Springer Proceedings in Advanced Robotics 29 *Series Editors:* Bruno Siciliano · Oussama Khatib

Cristina Piazza · Patricia Capsi-Morales · Luis Figueredo · Manuel Keppler · Hinrich Schütze *Editors*

Human-Friendly Robotics 2023

HFR: 16th International Workshop on Human-Friendly Robotics





Springer Proceedings in Advanced Robotics

Series Editors

Bruno Siciliano, Dipartimento di Ingegneria Elettrica e Tecnologie dell'Informazione, Università degli Studi di Napoli Federico II, Napoli, Italy Oussama Khatib, Robotics Laboratory Department of Computer Science, Stanford University, Stanford, CA, USA

Advisory Editors

Gianluca Antonelli, Department of Electrical and Information Engineering, University of Cassino and Southern Lazio, Cassino, Italy
Dieter Fox, Department of Computer Science and Engineering, University of Washington, Seattle, WA, USA
Kensuke Harada, Engineering Science, Osaka University Engineering Science, Toyonaka, Japan
M. Ani Hsieh, GRASP Laboratory, University of Pennsylvania, Philadelphia, PA, USA
Torsten Kröger, Karlsruhe Institute of Technology, Karlsruhe, Germany
Dana Kulic, University of Waterloo, Waterloo, ON, Canada
Jaeheung Park, Department of Transdisciplinary Studies, Seoul National University, Suwon, Korea (Republic of)

The Springer Proceedings in Advanced Robotics (SPAR) publishes new developments and advances in the fields of robotics research, rapidly and informally but with a high quality.

The intent is to cover all the technical contents, applications, and multidisciplinary aspects of robotics, embedded in the fields of Mechanical Engineering, Computer Science, Electrical Engineering, Mechatronics, Control, and Life Sciences, as well as the methodologies behind them.

The publications within the "Springer Proceedings in Advanced Robotics" are primarily proceedings and post-proceedings of important conferences, symposia and congresses. They cover significant recent developments in the field, both of a foundational and applicable character. Also considered for publication are edited monographs, contributed volumes and lecture notes of exceptionally high quality and interest.

An important characteristic feature of the series is the short publication time and world-wide distribution. This permits a rapid and broad dissemination of research results.

Indexed by SCOPUS, SCIMAGO, WTI Frankfurt eG, zbMATH.

All books published in the series are submitted for consideration in Web of Science.



Cristina Piazza · Patricia Capsi-Morales · Luis Figueredo · Manuel Keppler · Hinrich Schütze Editors

Human-Friendly Robotics 2023

HFR: 16th International Workshop on Human-Friendly Robotics



Editors Cristina Piazza Technical University of Munich Munich, Germany

Luis Figueredo Technical University of Munich Munich, Germany

Hinrich Schütze Ludwig Maximilian University Munich, Germany Patricia Capsi-Morales Technical University of Munich Munich, Germany

Manuel Keppler German Aerospace Center Wessling, Germany

 ISSN 2511-1256
 ISSN 2511-1264 (electronic)

 Springer Proceedings in Advanced Robotics
 ISBN 978-3-031-55000-3 (eBook)

 https://doi.org/10.1007/978-3-031-55000-3
 ISBN 978-3-031-55000-3

© The Editor(s) (if applicable) and The Author(s), under exclusive license to Springer Nature Switzerland AG 2024

This work is subject to copyright. All rights are solely and exclusively licensed by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors, and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

Paper in this product is recyclable.

Foreword

Robots today hold the promise for making a considerable impact in a wide range of real-world applications from industrial manufacturing to health care, transportation, and exploration of the deep space and sea. Future robots will be challenged to hold that promises in direct contact with everyday users. Indeed, within the last decades, an unprecedented effort to bringing robots to human environments such as hospitals, factories, and homes, has led to a paradigm shift in robotics research. As the gap between robot and humans is reduced, and as they share different collaborative workspaces, it became paramount to study and develop safe, intuitive, and friendly systems unfolding the future of human–robot interaction.

The Springer Tracts in Advanced Robotics (STAR) was launched in 2002 with the goal of bringing to the research community the latest advances in the robotics field based on their significance and quality. During the latest fifteen years, the STAR series has featured publication of both monographs and edited collections. Among the latter, the proceedings of thematic symposia devoted to excellence in robotics research, such as ISRR, ISER, FSR, and WAFR, has been regularly included in STAR.

The expansion of our field as well as the emergence of new research areas has motivated us to enlarge the pool of proceedings in the STAR series in the past few years. This has ultimately led to launching a sister series in parallel to STAR. The Springer Proceedings in Advanced Robotics (SPAR) is dedicated to the timely dissemination of the latest research results presented in selected symposia and workshops.

This volume of the SPAR series brings a selection of the papers presented at the sixteenth edition of the International Workshop on Human-Friendly Robotics (HFR). This symposium took place in Munich, Germany, from September 20 to 21, 2023. The volume edited by XXXXXXX is a collection of seventeen contributions on human–robot coexistence including theories, methodologies, technologies, empirical, and experimental studies.

