

Emergence, Complexity and Computation ECC

Andrew Adamatzky *Editor*

Automata and Complexity

Essays Presented to Eric Goles on the
Occasion of His 70th Birthday

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Andrew Adamatzky
Editor

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Preface

Eric Goles is one of the world leaders in the field of automata and complexity. His made groundbreaking discovering theory and analysis of complex systems, particularly in the field of discrete systems dynamics such as neural networks, automata networks, majority networks, bootstrap percolation models, cellular automata, computational complexity theory, discrete mathematics and theoretical computer science. This book commemorates Eric Goles's achievements in science and engineering. The chapters are authored by world leaders in computer science, physics, mathematics and engineering.

The book will be a pleasure to explore for readers from all walks of life, from undergraduate students to university professors, from mathematicians, computers scientists and engineers to chemists and biologists.

Bristol, UK
July 2021

Andrew Adamatzky

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Eric Goles



Andrew Adamatzky

Eric Goles, son of a theatre actress and a musician, was born in Antofagasta, in northern Chile, between the Pacific Ocean and the desert of Atacama. In 1970, he joined the University of Chile and graduated with a degree in mathematical engineering in 1975. He later went to the University of Grenoble, France, to carry out doctoral studies which culminated in 1980 with his thesis “Comportement oscillatoire d’une famille d’automates cellulaires non uniformes” where he proved the nowadays famous theorem that symmetric threshold automaton oscillates only with period one (fixed points) or two [12, 30, 57, 58, 62]. A nice review of this theorem can be found in [16]. In 1982, Eric joined the prestigious CNRS (French National Centre for Scientific Research) and moved to France. First to the Institute of Applied Mathematics, IMAG, at the University of Grenoble and later to the Laboratory on Network dynamics and Epistemology at the Polytechnic Institute in Paris. During this period, he carried out a state thesis in Mathematics, also in the field of automata networks [31]. After moving back to Chile to join the Engineering School of the Universidad de Chile, where he worked as a Professor till 2006. He then moved to the Faculty of Engineering and Sciences at the University Adolfo Ibáñez where he is still working. In 2004 he also founded the Institute of Complex Systems at Valparaiso, the first-ever Chilean research establishment devoted to complex systems. In 1993 he was honoured with the main scientific award of his country, the National Science Prize. He has written more than two hundred articles and ten books. Further, he has trained a huge number of young scientists both in Chile and abroad. Eric excels in numerous fields like theoretical computer science, discrete mathematics, neural and Boolean networks, cellular automata and mathematical modelling in physics,

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biology, and social sciences. Here we provide just a few examples of his and his colleagues' outstanding research results.

Sand Piles and Chip Firing Game

The sand piles model, closely related to Spencer's chip firing game [75], was introduced as a tool to study self-organised criticality [2]. Eric analysed this model from complexity and computational universality points of view [38, 55, 56]. Results of the analysis were impressive. In 1992, Eric determines the lattice structure of the sand pile automata [33] and in Goles and Kiwi provided bounds for the transient time length of the sand pile modules, characterised the fixed points to which they converge and gave closed formulas for the sequential transient time [36, 37]. In the same year Bitar and Goles determined the two-cycle behaviour of the parallel chip firing game on trees and demonstrated that the chip firing game belongs to Wolfram class 4 automata [3]. In series of influential papers Eric and colleagues demonstrated that sand pile and chip firing game are universal computers. That is by representing logical truth by presence of a sand grain or a chip and logical false by absence of the grain/chip one route information as avalanches and implement logical gates via interaction of avalanches in an appropriate geometrical structure [23, 42, 43]. Other impressive results related to sand pile model, developed by Eric and colleagues, include estimation of computational complexity of sandpile avalanches [21], analysis of sandpile dynamical responses to reversal of the avalanche's source position [59], determination of non-polynomial (almost exponential) periods for the parallel chip firing game [65].

Sakoda and Schelling Models

In 1943 Sakoda developed a model of attitude-based social interaction of discrete agents, which was only published thirty years later in 1971 [73] at the same time as the similar model proposed by Schelling [74]. The models laid a foundation for studies of spatial mechanisms of social dynamics and economy. When Schelling was awarded a Nobel Prize in Economy "his models of segregation" was cited in an official documents as one reasons for the award [63]. In 2011 Goles et al. [17] studied exhaustively the behaviour of a generalized Schelling model in a two and three-dimensional grid with several neighbourhoods and they establish an energy operator associated with the model's dynamics. Other developments concerning the Schelling model can be seen in a combinatorial game developed by Eric and colleagues: a line (or cycle) graph with white and black tokens and an empty site where two players move alternately one of its colour's token to the hole trying to reach a connected configuration of its tokens [35]. Eric's interest in social models continued to develop precisely in the almost forgotten model of Sakoda through the characterization of the dynamics of all aptitude rules in one and two-dimensional grids [69]. More recently a generalisation of Schelling's model to other local functions in a two-dimensional grid has been done in [78].

