

Dheeraj Kumar Singh
Sanjay Singh
Prabhakar Singh *Editors*

Nanomaterials

Advances and Applications

 Springer

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*Dedicated to my grandfather Late Shri
Parmanand Singh*

Preface

In the last two decades, nanoscience and nanotechnology have attracted tremendous research attention towards technological developments that are based on the outstanding and appealing intrinsic features of nanomaterials. A variety of nanomaterials are being synthesized that display better performances than their bulk counterparts; therefore, a wide range of applications are envisaged. Recently, significant effort has been devoted to realize applications of nanomaterials in the area of science, engineering, and medical field. Considering the technological importance of nanomaterials, this book has been designed to provide comprehensive information about the recent progress and applications shown by various nanomaterials. Editors of this book opine to provide the necessary background of nanoscience and nanotechnology to the researchers and readers to build strong fundamentals and deep knowledge about the subject. Certain emerging and well-established nanomaterials such as carbon and graphene-based nanomaterials, metal, nanometal oxides, layered chalcogenides, MXenes, ceramic nanomaterials, polymer nanocomposites, metal nanoparticles, nanofluids, etc., are discussed. For the reader, this book covers detailed information about the different nanomaterials sources of synthesis roots and their characterization techniques. Additionally, this book also provides detailed information on fundamental applications of emerging nanomaterials in a variety of fields of science and technology, including energy, electronics, medical, sensing, etc.

This book consists of 12 chapters and provides the fundamentals to advance discussion regarding the capabilities of nanomaterials and their potential applications and limitations. Chapter 1 provides a general overview of different nanomaterials. In this chapter, Dr. Dheeraj K. Singh and co-workers from IITRAM, Ahmedabad, India, have discussed the history of nanomaterials from the prehistoric era to the modern age. They also cover the properties of materials at the nanoscale, classifications of nanomaterials based on their origin, compositions, and dimensions, as well as various synthesis routes, and applications in different fields including energy, electronics, food, medical, sensing, defense, etc. Chapter 2 is based on carbon nanomaterials. In this chapter, Dr. Rajesh Kumar Singh, CUHP, Dharamshala, India, and his team have briefly discussed the various synthesis routes of carbon nanomaterials including carbon nanotubes, fullerene, and carbon dots. The discussed synthesis

methods include chemical vapor deposition (CVD), arc discharge, laser ablation, etc. A separate section in this chapter is dedicated to the various applications of carbon-based nanomaterials including energy storage, biomedical, and sensing applications. In Chap. 3, Dr. Pawan Kumar Dubey from the University of Connecticut, USA, provided a detailed discussion regarding the recent development in the synthesis and applications of graphene-based nanomaterials. Chapter 4 is written by Dr. Puneet Khandelwal from JHU, Maryland, United States, that presents various synthesis approaches, characterization techniques, and applications of metal nanoparticles. In Chap. 5, Dr. Dheeraj K. Singh and his group from IITRAM, Ahmedabad, India, have summarized the synthesis routes, characterization techniques, and physiochemical properties of metal oxide-based nanoparticles (MONPs) and their applications in a variety of fields, including solar cells, batteries, biomedicines, wastewater, pollutant treatment, etc.

A summary of nanocrystalline high entropy alloys (HEAs) and high entropy oxides (HEOs) materials is provided in Chap. 6 written by the group of Dr. Rohit R. Shahi from CUSB, Bihar, India. A thorough description of the various synthesis techniques for nanocrystalline HEAs and HEOs is included along with the understanding of their remarkable properties and some of the advanced functional applications. Further, Chap. 7 provides an overview of two-dimensional (2D) transition-metal dichalcogenides (TMDCs) materials. Here, Prof. Ashish K. Mishra of IIT-BHU, India covered several synthesis techniques and briefly emphasized the characteristics of TMDs for their numerous applications in the fields of optoelectronics, energy, and biomedicine. In Chap. 8, Dr. Jeevan Jyoti, PDPU, Chandigarh, and Dr. Bhanu Pratap Singh, CSIR-NPL, New Delhi, India, provide in-depth information regarding MXene-based nanomaterials. This chapter includes a detailed discussion of the different MXene synthesis techniques, their properties, and their applications in a variety of fields. Moreover, nowadays, ceramic nanocomposite is widely used in a variety of electrochemical devices owing to their great applications in the field of energy. Therefore, in Chap. 9, Dr. Raghvendra Pandey and Prof. Prabhakar Singh describe the key concepts behind nanocomposite ceramics and explore their various types. This chapter also reveals the various fabrication techniques for the preparation and processing of nanocomposites ceramics as well as their physical properties and applications along with the benefits and flaws of nanocomposites. Polymer nanocomposites have a wide range of applications in a variety of fields because of their low toxicity and biocompatibility. Hence, Chap. 10 is devoted exclusively to polymer composite nanomaterials by Dr. Amaresh Kumar Sahoo, IIIT, Allahabad, India, where a thorough understanding of the various synthesis approaches, characterization approaches, and applications of polymer nanocomposites is developed. Chapter 11 covers the biomedical applications of advanced nanotechnologies. In this chapter, Dr. Chandraiah Godugu from NIPER, Hyderabad, India, outlines the uses and applications of several nanotechnologies and advanced nanomaterials for diagnosis and therapy. The primary focus of this chapter is on the biomedical applications of inorganic (metal and metal oxide) and organic (carbon nanotubes and liposomes) nanoparticles and their nanopattern surfaces for diagnostics, biosensing, and bioimaging applications, as well as drug delivery, theranostic systems, and bone

replacing implants. In Chap. 12, Dr. Sanjay Singh from DBT-NIAB, Telangana, India, addresses the recent developments and uses of nanomaterials as nutritional supplements and therapeutic agents for animal nutrition and the treatment of various animal diseases causing microbes, parasites, and fungi as well as vaccine adjuvant made by nanotechnology. He also discusses the limitations of nanoparticles for animal health and nutrition.