From its classical program with presentations by young scholars, the sixteenth edition of HFR culminates with this valuable reference on the current developments and new directions of human-friendly robotics—a genuine tribute to its contributors and organizers!

January 2024

Bruno Siciliano Oussama Khatib SPAR Editors

Preface

We are witnessing a rapidly growing number of applications carried out by robots in close collaboration with humans, either through direct interaction or operating in their immediate vicinity. The technological shift from classical industrial robots, which are safely kept away from humans in cages to robots, which are used in close collaboration with humans, is still an open challenge.

This new generation of human-friendly robots should ensure safety and be trustworthy in a physical and cognitive sense. New paradigms for the holistic development of collaborative cognitive robots must be envisioned and experimentally validated. The benefit of humans and society is the central objective of such a research effort, and existing opportunities arise from different application domains such as health care and high-tech systems.

The International Workshop on Human-Friendly Robotics (HFR) is an annual meeting devoted to covering a broad range of topics related to human–robot interaction at physical and cognitive levels, where the participants discuss theories, methodologies, technologies, and empirical and experimental studies. Additionally, HFR brings together academics, researchers, industry partners, and scholars to exchange and share their experiences and results on all aspects of the role of robots in our everyday life.

The 16th edition of HFR followed a single-track format and took place in Munich, Germany, on 20th and 21st of September, 2023. This edition of HFR was a joint collaboration of the Munich Institute of Robotics and Machine Intelligence (MIRMI) at the Technical University of Munich together with German Aerospace Center (DLR) and Ludwig-Maximilians-Universität München (LMU). The conference consisted of 16 talks and 26 posters, 4 plenary talks, and several demonstrations from academic and industrial partners. This book contains the 16 full papers corresponding to the talks presented during the conference, describing the newest and original achievements in the fields of human-robot interaction and coexistence. Each article was evaluated through a single-blinded peer-reviewed process carried out by at least two program committee members and participants. We are incredibly grateful to the authors and reviewers for their invaluable contribution to the conference. We are also indebted to professors Patrick Van der Smagt, Antonio Bicchi, Christian Ott, and Adriana Tapus for the great plenary talks. Additionally, this endeavor would not have been possible without the support of ONE Munich Next generation Human-Centered Robotics, TASKA Prosthetics, Delsys, Inc., gbrobotics, and Reactive Robotics.

October 2023

Cristina Piazza Patricia Capsi-Morales Luis Figueredo Manuel Keppler Hinrich Schütze

Organization

Organizing Chairs

Cristina Piazza	Technical University of Munich (TUM) and Munich Institute of Robotics and Machine Intelligence (MIRMI), Germany
Patricia Capsi-Morales	Technical University of Munich (TUM) and Munich Institute of Robotics and Machine Intelligence (MIRMI), Germany
Luis Figueredo	University of Nottingham, UK and Munich Institute of Robotics and Machine Intelligence (MIRMI) at Technical University of Munich (TUM), Germany
Manuel Keppler	German Aerospace Center (DLR), Germany
Hinrich Schütze	Ludwig-Maximilians-Universität München (LMU), Germany

Scientific Advisory Board

Sami Haddadin	Munich Institute of Robotics and Machine
	Intelligence (MIRMI), Technical University of
	Munich (TUM)
Alin Albu-Schäffer	German Aerospace Center (DLR) and Technical
	University of Munich (TUM)
Gitta Kutyniok	Ludwig-Maximilians-Universität München
	(LMU)
Wolfram Burgard	University of Technology Nuremberg (UTN)
Alin Albu-Schäffer Gitta Kutyniok Wolfram Burgard	 German Aerospace Center (DLR) and Technical University of Munich (TUM) Ludwig-Maximilians-Universität München (LMU) University of Technology Nuremberg (UTN)

Program Committee

Arash Ajoudani	Istituto Italiano di Tecnologia, Italy
Marcello Bonfé	University of Ferrara, Italy
Pablo Borja Rosales	Delft University of Technology (TU Delft), the Netherlands
Raffaella Carloni	University of Groningen, the Netherlands

x Organization

Cosimo Della Santina

Fanny Ficuciello Roberto Meattini Edoardo Milana Luka Peternel Justus Piater Paolo Robuffo Giordano

Matteo Saveriano Valeria Villani Eckehard Steinbach Alexander Dietrich Jinoh Lee João Silvério Delft University of Technology (TU Delft), the Netherlands, German Aerospace Center (DLR), Germany University of Naples Federico II, Italy University of Bologna, Italy University of Freiburg, Germany Delft University of Technology, the Netherlands University of Innsbruck, Austria CNRS at IRISA and Inria Bretagne Atlantique, France University of Trento, Italy University of Modena and Reggio Emilia, Italy Technical University of Munich, Germany German Aerospace Center (DLR), Germany German Aerospace Center (DLR), Germany German Aerospace Center (DLR), Germany