Communication Complexity

This topic of computer science research attracted Eric's interest for a number of years. In 2008 Eric and colleagues proposed to define cellular automata via their communication protocols: "if we are able to give a protocol describing a cellular automaton,

then we can understand its behaviour” [46]. In the same paper they proposed a hierarchy of complexity classes in cellular automata, based on their communication complexity of the automata. In [64] the same authors determine complexity equivalences between one round communication protocols and intrinsic cellular automata universality. Analysis of communicating protocols emerging from cellular automaton dynamics has been continued in [39] where authors developed a non-trivial communication protocol describing dynamics of elementary cellular automaton rule 218. In [28] Eric and colleagues have given protocols, and lower bounds of the same order, that together solve the one-round communication complexity of the prediction problem for nearly one-third of all elementary cellular automata, corresponding to the family of monotone rules. Most recent results of Eric’s team have been about communication complexity of number-conserving cellular automata [28, 54].

Computational Complexity and Universality

These two topics usually go a pair in Eric and colleagues’ works because the computational complexity of a system is typically estimated by embedding a relevant Boolean circuit in the system. In 1993 Eric and colleagues constructed a simulation of a Turing machine by cellular automata based on the equivalence between programmable machines and Turing machines [41]. They proved that for this class of cellular automata the associated limit language is regular. In paper [22] Gajardo and Goles present designs of Boolean circuits embedded in the space-time evolution of the two-dimensional three-state automaton. To enhance their proof of the universality of the automaton they also simulate a Turing machine in the reaction-diffusion automaton. Later, Goles and Montealegre analysed computational complexity of majority automata networks (the state of a vertex being the most represented in its neighbour). One of the first to study the relationship between the dynamics of the majority automata and its computational complexity was C. Moore proving in [6] that the majority automaton is P-Complete in three or more dimensions, leaving open the complexity characterization in a two-dimensional grid. Later, in [53] Eric and his colleagues characterize the complexity of the frozen majority (i.e., state 1 remains invariant): if the maximum degree of the network is 5 or more then the problem is P-complete, otherwise (maximum degree less than 5) the problem is in the class NC. Further, the same authors proved in [49] that the majority automaton in planar graphs is P-complete. To achieve that they used the two periodic behaviour of the majority automaton [12, 57, 62] as traffic lights to cross information. Further, by considering other iteration schemes they proved that the majority automaton iterated under a block sequential updating scheme is NP-Hard [48]. Result which is improved in [52] by proving that the problem is PSPACE-Complete. Previous results are obtained for the usual majority automata, i.e., the networks admit weights 0 or 1, say to sites are friends if they are connected (i.e., the weight in the incidence matrix is 1). In [50] they studied the more general case where the weights in the matrix may be $-1, 0, 1$. Other problems related to dynamics and computational complexity are related to the diffusion limited segregation studied recently in [4]. Their most recent results deal with the complexity boundaries of the graphs with polynomially growing treewidth [51].

Neural and Boolean Automata Networks

First Eric's works on these subjects are dated back to his thesis in 1980, where he characterizes the dynamical behaviour of disjunctive networks and also proved his very well know theorem about the periodicity of symmetric neural networks [12, 57, 58, 62]. But that was only the beginning, in [32], where he obtained bounds on the cycle and transient length for parallel iterations of antisymmetric sign functions. Later in Cosnard et al. [15] studied and derived formalism related to the threshold neural automata with memory: bounds on transient periods, and characterised reversibility versus the coupling coefficients. From 1985 Goles and colleagues introduced decreasing energy functions as a tool for analyses a huge class of neural automata networks [8, 29, 45, 62]. This tool has been successfully applied in studying various types of continuous state and discrete state neural networks [13, 14, 20, 61]. Other notable results in Boolean and neural networks include but not limited to the following: (1) necessary and sufficient conditions for the existence of fixed points in discrete neural networks and an upper bound for the number of fixed points [1], (2) exponential transient classes of symmetric neural networks for synchronous and sequential updating [44] (3) complexity of high-order neural networks [9], (4) characterisation of attractor space of neural networks over undirected graphs [11] (5) universality, via embedding Boolean circuits and simulating Turing machine, of discrete neural networks [10, 27]. One of Eric's recent works [11] characterizes completely the existence of cycles for symmetric network iterated both in parallel or block-sequentially. The authors define an index to the graphs of the automaton such that there exist cycles other than fixed points (period two or more) if and only if such index is non-negative.

Discrete Ants

Advances of Langton's ant model [66] have been done in several directions. Eric and collaborators developed a generation of Langton's ant model and analysed the complexity of the ants' behaviour on the graphs. They shown a high degree of unpredictability in general case, especially in the families of finite graphs where the period of the system growth exponentially with the size of the graph. They also shown that a prediction of the dynamics of the generalised ants on finite graphs is P-hard [25]. In paper [26] they constructed Boolean circuits with the trajectory of a single ant. They prove P-hardness of the ants system. Further studies dealt with detailed characterisation of space-time dynamics of 64 ant's rules [24] and analyses of complex pattern formation and sensitivity of traces of two-dimensional ants [67].