It is expected that this book will make the readers enthusiastic about the recent advancement and importance of nanoscience and nanotechnology by providing a thorough understanding of the various synthesis and characterization techniques along with the fundamental applications of nanomaterials. Additionally, the outlined merits and demerits together with possible solutions will also encourage young scientists and researchers to make new discoveries in this field.

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I would like to express my sincere gratitude to Almighty God Prabhu Shree Ram, Bajarang Balee, and Maa Saraswati to give me strength for the successful collections of quality book chapters on nanomaterials. The book entitled “Nanomaterials: Advances and Applications” consists of 12 chapters from various reputed institutions across India and abroad. The execution of the book was possible with the unconditional support and help of many individuals, scientists, and academicians. I would like to express my sincere thanks to all of them. First, I would like to show my heartfelt gratitude and thanks to all contributors for providing a quality chapter on the recent developments of nanomaterials. I extend my heartfelt gratitude to IITRAM family for their motivation and good infrastructure.

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I am grateful to the Science and Engineering Research Board (SERB), DST, Government of India, for financial support to conduct research work at IITRAM which makes a basis to collaborate with the expert researchers and exchange our ideas. My heartfelt and special thanks to my Ph.D. students Hardik, Amit, Deepak, and Nirav for their valuable time to help me during editing the book. Last but not least, I would like to thank my family, my wife Dr. Shweta Singh, my daughter Drishti Singh, my father Jamuna Prasad Singh, and my mother Pramila Singh for their valuable support and sacrifices during the preparations of the book.

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Chapter 1

An Overview of Nanomaterials: History, Fundamentals, and Applications



Hardik L. Kagdada, Amit K. Bhojani, and Dheeraj K. Singh

Abstract Nanomaterials exhibit the tendency to alter the fundamental properties with the size in the range of nanometers. The fascinating nanomaterials exhibit excellent fundamental properties and possess numerous applications in the fields of science and technology. However, the concept of nanomaterials already existed in prehistoric times unknowingly. Therefore, the present chapter discusses the historical overview, usage, and development of nanomaterials from the prehistoric time to the modern age. The scientific milestones achieved for the development of nanomaterials and nanotechnology have also been covered. Further, the properties at the nanoscale have been discussed in terms of confinement effect and the surface-to-volume ratio. The classification of nanomaterials based on several factors such as origin, composition, and dimensionality are elaborated. This chapter also included the possible synthesis approaches along with their benefits and disadvantages. Moreover, we briefly explore the general overview of nanomaterials for a variety of applications in the fields of energy, medicine, electronics, sensing, defense, etc.

Keywords Nanomaterials · Historical overview · Classification of nanomaterials · Synthesis approaches · Applications

1.1 Historical Overview

Nanomaterials are arising as a revolutionary class of materials, unfolding a wide range of applications, by possessing the size or one or all of the dimensions in the nanometer range with unique properties. The word “Nano” is originated from the Greek phrase “nanos,” which is defined as very short men. The astonishing history of nanomaterials reveals that they have existed naturally in ancient times. The history of nanomaterials is flabbergasting and long, which includes naturally occurred and man-made nanomaterials. Natural sources of nanomaterials consist the forest fire products, ocean spray, volcanic ash, etc. Moreover, from the meteorites, nanodiamonds have

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been obtained, also known as the most abundant presolar grain [1], which suggests that the nanomaterials may have originated from the big bang process. One example of nature's nano assembly is the Nacre, where the mollusks are formed from calcium carbonate through the nanopatterning process and provide outstanding hardening [2]. Another attraction of nature's nanomaterials is spider silk, prepared from the polymer consisting of protein and exhibits strength more than that of high-tensile steel [3].

Since, prehistoric times, humans have synthesized and utilized nanomaterials for several purposes. Specifically, artists from ancient times have strategically used nanomaterials for cave paintings. From Sulawesi, Indonesia, cave paintings consisting of hand stencils have been found dated back to 40,000 BCE, which were prepared from fat, charcoal, and plant pigments [4]. Date back to 34,000 BCE, another piece of work of the hand stencil and wild mammals have been discovered in the Chauvet Pont-d' Arc Cave, France, where graphene and other nanocomposites have been used unknowingly [5, 6]. Further, for cloth bleaching purpose Cyprus clay have been utilized since 5000 BCE [7].

In ancient times, humans are not known that soot exhibits carbon-based nanomaterials. Before 4500 years, humans utilized asbestos nanofibers having a diameter of 50–200 nm to the reinforcement of ceramic matrix materials [8]. The PbS nanoparticles (also known as galena) have been used for the hair dye material, where the PbS change the optical properties of the hair shaft with no effect on the mechanical properties of the hairs [9]. This concept provided the development of the hair dye formula 2000 years ago [9]. The azure pigment exhibits a hydrophobic nature, also known as the Maya blue used for corrosion resistance, manufactured using the indigo dye and nanoporous clay in the Mayan city of Chichen Itza [10, 11]. One of the ancient artworks from the Roman time was the Lycurgus Cup (~400 CE), which changes its color from green to red under the illumination of light (See Fig. 1.1). The material of the cup consists of the doping of silver and gold nanoparticles modifies the color according to the light [12]. In medieval times, the bright red and yellow colors of the glass windows in the churches appeared due to the presence of gold and silver nanoparticles [13]. Between 300 and 1700 AD, nanoparticles have been extensively used for manufacturing steel (also known as damascus steel) swords, where nanoparticles play a vital role in the strength, sharpness, and shatter resistance [14]. In 900 AD, the Indian civilization used cemenites nanowires and carbon nanotubes in the microstructure of wootz steel [15].

