification, captioning, and even in NLP tasks like sentiment analysis, translation, and text summarization. This approach is model-agnostic and harnesses Shapley values derived from game theory to illuminate model behaviors. Essentially, it unveils how diverse attributes impact the model's output and the role they play in shaping the ultimate outcome. This concept is visually represented in Fig. 4.

3.4.2 LIME

LIME is short for Local Interpretable Model-agnostic Explanations. While it shares similarities with SHAP, it boasts greater computational efficiency. LIME provides a collection of explanations that elucidate the contribution of each attribute in predicting outcomes for specific data samples, visualized in Fig. 5. Notably, LIME is versatile enough to handle any black-box classifier with two or more classes. The classifier simply needs to furnish a function capable of processing raw text or a numpy array, delivering the probabilities associated with each class. It's worth noting that Scikit-learn classifiers are already integrated with this capability.

3.4.3 ELI5

ELI5, an abbreviation for "Explain Like I'm 5," is a Python library crafted to simplify the troubleshooting and explication of machine learning classifiers. It extends its support to various machine learning frameworks, including but not limited to scikit-learn, Keras, XGBoost, LightGBM, and CatBoost.

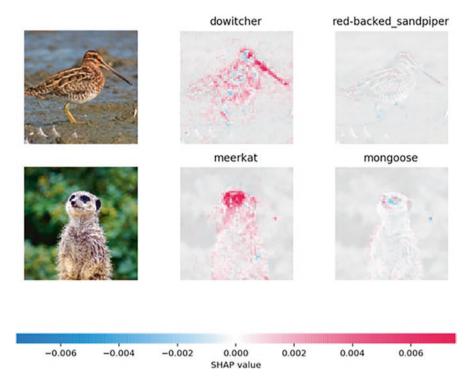


Fig. 4 Example of image classification [7]

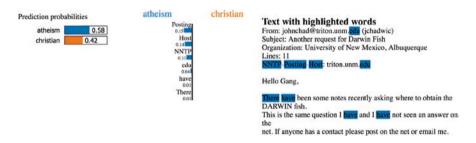


Fig. 5 Screenshot of explanations given by LIME [8]

3.4.4 What-if Tool

The What-if Tool (WIT), developed by Google, serves the purpose of enhancing the understanding of how machine learning models operate. WIT empowers users to simulate scenarios, assess the significance of distinct data attributes, and visually comprehend model behavior across diverse models and subsets of input data. This tool is also proficient in handling several machine learning fairness measures. Available as an extension within Jupyter, Colaboratory, and Cloud AI Platform notebooks, WIT covers tasks ranging from binary classification and multi-class