

# Characterization of Minerals, Metals, and Materials 2022

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**TMS**



**Springer**

# **The Minerals, Metals & Materials Series**

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Yunus Eren Kalay · Jiann-Yang Hwang ·  
Juan P. Escobedo-Diaz · John S. Carpenter ·  
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Editors

# Characterization of Minerals, Metals, and Materials 2022

TMS

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# Preface

Materials characterization is a vital part in all science and engineering practice, as it is a fundamental process to achieve a good understanding of the processing-microstructure-property relationship. Various advances in characterization techniques and instruments in recent years have contributed, in a significant way, to an in-depth understanding of materials properties and structure on different scales. This enhanced understanding has also made profound impacts on process efficiency of existing industrial processes, and the ways of minerals, metals, and materials application in many fields.

This year, the Characterization of Minerals, Metals, and Materials symposium received 126 abstract submissions, of which 46 papers were accepted in 8 technical sessions. This symposium is among one of the largest in the TMS Annual Meeting & Exhibition. The proceedings volume includes state-of-art techniques used in modern minerals, metals, and materials characterization, and the latest research in the field of materials engineering and technologies. This proceedings publication is a valuable reference for academia scholars and industry professionals who are interested in advanced characterization methods and instrumentations that cover a wide range of research subjects. Readers will enjoy the diversity of topics in this book with innovative approaches to process and characterize materials at various scales and levels.

The Characterization of Minerals, Metals, and Materials 2022 symposium is sponsored by the Materials Characterization Committee under the Extraction & Processing Division (EPD) of TMS. The main focuses of this symposium include, but are not limited to, advanced characterization of extraction and processing of minerals, process-microstructure-property relation of metal alloys, ceramics, polymers, and composites. New characterization methods, techniques, and instrumentations are also emphasized.

As a lead organizer of this symposium, I would like to take this opportunity to express my sincere gratitude to all authors for their contribution and generosity to share their research work. On behalf of the organizing committee, I would like to thank TMS for providing us the valuable opportunity to publish this stand-alone

proceedings volume. Much appreciation is also extended to the EPD for sponsoring this symposium.

Most importantly, the success of this proceedings publication would not be possible without the fabulous contribution and support from all members of the Materials Characterization Committee. I also would like to thank our publisher, Springer, for their timely and quality publication of this book.

Mingming Zhang  
Lead Organizer

# Contents

## Part I Advanced Microstructural Characterization Methods

<b>Challenges Concerning the Characterization of Cementite in Low Carbon Steel Using Electron Backscatter Diffraction</b> .....	3
M. K. O'Brien, S. K. Lawrence, and K. O. Findley	

## Part II Characterization of Mechanical Properties

<b>Strength and Failure Characterization of the Gibeon (IVA) Iron Meteorite</b> .....	17
M. Fazle Rabbi, Khaled H. Khafagy, Laurence A. J. Garvie, Erik Asphaug, Desireé Cotto-Figueroa, and Aditi Chattopadhyay	
<b>Effect of Equal-Channel Angular Pressing and Targeted Heat Treatment on Aluminum AA7075 Sheet Metal</b> .....	25
Maximilian Gruber, Thomas Spoerer, Christian Illgen, Philipp Frint, Martin F.-X. Wagner, Philipp Lechner, and Wolfram Volk	

## Part III Characterization of Polymers, Composites, Coatings and Ceramics

<b>Application of Flue Gas Desulfurization Waste for the Production of Geopolymer Tiles</b> .....	39
M. T. Marvila, A. R. G. Azevedo, F. D. Gama, E. B. Zanelato, S. N. Monteiro, and C. M. F. Vieira	
<b>Lowering the Presence of Heavy Metals in Textured Coat Using Recycled Post Consumer Glass</b> .....	47
A. O. Adejo, A. D. Garkida, C. M. Gonah, and E. V. Opoku	