The scientific report for nanomaterials started in 1857, by Michael Faraday, synthesized the colloidal solution of gold nanoparticles, called "activated gold." Faraday discussed this work at the royal society of London with the statement, "Gold reduced in exceedingly fine particles, which becoming diffused, produce a ruby red fluid, the various preparations of gold, whether ruby, green, violet or blue consist of that substance in a metallic divided state" [16]. Figure 1.2 depicts the major milestones of the developments in the field of nanomaterials and nanotechnology. Moreover, in the 1940s, silica nanoparticles have been used as a replacement for carbon black in rubber reinforcement [17]. The concept of nanotechnology and nanoscience was boosted in 1959 through the revolutionary statement "There's plenty of room



Fig. 1.1 Lycurgus cup, left side is reflected and right side shows the transmission of light (adapted with permission from [8]). Copyright (2013) (Elsevier)

at the bottom” by physicist Richard Feynman during his talk at the American Physical Society meeting at the California Institute of Technology [18]. In the same talk, Feynman asked and discussed that “*Why cannot we write the entire 24 volumes of the Encyclopedia Britannica on the head of the pin.*” Moreover, Feynman suggested the possibility of modulation in materials at the atomic level, where the properties are drastically different from the large scale. Furthermore, Feynmann also depicted that smaller and smaller sizes neglect gravity, while van der Waals interactions and surface tension parameters would be more dominant. Due to his revolutionary statements and discussion, Richard Feynmann is also known as the “Father of nanotechnology,” although he never mentioned explicitly the word Nanotechnology [17]. In 1974, Japanese scientist, Norio Taniguchi was the first to define the term Nanotechnology as the process of atomic-level deformation and separation of materials [19]. However, the term nanotechnology was not famous till 1986, when the American engineer Eric Drexler published a book named *Engines of creation: the Coming Era of Nanotechnology*, which brings spans the revolutionary field of nanotechnology [20]. After that, the great inventions in experimental techniques such as scanning tunneling microscopy (STM) by Heinrich Rohrer and Gerd Binnig in 1981, which allowed to see the materials at the atomic scale leads to a boost in the awareness of nanotechnology. For such an invention, Rohner and Binning were awarded the Nobel prize in physics [21]. After that, the growth in the carbon allotropes towards the nano dimensions fuels the development of nanomaterials. Started with the discovery of fullerenes by Harold W. Kroto, Richard E. Smalley, and Robert F. Curl Jr. in 1985 [22], and all three scientists were awarded the Nobel prize in 1996. Further, the IBM scientists successfully orchestrated the logo of IBM by moving the Xenon atoms on the surface using STM tips [23]. Such exceptional work moves one step closer to the Feynmann hypothesis. For carbon nanotubes, Japanese inventor and physicist Sumio Iijima is often cited as the inventor of carbon nanotubes [24]. After

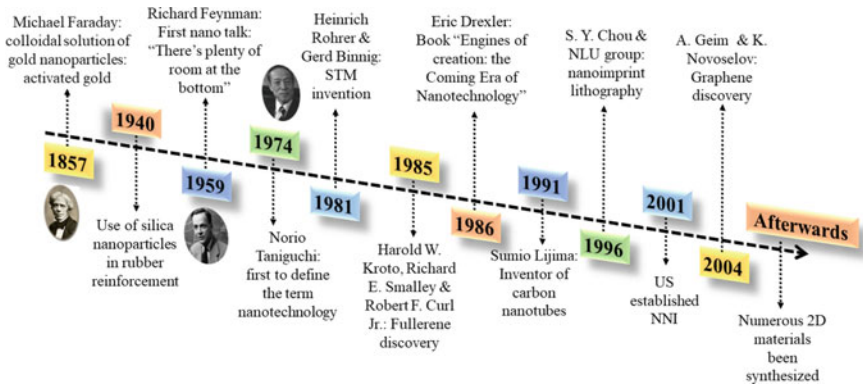


Fig. 1.2 Scientific milestones of nanomaterials and nanotechnology from the year 1857

that, the concept of nanoinprint lithography has been proposed for the first time by Chou and the group at the Nanostructure Laboratory of the University of Minnesota [25]. The transformation of integrated chips (ICs) also can be considered a part of the historical development of nanotechnology in the field of electronics. In 1947, the single transistor size was in the range of micro-objects, based on the demands of miniaturization and maintaining the pace with Moore's law, the size of transistor deduced significantly and in the year 2002, it further reduced to 90 nm [17]. Currently, the size of transistors is reduced to below 10 nm. In 2001, the United States established the national nanotechnology initiative (NNI) for the systematic and scientific development of nanomaterials and nanotechnology [26]. With novel properties and remarkable applications, the most eminent carbon-based nanomaterial, single-layer graphene has been synthesized successfully by Andre Geim and Konstantin Novoselov and awarded the Nobel prize in Physics in the year of 2010 [27]. The discovery of graphene further leads to the development of numerous two-dimensional materials. There are several specific journals devoted to nanotechnology and nanomaterials such as the International Journal of nanotechnology, Journal of nanoscience and nanotechnology, Journal of nanoparticle research, Nano letters, etc., have been started [26].