Contents

A Concise Overview of Safety Aspects in Human-Robot Interaction Mazin Hamad, Simone Nertinger, Robin J. Kirschner, Luis Figueredo, Abdeldjallil Naceri, and Sami Haddadin	1
A Hybrid Control Approach for a Pneumatic-Actuated Soft Robot Emilio Tavio y Cabrera, Cosimo Della Santina, and Pablo Borja	19
BICEP: A Bio-Inspired Compliant Elbow Prosthesis Theophil Spiegeler Castañeda, Bart Horstman, Patricia Capsi-Morales, Cosimo Della Santina, and Cristina Piazza	36
Development of a Patient Behaviour Model for an Adaptive Medical Robot Giovanni Soavi, Tilman Knopp, Hendrik Börner, Fabian Hirt, Xavier Hildenbrand, Alexander König, Roberto Diversi, and Helfried Peyrl	50
Emotion-Aware Control Framework for Human-Robot Collaboration Andrea Pupa, Raffaele Varrecchia, and Cristian Secchi	66
Evaluation of Tactile Feedback for Teleoperated Glove-Based Interaction Tasks Ömer Erencan Dural, Asad Ali Shahid, Guido Gioioso, Domenico Prattichizzo, Francesco Braghin, and Loris Roveda	79
Explainable Human-Robot Interaction for Imitation Learning in Augmented Reality	94
Imitation Learning-Based Visual Servoing for Tracking Moving Objects Rocco Felici, Matteo Saveriano, Loris Roveda, and Antonio Paolillo	110
Joint Position Bounds in Resolved-Acceleration Control: A Comparison Andrea Testa, Luigi Raiano, Marco Laghi, Arash Ajoudani, and Enrico Mingo Hoffman	123
Quantifying Uncertainties of Contact Classifications in a Human-Robot Collaboration with Parallel Robots	137

Safe Multimodal Communication in Human-Robot Collaboration Davide Ferrari, Andrea Pupa, Alberto Signoretti, and Cristian Secchi	151
Smooth Real-Time Motion Planning Based on a Cascade Dual-Quaternion Screw-Geometry MPC	164
Task Space Control of Hydraulic Construction Machines Using Reinforcement Learning Hyung Joo Lee and Sigrid Brell-Cokcan	181
Tendon to Object Space: Evaluation of Anthropomorphic Finger for Human-Like Performance Junnan Li, Amartya Ganguly, Luis F. C. Figueredo, and Sami Haddadin	196
Towards a Holistic Framework for Explainable Robot Navigation Amar Halilovic and Senka Krivic	213
Unpacking the Complexity of Autonomous Mobile Robot (AMR) Communication Intentions Through Ontology Nicolas Niessen, Florian Rothmeyer, Andreas Rücker, Johannes Fottner, and Klaus Bengler	229
Author Index	241



A Concise Overview of Safety Aspects in Human-Robot Interaction

Mazin Hamad $^{1(\boxtimes)},$ Simone Nertinger¹, Robin J. Kirschner¹, Luis Figueredo², Abdeldjallil Naceri¹, and Sami Haddadin¹

¹ Chair of Robotics and Systems Intelligence, Munich Institute of Robotics and Machine Intelligence, Technical University of Munich, 80992 Munich, DE, Germany mazin.hamad@tum.de

 $^2\,$ School of Computer Science, University of Nottingham, UK, Nottingham NG8 1BB, UK

https://www.ce.cit.tum.de/rsi/team/hamad-mazin/

Abstract. As of today, robots exhibit impressive agility but also pose potential hazards to humans using/collaborating with them. Consequently, safety is considered the most paramount factor in human-robot interaction (HRI). This paper presents a multi-layered safety architecture, integrating both physical and cognitive aspects for effective HRI. We outline critical requirements for physical safety layers as service modules that can be arbitrarily queried. Further, we showcase an HRI scheme that addresses human factors and perceived safety as high-level constraints on a validated impact safety paradigm. The aim is to enable safety certification of human-friendly robots across various HRI scenarios.

Keywords: Human-robot interaction \cdot gracefulness \cdot safety

1 Introduction

Human-friendly robots are distinguished by their ability to delicately react and physically interact with the world through compliant hardware and adaptive controllers [1]. However, despite significant advances in their tactile design, robots in the real world are still hardly deployed for close collaborative tasks together with humans. Among the many challenges facing real-world humanrobot interaction (HRI), physical safety is often considered the most pressing one. Moreover, in order to be accepted and deployed in close and effective

This work was supported by the Bavarian State Ministry for Economic Affairs, Regional Development and Energy (StMWi) as part of the project SafeRoBAY (grant number: DIK0203/01), the European Union's Horizon 2020 research and innovation programme as part of the project DARKO (grant no. 101017274), and the Lighthouse Initiative Geriatronics by StMWi Bayern (Project X, grant no. IUK-1807-0007// IUK582/001) and LongLeif GaPa gGmbH (Project Y).

