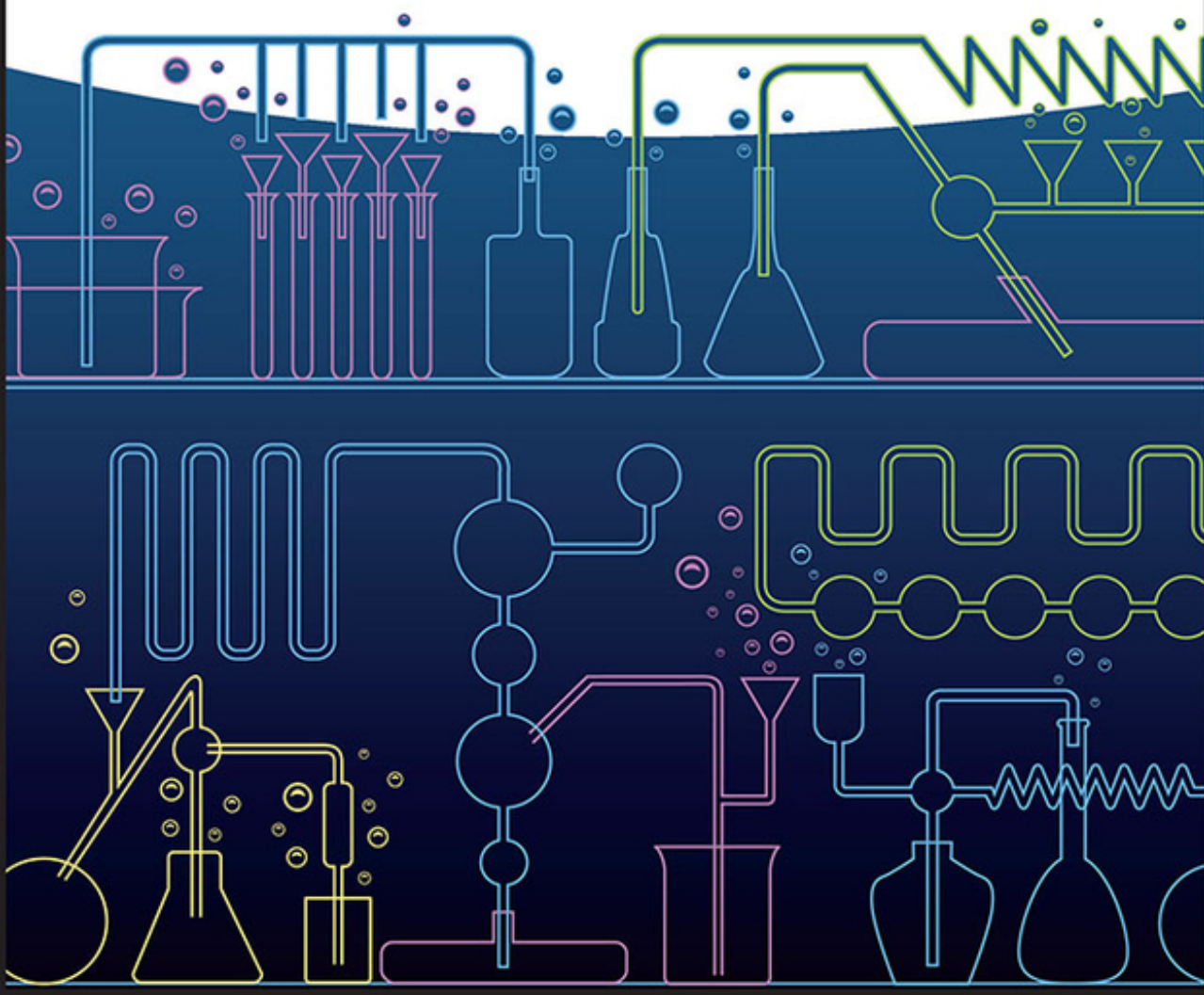


Ali Elkamel, Hesham Alhumade, Navid Omidbakhsh,
Keyvan Nowruzi, and Thomas Duever

Chemical Product Formulation Design and Optimization

Methods, Techniques, and Case Studies



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Preface

Chemical product design is a very important topic in the chemical industry. While commodity chemicals have been the main area for chemical engineering focus in the past several decades, specialty chemicals have been gaining more and more attention in recent years. Therefore, accelerating the development process and optimizing the formulation of chemical products would be of great benefit. With this change already happening in the industry, chemical engineering education and training have not changed enough to train engineers to fill positions in the product design field.

