

Higher Education Governance and Management in Africa Continental, Regional, and National Perspectives

Lazarus Nabaho Wilberforce Turyasingura

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Lazarus Nabaho • Wilberforce Turyasingura Editors

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Continental, Regional, and National Perspectives



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ABOUT THE BOOK

Introduction

The higher education (HE) landscape in Africa has, in the last two decades or so, witnessed two major but related ideological—or ontological—shifts that have elevated governance and management from a peripheral to a strategic policy issue at national, regional and continental levels. These shifts are the World Bank's relegitimisation of HE in the development discourse in 2000 after two decades of (mis)construing it, based on the rate of return studies, as a luxury the continent could ill afford (Teferra, 2016) and subsequently beseeching countries in Africa to close universities and train graduates abroad (Banya & Elu, 2001), and the return of faith in HE as an instrument to engender an African knowledge society. Currently, HE is, within the HE education policy discourse, recognised as a sine qua non for Africa's socio-economic development and an enabler of Africa's transition into a knowledge economy and society (see World Bank, 2000, 2002, 2008; African Union Commission [AUC] 2014, 2015, 2018). Therefore, investing in the sector is no longer both a taboo and wasteful expenditure. It is therefore scarcely surprising that in 2007, the African Union (AU) member countries made a commitment to allocate 1% of their Gross Domestic Product to research and innovation (AUC, 2016).

The imperative to leverage knowledge—the sole intangible material in universities—to accelerate Africa's economic growth, reduce poverty, create employment opportunities for the most youthful population in the world and strengthen the competitiveness of economies in the global

economy is explicit in the current development frameworks of the AU: Agenda 2063, the Continental Education Strategy for Africa (CESA) (2016-2025) and the Science, Technology and Innovation Strategy for Africa (STISA, 2024). The concepts "knowledge society" and "knowledge economy" pervade these post-2000 development frameworks and are buttressed by the realisation that regions and countries with better economic indicators are those which generate substantial knowledge as well as translate it into innovations. Agenda 2063—the master plan for realising the AU's vision of an integrated, peaceful and prosperous Africa is categorical as far as transforming Africa into a knowledge society is concerned, whereas the mission of STISA 2024 hinges on accelerating Africa's transition to an innovation-led, knowledge-based economy. Therefore, HE is envisaged to raise the continent's role in global research, technology development and transfer, innovation and knowledge production. The CESA, which is in sync with Agenda 2063, underscores the nexus between human capital and the knowledge society in its first guiding principle.

The continent has come to the realisation that governance and management moderate the relationship between HE and development. This realisation is unequivocal in the education frameworks of the AU: the African Standards and Guidelines for Quality Assurance in Higher Education (ASG-QA), the African Quality Rating Mechanism (AQRM) and the Continental Education Strategy for Africa which contain a big dose of governance and management. The ASG-QA comprise "governance and management" as the second standard (AUC, 2018). On the HE coordination or system-level front, the ASG-QA provide for buffer mechanisms—external quality assurance agencies (EQAA)—between the higher education institutions (HEIs) and the state. Within the HE steering discourse, governance is viewed as a necessary, though not sufficient, determinant of the quality of HE (World Bank, 2000). Finally, the CESA points to governance as a principle of education and emphasises good governance, leadership and accountability in education management as essential aspects in HE (AUC, 2016).

The nexus between governance (and management) and the performance of HEIs has amplified calls for reforming (or modernising) HE governance in Africa. Since 2000, a plethora of HE governance and management reforms have been implemented at institutional and national levels. The reforms, as a result of filtering of the New Public Management (NPM) global reform template at national level and mimetic isomorphism, vary from country to country even within the political jurisdictions under

the same regional economic blocs. However, for close to a quarter of a century, governance and management architecture in Africa in the neoliberal era has received little attention in global scholarship. This book, therefore, seeks to (a) complement the extant literature on HE governance by incorporating the perspectives from Africa; (b) offer a comprehensive overview and analysis of the unique challenges, problems, and dynamics associated with HE governance within the multiple and diverse regions of Africa; (c) provide a context-specific resource for HE practitioners and policy makers, researchers, university teachers of governance, and students on HE governance in Africa; and (d) serve as a vital resource for students and academics who wish to interrogate HE governance and management from a comparative/international perspective of Africa and other regions of the world.