L. Figueredo—Associate Member at the MIRMI, Technical University of Munich (TUM).

[©] The Author(s), under exclusive license to Springer Nature Switzerland AG 2024 C. Piazza et al. (Eds.): HFR 2023, SPAR 29, pp. 1–18, 2024. https://doi.org/10.1007/978-3-031-55000-3_1

interaction with human users, an intelligent robotic assistant must surpass the mere criteria of being contact-free and stress-free, i.e., physically safe. A humanfriendly robot is required to be gracefully safe (GS), which we define as both possessing and exhibiting a (i) feasible, (ii) time-efficient, (iii) comfortable, and (iv) intuitive behaviour (i.e., perceived to be natural by the human user/coworker), while simultaneously being always human-safe. The concept of graceful robot behaviour was originally introduced in [2] as being safe, fast, comfortable, and intuitive. However, such gracefulness should be further emphasized by ensuring safe robot behaviour in shared and collaborative spaces with humans, ultimately allowing for safety certification. This means the movements of the involved assistive robots should be physically as well as psychologically safe while additionally considering the efficacy of the human-robot team. Robots with such features are hereby termed gracefully safe robots.

Graceful robot navigation and reactive motion control strategies have been gaining momentum recently, where they have been shown to directly influence the quality and efficiency of HRI [3-6]. Nonetheless, to enable physical humanrobot interaction (pHRI) in real-world scenarios [7], safety standards are decisive [8]. They govern the mechanical design, motion planning, and low-level control aspects of human-friendly robots in both industrial and domestic/service spaces. To adhere to these standards, a semi-automated, temporal logic-based risk analysis methodology for collaborative robotic applications that relies on formal verification techniques was introduced in [9]. Furthermore, fundamental research about collisions and their consequences has received considerable attention from the robotics community. Concerning the safety of physical contacts, unintended robot-to-human impact scenarios are classified into five main contact scenarios [10]. Besides clamping in the robot structure, these include free, constrained, partially constrained, and secondary impacts. For scenarios involving desired contacts, such as hand-over tasks, smooth minimal-jerk movements on the robot side are known to improve the overall performance of the collaborative task with the human partner [11]. Moreover, jerky/oscillatory motions are typically uncomfortable or even hazardous for people with specific conditions such as spinal cord injuries [2]. In addition to physical integrity, the robot's behaviour plays a critical role in psychological safety. For instance, unexpected robot motion behaviours have been shown to trigger involuntary motions of users as a reaction of startle and surprise [12]. In a similar fashion, any changes to the underlying functional modes of the collaborative robot, and consequently its applied motion commands, should be smooth to ensure that the interaction is executed efficiently and pleasantly [13].

Even though many building blocks and features for safe HRI exist [3–6], all these solutions still need to be integrated with recent concepts of graceful robot motion. However, little attention is being paid to safety architectures that enable adequate simultaneous treatment of gracefulness and human-friendliness requirements of HRI scenarios. This work aims to fill this fundamental gap hampering real-world HRI deployment. Herein, we propose a framework for gracefully safe robots, which in addition to physical and psychological safety connected to graceful features, addresses additional implementation hurdles [14–23] and allows for further integration of other critical challenges (such as, e.g., scalable integration, efficient coordination, dynamic mobile manipulation, optimal environment perception/sensing, purposeful communication, risk assessment, and decision making), as well as societal and ethical concerns (including data privacy and personal security [24–26]).

2 Problem Statement and Contribution

As of today, a couple of solutions exist for different physical and cognitive safety aspects of HRI [12,27-32]. However, fulfilling the strict safety requirements of collaborative robotic systems while maintaining adequate graceful and humanfriendly behaviour is still a significant challenge that has yet to be fully overcome. To tackle this, we define the *gracefully safe* (GS) behaviour for human-friendly robots by adopting and reinterpreting the original definition of being graceful in [2] as follows. Firstly, we clarified the safety requirement of the graceful robot behaviour as being related to motion constraints. In other words, by safe in [2] it was rather meant that the robot motion fulfills the governing constraints (i.e., feasible). Secondly, we modified the gracefulness characteristic of being *fast* to *time-efficient* since the involvement of human safety aspects may pose different objectives on the human-robot collaborative task execution. Thirdly, as two characteristics of a graceful robot behaviour (namely, being comfortable and intuitive to human users) are inherent to perceived safety and acceptance, an independent comprehensive safety framework can be employed to tackle those requirements. As a quid pro quo, the task execution pipeline of the robot, which includes the motion controllers, motion planners, and task planners, must be reactive and adaptable, (i.e., capable of addressing time constraints and additional costs imposed by human safety requirements).

Frameworks to achieve a GS behaviour, and further enable safety certification of HRI applications, should be suitably designed to simultaneously integrate the most prominent results concerning various physical and cognitive safety aspects from one side with robot motion planning and low-level control on the other. In addition, this synergy must be achieved as prescribed at the task planning and interaction dynamics level, where safe performance trade-offs between being very conservative towards safety or just-as-needed to improve the productivity of the interactive task can also be incorporated. In this paper, we systematically tackle the central missing link to overcome the aforementioned gaps by proposing a multi-layered architecture for addressing safety aspects of human-friendly robots during HRI scenarios in both industrial and domestic settings. Overall, the main contributions of this article can be summarized as follows.

- Based on an extensive literature analysis of multiple HRI dimensions, we distinguish between various physical and cognitive safety aspects that must be simultaneously fulfilled by human-friendly robots during GS-HRI;
- We identify instantaneous inputs/outputs and resource requirements of each physical safety layer;

- Further, we detail the impact safety layer, showing how it can be implemented at the robot task planning and motion/control level. For this, we propose the so-called *Safety-as-a-Service* service concept as an integrated multi-layered architecture for comprehensive safety consideration in HRI;
- Finally, with the help of some initial integration results, we discuss how cognitive safety layers can be implemented on top of the physical ones in the design of GS-HRI.

