

# GENOTYPING BY SEQUENCING FOR CROP IMPROVEMENT

EDITED BY

HUMIRA SONAH | VINOD GOYAL | S. M. SHIVARAJ |  
RUPESH K. DESHMUKH



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**Genotyping by Sequencing  
for Crop Improvement**



# **Genotyping by Sequencing for Crop Improvement**

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*Dedicated to the two most eminent agricultural scientists of Canada whose work in plant genomics and breeding helped in food security and inspired many young scientists worldwide.*



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## Preface

Recent advances in sequencing technology and computational resources have accelerated genomics and translational research in crop science. The technological advances have provided many opportunities in genomics-assisted plant breeding to address issues related to food security. Among the several applications, genotyping-by-sequencing (GBS) technology has evolved as one of the frontier areas facilitating high-throughput plant genotyping. The GBS approaches have proved effective for the utilization in genotyping-based applications like quantitative trait loci (QTL) mapping, genome-wide association study (GWAS), genomic selection (GS), and marker-assisted breeding (MAB). Considering the current affairs in plant breeding, we decided to compile the advances in GBS methods, statistical approaches to analyze the GBS data, and its applications including QTL mapping, GWAS, and GS in crop improvement.

Presently, the food produced around the world is adequate for the existing population. However, the constantly increasing population mounting pressure on a food production system. Hence efficient utilization of technological advances and existing knowledge is essential to enhance food production to match the growing food demand. In this direction, most of the countries around the globe have adopted advanced genomic methodologies to breed superior plant genotypes. Among such technological advances, the high-throughput genotyping using GBS has shown promising results in different crop plants. The GBS has predominantly been used for germplasm evaluation, evolutionary studies, development of dense linkage map, QTL mapping, GWAS, GS, and MAB. The cost-effectiveness and whole-genome coverage make GBS more reliable than other next-generation sequencing (NGS) techniques.

This book describes advanced molecular markers, high-throughput genotyping platforms, whole-genome resequencing (WGR), QTL mapping using advanced mapping populations, analytical pipelines for the GBS analysis, advances in GWAS, advances in GS, application of GBS, GWAS, and GS in different crop plants. The different marker types including traditional and advanced markers used in plant genotyping have been presented in great detail. DNA extraction directly from seeds without germination can save time and effort. Several modified and crop-specific nondestructive seed DNA extraction protocols have been compiled and presented. Many advanced genotyping platforms are now available which cater to specific research purposes because of the differences in terms of reaction chemistry involved, cost, method of signal detection, and flexibility in the protocols. Such advanced platforms along with their principles have been discussed. The WGR

methodology and available resources have been covered in detail. The WGR has emerged as a powerful method to identify genetic variation among individuals. The recent advancement in WGR includes pool-Seq which provides an alternative to individual sequencing and a cost-effective method for GWAS. Compared to biparental populations the multiparental population provides an opportunity to interrogate multiple alleles and to provide an increased level of recombination and mapping resolution of QTLs. The use of such improved populations in the era of high-throughput genotyping has been presented in one of the chapters. The dedicated section focused on the basic principle of GWAS, the efficiency of different markers, candidate gene identification, meta-GWAS, and statistical methods involved in GWAS analysis has been included. For genetic mapping, and marker-assisted selection, rapid and quality DNA isolation is mandatory to accelerate the whole process. A focused section about GS has been included which gives an account of the basic concept, advances, applicability, and challenges of GS. Similarly, a separate chapter is included which discusses the analytical pipelines used for GBS data. Application of technologies such as GBS, GWAS, and GS in different crop categories like cereals, pulses, oilseeds, and commercial crops has been discussed in different chapters.

Here, we have tried to compile basic aspects and recent advances in GBS, GWAS, and GS in plant breeding. We believe that the book will be helpful to researchers and scientists to understand and plan future experiments. This book will enable plant scientists to explore GBS application more efficiently for basic research as well as applied aspects in various crops improvement projects.