1.2 What Happened at the Nanoscale?

The nanometer is the distance, which is equal to the 1 billionth part of the one-meter distance ($1 \text{ nm} = 10^{-9} \text{ m}$). The properties of nanomaterials show a drastic change when one of the dimensions or sizes belongs to the nanometer range. Now, the question is: why the properties of materials are so different at the nanometer scale compared to their bulk structure? The classical mechanics or thermodynamics failed to reveal the proper explanation of the properties of nanomaterials. While quantum

mechanics unveil the fundamental aspects of the materials at the nanoscale region, where the motion of the electron is confined. The size or dimension of materials is analogous to the de Broglie wavelengths of electrons, phonons, or excitons, the confinement effect originated, which leads to the dramatic variations in the properties of the materials. Based on the amount of confinement, the materials can be distinguished into (i) quantum dots or zero-dimensional materials (0D), (ii) quantum wires or one-dimensional (1D) materials, and (iii) two-dimensional (2D) materials or thin films. The detailed classification of nanomaterials is discussed in the next section. Due to the confinement effects, the density of states (DOS) plays an important role in the fundamental understanding of the transport, spectroscopic and optical properties of materials at the nanoscale region [28]. The density of states is defined as the number of energy states for the range of unit energy. For each dimensionality of the particles, the density of states related to the energy is presented in Fig. 1.3. It is clear that the DOS for 0D materials has discrete energy levels and is represented by the Dirac delta function. In the case of 1D materials or nanowires, the energy states are inversely proportional to the square root of the energy. However, for 2D materials or thin films, the density of states becomes constant as a function of energy, while the same is proportional to the square root of the energy for the case of 3D materials, where no quantum confinement is probed [28]. Moreover, reducing the particle size increases the portion of atoms at the surface of the nanomaterials. Therefore, the nanomaterials exhibit a larger surface area to volume ratio, compared to the bulk materials, which results in anomalous changes in the fundamental properties. Furthermore, at the surface region, the atoms may possess unsatisfied dangling bonds, which exhibit lower stability than that of the bulk materials. The interaction of materials occurred at the surface, and therefore, due to unsatisfied bonds and large surface-to-volume ratio, the nanomaterials reveal a higher chemical activity compared to the 3D materials. The fascinating impact of the quantum confinement on the physical properties is the variation in the band gap with the size of the nanomaterials [29, 30]. Here, the confinement leads to an increase in the band gap of nanomaterials. Figure 1.4 shows the schematic diagram of the particle size-dependent band gap variation. For the weak confinement region, the coulomb energy is stronger than the confinement energy, which results in the electron–hole as a pair product, while, for the strong confinement regime, the energy of confinement overcomes the Coulomb energy and the electron and hole exhibit separate confinement in the spherical potential [31–33]. The optical absorption spectrum of the nanomaterials responds according to the size confinement of the nanoparticles, which provides the tuning of the light-absorbing properties at the nanoscale. For example, the photoluminescence peak of the CdSe quantum dots reduces with increasing size and exhibits the shifting of the peak toward blue light [34]. The optoelectronic properties of the graphene have been significantly tuned by preparing graphene nanosheet using the bottom-up approach, where hydrocarbons covered the graphene to maintain the sp^2 configuration [35].

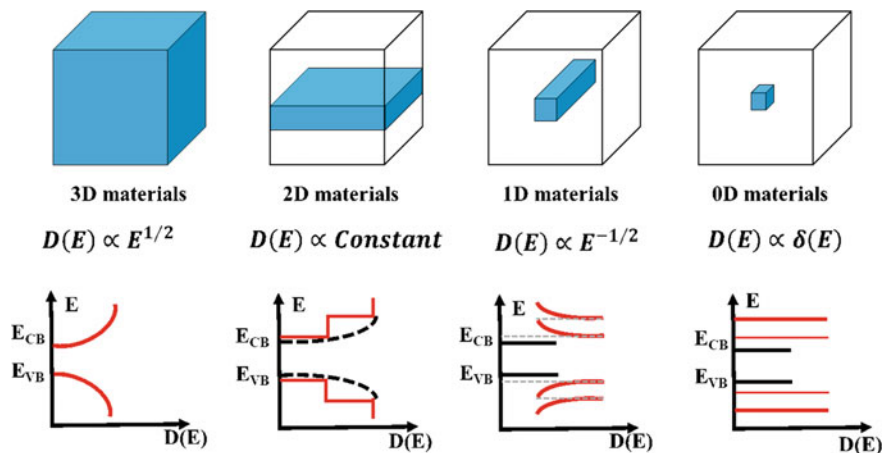


Fig. 1.3 Upper panel represents the schematic presentation of confinement effect. While lower panel depicts the nature of density of states with increasing the confinement in each dimension