3 Proposed Multi-layered HRI Safety Architecture

For HRI applications, safety and security are among the most critical dimensions to consider. The term 'safety' typically refers to potential physical harm, whereas the term 'security' broadly refers to many aspects related to health, well-being, and aging [25]. Consequently, investigating safety aspects for graceful HRI requires a multidisciplinary perspective. Typically, HRI safety aspects can be divided into physical and perceived safety, with the latter being an underaddressed topic in the robotics literature [33].

We carried out a focused literature review to identify the following critical physical and cognitive safety aspects, which must be simultaneously considered by human-friendly robots for a GS-HRI

- Impact safety Ergonomics Musculoskeletal safety
- Acceptance Perceived safety Personalization

Based on that, we propose a multi-layered architecture for addressing safety aspects in HRI scenarios in both industrial and service/domestic settings, see Fig. 1.



Fig. 1. HRI safety layers in industrial and service settings. On top of ethical, legal, and security aspects, we distinguish between physical and cognitive safety layers; both are subject to anthropomorphic personalization and various user-related customizations.

3.1 Identified Safety Layers for GS-HRI

Following an in-depth, focused literature review process, we identified the following key physical and cognitive safety layers that altogether cover the main aspects to be simultaneously considered for a gracefully safe and human-friendly robotic behaviour during HRI.

Impact Safety. Since contact is unavoidable and even desired in many applications, several studies, mostly employing cadavers and other human surrogates in addition to volunteers, have focused on understanding the pain thresholds and injury mechanisms of several human body parts to delimit the injurious conditions [34-40]. Important to notice is that most of the impact experiments reported in the literature were typically conducted on human cadavers from older adult subjects. For instance, Kent et al. [41] pointed out that overly large confidence intervals are produced on injury risk assessments in impact studies done with cadavers from older adults (as compared to those of young adults). Consequently, several researchers tried to overcome this problem by investigating the effect of age on the injury tolerance of humans and hence, developing some scaling laws [42]. Moreover, previous research has indicated that, on average, males experience less bone loss and slower cortical thinning rate than females as they age [43, 44]. Several biomechanical limits were proposed for the safety of robotic impact against humans, and the insights from biomechanical injury analysis were already imported into robotics [45]. Furthermore, the theoretical concepts behind the proposed pain/injury biomechanics-based paradigm have influenced many safety requirements stated in standardization documents such as EN ISO 13482 for personal care robots [46], EN ISO 10218-1 and -2, as well as ISO/TS 15066 for industrial collaborative robots [47, 48]. In addition to mitigating the involved human injury risks at the post-contact phase of the collision event pipeline [49], pre-collision strategies are also required for a safe operation around humans in shared workspaces [50]. A comprehensive dummy crash test-based assessment of human injury risks when colliding with personal mobility devices and service robots was recently conducted in [51]. Comparing the risks faced by different pedestrian categories, it was shown that multiple serious injuries due to collisions could occur when the speeds exceed a certain threshold. Additionally, severe head injuries from falling to the ground after the initial impact were predicted from the secondary impact analysis. To reduce the impact injury risks in both cases, the authors suggested using absorbent materials or lowering the differential speed at impact as mitigation strategies.

A well-established injury analysis-based approach for addressing the safety requirements for stationary manipulator arms at the pre-collision phase was previously proposed in [37]. For this injury biomechanics-based and impact datadriven approach, the so-called Safe Motion Unit (SMU) is the core tool for controlling the robot and some of the resulting dynamic collision parameters in a human-safe way. This systematic scheme was recently extended and generalized as a unified safety scheme for all floating-base robotic structures with branched manipulation extremities [27]. An abstraction of a generalized impact safety module is depicted in Fig. 2.

Ergonomics. Typically, neurorehabilitation robots are programmed to interact autonomously with patients under clinician oversight (i. e., occupational and physical therapist) oversight such that safe and proper treatment is ensured [52]. A major advantage of robot-assisted therapeutic treatment is the opportunity for accelerated patient recovery with frequency and duration of treatment being key factors [53]. By precisely performing repetitive and mechanically powerconsuming tasks, the robot drives the patient through ergonomically favorable positions during the whole training session. In contrast, any limitation of available degrees of freedom (DOF) during the robotic therapy can lead to changes in muscle activation patterns, negatively influencing its outcome [54].