<b>Microstructural Characterisation of AA5083 in AA5083/SiC Co-Continuous Ceramic Composites (C4) Fabricated by Gravity and Gas Pressure Infiltration</b> .....	57
Georgia Warren, Jianshen Wang, Krishna Shankar, V. Krishnaraj, A. S. Prasanth, R. Ramesh, and Juan Pablo Escobedo-Diaz	
<b>Part IV Metallurgical Processing Analysis and Characterization</b>	
<b>Study on the Degradation Behavior of Organic Humic Acid from the Wastewater by Refractory High-Titanium Slag After Metallurgical Transformation</b> .....	71
Yubi Wang, Shengpeng Su, Bingbing Liu, Guihong Han, and Yanfang Huang	
<b>Adsorption of Iron, Copper, Lead, Chromium, and Cadmium in Cobalt Sulfate Solution by Goethite</b> .....	81
Sujun Lu, Jiang Cao, Yuanyuan Li, Guoju Chen, Jesika, and Guanwen Luo	
<b>Study on Efficient Burdening for Preparation of Fused Calcium Magnesium Phosphate Fertilizer from Low-Grade Phosphate Ores</b> ....	91
Tingting Wang, Luyi Li, Cuihong Hou, Haobin Wang, Shouyu Gu, and Jie Wang	
<b>Characterization of Nano-crystalline Metallurgical-Grade Silicon Prepared from Rice Husk Ash</b> .....	101
B. O. Ayomanor, C. Iyen, I. S. Iyen, V. Mbah, D. I. Anyaogu, D. N. Dawuk, S. D. Ndiriza, S. O. Aniko, and M. Omonokhua	
<b>Separation of Nickel and Cobalt from Nickel and Cobalt Solution by Cyanex272</b> .....	113
Ganggang Yan, Zibiao Wang, Dinghao Le, Xijun Zhang, Xintao Sun, and Dalin Chen	
<b>Low-Temperature Preparation and Mechanism Study of Vanadium Nitride</b> .....	123
Yongjie Liu, Qingqing Hu, Donglai Ma, Yue Wang, and Zhixiong You	
<b>Part V Mineralogical Analysis and Process Improvement</b>	
<b>Leaching Cobalt from a Nickel-Containing Copper-Cobalt Zebesha Ore</b> .....	135
Foibe D. L. Uahengo, Yotamu R. S. Hara, Rainford Hara, Nachikonde Fumpa, Alexander Old, and Golden Kaluba	
<b>Interaction Between Iron Ore and Magnesium Additives During Pellet Roasting Process</b> .....	143
Lian-Da Zhao, Hong-Su, Qing-Guo Xue, and Jing-Song Wang	

<b>Chemical Analysis of Mineral Surfaces Using Digital Image Processing</b> .....	155
Juan C. González-Islas, Abdon R. Aparicio-Durán, Gildardo Godfnez-Garrido, Karime A. González-García, and Mizraim U. Flores-Guerrero	
<b>Degradation of Structure and Properties of Coke in Blast Furnace: Effect of High-Temperature Heat Treatment</b> .....	163
Jingbo Chen, Wei Ren, Yan Guo, and Shengfu Zhang	
<b>Coking Coal Macromolecular Structural Characteristic and Its Correlations with the Compressive Strength of CaO-Containing Carbon Pellets</b> .....	177
Xiaomin You, Xuefeng She, Jingsong Wang, and Qingguo Xue	
<b>Part VI Poster Session</b>	
<b>An Investigation of the Relationship Between Raw Coal Caking Characteristics and Its Petrographic Properties</b> .....	195
Yucen Kuang, Wei Ren, Lechi Zhang, and Shengfu Zhang	
<b>Application of Grinding, Pyrometallurgical Pretreatment, and Silver Leaching of Mineral Tailings from the State of Morelos, Mexico</b> .....	207
Jesús Iván Martínez Soto, Aislinn M. Teja-Ruiz, Martin Reyes-Perez, Miguel Pérez-Labra, Víctor Esteban Reyes-Cruz, José A. Cobos-Murcía, Gustavo Urbano-Reyes, and Julio Cesar Juárez-Tapia	
<b>Application of Subnanosecond-Pulsed Dielectric Barrier Discharge in Air to Structural and Technological Properties Modification of Natural Minerals</b> .....	219
Igor Zh. Bunin, Natalia E. Anashkina, Irina A. Khabarova, and Maria V. Ryazantseva	
<b>Characterization and Stain Analysis in Natural and Artificial Rocks</b> ...	229
M. G. S. Freitas, E. D. F. Castilho, A. R. G. Azevedo, J. A. T. Linhares júnior, M. T. Marvila, and S. N. Monteiro	
<b>Characterization of Blast Furnace Slag for Preparing Activated Alkali Cements</b> .....	239
M. T. Marvila, A. R. G. Azevedo, E. B. Zanelato, S. N. Monteiro, and C. M. F. Vieira	
<b>Characterization of Mortar in Fresh State with the Addition of Açai Fiber</b> .....	247
A. R. G. Azevedo, D. L. Rocha, T. E. S. Lima, M. T. Marvila, E. B. Zanelato, J. Alexandre, S. N. Monteiro, and H. Colorado	