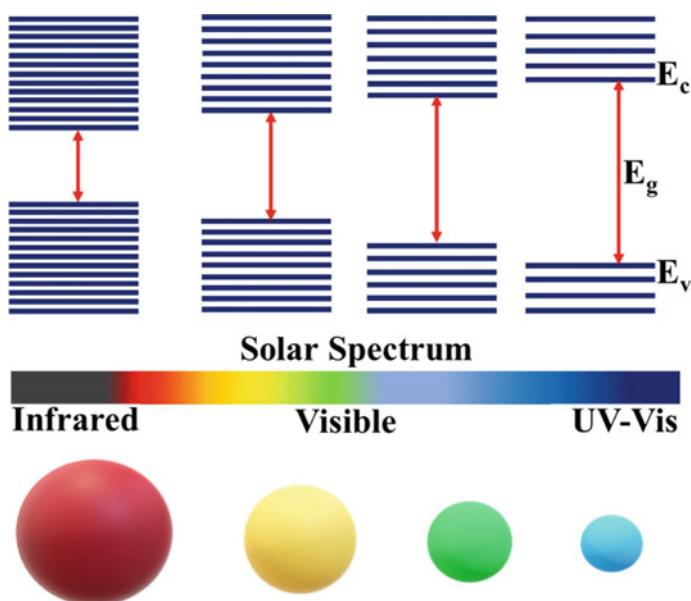


Fig. 1.4 Schematic presentation of the electronic properties of the nanoparticles with various sizes

1.3 Classification of Nanomaterials

The most studied materials of the current century, nanomaterials, gave birth to the new field of science and technology, often called nanotechnology. This class of materials is

manufactured or fabricated at the nanometer scale and the properties of nanomaterials are drastically changed compared to that of bulk materials. The classification of nanomaterials depends on several factors such as the origin, size, shape, chemical composition, characteristics, and applications. Based on the origin, there are two types of nanomaterials, naturally originated which are produced non-intentionally and belong to the environment, while another class consisting the nanomaterials made up of defined purpose through the systematic synthesis or fabrication process such as top-down and bottom-up approaches.

On the other hand, based on composition, the nanomaterials are classified into majorly four categories, carbonaceous, organic, inorganic, and composite-based nanomaterials. The carbonaceous nanomaterials exhibit the sp^2 hybridization in their orbital configuration. Graphene, nanodiamond, carbon nanotubes, fibers, nanowires, and nanographite are examples of carbonaceous nanomaterials. Chapter 2 consisting a detailed discussion of carbon-based nanomaterials. The organic category includes bioinspired nanomaterials such as micelles, dendrimers, ferritin, liposomes, etc., and exhibits a size ranging from 10 to 1000 nm [36]. On the other hand, the inorganic nanomaterials consisting of non-carbon elements such as metal and metal oxides (Chaps. 4 and 5), metallic nanoclusters, magnetic nanomaterials (Chap. 6), nanoclay, zeolite, groups IV–VII-based nanomaterials (specifically the chalcogenides, Chap. 7), MXenes (Chap. 8), etc. The majority of the inorganic nanomaterials exhibit a crystalline nature and different sizes and shapes (spheres, cubes, stars, ellipsoids, cylinders, etc.) in their structure, resulting in a wide range of desired properties for various fields of science and technology. The fourth category in this section includes the composite-based nanomaterials (Chaps. 9 and 10), which exhibit the matrix-based structure, where the metal, polymer, hydrogel, or ceramic are considered as the matrix in the nanostructure. The hydrogels depict biocompatible activities in precise surroundings and have been utilized for drug delivery applications [37].

Based on the dimensionality the nanomaterials have been classified into three types; zero-dimensional (0D), one-dimensional (1D), and two-dimensional (2D) nanomaterials. The size and morphology change according to the dimensionality of the nanomaterials, which provides a significant tuning of the properties.

0D nanomaterials: All three dimensions are confined to the nanometer scale, consisting of a few tens to thousands of atoms in the range of 2–100 nm. The 0D materials include fullerenes, quantum dots, nanoclusters, nanoparticles (magnetic and metallic), etc. Fullerenes having 60 carbon atoms, named buckminsterfullerene (C_{60}), honor the American architect Buckminster Fuller who is known to bring geodesic structures into the field of architecture [22]. The cage-like structure has the shape of a soccer and a diameter of ~ 1 nm, fullerenes consisting of the bonds of each carbon atom to the other three carbon atoms similar to graphite. Despite having a sphere-like structure all carbon atoms in the structure exhibit the sp^2 hybridization and possess a higher surface-to-volume ratio for broad applications in the field of science and technology. Other 0D nanomaterials are the quantum dots (QDs), which exhibit a semiconducting nature having a size in the range of 2–10 nm and consisting the 10–50 atoms. The optical properties of the quantum dots are quite different than that of the bulk materials as QD emits various colors of light with the size and surface

morphology. Due to its very small size, the optical properties are highly sensitive to the number of atoms. For example, the carbon quantum dots having a size <1.2 nm possess the emission of ultraviolet (UV) light, while the same has emitted visible light with a size between 1.5 and 3 nm, which further provides the infrared light for the size of ~ 3.8 nm [38, 39]. On the other hand, metal and metal oxide nanoparticles exhibit a similar nature of size-dependent optical properties, which is also known as surface plasmon resonance (SPR) [40, 41]. The silver and gold nanoparticles were studied most for the SPR phenomena, where the electric field inside the particle will be significantly enhanced through the coupling of plasmon with the external incident light and provides the scattering and absorption of light [42–51]. Both gold and silver nanoparticles exhibit potential applications in medical science, diagnostics, sensors, and solar cells. Specifically, silver nanoparticles possess promising usability as antibacterial and anti-microorganisms [52, 53]. Similarly, metal oxide nanoparticles such as ZnO, TiO₂, Fe₂O₃, etc., possess excellent size and shape-dependent physicochemical properties and broad applications in renewable energy and medical sectors [54–56].