Tiling

Eric and colleagues contribution to the tiling theory has been manifested in three key results. First, they demonstrated the existence of coding that allows for an efficient transformation of an arbitrary degrees of freedom tiling problem into a restricted four degrees of freedom problem (the tiling with rotation) [60]. Second, they provided solution to the following problem: to tile a rectangle or a torus with only vertical and horizontal bars of a given length such that the number of bars in every column and row equals to some given numbers [18]. Third, they established a bridge between tiling and folding. Namely, given a finite word coding vertical and horizontal folds

they provided a necessary and sufficient condition in order to tile the plane with a set of tiles constructed with copies of the unfold surface [34].

Analysis of Natural Systems

From the beginning, during his doctoral studies, Eric was interested in Boolean networks and its applications. Actually, he told me that one of the first articles that he read seriously was Stuart Kauffman's paper, Metabolic stability and epigenesis in randomly constructed genetic nets [64]. From that Eric and colleagues continue to apply Boolean networks in modelling and analysis of natural systems. The results included characterisation of two-dimensional Boolean dynamics in a grid such that each Boolean rule has only two inputs [19], representation of microbial interactions in a human microbiome as threshold Boolean networks [76], modelling the immune control of macrophages and the genetic control of the floral morphogenesis [40, 70], the analysis of cell cycle models [47] bacterium quorum sensing [72], a novel framework to study the influence of minimal cognitive mechanisms on the formation and evolution of languages [77], plants response to salt stress [71] and contagion phenomena in a two-dimensional grid [6].

Decision Making and Social Science

One of Eric's first works in this subject was to characterize the behaviour of a population to choose an opinion among several [61]. In paper [7] Eric and colleagues analyzed two simple dynamical models of decision that represent the school choice problem under two views: (1) individual expectations when deciding for a school, without major consideration of the social environment, and (2) focused on social expectations modelled by the neighbourhood preferences when deciding for a school. The computational experiments demonstrated that the social expectations model represents a more socially efficient situation that may help families to stay informed about accessibility, information, social capital, and improved school performances. Recently in [5] Eric and colleagues present a model of competing activist and political polarization and in [68] a model related to social crisis. Other key topics of Eric Goles and colleagues research include block invariance and reversibility of one-dimensional linear cellular automata, Lyapunov operators to study the convergence of extreme automata, properties of positive functions and the dynamics of associated automata networks, effects of firing memory in the dynamics of conjunctive networks, the complexity of asynchronous freezing cellular automata, on the robustness of update schedules in Boolean networks, naming game automata networks, complexity of the majority rule on planar graphs, learning gene regulatory networks using the bees algorithm, a sequential operator for filtering cycles in Boolean networks, prime number selection of cycles in a predator-prey model.

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Seven Things I Know About Them



Jacques Demongeot

Abstract In this paper, we intend to present a series of 7 application examples inspired by the work of Eric Goles with his numerous collaborators, while remaining focused on the field of Boolean automata. This constitutes a sort of anthology showing the extent of the mathematical domain defined by the study of Boolean automata dynamics, showing the relevance of open paths and results obtained by Eric Goles and the high explanatory power of the models which arise from his work during 45 years.

Keywords Boolean automata · Automata gradient dynamics · Automata Hamiltonian dynamics · Updating schedule

1 Introduction

A discrete dynamical system has the same definition that the continuous ones. It involves a flow function f defined on $E \times T$, where T is a discrete time space (in general \mathbb{N}) and E a discrete state space ($\{0, 1\}^n$ in the Boolean case and more generally a finite subset of \mathbb{R}_+^n), $f(x, t)$ representing for each state x and time t , the state reached after time t by the trajectory starting in state x at time 0. We denote in general $f(x(0), t)$ by $x(t) = (x_i(t))_{i=1, \dots, n}$, which permits to have a coherent notation for all the states of a trajectory. The set of such states is called the orbit of $x(0)$. Following [1], we can now define the discrete time derivative for the state vector $(x_i(t))_{i=1, \dots, n}$ by:

$$\Delta x_i / \Delta t = (x_i(t + \Delta t) - x_i(t)) / \Delta t,$$

which reduces to $x_i(t + 1) - x_i(t)$, if $\Delta t = 1$. By using the same formula, we can also define:

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- the space derivative: $\Delta g(x)/\Delta i = (g(x_{i+\Delta i}) - g(x_i))/\Delta i = g(x_{i+1}) - g(x_i)$, if i is 1-dimensional and $\Delta i = 1$. If i is n -dimensional, it is possible to partially derive in each dimension.
- the partial state derivative: $\Delta g(x)/\Delta x_i = [g(x_1, \dots, x_i + \Delta x_i, \dots, x_n) - g(x_1, \dots, x_i, \dots, x_n)]/\Delta x_i$.

