Thiago Gabriel Monteiro Houxiang Zhang

## Mental Fatigue Assessment in Demanding Marine Operations



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#### **Preface**

The maritime operation is very demanding. These operations can be especially complex and dangerous when effective coordination between different maritime vessels and several maritime operators is required naturally and necessarily. Although the safety and efficiency of maritime operations have been improved significantly due to the unitizing of modern technology, human operators are still an indispensable factor of maritime operations and have direct impacts on the quality and safety of such complex operations. With the development of ship intelligence, human operators start to be transferred from vessels to onshore control centers slowly. However, the impact of human operators in maritime operations will still be significant. It is not likely that humans are completely removed from the maritime operational loop.

A poor performance in a critical moment can lead to disastrous results, including near misses, economic and environmental losses, and fatalities. Several human factors can lead to poor performance, including incorrect, incomplete, or nonexistent following of procedures; lack of situational awareness; and physical or mental fatigue. Among these issues, mental fatigue is responsible for reducing cognitive capabilities, situational awareness, and decision-making skills. Early detection and assessment of mental fatigue (MF) can be used to reduce the number of causalities, to benefit crew members, ship owners, and the maritime environment. How to assess MF objectively in real-time maritime operations is still a challenging and unanswered question. As a conclusion, it is important to develop and implement methods to monitor the decrement of performance from operators, aiming to increase safety in demanding maritime scenarios.

This book will try to investigate how MF can be objectively measured during demanding maritime operations so as to improve the operation safety. Based on the physiological characterization of MF, the best approach to quantify this phenomenon is through the use of physiological sensors. Different sensors such as ECG, EMG, EEG, temperature sensor, and eye tracker can be applied, individually or in conjunction, in order to collect relevant data that can be mapped to an MF scale. More than simpler sensor fusion, this book will bridge the gap between relevant sensor data and a quantifiable MF level using both data-driven and model-based approaches. Data-driven part investigates the use of different Neural Networks (NNs) combined for the

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MF assessment (MFA) task. Among the different architectures tested, Convolutional Neural Networks (CNN) showed the best performance when dealing with multiple physiological data channels. Optimization was used to improve the performance of CNN in the cross-subject MFA task. Testing different combinations of physiological sensors indicated a setup consisting of EEG sensor only was the best option, due to the trade-off between assessment precision and sensor framework complexity. These two factors are of great importance when considering an MFA system that could be implemented in real-life scenarios. The model-based discussion applies the current knowledge about the use of EEG data to characterize MF to develop an MF approach to quantify the progression of MF in maritime operators.

More importantly, for all research results presented in this book, realistic vessel simulators were used as a platform for experimenting with different operational scenarios and sensors' setups.

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Ålesund, Norway

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#### Acronyms

BO Bayesian optimization

BOGP Bayesian optimization with Gaussian process

CNN Convolutional neural network
DBN Deep belief neural network

DT Decision tree

DWT Discrete wavelet transform

ECG Electrocardiogram
EEG Electroencephalogram
EI Expected improvement
EMG Electromyogram
EOG Electrooculogram

FFT Fast Fourier Transform

FNN Feed-forward neural network FPCA Functional principal component analysis

FRE Fatigue-related errors
FRI Fatigue-related incident

FRMS Fatigue Risk Management System

FRT Fatigue-risk trajectory

HF Human factors

HFACS Human Factors Analysis and Classification System

ICA Independent Component Analysis
IMO International Maritime Organization

KSS Karolinska sleepiness scale

LSTM Long short-term memory neural network

MF Mental fatigue

MFA Mental fatigue assessment
MFP Mental fatigue prediction
MLP Multilayer perceptron
NN Neural network

NTNU Norwegian University of Science and Technology

PCA Principal Component Analysis

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POI Probability of improvement
PSD Power spectral density
PSV Platform supply vessel
PVT Psychomotor vigilance test
RBM Restricted Boltzmann machine
SA Situational awareness

SA Situational awareness
SLP Single layer perceptron

SMBO Sequential model-based optimization

SVM Support Vector Machine UCB Upper confidence bound

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