1D nanomaterials: This class of nanomaterials exhibits the two dimensions in the nanoscale range. Examples are nanotubes, nanofibers, and nanowires, which are heavily attractive for usage in thin film-based devices [57–59]. One of the most studied 1D nanomaterials is the carbon nanotubes (CNTs), which are the elongation of the fullerenes in one of the dimensions with a cylindrical shape, consisting of the micron to the millimeter of length and nanometer range of diameter. CNTs are of two types, one is single-walled CNTs (SWCNTs), while another is multi-walled CNTs (MWCNTs), which exhibit an interlayer distance similar to that of graphite [60]. Based on the chirality and diameter of the nanotubes the desired range of physical properties can be achieved. For example, the bandgap of the CNTs is highly sensitive to the diameter, where the larger the bandgap results from the smaller diameter and vice versa [61]. CNTs possess exceptional mechanical properties among all carbon materials, whereas Young's modulus of CNTs is approximately five times higher than that of steel [62, 63]. Apart from carbon-based 1D nanomaterials, metal chalcogenides and boron nitride-based 1D nanomaterials are also reported significantly for their physical properties and variety of applications with the size and length of the nanoribbon and nanotubes [64].

2D nanomaterials: With the plane-like structure in two dimensions, 2D nanomaterials have one dimension in the nanometer range, where electrons are confined to move. This class of materials includes graphene and graphene oxides (Chap. 3), transition metal dichalcogenides and oxides, boron nitride and pnictides, group-IV chalcogenides, group-IV-based elemental 2D nanomaterials, and MXenes. The 2D materials revealed the prospective applications in the electronic, optoelectronic and sensing devices, energy and environmental sector as well as in biomedicine, attributed to their exceptional physical properties such as high mechanical strength, electronic and optical tunability and flexibility in the structure [65–67].

1.4 Synthesis of Nanomaterials

Over the decades, nanostructured materials have captivated a significant research interest due to their size and shape depending on physical, chemical, electronic, and magnetic properties. The performance of nanomaterials depends on their properties, which mainly depend on the structure, composition, defects, and interfaces, and are directed by synthesis root. Therefore, several synthesis techniques have been developed to construct different types of nanomaterials with controlled size, shape, structure, and dimension. Such available synthesis techniques are used to fabricate the various form of nanomaterials like nanocolloids, powders, clusters, rods, tubes, and so on. The synthesis methods of nanomaterials (nanoparticles) are categorized into two parts: (i) top-down, and (ii) bottom-up approaches. The first approach is a breakdown method in which a solid material is converted into nano-sized particles in the presence of external force. In the second approach, the nanoparticles build by assembling atoms and molecules from atomic to the nanoscale, also called as build-up method. Figure 1.5 classified the top-down and bottom-up techniques.

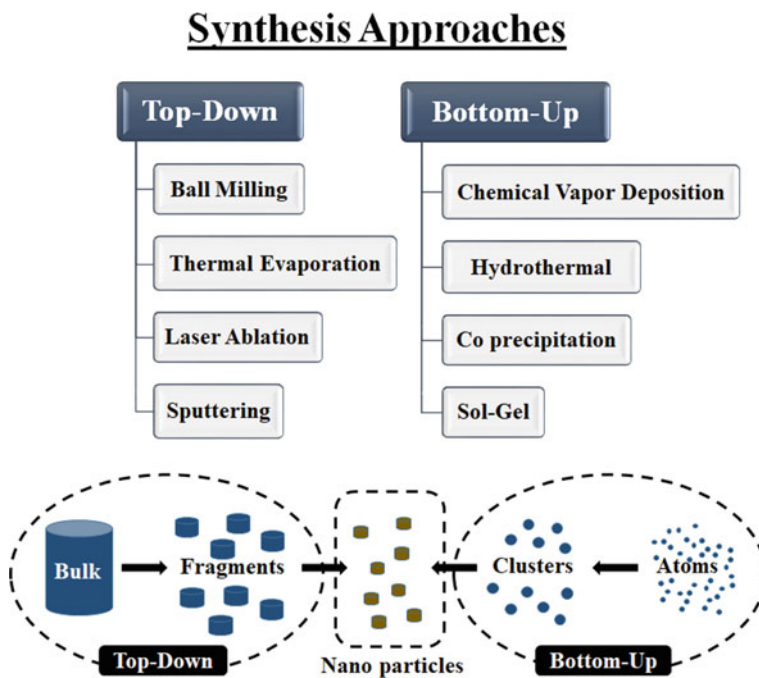


Fig. 1.5 Nanomaterials synthesis techniques: top-down and bottom-up approaches

1.4.1 Top-Down Approach

The top-down approach is easy to use for the transformation of bulk materials into nano-sized structures/particles via wet and dry grinding techniques. Presently, at the industry level, the top-down approach is dominant for the fabrication of man-made nanomaterials. For example, in the semiconductor industry, the photolithography procedure has been used to imprint the metal oxide semiconductor field-effect transistor (MOSFET) onto a silica wafer [68, 69]. In this approach, several operations have been performed on large-scale materials to convert them into nanoscale particles. However, these approaches required a large installation area for setup which makes them quite expensive. Further, the slow growth rate makes them inappropriate for the production of large-scale amounts. Top-down approaches are also not suitable methods for soft materials because the mechanical parts used in these devices to grind the materials are rigid and hard. The major downside of these techniques is the irregularity in the shape and particle size of constructed nanomaterials, e.g., the fabricated nanowires using the lithography technique contain lots of structural defects and impurities. Some of the well-known top-down approaches are ball milling, thermal evaporation, laser ablation, and sputtering techniques.