A discrete automaton is defined by a transition function F :

$$\Delta x_i/\Delta t = (x_i(t + \Delta t) - x_i(t))/\Delta t = F_i(x(t)),$$

where F_i depends only on coordinates $(x_j(t))_{j \in V(i)}$, $V(i)$ being a neighbourhood of i in the space set (in general the Manhattan—or L_1 —unit ball of $E \cap \mathbb{R}_+^n$ centred on i and having a radius equal to 1), with conditions defining V on the boundary of E (e.g. periodic) and with constraints on the discrete velocity ensuring that flow remains in E .

We will first define a discrete analogous of a potential (or gradient) continuous dynamical system (called here potential automaton). A continuous potential differential equation on \mathbb{R}^n is defined by: $\forall i = 1, \dots, n$, $dx_i/dt = -\partial P/\partial x_i$, where P is a real continuously differentiable function (e.g., a polynomial with real coefficients) on \mathbb{R}^n . In the same way, a potential automaton on the discrete state space E is defined by:

$$x_i(t + 1) = h(-\Delta P/\Delta x_i + x_i(t)), \quad (1)$$

where P is a real function (e.g., a polynomial with real coefficients) on E and h a function from \mathbb{R} to E , with boundary conditions ensuring that the flow remains in E . For example, in the Boolean case, we will choose for h the Heaviside function H : $H(s) = 1$, if $s > 0$, and $H(s) = 0$, if $s \leq 0$. In the integer case (E subset of \mathbb{N}^n), h can be the identity, if P has integer coefficients and if $\forall i = 1, \dots, n$, $\Delta x_i \in \{-1, 0, 1\}$.

Provided by the above definitions, we will give now some examples of application of Boolean networks inspired by the work of Eric Goles and his numerous co-workers.

2 Seven Remarks About Boolean Automata Theory

2.1 Potential Boolean Automata (Inspired by Cosnard and Goles [2])

Proposition 1 In the Boolean case, let suppose that $A = 0$, $P(x) = {}^t xAx + Bx$, with $a_{ii} = 0$ and each sub-matrix on any subset J of indices in $\{1, \dots, n\}$ of A is non positive and less than the linear operator $-B$ restrained on J . Then P decreases on the trajectories of the potential automata defined by $x_i(t + 1) = H(-\Delta P/\Delta x_i + x_i(t))$

for any mode of implementation of the dynamics (sequential, block sequential and parallel). These Boolean automata constitute a Hopfield-like network whose weights are $w_{ii} = 1$ and $w_{ij} = -a_{ij} - a_{ji}, \forall j \neq i$, thresholds are the b_i 's, and stable fixed configurations correspond to the minima of P .

Proof It is easy to check that: $\Delta P/\Delta x_i = \sum_{j \neq i} (a_{ij} + a_{ji}) x_j + b_i$ and: $x_i(t+1) = H(-P/x_i + x_i(t)) = H(-[j \neq i (a_{ij} + a_{ji}) x_j(t) + b_i] + x_i(t)) = H(\sum_j w_{ij} x_j(t) - b_i)$

We can calculate for the block sequential iteration at any step of block J :

$$\begin{aligned} x_i(t+1) &= H(-\Delta P/\Delta x_i + x_i(t)) = H(-[\sum_{j \neq i} (a_{ij} + a_{ji}) x_j(t) + b_i] + x_i(t)) \\ &= H(\sum_j w_{ij} x_j(t) - b_i) \end{aligned}$$

$$P(x(t+1)) - P(x(t)) = \sum_{(i,j) \in J \times J} a_{ij} \Delta x_i \Delta x_j + \sum_{i \in J} b_i \Delta x_i \leq 0,$$

the result coming from the hypothesis on the sub-matrices J of W or from [2] ■

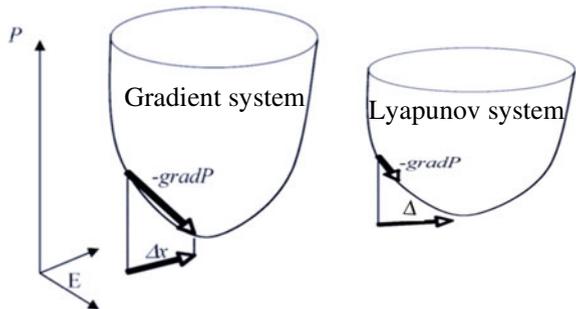
The interest of the Proposition 1 is to show that the Hopfield-like network defined by:

$$\begin{aligned} \forall i = 1, \dots, n, x_i(t+1) &= H\left(\sum_j w_{ij} x_j(t) - b_i\right), \text{ with} \\ w_{ii} &= 1, w_{ij} < 0, b_i \geq e > 0, \end{aligned}$$

has not only P as Lyapunov function as proved in [2], but more it can be considered as a potential automaton with a potential equal to P , because the opposite of the gradient of P is related to the velocity of the automaton, what is quite different in general for a system with simply a Lyapunov function (Fig. 1) [3].