Organisation of the Book

This book contains 12 chapters structured into five parts. In Part I, Chap. 1, by Nabaho and Turyasingura, sets the context for the book. The contributors shed light on the conceptual landscape, the scope of HE governance, and the HE governance models at system and institutional levels. Chapter 2, by Nabaho and Turyasingura, equally set the context for the subsequent chapters. The chapter locates the university in Africa—rather than the African university which is a misnomer—and HE governance in the global context. It further highlights the missions and traditions of the university which were inherited from the medieval and Humboldtian universities. It demonstrates how managerialism has, from the late 1980s, transformed the university from an organised anarchy and a loosely coupled organisation into a corporate actor.

Part II of the book contains four chapters which navigate the continental perspectives of HE governance and management. In Chap. 3, Opuda-Asibo presents an overview of the governance of universities (and other tertiary institutions) in Africa. He, *inter alia*, underscores the role of the university in society, the importance of good governance in HE, the institutional framework for university governance and the challenges of governance in HEIs. Chapter 4, by Nabaho et al., dwells on the governance of the Pan-African University, a new type of public university which is owned by a political grouping of states rather than an individual state.

In Chap. 5, Nabaho and Nabukenya explore the internal quality assurance landscape in HE in Africa. The authors underscore how the current

internal QA methodologies are Eurocentric and have been shaped by isomorphic forces though efforts have been made to adapt them to the local context and to skew them to the quality enhancement imperative.

Part III comprises two chapters which constellate around university governance in East Africa. Nabaho and Turyasingura, in Chap. 6, present empirical findings on the system-level governance arrangements of Uganda, Kenya, Tanzania, Rwanda and South Sudan. The chapter draws parallels and contrasts in the governance architecture of the five political jurisdictions. In Chap. 7, Nabaho, Turyasingura and Kiiza present the internal university governance landscape in the region. The chapter highlights, among others, the roles and composition of the tripartite shared governance organs (the University Council, the Senate and Management), and the process of appointing Chancellors, Vice Chancellors and selected leaders at the academic heartland.

Part IV of the book is dedicated to country-specific perspectives on HE governance and management. Kadhila and Iipumbu, in Chap. 8, engage a fragmented HE QA system in Namibia, which is epitomised by Eurocentric and accountability-led models of external QA which have little or no association with enhancing academic practice and the quality of student learning experience. The authors, based on a critique of the current landscape, call for the decolonisation of external QA in Namibia. In Chap. 9, John Senyonyi, a former Vice Chancellor of one of the model private universities in Uganda, shares his lived experience of managing a faith-based and not-for-profit university in a resource-constrained and marketised environment, and delineates ensuing lessons for the furtherance of governance of HEIs. The chapter also sheds light on how to cultivate a unique university brand. Mello and Juta, in Chap. 10, examine the multi-layered governance structures which have been created in South Africa to monitor and evaluate the performance of HEIs.

In Chap. 11, Bakkabulindi addresses the challenges which the National Council for Higher Education in Uganda, a regulatory agency at armchair-length of the ministry responsible for education, has faced and continues to face in overseeing the country's saturated and rapidly growing HE education sector. The chapter suggests strategies for mitigating the triple and endemic challenges which are also recognisable in other national HE spaces in Africa. Part V comprises a single chapter. In Chap. 12, the editors—following a retrospective reflection on the chapters in this Volume—glean the common thread in the chapters, highlight innovative practices in HE education governance and management in Africa, present

surprises (or practices which signal a departure from the normal) and draw some conclusions.