<b>Characterization of Slag and Growth of Ferronickel Grains During Smelting of Nickel Laterite Ore</b> .....	257
Donglai Ma, Jianbo Zhao, Hanghang Zhou, and Zhixiong You	
<b>Characterization of Soil from Areas Degraded by Mining Activity in Campos Dos Goytacazes-RJ, Brazil</b> .....	267
A. R. G. Azevedo, J. G. F. Brainer, M. T. Marvila, G. C. Xavier, and S. N. Monteiro	
<b>Comparative Study of Staining Resistance for Polished and Resined Silicatic Ornamental Rocks</b> .....	277
S. Rocha, E. D. F. Castilho, A. R. G. Azevedo, L. R. Cruz, M. T. Marvila, and S. N. Monteiro	
<b>Comparative Study of the Flexural Strength of Rock Materials for Applications in Civil Construction</b> .....	287
L. M. Campos, E. D. F. Castilho, A. R. G. Azevedo, T. R. Silva, M. T. Marvila, E. B. Zanelato, and S. N. Monteiro	
<b>Comparison of Ceramic Blocks Incorporated with Ornamental Rock Waste in Hoffman and Caieira Furnace</b> .....	295
E. B. Zanelato, A. R. G. Azevedo, M. T. Marvila, J. Alexandre, and S. N. Monteiro	
<b>Cooling Rate and Roughness Dependence of the Undercooling for One Single Sn Droplet with Si Thin Film Substrate by Nanocalorimetry</b> .....	305
Shun Li, Li Zhang, Bingge Zhao, Kai Ding, and Yulai Gao	
<b>Determination of Strength to the Hard Body Impact of Raw, Resinate, and Screened Ornamental Rocks</b> .....	315
A. C. Hilário, E. D. F. Castilho, A. R. G. Azevedo, T. E. S. Lima, M. T. Marvila, and S. N. Monteiro	
<b>Development of Metakaolin-Based Geopolymer Mortar and the Flue Gas Desulfurization (FGD) Waste</b> .....	323
L. B. Oliveira, A. R. G. Azevedo, M. T. Marvila, C. M. Vieira, N. A. Cerqueira, and S. N. Monteiro	
<b>Effects of Natural Aging on Figue Fabric-Reinforced Epoxy Composites: An Analysis by Charpy Impact Energy</b> .....	333
Michelle Souza Oliveira, Fernanda Santos da Luz, Artur Campos Pereira, Fabio da Costa Garcia Filho, Noan Tonini Simonassi, Lucio Fabio Cassiano Nascimento, and Sergio Neves Monteiro	
<b>Evaluation of Recyclable Thermoplastics for the Manufacturing of Wind Turbines Blades H-Darrieus</b> .....	341
Andres F. Olivera, Edwin Chica, and Henry A. Colorado	

<b>Evaluation of the Rheology of Mortars with Incorporation of Ornamental Stone Waste</b> .....	349
E. B. Zanelato, A. R. G. Azevedo, M. T. Marvila, J. Alexandre, and S. N. Monteiro	
<b>Fundamental Study on Wettability of Pure Metal Using a Low Melting Temperature Alloy: A Theoretical Approach</b> .....	359
Jun-ichi Saito, Yohei Kobayashi, and Hideo Shibutani	
<b>Influence of pH Regulating Additives on the Performance of Granite Waste-Based Paints</b> .....	369
Márcia Maria Salgado Lopes, Leonardo Gonçalves Pedroti, Hellen Regina de Carvalho Veloso Moura, José Maria Franco de Carvalho, José Carlos Lopes Ribeiro, and Gustavo Henrique Nalon	
<b>Influence of the Sand Content on the Physical and Mechanical Properties of Metakaolin-Based Geopolymer Mortars</b> .....	381
Igor Klaus R. Andrade, Beatryz C. Mendes, Leonardo G. Pedroti, Carlos M. F. Vieira, and J. M. Franco de Carvalho	
<b>Mechanical and Microstructural Evaluation of Eco-Friendly Geopolymer Produced from Chamotte and Waste Glass</b> .....	391
Beatryz Mendes, Leonardo Pedroti, José Maria Carvalho, Carlos Maurício Vieira, Igor Klaus Andrade, and Pedro Henrique Drumond	
<b>Recycling Glass Packaging into Ceramic Bricks</b> .....	403
G. C. G. Delaqua and C. M. F. Vieira	
<b>Study of the Collectorless Flotation Behavior of Galena in the Presence of Ferric Ion</b> .....	411
Martín Reyes Pérez, Jimena Detzamin Trejo Martínez, Elia Palacios Beas, Iván A. Reyes Domínguez, Mizraim U. Flores Guerrero, Aislinn Michelle Teja Ruiz, Miguel Pérez Labra, Julio Cesar Juárez Tapia, and Francisco Raúl Barrientos Hernández	
<b>Study of the Determination of Hardbody Impact Resistance of Screened and Non-screened Ornamental Rocks of Different Thicknesses</b> .....	421
M. F. Braga, E. D. F. Castilho, A. R. G. Azevedo, A. S. A. Cruz, M. T. Marvila, and S. N. Monteiro	