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# 1

## Molecular Marker Techniques and Recent Advancements

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### 1.1 Introduction

Plant selection and systematic breeding efforts led to the development of present-day improved cultivars of crop plants. From a historical perspective, increased crop yield is the result of genetic improvement (Fehr 1984). Markers play an important role in the selection of traits of interest. Markers can be morphological, biochemical, or molecular in nature. Morphological markers are visual phenotypic characters such as growth habit of the plant, seed shape, seed color, flower color etc. Biochemical markers are the isozyme-based markers characterized by variation in molecular form of enzyme showing a difference in mobility on an electrophoresis gel. Very few morphological and biochemical markers are available in plants, and they are influenced by developmental stage and environmental factors. Since a large number of economically important traits are quantitative in nature, which are affected by both genetic and environmental factors, the morphological and biochemical markers-based selection of traits may not be much reliable. The subsequent discovery of abundantly available DNA-based markers made possible the selection of almost any trait of interest. DNA-based markers are not affected by the environment. Besides, these markers are highly reproducible across labs and show high polymorphism to distinguish between two genetically different individuals or species.

In the last four decades, DNA-based molecular marker technology has witnessed several advances from low throughput hybridization-based markers to high-throughput sequencing-based markers. These advances have been possible due to critical discoveries such as polymerase chain reaction (PCR) (Mullis et al. 1986), Sanger sequencing method (Sanger et al. 1977), automation of Sanger sequencing (Shendure et al. 2011), next-generation sequencing (NGS) technologies (Mardis 2008), and development of bioinformatics tools. This chapter will briefly discuss different types of molecular markers while particularly focusing on recent developments in molecular marker technologies. These

developments have expedited the mapping and cloning of several loci governing important traits, precise trait selection, and transfer into elite germplasm.

## 1.2 What is a Molecular Marker?

DNA or molecular marker is a fragment of the DNA that is associated with a particular trait in an individual. These molecular markers aid in determining the location of genes that control key traits.

Generally, molecular markers do not represent the gene of interest but act as “flags” or “signs.” Similar to genes, all the molecular markers occupy a specific position within the chromosomes. Molecular markers located close to genes (i.e. tightly linked) are referred to as “gene tags.”

DNA-based molecular markers are the most widely used markers predominantly due to their abundance. They arise from different classes of DNA mutations such as substitution mutations (point mutations), rearrangements (insertions or deletions), or errors in replication of tandemly repeated DNA. These markers are selectively neutral because they are usually located in noncoding regions of DNA. Unlike morphological and biochemical markers, DNA markers are practically unlimited in number and are not affected by environmental factors and/or the developmental stage of the plant.

DNA markers show genetic differences that can be visualized by using a gel electrophoresis technique and staining ethidium bromide or hybridization with radioactive or colorimetric probes. Markers that can identify the difference between two individuals are referred to as polymorphic markers, whereas those that do not distinguish the individuals are called monomorphic markers. Based on how polymorphic markers can discriminate between individuals, they are described as codominant or dominant. Codominant markers indicate differences in size whereas dominant markers reveal differences based on their presence or absence. The different forms of a DNA marker in the form of band size on gels are known as marker “alleles.” Dominant marker has only two alleles whereas codominant markers may have many alleles.

## 1.3 Classes of Molecular Markers

Based on the method of their detection, DNA markers are broadly classified into three groups: (i) hybridization-based, (ii) PCR-based, and (iii) DNA sequence-based molecular markers. Molecular markers have been discussed earlier in several reviews (Collard et al. 2005; Semagn et al. 2006; Gupta and Rustgi 2004) and book chapters (Mir et al. 2013; Singh and Singh 2015), which readers can also consult for more details. However, a brief description of each of these markers has been presented below.

### 1.3.1 Hybridization-based Markers

#### 1.3.1.1 Restriction Fragment Length Polymorphism (RFLP)

These are the first molecular markers used by Grodzicker et al. (1975) in adenovirus and Botstein et al. (1980) in human genome mapping. These were first used in plants by Helentjaris et al. (1986). In this type of marker, polymorphism is detected by cutting DNA



into fragments by the use of restriction enzymes followed by hybridization of radioactively labeled DNA probes which are single or low copy DNA fragments and visualized by autoradiography. DNA probes could be genomic clones, cDNA clones, or even cloned genes. The RFLP markers show co-dominance and are highly reliable in linkage analysis and breeding (Semagn et al. 2006). However, this technique requires a large quantity of DNA, labor-intensive, relatively expensive, and hazardous. RFLP shows polymorphism in two different species if they differ due to point mutations, insertion/deletion, inversion, translocation, and duplication.