This book aims at providing the reader with a detailed understanding of the product design, related statistical techniques, and optimization, and gives real-life case studies for disinfectant formulations, optimization of defined medium, the formulation of biocomposites, etc. This book can be used as a supplemental textbook for chemical engineering students in a chemical product design course or to R&D product formulation engineers so that they become familiar with the efficient techniques used in developing new formulations. The book contains 11 chapters as follows:

- Chapters 1 and 2: Introduction to the current product design process
- Chapter 3: Background to the related mathematical and statistical techniques
- Chapters 4–12: Cases studies

Chapters 1 and 2 introduce the reader to the current methodologies used for designing new products in chemical industries and outlines the disadvantages of the current processes and the need for improvement.

Chapter 3 gives a background about the theories of the methodologies used to accelerate new product development. These methodologies include factorial designs, mixture designs, optimal designs, linear and nonlinear regression analysis, machine learning techniques (i.e. artificial neural networks), and multi-way principal component analysis.

Chapters 4–11 present seven case studies to illustrate the process of product design and its practical implications. The first case study covers optimization of a disinfectant formulation, the second one presents optimization of a defined medium, the third case deals with product improvement in a chemical toner manufacturing process using multivariate modeling, the fourth case presents over two chapters the design of wheat straw polypropylene composites, the fifth case

employs simulation to formulate gasoline blends, the sixth case presents the design of a corrosion protection coating using polyetherimide/graphene composites, and finally the seventh case study deals with the optimization of the mechanical properties of polypropylene-organically modified montmorillonite (PP-OMMT) nanocomposites. The book ends with Chapter 12 that illustrates how to proceed in selecting products to invest for business sustainability.

All chapters are equipped with clear illustrations, figures, and tables to help the reader understand the included topics.

Many people contributed directly or indirectly to this book. We wish to pay our gratitude and our respects to the late Professor Park Reily with whom we have collaborated on research articles related to the topics in this book and have learned a great deal from him. Also, this book would not have been possible without the interactions we had with past graduate students. Although we give credit and references in the appropriate chapters, we would like to vouch our words of appreciation to Rois Fatoni, Hossein Ordouei, Youssef Al Herz, and Hassan Khorami. Special thanks go also to the Wiley publishing team (Elke Maase, Katherine Wong, and Lesley Jebaraj) for their professional work and for being patient with us. Last but not least, we extend great appreciation to our friends and families.

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Thomas Duever is Dean of the Faculty of Engineering and Architectural Science and a professor of chemical engineering at Toronto Metropolitan University (TMU). Prior to his role at TMU, Dr. Duever served as chair in the Department of Chemical Engineering at the University of Waterloo for nine years, navigating the department toward unprecedented growth. He has also taught industrial short course in experimental design and polymer reaction engineering.

Dr. Duever is an accomplished researcher with interests including applied statistics, experimental design, polymer reaction engineering, and product development. He has written more than 100 articles in journals and conference proceedings to his credit and has supervised the research projects of over 35 graduate students.

Dr. Duever is a registered professional engineer in the Province of Ontario, a fellow of the Chemical Institute of Canada, and a fellow of the Canadian Academy of Engineering. He holds PhD, masters, and bachelor degrees in chemical engineering from the University of Waterloo.