<b>Surface Modification of Jamesonite During Flotation; Effect of the Presence of Ferric Ion</b> .....	431
Martín Reyes Pérez, Jazmin Terrazas Medina, Elia Palacios Beas, Iván. A. Reyes Domínguez, Mizraim U. Flores Guerrero, Aislinn Michelle Teja Ruiz, Miguel Pérez Labra, Julio Cesar Juárez Tapia, and Francisco Raúl Barrientos Hernández	
<b>Synthesis and Electrical and Magnetic Characterization of Electroceramics Type <math>Ba_{1-x}Eu_xTi_{1-x/4}O_3</math> (<math>x = 0.001</math> and <math>x = 0.005\%</math> by Weight <math>Eu^{3+}</math>)</b> .....	443
J. P. Hernández-Lara, M. Pérez-Labra, A. Hernández-Ramírez, J. A. Romero-Serrano, F. R. Barrientos-Hernández, J. C. Juárez-Tapia, M. Reyes-Pérez, and V. E. Reyes-Cruz	
<b>The Collectorless Flotation of Pyrrargyrite, Surface Analysis via FTIR</b> .....	453
Martín Reyes Pérez, Zaida Peralta García, Elia Palacios Beas, Iván. A. Reyes Domínguez, Mizraim U. Flores Guerrero, Aislinn Michelle Teja Ruiz, Miguel Pérez Labra, Julio Cesar Juárez Tapia, and Francisco Raúl Barrientos Hernández	
<b>The Elastic Constants Measurement in a Medical TI-6AL-4V ELI Alloy by Using Ultrasonic Means</b> .....	463
Hector Carreon and Maria Carreon-Garcidueñas	
<b>Use of Glass Waste as a Geopolymerization Reaction Activator for Ceramic Materials</b> .....	473
A. R. G. Azevedo, M. T. Marvila, L. B. Oliveira, D. Cecchin, P. R. Matos, G. C. Xavier, C. M. Vieira, and S. N. Monteiro	
<b>Author Index</b> .....	481
<b>Subject Index</b> .....	485

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**Bowen Li** is a research professor in the Department of Materials Science and Engineering and Institute of Materials Processing at Michigan Technological University. His research interests include materials characterization and analysis, metals extraction, ceramic process, antimicrobial additives and surface treatment, porous materials, applied mineralogy, and solid waste reuse. He has published more than 140 technical papers in peer-reviewed journals and conference proceedings, authored/co-authored 3 books, and edited/co-edited 12 books. He also holds 16 patents and has delivered more than 30 invited technical talks.

Dr. Li received a Ph.D. degree in Mineralogy and Petrology from China University of Geosciences Beijing in 1998 and a Ph.D. degree in Materials Science and Engineering from Michigan Technological University in 2008. He has been an active member in The Minerals, Metals & Materials Society (TMS), Society for Mining, Metallurgy & Exploration (SME), and China Ceramic Society. At TMS, he has served as the chair of the Materials Characterization Committee and as a member