Lazarus Nabaho and Wilberforce Turvasingura (Editors) Uganda Management Institute

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ABBREVIATIONS

4IR Fourth Industrial Revolution

AASHE Advancement of Sustainability in Higher Education

AAU Association of African Universities AQRM African Quality Rating Mechanism

ASG-QA African Standards and Guidelines for Quality Assurance

AU African Union

AUC African Union Commission

BTTC Bishop Tucker Theological College

BTVET Business Technical and Vocational Education and Training

CEO Chief Executive Officer

CESA Continental Education Strategy for Africa

CHE Council for Higher Education
COVID-19 Corona Virus Disease 2019
CU Commission for Universities

CUE Commission for University Education

DHET Department of Higher Education and Training

DUT Durban University of Technology

EAC East African Community

ECOWAS Economic Community of West African States

EPRC Education Policy Review Commission

EQA External Quality Assurance

EQAA External Quality Assurance Agency EQAAs External Quality Assurance Agencies

HE Higher Education

HEI Higher Education Institution
HEIS Higher Education Institutions
HEQC Higher Education Quality Council

XX ABBREVIATIONS

ICT Information and Communication Technology IEASA International Education Association of South Africa

MOU Memorandum of Understanding

NASFAS The National Student Financial Aid Scheme NCHE National Council for Higher Education

NDP National Development Plan

NPHE National Plan for Higher Education

NPM New Public Management

NQA Namibia Qualifications Authority NQF National Qualification Framework NTA Namibia Training Authority

OECD Organisation for Economic Cooperation and Development

PAU Pan African University
PhD Doctor of Philosophy

POT Peer Observation of Teaching PSC Public Service Commission

QA Quality Assurance

RECs Regional Economic Communities

SA South Africa

SADC Southern African Development Community SAQA South African Qualification Authority SET Student Evaluation of Teaching

SRC Student Representative Council STCs Specialised Technical Communities

STISA Science, Technology and Innovation Strategy for Africa

UCT University of Cape Town UCU Uganda Christian University

UK United Kingdom

UKZN University of Kwazulu-Natal

UNESCO United Nations Educational, Scientific and Cultural Organisation

UNISA University of South Africa

UOTIA Universities and Other Tertiary Institutions Act

USh Uganda Shilling

UUQAF Uganda Universities Quality Assurance Forum

UVCF Uganda Vice Chancellors' Forum

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Setting the Scene



CHAPTER 1

Introduction

Lazarus Nabaho and Wilberforce Turyasingura

GOVERNANCE AND MANAGEMENT IN HIGHER EDUCATION: MEANING AND SCOPE

Governance is a central issue in higher education (HE), and there has been renewed interest in the concept by the multiple stakeholders in the sector since the 1990s. That the momentum of renewed interest continues to be sustained is not accidental. Governance is viewed as a tool which provides an enabling environment for the effective execution of the triple mission of the university—knowledge dissemination (teaching), knowledge generation (research) and public service (knowledge application or impact)—as well as the alignment of the missions to the needs of society (Austin & Jones, 2016; Maassen et al., 2017). When exercised appropriately, governance can enable universities to leapfrog the endemic challenges which they face: funding, reputation and quality.

But what is HE governance? HE governance, which has been and continues to be a subject of reform or modernisation in the various jurisdictions, is generally an abstract, slippery, elusive, multi-dimensional and

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context-specific concept. These descriptions of the concept, *albeit* not conclusive, attest to a lack of consensus on the precise meaning of HE governance. The HE sector has witnessed a proliferation of the definitions of governance over the years. Harman (1992, p. 1280) defines HE governance as "the manner in which higher education systems and institutions are organised and managed, how authority is distributed and exercised, and how both systems and institutions relate to governments" while Neave (2006, p. 28) defines it as "a conceptual shorthand for the way higher education systems and institutions are organised and managed." Harman's and Neave's definitions of governance constellate around structures and authority relationships which exist within universities and between the governments and universities. Mortimer and McConnell (1978) enriched the discourse on governance in HE by providing four basic questions which the concept should interrogate:

(1) What issue is to be decided? (2) Who – what persons or groups – should be involved in the decision? (3) When (at what stage of the decision-making process) and how should such involvement occur? (4) Where – at what level in the organizational structure – should such involvement occur? (p. 13)