1

Introduction

1.1 Chemical Product Engineering

Current globalization trends have resulted in a fierce competition between multinational companies for gaining more market share. Startup companies, on the other hand, also try to play in this game by offering differentiated or disruptive products that would potentially change the game and dynamics in each market segment. The main tool for technological companies to compete, however, remains their product offerings, and how they can serve the customers and address their needs. Any profitable market invites new entrants which creates competition. Companies try to accelerate their product development processes to launch more differentiated products to stay ahead of the game, while even reducing their costs. This is of course not a trivial task for scientists and engineers to take on. Furthermore, customers nowadays have been poised to see newer products and can quickly switch to other companies with better product offerings if the “newer” products are not commercialized quick enough, as the life cycle of the current products keeps becoming shorter. Brand loyalty does not exist as it used to be a few decades ago, and customers can quickly switch if they find a product with better features. An obvious example is the smartphone market, and that companies fiercely compete to introduce new products every year. Imagine one of the incumbents misses one product launch by a few months, and how catastrophic financial outcome they can encounter. In many cases, these new products are only simple modifications to existing technologies, but even these “small modifications” should carry enough value proposition to convince buyers among all choices they have. This competition is of course not limited to electronics market and is widespread in all industries, from cosmetics to pharmaceuticals and consumer to agriculture. In all these market segments, research and development teams work closely with their marketing counterparts to identify market needs and trends to stay ahead of the curve. There is no exaggeration to say that in the current market, innovation is like oxygen for the business, and without that any business will soon become irrelevant. Naturally, innovation can only be monetized if it is translated into a new product and capture revenue. This is why freshness index, i.e. the ratio of new products contributing to the revenue of the company over total revenue, is considered as a key success metric for most companies. A faster commercialization cannot be achieved without

a lean and agile product development process, and therefore it is very important that companies spend their R&D dollars very wisely and try to avoid less efficient development methodologies.

Product design can have various interpretations, among them is the definition as the entire procedures required to deliver a product with defined properties that serve a specific need in society or industry based on inputs from various segments. For instance, inputs from the industry of how the product may serve and what specifications should be considered during the manufacturing process. Items that can be considered include environmental and regional regulations. An example of environmentally friendly product design is the manufacturing of a greenhouse ventilation system, where the house is designed to attenuate energy consumption and maintain required rate of fresh air exchange. In such a process of product design of a household air exchanger, various elements need to be considered including heat and humidity. In addition, material selection is a significant factor in the manufacturing of such a device to take into consideration environmental impacts such as energy conservation, corrosion, and exhaust gases, if any. The topic of product design has become even more important with the growing changes in industry and regulation to protect the environment. For example, the manufacturing process of synthetic textile fiber has been continuously developing since 1950. Starting with a global production of less than 10 million mt in the 1950 and undergoing a 10-fold increase by 2017, the effective utilization of fibers in various applications was achieved through product design studies that were carried out on the development of various prototypes utilizing statistical software packages. In general, the process of product design encompasses the following steps: market needs, ideas, material selection, and finally manufacturing and process control and optimization.

Many of the products we touch and feel today have come out of a chemical plant one way or another. These products cannot be missed even in any quick visit to a grocery store. Consumer products (e.g. detergents), cosmetics, health care products (e.g. disinfectants, sanitizers), adhesives, pharmaceuticals, etc., are all examples of chemical products. Therefore, chemical product design (CPD) is a very important market segment and deserves enough attention in improving product development methodologies. Chemical product engineering is the science and art of creating chemical products, a much larger concept encompassing CPD. In other words, chemical product engineering can be seen as the general background of knowledge and practice supporting the concrete task of designing chemical products and their manufacturing processes.

1.2 Chemical Product Design

One of the crucial challenges facing modern corporations and industry is the growing competitive and dynamics market. A successful business requires continuous monitoring of consumers' needs and delivering valuable products at competitive prices and high quality, while addressing environmental regulations. Therefore, researchers from various fields of industry including but not limited

to management, marketing, and engineering design always devote attention to development of new products and issues associated with the fabrication of the products such as environmental concerns. When designing a new product, different factors are usually combined such as strategic and technical effort. Here, strategic planning is required to deliver a successful launch of the product, while technical effort focuses on design, manufacturing, control, and process optimization aspects. Therefore, a growing number of researchers from different fields of engineering including chemical engineering have devoted attention to the area of efficient design of new products.