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**Sergio Neves Monteiro** graduated as a metallurgical engineer (1966) at the Federal University of Rio de Janeiro (UFRJ). He received his M.Sc. (1967) and Ph.D. (1972) from the University of Florida, followed by a 1975 course in energy at the Brazilian War College, and a postdoctorate (1976) at the University of Stuttgart. In 1968, he joined the Metallurgy Department of UFRJ as full professor of the postgraduation program in engineering (COPPE). He was elected as head of department (1978), coordinator of COPPE (1982), Under-Rector for Research (1983), and was invited as Under-Secretary of Science for the State of Rio de Janeiro (1985) and Under-Secretary of the College Education for the Federal Government (1989). He retired in 1993 from the UFRJ and joined the State University of North Rio de Janeiro (UENF), where he retired in 2012. He is now a professor at the Military Institute of Engineering (IME), Rio de Janeiro. Dr. Monteiro has published more than 1,900 articles in journals and conference proceedings and has been honored with several awards including the ASM Fellowship and several TMS awards. He is the top researcher (1A) of the Brazilian Council for Scientific and Technological Development (CNPq) and Emeritus Scientist of State of Rio de Janeiro (FAPERJ). He was president of the Superior Council of the State of Rio de Janeiro Research Foundation, FAPERJ (2012), and currently is coordinator of the Engineering Area of this foundation. He has also served as president of the Brazilian Association for Metallurgy, Materials and Mining (ABM, 2017–2019), as a consultant for the main Brazilian R&D agencies, and as a member of the editorial board of five international journals as well as associate editor-in-chief of the *Journal of Materials Research and Technology*. He is the author of 130

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**Shadia Ikhmayies** received a B.Sc. and M.Sc. from the physics department at the University of Jordan in 1983 and 1987, respectively, and a Ph.D. in producing CdS/CdTe thin film solar cells from the same university in 2002. Her research is focused on producing and characterizing semiconductor thin films and thin film CdS/CdTe solar cells. She also works in characterizing quartz in Jordan for the extraction of silicon for solar cells and characterizing different materials by computation. She has published 59 research papers in international scientific journals, 85 research papers in conference proceedings, and 3 chapters in books. She is the founder and editor of the eBook series *Advances in Material Research and Technology* published by Springer, and the editor-in-chief/editor of several books.

Dr. Ikhmayies is a member of The Minerals, Metals & Materials Society (TMS) where she was the chair of the TMS Materials Characterization Committee (2016–2017), and the leading organizer of three symposiums; Solar Cell Silicon 2017–2020, Mechanical Characteristics and Application Properties of Metals and Non-metals for Technology: An EPD Symposium in Honor of Donato Firrao, and Green Materials Engineering: An EPD Symposium in Honor of Sergio Monteiro. Dr. Ikhmayies is also a member of the World Renewable Energy Network/Congress (WREN/WREC) 2010–present. She is a member of the international organizing committee and the international scientific committee in the European Conference on Renewable Energy Systems (ECRES2015–ECRES2022). She is a guest editor and a member of the editorial board of several journals including *JOM* and the *Journal of Electronic Materials*. Dr. Ikhmayies is a reviewer of 24 international journals and several international conference proceedings. She has received several international awards including the TMS Frank Crossley Diversity Award 2018 and World Renewable Energy Congress 2018 Pioneering Award.



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Dr. Carpenter's research focus is on enabling advanced manufacturing concepts through experiments employing novel processing techniques, advanced characterization, and small-scale mechanical testing. Currently, he is working on projects related to the qualification of additively manufactured components, development of new materials for high field magnets using severe plastic deformation, and using high energy X-rays to study phase transformations during solidification in MIG cladding. Throughout his career he has utilized many characterization techniques including neutron scattering, X-ray synchrotron, XCT, PED, TEM, EBSD, and SEM.

He has more than 60 journal publications, one book chapter, and 45 invited technical talks to his credit.

With regard to TMS service, Dr. Carpenter is a past chair for both the Materials Characterization and Advanced Characterization, Testing & Simulation Committees. He is current chair for the Joint Commission for *Metallurgical and Materials Transactions A* and the SMD representative on the Content Development and Dissemination Committee. He is also the Program Committee Representative for the MS&T Conference. He recently received the McKay-Helm Award from the American Welding Society and is the 2018 recipient of the Distinguished Mentor Award at Los Alamos National Laboratory.