Domestic or workplace ergonomics are addressed by performing risk assessments and analyzing human comfort during task execution. For this, ergonomists



Fig. 2. Impact safety layer. Relying on human pain and injury information, this layer ensures that all physical robot-human contacts are biomechanically safe.

consider the worst posture achieved by taking measurements of the human's posture, either onsite or from video recordings. A comprehensive overview of the current state-of-the-art ergonomic human-robot collaboration in industrial settings was recently provided [55]. In their review, the authors not only investigated ergonomic assessment methodologies and available monitoring technologies for adapting robot control strategies online according to workers' distress and needs, but they also highlighted the most promising research themes and discussed state-of-the-art limitations and standing challenges. The main challenges lie in the cost-effectiveness of ergonomics monitoring, their comprehensive risk assessment methodologies, and the needed level of expertise to implement and maintain them. To handle the above issues, an ergonomically intelligent pHRI framework that includes smart and autonomous posture estimation, postural ergonomics assessment, and postural optimization was proposed in [56]. Furthermore, to overcome practical problems and risk assessment inaccuracies associated with commonly used discrete ergonomics models in performing postural optimization, differentiable and continuous variants of the famous and scientifically validated RULA and REBA¹ ergonomics assessment models were learned via neural network regression [57]. As a result of a comparative study on the employed models and state-of-the-art developments for postural optimization in pHRI and teleoperation (cf. Table 1 in [57]), DULA and DEBA² models were proposed as alternative differential models for improving both gradient-based and gradient-free posture optimizations.

By addressing static postural factors' influence, actions' repeatability, and experts' experience, ergonomic concepts are well-posed for high-level rapid task planning [58]. A human-robot collaboration framework for improving ergonomic aspects of the human co-worker during power tool operations was proposed in [28]. Nonetheless, ergonomic methods fail to address the impact and magnitude of larger forces and dynamic constraints in physical human-robot collaboration, which are better captured through muscular-informed metrics [30]. Building from existing literature, we propose a general abstraction for our ergonomics service module, as depicted in Fig. 3.

Musculoskeletal Safety. In recent years, rehabilitation robotics has become indispensable for providing patients suffering from nervous system injuries with neurorehabilitation and movement therapy [59,60]. These injuries include for example spinal cord injury, traumatic brain injury, or stroke. For a recent, comprehensive systematic review on the effectiveness of robot-assisted rehabilitation techniques for patients recovering from musculoskeletal injuries or neurologic impairments, it is recommended that the reader consults [61].

 $^{^1}$ R(UL/EB)A: Rapid (Upper Limb/Entire Body) Assessment.

² D(UL/EB)A: Differentiable (Upper Limb/Entire Body) Assessment.



Fig. 3. Ergonomics and musculoskeletal safety layers (combined). By optimizing the robot motion plans, the ergonomics layer ensures avoiding less ergonomic human postures during pHRI. On the other hand, by optimizing the robot motions and grasping poses, the musculoskeletal safety layer ensures avoiding the user's muscular discomfort/overloading during pHRI.

The application of robotic technologies in rehabilitation has progressed over the last few years. However, while the demand for medical rehabilitation services has been rapidly increasing [62], the number of rehabilitation care providers continues to decrease annually [63]. Robotic medical devices are helpful for musculoskeletal therapy, where musculoskeletal symptoms such as myalgia, arthritis, postural instability, and fatigue are common disorders [64]. These rehabilitation robots support regaining and improving the functional status, coordination, and independence of older adults [65]. For instance, robot-aided locomotive treatment for stroke survivors and individuals coping with other neurologic impairments such as multiple sclerosis, Parkinson's disease, and spinal cord injury may involve either stationary, motion-based robots or exoskeletons [66]. Moreover, it was observed that impairments resulting from those diseases are becoming increasingly worrying for people under the age of 65 [67]. Besides walking-aid, typical daily-life activities where older adults or people with locomotive disorders need physical support during the *Sit-to-Stand* and the *Stand-to-Sit* transitional movements [68].

Regarding industrial settings, a novel control approach was proposed in [69] to alert and reduce a human partner's static joint torque overloading and consequent injury risks while executing shared tasks with a robot. An online optimization technique was employed for adjusting the robot trajectories to achieve more ergonomic human body poses, considering their stability, different workspaces (of robot and human), and task constraints. Furthermore, the problem of planning a robot configuration and shared object grasp during forceful human-robot collaboration is addressed in [29]. The proposed comfort planning framework aims to identify optimal robot configurations for jointly manipulating objects. This involves positioning the object in a way that minimizes the muscular effort exerted by the human and tailoring their collaborative actions accordingly. Additionally, the framework ensures the stability of the robot coworker during physical interaction. It enables the robot to shape human kinematics and musculoskeletal response while being agnostic to muscular activity estimation paradigms. Building from existing literature, we propose a general abstraction for our musculoskeletal safety service module, as depicted in Fig. 3.

Perceived Safety. Although extensive research work has been carried out on physical safety in HRI scenarios, considerations of humans' expectations and affective state are often overlooked. In dynamic co-manipulation tasks, the robot may need to achieve higher velocities even when humans are present. To address the psychological safety of humans working in proximity to or directly with robots, an experimental setup was devised to examine the influence of robot velocity and robot-human distance on involuntary motion occurrence (IMO) caused by startle or surprise [12]. The relative frequency of IMO served as an indicator of potentially unsafe psychological situations for humans. The findings from these experiments were utilized to develop the Expectable Motion Unit (EMU) framework. The EMU ensures that IMO remains within a customizable probability range in typical HRI settings, thereby preserving psychological safety. This EMU is integrated into a comprehensive safety framework that combines psychological safety insights with the physical safety algorithm of the Safe Motion Unit (SMU). In a subsequent study, the efficiency of this psychologicallybased safety approach in HRI was further enhanced by simultaneously optimizing both the Cartesian path and speed using Model Predictive Control (MPC) such that the time taken to reach the target pose is minimized [70].