Another example of potential Boolean automata is given by the n-switch often used in morphogenesis modelling [4, 5], for example in dorsal somites (Fig. 2) [6] or skin appendages [7, 8] models. It is easy to show that its Hopfield-like dynamics with all weights w_{ij} equal to -1 , except $w_{ii} = 1$ and all $b_i \geq e > 0$, is gradient for any updating mode and its attractors are the 6 fixed points (10,000), (01,000), (00,100),

Fig. 1 Potential automaton with $\Delta x = -gradP$ (on the left) and an automaton with a Lyapunov function decreasing on its trajectories (on the right) (after [3])



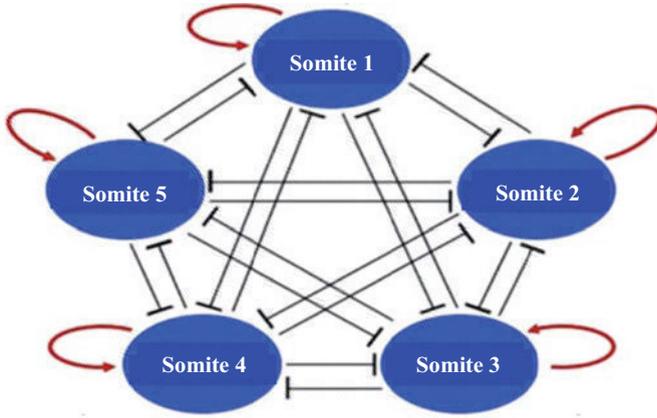


Fig. 2 “Metatron” interaction graph of a 5-switch (after [4, 5])

(00,010) and (00,001) and (00,000). The equivalent continuous model [5] has the same property.

2.2 Hamiltonian Boolean Automata

Proposition 2 Let us consider a deterministic Hopfield-like network of size n , which is a circuit sequentially or synchronously updated with constant absolute value w for its non-zero interaction weights. Then, its dynamics is conservative, keeping constant on the trajectories the Hamiltonian function L defined by:

$$\begin{aligned} L(x(t)) &= \sum_{i=1,n} \frac{(x_i(t) - x_i(t-1))^2}{2} \\ &= \sum_{i=1,n} \frac{H(w_{i(i-1) \bmod n} x_{i-1}(t-1) - x_i(t-1))^2}{2} \end{aligned}$$

where H denotes the classical Heaviside function. $L(x(t))$ is the total discrete kinetic energy of the network, equal to the half of the global dynamic frustration:

$$F(x(t)) = \sum_{i=1-n} F_{i,(i-1) \bmod n}(x(t)),$$

with $F_{i,(i-1) \bmod n}$ is the local dynamic frustration defined between nodes $(i-1)$ and i by: $F_{i,(i-1) \bmod n}(x(t)) = 1$, if $\{\text{sign}(w_{i(i-1)}) = 1, x_i(t) \neq x_{i-1}(t-1)\}$ $\{\text{sign}(w_{i(i-1)}) = -1, x_i(t) = x_{i-1}(t-1)\}$, $= 0$, if not.

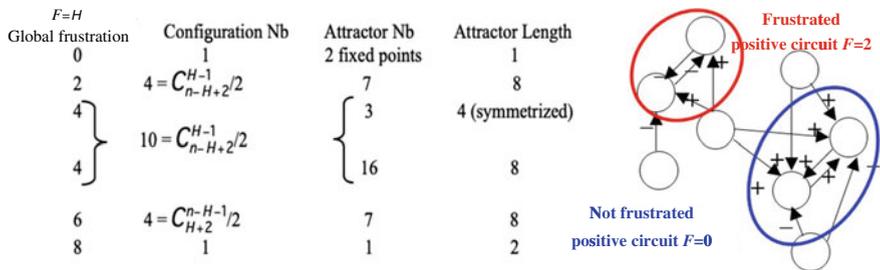


Fig. 3 On the left: calculation of the global frustration F for circuits of length 8 with only identities and negations as local transitions. On the right: “Arabidopsis” interaction graph with eight nodes and two circuits of length 2 (after [4, 5]), one frustrated (in red) and the other not frustrated (in blue)

Proposition 2 still holds if the network is a circuit whose transition functions are Boolean identity or negation [10], on which it is easy to calculate the global frustration F and show that it characterizes attractors by remaining constant along them (Fig. 3).

A general program of characterizing potential and Hamiltonian Boolean automata could extend the energetic notion of dissipative (potential) and conservative (Hamiltonian) energy, from the Hopfield-like Boolean networks to the most general Boolean automata. This work would be in the direct continuation of the pioneering work of the two PhD students of François Robert, Eric Goles and Françoise Fogelman [11].