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**Rajiv Soman** is currently with Purity Survey Analysis, Materials Sciences Division at Eurofins EAG Laboratories, USA, where he is responsible for developing new strategic partnerships and R&D initiatives. Prior to his current responsibilities, he served as Director, Purity Survey, Eurofins EAG Laboratories. He has over 32 years of professional experience in analytical chemistry and materials sciences. He earned a doctorate in Analytical Chemistry from Northeastern University, Boston. He received his B.Sc. (Chemistry—*Principal*; Physics—*Subsidiary*) with Honors, from Bombay University, India, and M.Sc. in Applied Chemistry from the Faculty of Technology & Engineering, Maharaja Sayajirao University of Baroda, India. He commenced his professional career as an Advanced Analytical Chemist in the Engineering Materials Technology Laboratories of General Electric Aircraft Engines. Prior to joining EAG Laboratories, Dr. Soman served as Professor (Full) of Chemical Engineering, Chemistry,

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Dr. Soman's research interests are in the areas of atomic and mass spectrometry, with an emphasis on trace element determination and chemical speciation in a wide range of complex sample matrices. He was an invited guest scientist at the prestigious research institute, Forschungszentrum Jülich, Germany, where he conducted research in elemental mass spectrometry. He has co-authored several peer reviewed publications in international journals and has made numerous presentations at national and international conferences. He holds two U.S. patents.

Dr. Soman has been a member of the Society for Applied Spectroscopy (SAS) and the American Chemical Society (ACS) since 1986 and has served in numerous leadership positions in the societies. He was an invited panel member for the American Chemical Society's National Initiative on *Preparing for the Workforce 2015*. He is also a member of ASM International, and TMS, where he serves as a member of the Materials Characterization Committee and as Chair of the Poster Awards Committee.



**Zhiwei Peng** is a professor in the School of Minerals Processing and Bioengineering at Central South University, China. He received his B.E. and M.S. degrees from Central South University in 2005 and 2008, respectively, and his Ph.D. degree in Materials Science and Engineering from Michigan Technological University, USA, in 2012. His research interests include dielectric characterization, ferrous metallurgy, microwave processing, comprehensive utilization of resources, waste valorization, powder agglomeration, low-carbon technology, process simulation, electromagnetic shielding, and synthesis of functional materials.

Dr. Peng has published over 170 papers, including more than 120 peer-reviewed articles in journals such as *International Materials Reviews*; *Journal of Hazardous Materials*; *ACS Sustainable Chemistry & Engineering*; *Resources, Conservation & Recycling*; *Journal of Cleaner Production*; *Waste Management*; *Metallurgical and Materials Transactions A*; *Metallurgical and Materials Transactions B*; *JOM*; *Journal of*

*Power Sources; Fuel Processing Technology; Energy & Fuels; IEEE Transactions on Magnetics; IEEE Transactions on Instrumentation and Measurement; Ceramics International; Powder Technology; and Separation and Purification Technology.* He holds 56 Chinese patents and has served as an associate editor for *Mining, Metallurgy & Exploration*, as a guest editor for *JOM and Metals*, and as an editor for *PLOS ONE* and *Cogent Chemistry*. He has also been a member of editorial boards of *Scientific Reports*, *Journal of Central South University*, and *Journal of Iron and Steel Research International*, and has served as a reviewer for more than 70 journals.

Dr. Peng is an active member of The Minerals, Metals & Materials Society (TMS). He has co-organized 10 TMS symposia and co-chaired 24 symposia sessions since 2012. He is a member of the Pyrometallurgy Committee and the vice chair of the Materials Characterization Committee. He was a winner of the TMS EPD Young Leaders Professional Development Award in 2014 and the TMS EPD Materials Characterization Award Best Paper—1st Place in 2020.

**Part I**  
**Advanced Microstructural**  
**Characterization Methods**

# Challenges Concerning the Characterization of Cementite in Low Carbon Steel Using Electron Backscatter Diffraction



M. K. O'Brien, S. K. Lawrence, and K. O. Findley

**Abstract** Understanding the location and morphology of cementite precipitation in steel has long been addressed almost exclusively with transmission electron microscopy, particularly in low carbon steels. However, scanning electron beam techniques such as electron backscatter diffraction (EBSD) could leverage automated diffraction pattern analysis and high scan rates to improve statistics in cementite analysis. This paper discusses challenges specific to EBSD through the lens of a case study concerning low carbon microalloyed pipeline steel. Correlations between secondary electron (SE) and EBSD micrographs of a low carbon, microalloyed steel etched with 2 pct nital could not effectively verify the presence of cementite identified using EBSD. Pixels identified as cementite by the EBSD software often held a  $43.6^\circ \parallel \langle 100 \rangle$  axis/angle relationship reflected in grain boundary texture misorientation distributions. Further investigations of the ferrite/cementite interfaces displaying this  $43.6^\circ \parallel \langle 100 \rangle$  relationship were undertaken by utilizing pole figures of three well-known orientation relationships (OR) between ferrite and cementite, which resulted in the best match with the Bagaryatskii OR. Some questions concerning interaction volumes and indexing/phase identification algorithms are presented with respect to cementite characterization, as well as a proposal for future work to consider possible pseudosymmetric phenomena in specific ferrite orientations.