Specialty chemical products include petrochemicals, pharmaceuticals, green chemicals, food products, household care consumables, and cosmetics. In different sectors, chemical products are undergoing continuous changes to meet the expectations of the consumers in addition to continuously stricter environmental requirements. The fabrication of a chemical product is a multistage process starting from synthesis, design, optimization, operation, and control. The successful execution of the previous steps would transform raw materials into valuable products. Furthermore, the design of a chemical product requires deep understanding of the properties of the materials and usage functions. Chemical products can be classified into six categories as follows: specialty chemicals, bioproducts, formulated products, devices, technology-based products, and virtual chemicals, where each category has a special identity. For example, specialty chemicals can be defined as pure compounds that are delivered in small quantities and may serve specific functions. Formulated products such as cosmetics and food represent a large market and can be defined as combined systems where various raw materials are blended together to deliver a multifunctional product with specific appearance and properties. Continued development in health care applications triggers the need to develop bioproducts that include biomaterials, tissue, and metabolic elements. Most of pharmaceutical drugs are now derived from biological sources rather than traditional synthetic chemicals. Moreover, products that cannot be classified as pure compounds, mixture, or fabricated biomaterials may include devices that carry out a physical or chemical transformation.

There have been major changes in the chemical industry during the last two decades. The dominance of commodity chemicals has been eroded by a newer emphasis on products such as specialty chemicals [1]. These chemicals include but are not limited to detergents, cosmetics, pharmaceutical drugs, fertilizers, adhesives, and many more. Today, there are many companies and industries that have focused on developing such products and are in fierce competition with each other for market share. Chemical process industries have always launched successful new products. However, the dynamic and demanding markets require companies to adopt a more systematic approach to bring the new product to the market faster and cheaper to guarantee competitiveness. Chemical Product Design and Engineering is becoming more important as a consequence of this change. While customer needs and product differentiation for competition purposes are significant drivers to faster develop products, global warming and climate change require newer products to have less environmental impact. Increased awareness by both people

and governments, and media's increased attention to this important topic, has led governments to impose more stringent environmental regulations which puts even more pressure on companies to try to reduce waste and carbon footprint. It would be obvious for companies to try to optimize processes and product formulations to deliver the same performance using "less" chemicals in a faster time and using less resources. The million-dollar question to ask is how to achieve this, or simply how to do more with less? In this book, we are trying to answer this question partially and our focus will be on chemical and biological product mixtures.

In summary, the dynamic nature of the chemical and biochemical industries, intense competition for market share, and emergence of more strict environmental regulations require deployment of innovative product development methods to address increasing demands for faster, leaner, and optimized products.

1.3 Product Design and Computer-Aided Product Design

CPD can be defined as a systematic procedure or framework of methodologies and tools whose aim is to provide a more efficient and faster design of chemical products able to meet market demands. From the practical standpoint, Cussler and Moggridge [2] simply defined product design as a procedure consisting of four steps: (i) defining the needs, (ii) generating ideas to meet the needs, (iii) selecting the best ideas, and (iv) manufacturing the product. Generating ideas and selecting the best ideas are the most time-consuming steps. These two steps traditionally involved an exhaustive search by trial-and-error methods which often ended up with no significant results. One way to overcome this problem is by using computer-aided techniques to identify very quickly a set of promising candidates and select a subset of likely final products, from which the desired properties can be identified through experiments (Figure 1.1).

The first step in Figure 1.1 is the predesign, or problem formulation step. Steps 2 and 3 represent, respectively, two types of product design problems: molecular design and mixture/blend design. In the molecular design, the objective is to find a chemical product that exhibits certain functional properties. The invention of new fuel additives and solvents in organic synthesis are examples of this type of design. In the mixture/blend design, the objective is to find a recipe of chemical ingredients which give desirable final product properties. Examples of this type of design are the design of fuel blends and polymer blends, including polymer composites and additives. The associated computer-aided designs for the two CPDs are called computer-aided molecular design (CAMD) and computer-aided mixture/blend design (CAM^bD).