#### 1.3.1.2 Diversity Array Technology (DArT™)

This is a high-throughput DNA polymorphism analysis method which combines microarray and restriction-based PCR methods. It is similar to AFLP where hybridization is used for the detection of polymorphism. It can able to provide a comprehensive genome coverage even in those organisms not having genome sequence information (Jaccoud et al. 2001). Diversity array technology (DArT) is a solid-state open platform method for analyzing DNA polymorphism. DArT procedure includes (i) Generating a diversity panel and (ii) Genotyping using a diversity panel. The diversity panel is generated using a set of lines representing the breadth of variability in germplasm (~10 lines). An equal quantity of DNA from each representative line is pooled followed by restriction with two to three restriction endonucleases (REs) and ligation of RE-specific adaptors. Later DNA fragments are amplified using adaptor complementary primers. The representation fragments are ligated to vector and transformed into *Escherichia coli* cells. The transformed cells with recombinant DNA are selected and amplified using M13 forward and reverse primer. The amplified DNA is isolated and purified. The purified DNA is coated onto polylysine-coated glass slides to generate a diversity array.

For genotyping, the representation fragments of the target genotypes are prepared in the same as in the diversity panel. The DNA fragments are column purified and fluorescently labeled with two different dyes (Cy3 or Cy5). The labeled DNA fragments are used for hybridization onto the diversity array. Two representative panels – one labeled with Cy3 and another with Cy5 – can be hybridized simultaneously and hybridization signal intensities are measured for each spot. DArT, thus detects DNA polymorphism at several hundred genomic loci in a single array without relying on sequence information.

### 1.3.2 Polymerase Chain Reaction (PCR)-based Markers

#### 1.3.2.1 Simple-Sequence Repeats (SSRs)

Simple-sequence repeats (SSRs) (Litt and Luty 1989) are also known as microsatellites or short tandem repeats (STRs) or simple sequence length polymorphism (SSLP). These are widely used markers and are also referred to as the mother of all the markers. These are STRs, generally of one to eight nucleotide length. These are found dispersed throughout the genome and are hypervariable. These repeat regions are flanked with unique sequences that are highly conserved. The flanking unique sequences are used to design complementary primers which can be assayed with PCR. SSRs are highly polymorphic and codominant markers. These show polymorphism as a result of the variable number of repeat units. Before the era of genome sequencing, it was difficult to develop SSRs due to the extensive cost and labor involved in the identification of repeat regions and flanking unique

sequences. However, with the availability of genome sequences of several organisms, the development of SSR has become very easy which involves *in silico* identification of STRs, designing of SSR from flanking unique sequences, and validation through experimentation. SSR markers have shown immense application in population genetic analysis, gene mapping, and cloning due to their abundance in the genome and high polymorphism, and very high reproducibility across labs. SSR-based linkage maps have been developed in several important crop plants such as rice (Temnykh et al. 2000; McCouch et al. 2002; Orjuela et al. 2010), wheat (Roder et al. 1998), maize (Sharopova et al. 2002), potato (Milbourne et al. 1998), etc.

### 1.3.2.2 Sequence-Tagged Sites (STSs)

Sequence-tagged sites (STSs) were first developed for physical mapping of the human genome by Olsen et al. (1989). STS is the short unique sequences developed from polymorphic RFLP probe or AFLP fragment which is linked to desirable traits. The RFLP probes or AFLP fragments showing polymorphism are end-sequenced and primers are designed to specifically amplify these fragments. STS markers are co-dominant and highly reproducible. For example, STS markers have been developed for RFLP markers linked with bacterial blight resistance genes *xa5*, *xa13*, and *Xa21* (Huang et al. 1997). One major limitation of these types of markers is the reduced polymorphism than the corresponding RFLP probe.

### 1.3.2.3 Randomly Amplified Polymorphic DNAs (RAPDs)

Williams et al. (1990) first developed these markers to amplify DNA without prior sequence information. In this type of marker, the arbitrary decamer sequences are used as primers at low annealing temperatures for DNA amplification. These markers are referred to as dominant markers because the polymorphism is determined based on the presence or absence of a particular amplified fragment. Polymorphism may also be due to varying brightness of bands at a particular locus due to copy number differences. These markers have been used for constructing linkage maps in several species (Hunt 1997; Laucou et al. 1998) and also for tagging genes of economic importance. However, due to the dominant nature, these may not be appropriate for genetic mapping and marker-assisted selection (MAS). One major limitation of these markers is the lack of repeatability in certain cases. Variations of RAPD include AP-PCR (arbitrarily primed PCR) and DAF (DNA amplification fingerprinting (Table 1.1).