2.3 Social Choice and Majority Rule

In the spirit of the games theory of the seventies [12], Eric Goles and Maurice Tchuente considered in [13] a society of n persons $\{P_1, \dots, P_n\}$ having at time t opinions $\{x_1(t), \dots, x_n(t)\}$ with interaction coefficient $a_{ij} = a_{ji}$ between P_i and P_j . Let $\{\theta_1, \dots, \theta_p\}$ be the set of possible opinions which may be assumed by any person, with a local hierarchy h_i adopted by each person P_i (a reordering of opinion indices without ex-æquo, that is a permutation of $\{1, \dots, p\}$). The dynamical behaviour of such a society depends on local majority rules, where, if $a(k)$ denotes the global weight of the opinion θ_k , the change of opinion is made as follows:

$$x_i(t + 1) = k, \text{ with}$$

$$k = \sup \left\{ i / \forall r = 1, \dots, p, a(i) \sum_{j/x_j(t)=k} a_{ij} \geq a(r) \sum_{j/x_j(t)=r} a_{ij} \right\}$$

Then, the main result is the following.

Proposition 3 In such a society the opinion of any member P_i , after a certain number of steps, either remains constant or oscillates between two values.

This work inspired general studies on social choices as those described in [14, 15].

2.4 Eberhard-Robert Scholia

Recently, François Robert has established in collaboration with André Eberhard a very interesting result we can call a “scholia”, because it is an original explanatory comment opening a new domain of research concerning interactions between automata networks. The example treated in [16] concerns cross interactions between two Boolean automata of size 2, one with transition F_0 and the other with transition G_0 , evolving in time with the following rules, where symbol \neg denotes Boolean complementary (negation):

- Sequential dependence: $G_1(x_1, x_2) = G_0(F_0(x_1, x_2), x_2)$, $F_1(x_1, x_2) = F_0(x_1, G_1(x_1, x_2))$, ..., $G_i(x_1, x_2) = G_{i-1}(F_{i-1}(x_1, x_2), x_2)$, $F_i(x_1, x_2) = F_{i-1}(x_1, G_i(x_1, x_2))$, ...
- Parallel dependence: $G_1(x_1, x_2) = G_0(F_0(x_1, x_2), x_2)$, $F_1(x_1, x_2) = F_0(x_1, G_0(x_1, x_2))$, ..., $G_i(x_1, x_2) = G_{i-1}(F_{i-1}(x_1, x_2), x_2)$, $F_i(x_1, x_2) = F_{i-1}(x_1, G_{i-1}(x_1, x_2))$, ...
- Sequential opposition: $G_1(x_1, x_2) = G_0(\neg F_0(x_1, x_2), x_2)$, $F_1(x_1, x_2) = F_0(x_1, \neg G_1(x_1, x_2))$, ..., $G_i(x_1, x_2) = G_{i-1}(\neg F_{i-1}(x_1, x_2), x_2)$, $F_i(x_1, x_2) = F_{i-1}(x_1, \neg G_i(x_1, x_2))$, ...
- Parallel opposition: $G_1(x_1, x_2) = G_0(\neg F_0(x_1, x_2), x_2)$, $F_1(x_1, x_2) = F_0(x_1, \neg G_0(x_1, \dots, x_2))$, ..., $G_i(x_1, x_2) = G_{i-1}(\neg F_{i-1}(x_1, x_2), x_2)$, $F_i(x_1, x_2) = F_{i-1}(x_1, \neg G_{i-1}(x_1, x_2))$, ...

Then, the Eberhard-Robert scholia says:

Proposition 4

- (i) Sequential and parallel dependence (resp. opposition) rules give same fixed points.
- (ii) The transform by sequential (resp. parallel) opposition of $(\neg F, \neg G)$ is the complementary of the transform by sequential (resp. parallel) dependence of (F, G) .

Such a result can be applied to situations in which two groups of actors (political, ethnic, social, neural, genetic, etc.) or two age classes evolve by taking social choices with cross interactions. It could serve namely to revisit and interpret the asymptotic properties of complex Boolean biological networks as those studied in [17, 18].

2.5 Arabesques

A close friend of Eric Goles, René Thomas, invented the notion of arabesques systems, and studied their dynamics both in discrete and continuous framework [17, 19, 20]. The Boolean equations of such a system of size n is given by:

$$\forall i = 1, \dots, n, x_i = H(w_{ii}X_i - wX_{j+1(modn)} + wX_{i-1(modn)})$$

The weights verify: $0 \leq w_{ii} < w$. If $n = 2$ and $w_{22} = 0$, the arabesque is called regulon [17]. It is the smallest network containing one positive and one negative circuit (Fig. 4). The dynamics of the arabesque of size 2, with $w_{11} = w_{22} > 0$, has been used for representing for example the functioning of the hippocampus [19, 20]. The asymptotic behaviour of the arabesque of size 3 in sequential updating (Fig. 4) is represented by two unstable fixed points (000), (111), and a stable cycle of order 6 (010*, 0*11, 01*1, 001*, 0*01, 10*1, 101*, 1*00, 10*0, 110*, 1*10, 01*0), * denoting the node to update, with (011*, 0*10, 01*0), (00*1, 001*), (1*01, 0*01), (100*, 1*00) and (11*0, 110*) as attraction basin trajectories.