To investigate the impact of robot motion and individual characteristics on users' perceived safety in HRI, a study was conducted involving human participants [71]. The objective was to determine whether significant effects of human factors could be observed on IMO. The results of the study revealed that direct human factors such as gender, age, profession, intention, technology anxiety, or curiosity to use did not significantly influence the occurrence of IMO. However, a noteworthy habituation effect was observed, indicating that participants became accustomed to the robot's motions quickly. In the rather young subject sample which participated in the study of [72], only habituation showed a significant impact. Overall, those studies shed light on the interplay between robot motion, personal traits, and users' perceived safety in HRI, highlighting the importance of habituation and experimental design considerations. In [73], perceived safety in HRI for fixed-path and real-time motion planning algorithms was investigated based on arbitrary, physiological vital signs such as heart rate. The results emphasized that perceived safety is positively affected by habituation during the experiment and unaffected by previous experience. A comprehensive discussion for increased perceived safety in HRI has been recently given in [33], where the following guidelines are listed

- Instead of seeking for the space of perceived safety, more focus should be put on objective metrics analysing a lack of perceived safety as significant indications for robot control schemes are mainly measurable under unsafe conditions;
- Regarding objective and subjective measures, robot-related and humanrelated factors should be treated together since the HRI process is bidirectional;
- The key influencing factors of perceived safety that should be considered in designing safe HRI are identified as comfort, experience/familiarity, predictability, sense of control, transparency, and trust;
- The consequences of robot-related factors, see for example [25], should not result in discomfort, lack of control, and user distrust, whereas the robot behaviours should be familiar, predictable, and transparent;
- Besides the interrelationship between the factors, individual human characteristics as well as emotional and physiological reactions should be considered for a better understanding of the source of safety perception.

Acceptance. To improve industrial production tasks such as assembly, manufacturing, and intralogistics, human-robot collaboration (HRC) is instrumental. Even though there are apparent benefits of using robots in industrial workplaces, several barriers limit employing collaborative robots in the industry. These are not only related to strict safety regulations for physical human-robot collaboration (being the key show stopper for the investment from the employers' point of view), but also the workers' acceptance is crucial. In [74], the main factors influencing the workers' acceptance of HRC are examined. In [75], the authors hypothesized that giving human workers partial decision-making authority over a task allocation process for work scheduling maximizes both team efficiency and the desire of human team members to work with semi-autonomous robotic counterparts. Their experimental results indicated that workers prefer to be part of an efficient team rather than have a role in the scheduling process if maintaining such a role decreases their efficiency.

Acceptance is also a crucial factor for utilizing the potential of service robotics in facilitating domestic tasks, including required safety-critical measures. Moreover, meeting user expectations is essential for fostering trust between the human and the robot [76,77]. For instance, accepting an assistive robot to operate onsite in close physical interaction for medical examinations requires patient trust towards the robot. On the other hand, for human-in-the-loop (HIL) telemedicine, the presence of a human expert that remotely operates the robot can help the person trust the robot more and accept even its risky motions to perform the task. In [31], a service robot was used to understand which outpatient-care tasks may be accepted by the subjects depending on their socio-demographics, beliefs, and level of robot autonomy.

Personalization. Assistive robotics aims at providing users with continuous support and personalized assistance through appropriate interactions. Besides observing and understanding the changes in the environment to react promptly and behave appropriately, an intelligent assistive robot should be easy to handle, intuitive to use, ergonomic, and adaptive to human habits, individual usage profiles, and preferences. A personalized adaptive stiffness controller for pHRI tasks calibrated for the user's force profile was proposed for industrial applications in [78]. Its performance was validated in an extensive user study with multiple participants on two different tasks against a standard fixed controller. The results showed that the personalized approach was better regarding both performance gain and user preference, clearly pointing out the importance of considering both task-specific and human-specific parameters while designing control modes for pHRI. Furthermore, analyzing users' interaction force profiles, it was further confirmed that human and task parameters could be combined and quantified by considering the manipulability of a simplified human arm model. In [79], a collaborative robotic system that is capable of assisting a human worker despite limited manipulation capabilities, incomplete task model, and partial environment observability was proposed. To achieve that, information from a high-level, hierarchical model is shared between the human and the robot, enabling transparent synchronization between the peers and mutual understanding of each other's plans.

A socially assistive robotic system that can provide affordable personalized physical and cognitive assistance, motivation, and companionship with adaptable behaviour to its human user profile was first proposed in [32]. In subsequent work [80], a fuzzy-based methodology was employed to investigate how matching the human and the robot personalities can influence their interaction. Furthermore, robot head-arm metaphoric gestures were generated automatically under different emotional states based on the prosodic cues of the interacting human. In [81], a novel cognitive approach that integrates ontology-based knowledge reasoning, automated planning, and execution technologies was recently proposed to endow assistive robots with intelligent features for performing personalized assistive tasks. These features include reasoning at different levels of abstraction, understanding specific health-related needs, and the ability to autonomously decide on how to act.