**Table 1.1** Details of the other important molecular markers.

Marker	Description
Variable number tandem repeat (VNTR) or minisatellites	A short DNA sequence (10–100bp) is present as tandem repeats and is a highly variable copy number
DNA amplification fingerprinting (DAF)	A variation of RAPD, where 4–5 bp single and arbitrary primer is used to detect polymorphism
Arbitrarily-primed PCR (AP-PCR)	A variation of RAPD, where 18–32bp long single and arbitrary primer is used to detect polymorphism

**Table 1.1** (Continued)

Marker	Description
Inter-simple sequence repeat (ISSR)	Primers are designed based on the repeat region of microsatellites. These primers are used to amplify the region between two microsatellites. The stretches of unique DNA in between or flanking the SSRs are amplified. A single SSR-based primer is used to prime PCR
Selective amplification of microsatellite polymorphic loci (SAMPL)	A modification of ISSR, where SSR-based primer is used along with AFLP primer. The template is identical to the AFLP template and the rare cutter primer is replaced by SSR-based primer
Cleaved amplified polymorphic sequences (CAPS)	These markers are also called PCR-RFLP, where amplified PCR product is digested with endonucleases to reveal polymorphism. These are used when PCR product does not show polymorphism and restriction enzyme site present in amplified PCR product may detect polymorphism
Derived cleaved amplified polymorphic sequences (dCAPS)	A variation of CAPS, where a primer containing one or more mismatches to template DNA is used to create a restriction enzyme recognition site in one allele but not in another due to the presence of SNP. Thus, obtained PCR product is subjected to restriction enzyme digestion to find the presence or absence of the SNP
Single-strand conformational polymorphism (SSCP)	DNA fragments of size ranging from 200 to 800 bp were amplified by PCR using specific primers (20–25 bp), followed by gel-electrophoresis of single-strand DNA to detect nucleotide sequence variation. The method is based on a principle that the secondary structure of single-strand DNA molecule changes significantly if it harbors mutation. This method detects nucleotide variation without sequencing a DNA sample
Denaturing/temperature gradient gel electrophoresis (DGGE, TGGE)	These methods reveal polymorphism due to differential movement of the same genomic double-stranded region with different base-pair composition. As an example, the AT-rich region would have a lower melting temperature than the GC-rich region
Target region amplification polymorphism (TRAP)	This method employs primers designed from the EST database for detecting polymorphism around a selected candidate gene. This includes two primers of 18 bp, one of which is designed from targeted EST and the other is an arbitrary primer

#### 1.3.2.4 Sequence Characterized Amplified Regions (SCARs)

These markers overcome the limitation of RAPDs. In this case, the RAPD fragments that are linked to a gene of interest are cloned and sequenced. Based on the terminal sequences, longer primers (20 mer) are designed. These SCAR primers more specifically amplify a particular locus. These are similar to STS markers in design and application. The presence or absence of the band indicates variation in sequences. The SCAR markers thus are dominant in nature. These, however, can be converted to codominant markers in certain cases by digesting the amplified fragment with tetranucleotide recognizing restriction enzymes. There are several examples where the RAPD markers linked to the gene of importance have been converted to SCAR markers (Joshi et al. 1999; Liu et al. 1999; Kasai et al. 2000; Akkurt et al. 2007; Chao et al. 2018).