Chemical products are judged by consumers not from their technical specifications but rather by the functional and performance attributes which are usually described by a set of performance indices. These indices are determined by three factors: (i) the composition and physicochemical properties of materials that constitute the product; (ii) product structure, which is dependent on the manufacturing process; and (iii) product usage conditions. The relationship between performance

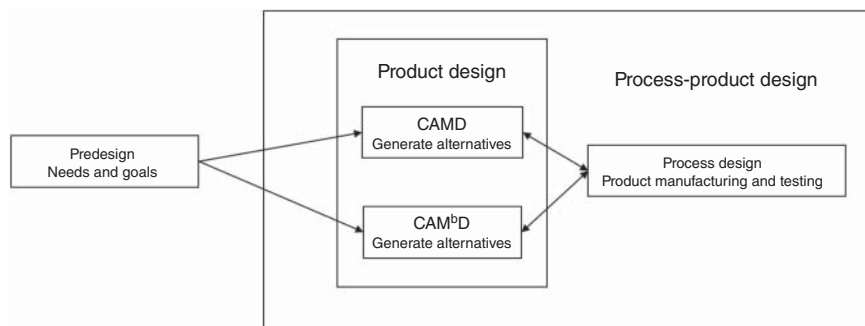


Figure 1.1 The design process for product design.

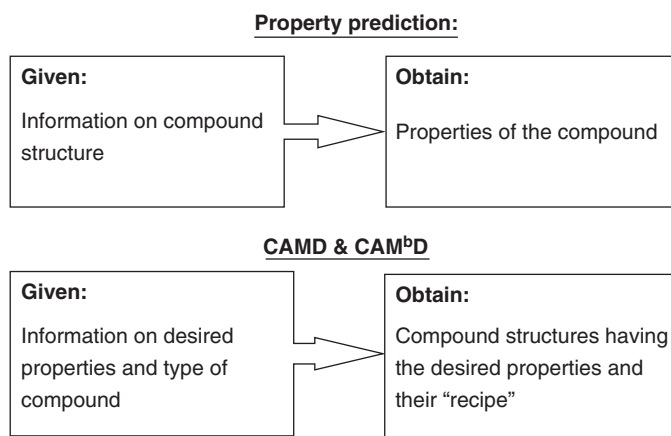


Figure 1.2 Chemical product design (CAMD, CAM^bD) are “reverse” of property prediction problems.

indices and product composition, product ingredients’ properties, and product structure has been mathematically systematized through the concept of property function. In generic terms, the CPD can be defined as: given a set of desired (target) needs, determine a chemical product (molecule or mixture) that satisfies these needs. Based on this definition and the concept of property function, the CPD problem can be described as a “reverse property prediction,” as illustrated in Figure 1.2, where the needs are defined through product properties [3].

A simple framework for CPD is illustrated in Figure 1.3. Different aspects of CPD are represented by methods for CAMD, CAM^bD, analysis, and model validation, while different calculation options are represented by tools of process simulation, pure component property estimation, mixture property estimation, and search engines for data retrieval from databases. Although the two-directional arrows in Figure 1.3 show the connection between two adjacent methods or tools, they are meant to indicate that all the tools and methods are connected to each other.

In any CPD problem, property functions and property models play important roles. While the framework is flexible enough to handle a large range of CPD

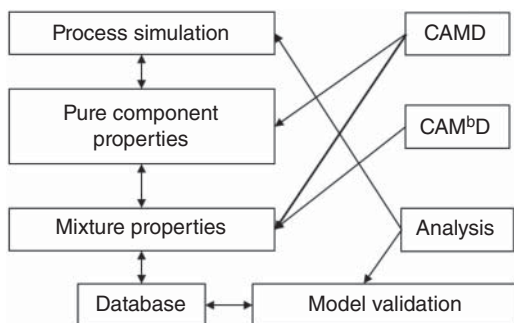


Figure 1.3 A simplified framework for computer-aided chemical product design.

problems, the currently available methods and tools can only solve a relatively small percentage of these problems. This is because the property models that are currently available are unable to predict the needed properties within an acceptable limit of uncertainty.

The framework, however, can give a great contribution to creating property models and database development in a systematic way. This will reduce time and effort in the early stages of the product design process and subsequently bring the product to the market cheaper and faster.

The remainder of this book is organized as follows: Chapter 2 surveys a variety of applications associated with CPD, while Chapter 3 covers tools commonly used to accelerate product development. Chapters 4–12 provide illustrative case studies related to CPD and formulation.