In parallel updating mode, (000) and (111) are unstable fixed point and there is a cycle of order 6 (010, 011, 001, 101, 100, 110). This cycle exists in the continuous analog (useful to interpret the mechanism of memory evocation [19]) and both in discrete parallel and in continuous case with $w_{ii} = 0$, the system is Hamiltonian, with conservation of the kinetic energy, independently of the number of tangent circuits in the arabesque. A deeper study of such intersecting circuits can be found in [20].

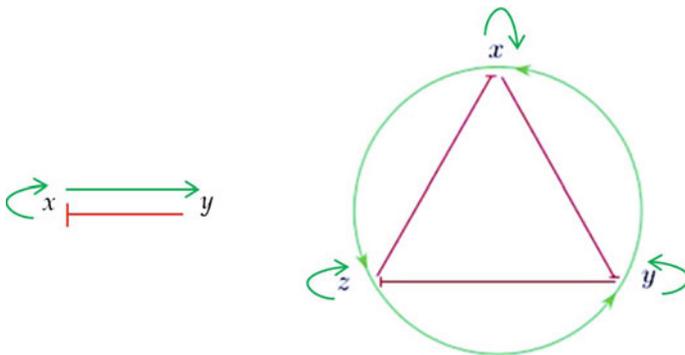


Fig. 4 On the left, regulon of size 3. On the right, arabesque of size 3. The positive interactions (activations) are represented by green arrows, the negative (inhibitions) by red ones

2.7 Discrete Convolution (to Regularize, We Convolve, L. Schwartz)

Yann Le Cun (PhD student of a friend of Eris Goles, Maurice Milgram) has defined in 1983 at a Colloquium held at Les Houches (University J. Fourier of Grenoble) and co-organized by J. Demongeot and B. Lacolle from the IMAG team “Iteration behaviours”, the notion of learning process [25] and then, he used for the deep learning [26, 27] several discrete convolution operators, useful in writing or image processing [29]. The Boolean automata $z(t)$ resulting from the discrete convolution between two Boolean automata $x(t)$ and $y(t)$ (called the kernel filter) can be defined as follows:

$$z_i(t) = \sum_{i-k \in v(i)} x_{i-k}(t) y_k(t)$$

where the size of the neighbourhood $V(i)$ equals p and the positive kernel filter of size p , $y(t)$, verifies: for any $t, k = 1, \dots, p$ $y_k(t) = 1$. If $x_i(t)$ has a regular derivative (in the sense of F. Robert [1]), that is, for any spatial derivative and any t , $\Delta x_i(t)/\Delta i \leq 1$, then $z_i(t)$ is also regular, because we have: $\Delta z_i(t)/\Delta i \leq \sum_{k \in V(i)} \Delta x_{i-k}(t)/\Delta k y_k(t) \leq 1$.

3 Mathematical Genealogy of Eric Goles as a Conclusion

Eric Goles has a prestigious mathematical genealogy starting with François Robert and ending with the two most renowned philomaths (like him) of the Renaissance, Guillaume Budé and Desiderius Erasmus both born in 1467, 584 years before him. This genealogy is described in Fig. 5 (after [29]).

The first ancestor of Eric Goles intends to end this genealogy with the following tribute:

«Mon billet pour Éric», by François Robert.

«Éric, la scène se passe à Grenoble quelques années après ta soutenance de thèse. Retour d’Israël via Paris, et avant de rentrer à Santiago, tu débarques impromptu ce matin-là dans mon bureau de la Tour IRMA au Campus. Nous sommes contents de nous revoir, et tu commences à m’expliquer au tableau ce qui préoccupe ton esprit en ce moment. S’agissait-il du tas de sable qui s’effondre sur lui-même ? Je crois que oui. Et tu me montres la relation mathématique que tu as écrite au tableau: « Tu vois, cette expression, eh bien elle ne me plaît pas, elle n’est pas casher !» Devant mon air d’incompréhension, tu corriges immédiatement: « Disons qu’elle n’est pas très catholique !», ce qui n’a pas amélioré ma compréhension pour autant, mais j’ai alors saisi en un éclair le concept de mathématicien international que tu commençais à incarner alors.

J'ai par ailleurs été très sensible au témoignage chaleureux que tu as rendu récemment à Jacques Demongeot [30], dans lequel tu insistes beaucoup pour rendre effectivement sensible cette part d'éternité et de globalité de vos échanges d'atomes, mathématiques ou non, que ce soit au Bar national de Santiago ou ailleurs: une caractéristique importante qui vous situe bien. Et de plus, ce témoignage m'a redonné comme instantanément aussi la vive perception du compagnonnage artisanal qui animait les membres de notre groupe « Comportement d'Itérations ». Quelle équipe, en effet, d'excellents chercheurs ! Je les cite par ordre d'entrée en scène: Michel Cosnard, Maurice Tchuente, toi Eric, Françoise Fogelman, Houcine Snoussi, Yves Robert, les premiers couteaux en quelque sorte, tous chercheurs C.N.R.S. (sauf Françoise, universitaire, et Houcine, boursier marocain) et une huitaine de jeunes en troisième cycle. Jacques Demongeot entretenait des relations suivies avec tout ce petit monde. Nous partagions chaque semaine notre conviction dans la dimension intemporelle de notre réalité mathématique en cours d'élaboration, assidûment méditée et travaillée, et c'était là que résidait le ciment du groupe.