#### 1.3.2.5 Amplified Fragment Length Polymorphism (AFLP)

This marker technique was developed by Vos et al. (1995) and is patented by Keygene ([www.keygene.com](http://www.keygene.com)). In this technique, DNA is cut into fragments by a combination of restriction enzymes which are frequent (four bases) and rare (six bases) cutters that generate restriction overhangs on both sides of fragments. This is followed by the annealing of double-stranded oligonucleotide adapters of a few oligonucleotide bases with respective restriction overhangs. The oligonucleotide adapters are designed in such a way that the original restriction sites are not reinstated and also provide the PCR amplification sites. The fragments are PCR amplified and visualized on agarose gel. This method produces many restriction fragments enabling the polymorphism detection. The number of amplified DNA fragments can be controlled by selecting different number or composition of bases in the adapters. The stringent reaction conditions used for primer annealing make this technique more reliable. This method is a combination of both RFLP and PCR techniques and is extremely useful in the detection of polymorphism between closely related genotypes. Like RAPD, AFLP is a dominant marker and is not preferred for genetic mapping studies and MAS. AFLP maps have been constructed in several species and integrated into already existing RFLP maps e.g. tomato (Haanstra et al. 1999), rice (Cho et al. 1997), and wheat (Lotti et al. 2000).

#### 1.3.2.6 Expressed Sequence Tags (ESTs)

These markers are developed by end sequencing (generally 200–300 bp) of random cDNA clones. The sequence thus obtained is referred to as expressed sequence tags (ESTs). A large number of ESTs have been synthesized in several crop plants and are available in the EST database at NCBI (<https://www.ncbi.nlm.nih.gov/dbEST/>). These markers were originally developed to identify gene transcripts and have played important role in the identification of several genes and the development of markers such as RFLP, SSR, SNPs, CAPS, etc. (Semagn et al. 2006). However, EST-based SSRs show less polymorphism as compared to genomic DNA-based SSRs. Since EST markers are from expressed sequence regions, these are highly conserved among the species and can be used for synteny mapping. Most of these could also be functional genes. A large number of EST markers have been used in rice for developing a high-density linkage map (Harushima et al. 1998) and for chromosome bin mapping in wheat using deletion stocks (Qi et al. 2003). In addition to these, several other molecular marker variants have been developed. The description of those markers is presented in Table 1.1.

## 1.4 Sequencing-based Markers

### 1.4.1 Single-Nucleotide Polymorphisms (SNPs)

Single-nucleotide polymorphisms (SNPs) are more abundant resulted from single-base pair variations. These are evenly distributed in a whole genome that can tag almost any gene or locus of a genome (Brookes 1999). However, the distribution of SNPs varies among species with 1 SNP per 60–120bp in maize (Ching et al. 2002) and 1 SNP per 1000 bp in humans (Sachidanandam et al. 2001). SNPs are more prevalent in the noncoding region. In the coding region, SNPs could be synonymous or nonsynonymous. In synonymous SNPs, there is no change in the amino acid resulting in no phenotypic differences. However, phenotypic differences could be produced due to modified mRNA splicing (Richard and Beckman 1995). In nonsynonymous SNPs, change in amino acid results in phenotypic differences. SNPs are mostly bi-allelic and cause polymorphism due to nucleotide base substitution. The two types of nucleotide base substitutions result in SNPs. A transition substitution occurs between purines (A, G) or between pyrimidines (C, T). This type of substitution constitutes two-thirds of all SNPs. A transversion substitution occurs between a purine and pyrimidine. SNPs can be detected by the alignment of the similar genomic region of two different species. The SNPs have only two alleles compared to typical multiallele SSLP; however, this disadvantage can be compensated by using the high density of SNPs.

### 1.4.2 Identification of SNP in a Pregenomic Era

Initially, identification of SNP markers was laborious and expensive and involved allele-specific sequencing (Ganal et al. 2009). This includes sequencing of unigene-derived amplicons using Sanger's method from two or more than two lines. In an experiment, about 350 bp of the RFLP clone, A-519 was end sequenced in soybean and the flanking amplification primers were designed (Coryell et al. 1999). Primers were used to screen for allele diversity using PCR from ten genotypes and the amplicons were sequenced followed by sequence comparison to identify SNP. SNPs were also identified through mining a large number of EST sequences in EST databases, which are generated through improved sequencing technologies (Soleimani et al. 2003). These SNPs are further validated using PCR (Batley et al. 2003). These approaches allowed the identification of mainly gene-based SNPs, but their frequency is generally low. Additionally, SNPs located in low-copy noncoding regions and intergenic spaces could not be identified.

Several assays have been developed for genotyping based on identified SNPs which include, allele-specific hybridization, primer extension, oligonucleotide ligation, and invasive cleavage (Sobrino et al. 2005). Besides, DNA chips, allele-specific PCR, and primer extension were also attractive options since these are suitable for automation and can be used for the development of dense genetic maps. Allele-specific hybridization was used for the identification of polymorphism in 570 genotypes of soybean (Coryell et al. 1999).