Ball milling. Ball milling is a well-known top-down grinding approach for the fabrication of nanoparticles in powder form. It is one of the easiest and most productive mechanical processes used to create a variety of nanoparticles and metal alloys by transferring the kinetic energy of the grinding medium into the sample material. Ball milling works on the principle of impact and attrition (i.e., friction). As demonstrated in Fig. 1.6, the ball mill consists of a hollow cylindrical shell rotating around its axis horizontally or at a small angle with a horizontal line. Based on the rotation of the ball mill, the ball milling process is divided into various types: planetary, attrition, horizontal, rotatory, and vibratory mill. The grinding medium partially filled inside the ball mill is the hard sphere balls made from steel (chrome and stainless), rubber, flint pebbles, or ceramic materials. The sample material is also placed inside the ball mill along with the grinding medium. As the ball mill rotates, the grinding balls lift near the top of the mill and then drop down to the ground, resulting in the reduction in the size of the target materials by impact. The impact between the grinding balls, mill wall, and target materials creates a fine powder of sample material. The ball milling process is also used for several purposes such as mixing two or more materials, compression of particle size, change in particle structure, agglomeration, modifying the material characteristics, and so on [70]. Some examples of synthesized nanomaterials using different ball milling processes are ZnO nanoparticles (10–30 nm) using high-energy ball milling [71], GR oxide nanoparticles (40 nm) [72], CuO nanoparticles (13.8 nm) [73], and graphite oxide nanoparticles using planetary ball milling process [74].

The ball milling technique possesses several advantages and benefits. Such as, it is a low expensive process, suitable for continuous operation, nanoparticles with the size of 2–20 nm can be produced, simple in serving, reliable and safe, long lifetime, helpful for thickness reduction of various materials, and high capacity, for open and

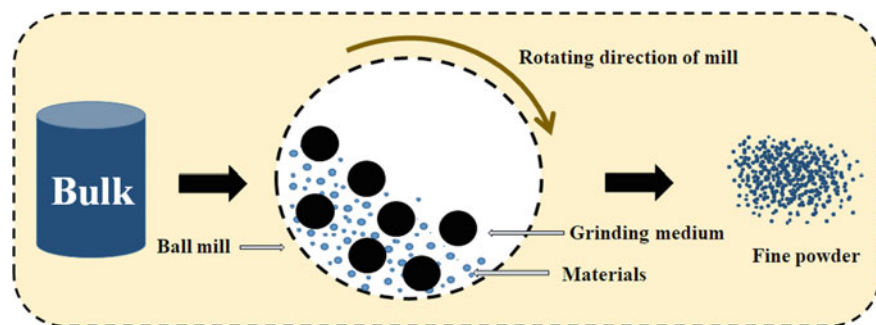


Fig. 1.6 Schematic diagram of ball milling top-down approach

close circuit grinding, to synthesize few milligrams to kilogram nanoparticles within a short time interval of few minutes to few hours [28, 76–78]. The ball milling technique also possesses several drawbacks like low surface, irregular shape nanoparticles, formation of disordered crystal structure, and grinding needs a bunch of energy, noise, and heavyweight [28, 75, 76].

Thermal evaporation. Around the 1850s, Faraday [16] construct an endothermic process known as thermal evaporation in which heat is used for the chemical breakdown of a molecule [79]. Thermal evaporation is also a popular top-down approach for the production of various nanomaterials such as inorganic nanoparticles [80], thin films [81], etc. Figure 1.7 demonstrates the schematic representation of the thermal evaporation technique. As shown in Fig. 1.7, the metal crucible containing the target material is placed inside a high vacuum chamber having pressure below 10^{-4} Torr. In this process, the atoms/clusters/molecules are gets ejected from the target material in vapor form by heating or passing the electrical current from the metal crucible. The generated vapor flux condensed on the surface of the substrate. In electron beam evaporation, when an electron beam is bombarded on the target material it generates the vapor flux. While in the case of thermal evaporation, the joule effect with a suitable temperature has been used to heat the target material. By contacting the target materials with hot surface evaporation can be achieved, known as resistive heating, and used for materials that get vaporized below $1500\text{ }^{\circ}\text{C}$ temperature. Carbon, tungsten, boron nitride and TiB_2 composite ceramics, and molybdenum are well-known resistive heating elements [82]. Some examples of synthesized nanomaterials by thermal evaporation technique are MoS_2 nanowafers (0.7 nm thick) [83], Zn_2GeO_4 nanocrystals (10 nm thick) [84], thin films of SnS (720 nm thick) [85], Ga_2O_3 (350 nm thick) [86].

In comparison with other techniques, the thermal evaporation process acquires numerous advantages. Some of the major advantages are high deposition rate, low-cost apparatus/cost-effective, no need for solvent, suitable for low melting point materials, monitoring and controlling of deposition rate, vapors, and thickness of the film are easy, ultrathin layer materials can be deposited [87, 88]. The thermal evaporation technique also exhibits a few disadvantages. It is incompatible with