3.2 Additional Middle-Ware Safety Considerations

To adequately address the human diversity related to both safety and security, some customization and individualization are necessary. In terms of physical safety, for instance, investigations on scaling issues (age and gender effects on material properties) and statistical methods have been conducted, see, e.g., [41,43,44], for estimating the human injury risk curves, using various anthropomorphic test devices (ATDs) and mathematical models of the human body [42]. International anthropometric data for the workplace and machinery design can be found in, e. g. [82], and the corresponding technical report [83]. On the other hand, employing physiological measurements to perform online assessment of operators' mental states is crucial in HRI. To progress towards interactive robotic systems that would dynamically adapt to operators' affective states, in [84] operator's recorded physiological data streams were analyzed to assess the engagement during HRI and the impact of the robot's operative mode (autonomous versus manual). Furthermore, a software framework that is compatible with both laboratory and consumer-grade sensors, while it includes essential tools and processing algorithms for affective state estimation, was recently proposed in [85] to support real-time integration of physiological adaptation in HRI.

4 Safety-as-a-Service: Implementation Prospects

The schematics shown in Fig. 4 demonstrate our proposed concept of providing different safety services upon request at different stages of the graceful task execution pipeline. The latter is obtained by redesigning motion controllers, motion planners, and task planners of the user-defined collaborative robotic task execution pipeline. The aim is to satisfy the reactivity and adaptivity requirements imposed by the safety layers for a GS behaviour. Furthermore, the functionality of each safety layer is encoded as an on-demand service. In contrast, critical safety aspects are ensured via persistent (i. e., always on) services such as emergency braking or safe fault recovery operation modes.



Fig. 4. Safety-as-a-service concept for GS-HRI.

To implement various safety services, the so-called generalized Safe Motion Unit (gSMU) framework can be adopted as the underlying safety-certifiable scheme for providing biomechanically-safe robot motions [27], with the possibility to include additional robot payload [86] and predictable braking strategies [87]. Simultaneous consideration of human factors, especially experience with robots/habituation, which potentially influences the humans' perceived safety for varying robot factors [71], can be achieved by including them in the EMU-SMU framework [12,37], see Fig. 5.



Fig. 5. Including human factors in the EMU-SMU safe HRI framework [12,71].

5 Conclusion and Future Work

This work presented an integrated multi-layered architecture to simultaneously tackle safety issues as well as gracefulness requirements of human-friendly robots in HRI scenarios. Based on a focused literature review, we identified various physical and cognitive HRI safety layers and emphasized notable studies discussing each and their corresponding findings. Furthermore, we suggested the *safety-as-a-service* concept for formalizing how to address the requirements of each HRI safety aspect concurrently while adapting the collaborative task execution pipeline for graceful robot task execution. Then, we discussed an example that shows some promising integration work along the suggested direction.

For future research and developmental work, we will detail some crucial architectural aspects such as prioritization of safety features that generate service requests, smooth switching and management of multiple ones concurrently, hierarchical rules needed to handle conflicts that may arise at the output level from different layers, as well as elaboration of the middleware considerations. We also plan to study the possibility of extending the safety assessment methodology proposed in [9] to cover the cognitive aspects, such that it can be employed for formal verification of the proposed multi-layered HRI safety architecture. Moreover, a comprehensive user study in industrial and service robot settings with a heterogeneous subject sample (including a broad range of persons with different experiences, ages, and genders) is required. Further, as users are able to adjust their expectations of the robot's behaviour quickly (habituation), possible efficiency enhancements of the human-robot teams are feasible. Also, the effect of unfulfilled expectations on following interactions needs to be analyzed by means of subjective and objective measures.

References

- Haddadin, S., Croft, E.: Physical human-robot interaction. In: Siciliano, B., Khatib, O. (eds.) Springer Handbook of Robotics, pp. 1835–1874. Springer, Cham (2016). https://doi.org/10.1007/978-3-319-32552-1_69
- Gulati, S., Kuipers, B.: High performance control for graceful motion of an intelligent wheelchair. In: 2008 IEEE International Conference on Robotics and Automation (2008)
- 3. Park, J.J.: Graceful Navigation for Mobile Robots in Dynamic and Uncertain Environments. Ph.D. thesis, University of Michigan (2016)
- 4. Moreno, S., et al.: Kinodynamic Planning and Control of Agile and Graceful Robot Motions. Universitat Politècnica de Catalunya, PhD research plan (2020)
- Haviland, J., et al.: A holistic approach to reactive mobile manipulation. IEEE Robot. Autom. Lett. 7(2), 3122–3129 (2022)
- Haviland, J., Corke, P.: NEO: a novel expeditious optimisation algorithm for reactive motion control of manipulators. IEEE Robot. Autom. Lett. 6(2), 1043–1050 (2021)
- Wang, L., Liu, S., Liu, H., Wang, X.V.: Overview of human-robot collaboration in manufacturing. In: Wang, L., Majstorovic, V.D., Mourtzis, D., Carpanzano, E., Moroni, G., Galantucci, L.M. (eds.) Proceedings of 5th International Conference on