En ce qui te concerne, ta façon très spécifique de « faire des maths » est maintenant largement connue: fougueux, jovial, parfois brouillon et fâché de l'être, tu déploies au tableau une puissante séduction mathématique qui jaillit de ta très forte conviction personnelle, manipulant un maelström de notions entrelacées... Tu convaincs, car tu es habité, et spécifiquement toi !

Il n'empêche: les deux théorèmes de Golès-Martinez sur les cycles de longueur deux dans les réseaux d'automates à seuils symétriques (il y a trente-cinq ans !) resteront pour moi le signal fort d'un accomplissement à venir, qui s'est grandement réalisé depuis. J'ai même vu récemment que tu avais contribué à faire, de la fourmi de Langton, la brique de base d'un calculateur universel, dans la ligne de ce que vous élaboriez à l'époque avec Maurice Tchuente et Yves Robert sur d'autres modèles.

Cher Éric, bon et heureux 70, et longue vie ! Avec toute mon amitié, François Robert.»

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Distortion in Automorphisms of Expansive Systems



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Abstract In this work we study the role distortion plays on automorphisms groups of expansive dynamical systems. We begin by generalizing results from subshifts, linking distortion and non-expansivity, to arbitrary expansive systems, and explore the subset of symmetrically distorted automorphisms. Due to the generalization, we are able to determine that expansive automorphisms can never be distorted.

1 Introduction

In symbolic dynamics and group theory, distortion generally refers to an object that grows or moves sub-linearly. In particular, we say that a cellular automaton or an endomorphism acting on a symbolic space is *range distorted* if the local radius of the iterated applications grows at the aforementioned rate. Examples of this behavior can be constructed from Turing machines when viewed as endomorphisms or automorphisms of a symbolic space. Indeed, Guillon and Salo showed in [7] that any aperiodic Turing machine is range distorted. Also, using the so-called conveyor belt technique one can show that on any sofic shift one can define a non-trivial range distorted automorphism.

Analogously, an element of a finitely generated group is said to be *distorted* if its minimal expression on the generating set grows sub-linearly with successive iterations of the element. The two mentioned concepts are related: a group distorted

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automorphism is always range distorted (here we are considering the group of automorphisms). This prompts the fundamental question: does the converse hold?

To address this question, several notions of discrete Lyapunov exponents have been introduced. These objects are closely related to range distortion due to the fact that they quantify the average rate at which any kind of endomorphism on a symbolic space moves information. One recent example are the exponents introduced by Cyr, Franks and Kra in [6], that quantify the rate at which information is moved asymptotically. The novelty of these exponents is that they relate distortion to geometrical properties of the space-time defined by endomorphisms.

In this article, we will show that the phenomenon of distortion is not exclusive to the realm of symbolic systems. This is achieved through the use of M. Boyle and D. Lind's work on expansive dynamical systems [2]. We generalize both the notions of local radius and asymptotic Lyapunov exponents to endomorphisms of arbitrary expansive dynamical systems. Furthermore, the connections between distortion and geometry are preserved. We exemplify this generalization by considering automorphisms of the n -torus.

There is a second question we want to address in this article. Since Boyle and Lind introduced the notions of expansive and non-expansive directions for the study of directional dynamics of an action, there has been one persistent question: which sets can occur as sets of non-expansive directions?

In [2] they showed that this set is closed and, if the domain is infinite, non-empty. Furthermore, they showed that any closed set of directions, with two or more elements is the set of non-expansive directions for some action. Later, Hochman showed in [9] that for every direction, there exists an automorphism of a subshift such that its unique non-expansive direction is the selected one, effectively solving the realization problem. Nevertheless, the subshift built to achieve this result lacks of many natural dynamical properties one would like to get, as transitivity or minimality. This motivates the author to ask the following, still open, question: Does any closed non-empty set of directions arise as the set of non-expansive directions of a \mathbb{Z}^2 -action that is transitive or minimal?

We begin by introducing the necessary concepts from the field of symbolic dynamics from the theory of expansive dynamical systems. We then proceed to generalize the concept of radius to the context of expansive systems, introducing the concept of distorted automorphism. Next, we introduce alternative notions of distortion through the generalization of discrete Lyapunov exponent to the realm of expansive systems. This allows us to establish a connection between non-expansive directions and the asymptotic behavior of an automorphism. In addition, we establish that no expansive automorphism can be distorted. We continue by addressing the question of group distortion in relation to range distortion by studying the set of distorted automorphisms with distorted inverse. Finally, we look at examples of distorted automorphisms, first in the context of subshifts through the use of Turing Machines and then in a non-symbolic example through the study of automorphisms of the torus.