The questions in the excerpt hinge on decision-making and the structures through which decisions should be arrived at. From a decision-making perspective, governance responds to the question of "who makes what decisions?" (Toma, 2007, p. 57) and revolves around the question of "who decides, when and on what" (De Boer & File, 2009, p. 10). Therefore, decision-making, but within the structures at national and institutional levels, is a hallmark of governance in HE. The Organisation for Economic Cooperation and Development (OECD) provides an elaborate definition of governance but one which integrates the salient elements in the definitions that have already been presented and encapsulates institutional and system-level perspectives. It defines governance as

the structures, relationships and processes through which, at both national and institutional levels, policies for tertiary education are developed, implemented and reviewed. Governance comprises a complex web including the legislative framework, the characteristics of institutions and how they relate to the whole system, how money is allocated to the institutions and how they are accountable for the way it is spent, as well as less formal structures and relationships which steer and influence behaviour. (OECD, 2008, p. 68)

Finally, Dobbins and Knill (2014), building on Clark's triangle of coordination (Clark, 1983) which depicts the state authority, the academic oligarchy (or the professoriate) and the market at the corners, define HE governance as "patterns of control, coordination, and the allocation of autonomy between three levels – the state, professoriate, and university management" (p. 37).

The range of definitions of HE governance validate the fluid nature of the concept. The multiple definitions nuance our understanding about the scope of HE governance. HE governance, therefore, encompasses matters such as national-level regulatory frameworks for HE (laws, regulations and policies); HE funding architecture (performance-based funding, itembased funding or lump-sum funding); the HE steering models which reflect the relationship between the state, universities and society; the composition and functions of the tripartite internal governance organs (the University Council, the University Senate and management) and other bodies such as the board of trustees in the case of public universities; and quality assurance (QA) which comprises both the internal (self-regulating) and external variants.

A distinction exists between governance and management. The term "management" is new in HE unlike in other organisations where it is associated with the evolution of management thought. It emerged in the HE lexicon in the late 1960s. Most universities were, until the onset of New Public Management (NPM), an antithessis of the traditional model of public administration, on the HE landscape and the rapid expansion of the institutions, run by academics. But what is the difference between management and governance in HE? From a broad perspective, governance is concerned with the formulation of policies and rules—either at system or institutional level—to regulate HEIs (Sułkowski, 2023), while management is about implementing and executing policies (World Bank, 2000) and oversight of day-to-day operational matters of the HEI. Management hinges on making "strategic and operational decisions in planning, organising, leading, and controlling the activities of the university" (Sułkowski, 2023, p. 81).

Governance, as evidenced by its multiple conceptualisations, can be studied from the national, international and institutional (or internal) perspectives. The national-level perspective of HE governance relates to the relationship between the state and universities and is associated with the steering models—or the arrangement through which the state ensures that the HE system contributes to national objectives (Austin & Jones,

2016)—that individual states adopt to govern universities within their HE systems. The ideal steering models are examined in the next section of this chapter.

The international-level perspective of governance is explicit in subregional, regional and international HE governance configuration. HE in Africa is, without compromising the autonomy of national HE systems, being steered by the African Union Commission (AUC) and the regional economic blocs such as the East African Community (EAC), the Economic Community of West African States (ECOWAS) and the Southern African Development Community (SADC). At the institutional level, governance relates to internal governance, which connotes "internal management structures, decision-making arrangements and leadership roles and the relationship between these internal functions and the role of governing bodies" (Middlehurst, 2004, p. 259). The institutional-level perspective tends to incorporate the shared governance model, which denotes a tripartite arrangement which "allocates public accountability and stewardship to the governing board, academic matters to the faculty, and the tasks of managing the institution to administration [or management]" (Duderstadt, 2002, p. 4) (Fig. 1.1).

Consistent with the shared governance model, HE governance can be unravelled in terms of corporate governance, academic governance and management. Corporate governance is a function of the corporate governance body or the University Council. University Councils provide oversight over non-academic, financial and resource matters in addition to being responsible for corporate strategy (Rowlands, 2017). Just like in the business world, corporate governance is charged with the responsibility of

Fig. 1.1 Internal university governance triangle