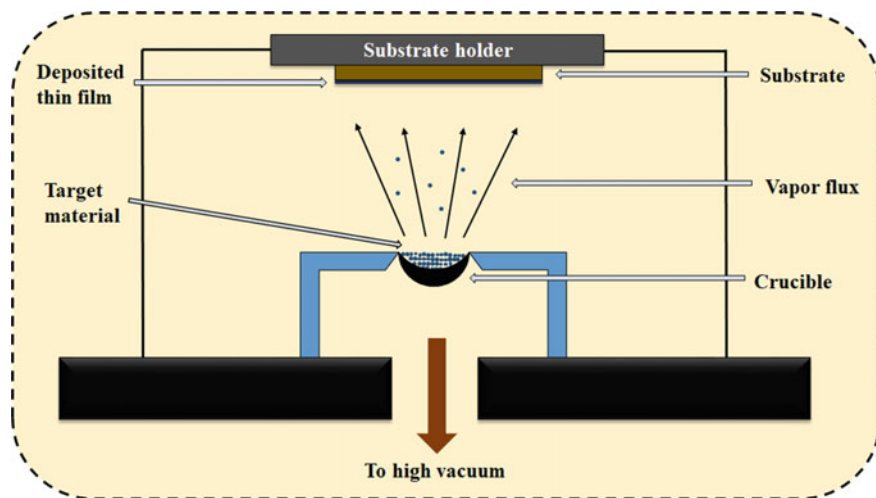


Fig. 1.7 Schematic diagram of thermal evaporation top-down approach

multicomponent thin film production, limited processing variables to control film properties, poor source material, and deposition of various alloys together is also difficult.

Laser ablation (vaporization). Laser ablation is a top-down vaporization method used to fabricate multi-component nanocrystals using high-intensity laser pulses. Laser means high power beam of electromagnetic radiation amplified by stimulated emission which has various applications in the field of medicine, industry, printing, and military [89]. Laser ablation is one of the easiest techniques presented for the synthesis of nanoparticles from multiple solvents by controlling the evaporation process in a short period of time [79]. In this process, a pulsed laser beam has been focused and bombarded on the target interest to vaporize the atoms and molecules from the surface of the target material and fabricates nanostructures as illustrated in Fig. 1.8. When a focused laser beam is targeted on the surface material, it produces vapor/plasma/liquefied metal, which interacts with the liquid medium and generates specific nanoparticles [90]. The structure and properties of produced nanoparticles can be tuned by laser and liquid medium (water, ethanol, etc.) [91]. The ablation process only takes place when a target material starts evaporating or melting through absorbing a sufficient amount of energy. It means ablation is a process associated with both evaporation and melting [92].

Usually, the synthesis rate of nanoparticles depends on the energy of the laser pulse. Laser is classified into two types (Infrared and UV/excimer laser) according to their wavelengths, in which excimer is a pulsed gas laser used to generate UV light with power efficiency in the range of 0.2–2% [92]. Laser ablation has applications in numerous fields of ceramics, polymer, and glass industries as well as in cutting,

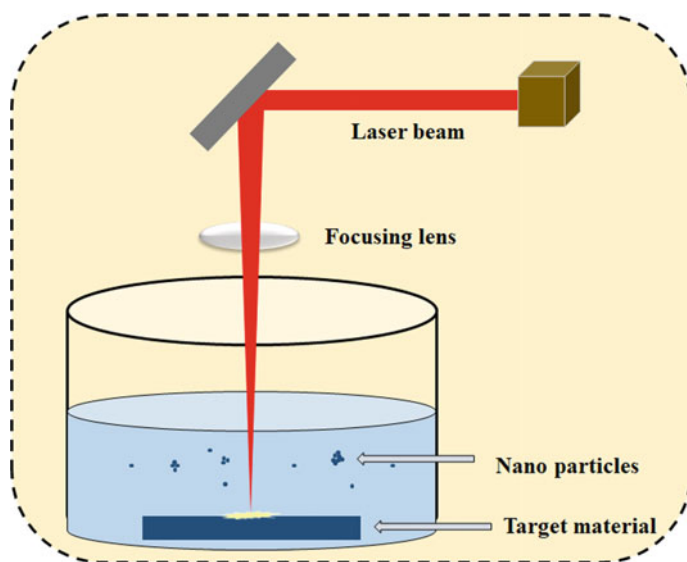


Fig. 1.8 Schematic diagram of laser ablation top-down approach

drilling, and milling through a laser beam. Some examples of synthesized nanoparticles by laser ablation process are carbon nanomaterials [93], ZnO [89, 90]-, SnO [91]-, TiO₂ [90, 94]-, CdO [95]-, and Ag [96]-based nanoparticles.

Some advantages of the laser ablation technique are the ability to produce novel and multicomponent nanoparticles, and energy loss is low during the generation of nanoparticles. There are a few disadvantages of the laser ablation process such as, it needs a large amount of energy to obtain high ablation efficiency, and the increase in the ablation time reduces the effectiveness of the process [97].

Sputtering. Sputtering is a non-thermal vaporization technique, widely used for surface coating, etching, and deposition of thin layers [98, 99]. Sputtering works under low pressure (<0.67 Pa) [100], is controlled by using a vacuum pump, and contains various components like an isolated chamber, sputtering gas source, etc. The high-energy inert gas ions are used to deposit the nanomaterials on the surface of the substrate ejected from the target material as depicted in Fig. 1.9. The interaction mechanism between the ions and target material mainly depends on the ratio of ions–target atomic mass and the energy of incident ions. When the ions get to interact with the surface of the target material, various phenomenon takes place such as generating photons, sputtering out the atoms and molecules from the surface of the target material, secondary electrons, and the creation of vacancy and defects in the target material. Therefore, it is a very effective method for spintronic applications to deposit multilayer films [28]. Depending on their source materials, the sputtering technique is divided into the following types: (i) direct current (DC) sputtering, (ii) reactive sputtering, (iii) radio frequency (RF) sputtering, and (iv) magnetron sputtering. The efficiency of the sputtering technique can be improved by increasing