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2

Some Typical Applications of Chemical Product Design and Intellectual Property

2.1 Natural Fiber Plastic Composites

The use of natural fibers as reinforcement in composite materials dates back to 3000 years ago when ancient Egyptians used clay reinforced with wheat straw as materials to build walls of their houses. In the automotive industry, Henry Ford developed the first prototype composite car made from hemp fibers in 1942. Due to economic constraints at that time, however, the car was not commercially produced. Since then, numerous attempts have been made to incorporate natural fibers into automotive components. The pressure to produce fuel-efficient, low-polluting vehicles has become the major driving force for the increasing use of natural fibers in automotive parts. The inclusion of natural fibers will make it possible to reduce the utilization of petroleum-based polymeric materials. It will also increase the fuel efficiency due to car's lighter weight and will result in an easier product end-of-life, i.e. waste management. Today, several car manufacturers are using natural fiber composites in their products. Some examples of the applications are presented in Table 2.1.

Both thermoplastic and thermoset resins were being used in automotive industries. However, since thermoplastic resins are easily recyclable, they exhibit less environmental impact than the thermoset resins. Therefore, industries such as automotive industry is using more thermoplastics than thermosets. For automotive industry, the key advantage of thermoplastics is that they can be reprocessed or recycled, thus reducing the amount of scrap material during manufacturing and allowing easy recovery and recycling of materials at the end-of-life cycle. Due to the lower thermal stability of natural fibers, the number of thermoplastics which can be used to make composite materials is limited to those thermoplastics with processing temperatures that do not exceed the temperature for degradation or burning the plant fibers (typically below 210 °C). Polypropylene (PP) and polyethylene are the most commonly used thermoplastic polymer matrices with plant natural fibers.

There are various natural fibers with broad ranges of sizes and properties available to be used as fibers in composites, such as cotton, jute, flax, hemp, sisal, coir, bamboo, wood, pineapple, ramie, coconut leaves, and so on. The choice of fibers mainly depends on the final composite product specifications and their

Table 2.1 Automotive manufacturers, model, and components using natural fibers [1].

Manufacturer	Model and application
Audi	A2, A3, A4, A4Avant, A6, A8, Roadstar, Coupe: Seat back, side and back door panel, boot lining, hat rack, spare tire lining
BMW	3, 5, and 7 series and others: Door panels, headliner panel, boot lining, seat back
Daimler-Chrysler	A, C, E, S class: Door panels, windshield/dashboard, business table, pillar cover panel; A class, Travego bus: exterior under body protection trim; M class: Instrumental panel (Now in S class: 27 parts manufactured from biofibers, weight 43 kg)
Fiat	Punto, Brava, Marea, Alfa Romeo 146, 156
Ford	Mondeo CD 162, Focus: Door panels, B-pillar, boot liner
Opel	Astra, Vectra, Zafira: Headliner panel, door panels, pillar cover panel, instrumental panel
Peugeot	New model 406
Renault	Clio
Rover	Rover 2000 and others: Insulation, rear storage shelf/panel
Saab	Door panels
SEAT	Door panels, seat back
Volkswagen	Golf A4, Passat Variant, Bora: Door panel, seat back, boot lid finish panel, boot liner
Volvo	C70, V70
Mitsubishi	Space star: Door panels; Colt: Instrumental panels

application. However, flax, hemp, and kenaf fibers are favored, because they have excellent combinations of economic and functional properties [1].

The basic rule of reinforcement is that stresses to the material must be transmitted from the polymer matrix to the fiber. To get the optimum reinforcement to the polymer matrix, a fiber must have certain attributes. The length of the fibers and the aspect ratio (length/diameter) of the fibers should be controlled to each specific type of resin and application. Much of the research in the area of fiber-reinforced plastics has been done using glass fibers. Glass fibers have uniform diameter and can be made to any required length. Therefore, the length and aspect ratio are easily controlled in the case of glass fibers. The fiber alignment is also a significant factor for composite strength. Fibers randomly oriented will lose their reinforcement effect by up to 80%. In cases where usage of continuous fibers is prohibited due to process constraints, discontinuous fibers are used. In this case, stress cannot be transmitted from the matrix polymer to the fibers across the fiber ends. Fibers with the size longer than a critical minimum length l_c are required for these discontinuous fibers [2].

Since stresses must be transmitted across the boundaries between polymer matrix and the fiber, the properties of fiber-polymer composites are influenced by the