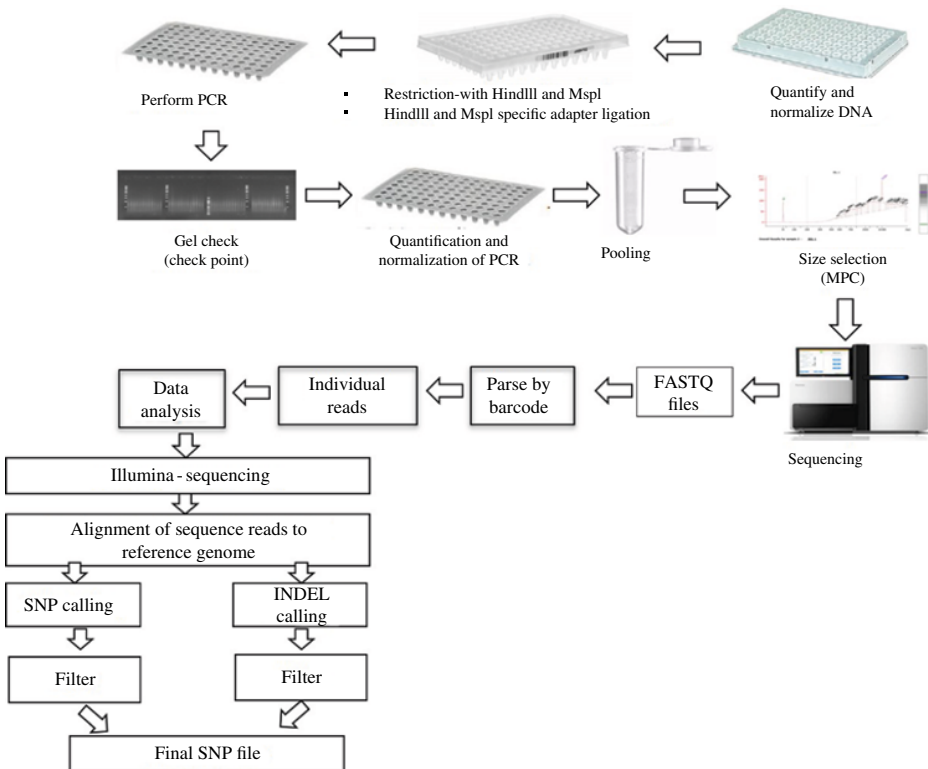
## 1.5 Recent Advances in Molecular Marker Technologies

The improvement of Sanger sequencing technology in the 1990s combined with the beginning of EST and genome sequencing projects in model plants led to the spurt in the identification of variation at the single-base resolution (Wang et al. 1998). From 2005 onward, the

emergence of NGS platforms such as Roche 454, Illumina HiSeq2500, ABI 5500xl SOLiD, Ion Torrent, PacBio RS, Oxford Nanopore, and advances in bioinformatics tools simplified the process of identification of genome-wide SNPs and changed the face of molecular marker technology. NGS-based genotyping platforms such as genotyping-by-sequencing (GBS), whole-genome resequencing (WGR), and high-density SNP arrays helped to type thousands of SNPs in a single reaction in hundreds of individuals.

### 1.5.1 Genotyping-by-Sequencing (GBS)

GBS is an NGS-based reduced representation sequencing technique for the identification of genome-wide SNPs and genotyping large populations (Bhatia et al. 2013). GBS is a one-step approach for the identification and utilization of markers in a single reaction. It is a complexity reduction procedure where a combination of restriction enzymes is used to separate low copy sequences from high copy repetitive regions. In general, GBS involves the sequencing of fragments generated through restriction digestion of the genome on the NGS platform. In this process, the DNA of the population is digested with RE followed by ligation of RE-specific adaptors containing genotype-specific barcode sequences and sites for binding PCR and sequencing primers (Figure 1.1). The fragments thus generated can be PCR amplified and an equal volume of PCR product from different individuals are pooled in a tube. The fragments in the pool can be selected based on their size and sequenced on



**Figure 1.1** An example of GBS and GBS data analysis workflow for identification of SNP markers.