**Keywords** EBSD · Cementite · Phase identification

## Introduction

Low carbon, microalloyed steels are often used in applications where weldability is a concern. Strength is achieved using complex thermo-mechanical processing

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schemes. Microalloying elements such as niobium and vanadium are added with the intention of high temperature precipitation in austenite during rolling such that subsequent rolling at temperatures below the temperature for recrystallization results in grain refinement as the precipitates pin grain boundaries. Further strengthening can also be achieved by accelerated cooling upon completion of finish rolling, which can result in non-equiaxed ferrite with M/A constituents, also known as granular bainite.

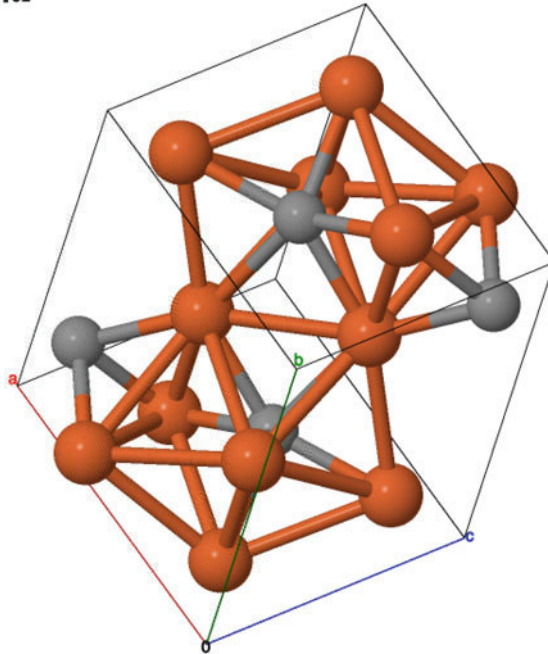
There are several techniques that have traditionally been used to characterize the size and spatial distribution of cementite in low carbon steels. The predominant technique is transmission electron microscopy (TEM), utilizing diffraction, bright field, and dark field modalities. If the cementite precipitates in known orientation relationships with ferrite, a combination of diffraction and dark field techniques can be used to unequivocally identify cementite. However, due to the small sample size inherent in TEM samples, it is time consuming and difficult to obtain a statistically significant number of precipitates. Capitalizing on nital etchant response and secondary electron micrographs to provide larger sampling regions and thus a higher number of precipitates is another common technique of cementite characterization, but this technique suffers from both resolution limits and ambiguity regarding the interpretation of cementite as opposed to retained austenite, or other precipitates. Lastly, the use of TEM carbon extraction replicas offers some reduction in ambiguity relative to SEM etching techniques, and an increase in the number of precipitates analyzed relative to thin foils, but removes the ability to relate the location and size of cementite precipitates to any characteristics of the original matrix. Improvement of scanning electron beam diffraction techniques of bulk samples could overcome the small statistical sampling size inherent in TEM-based techniques, while providing options for confirming cementite identification by means of dynamical diffraction techniques such as EBSD.

### ***Orientation Relationships Between Cementite and Ferrite***

Cementite precipitation can occur by several different mechanisms tied to different orientation relationships between the parent ferrite and daughter cementite. Determining the orientation relationships could assist in identifying when the cementite precipitated during thermo-mechanical processing, in addition to being a verification tool for understanding the veracity of cementite phase identification using EBSD. Cementite is orthorhombic with lattice parameters  $a = 0.452$  nm,  $b = 0.674$  nm, and  $c = 0.509$  nm [1, 2]. The cementite unit cell has 12 iron atoms and 4 carbon atoms, as shown schematically in Fig. 1. Three orientation relationships, the Bagaryatskii, Isaichev, and Pitsch-Petch relationships, are shown in Table 1. In pearlitic steels, the cementite and ferrite lamellae nucleate and grow simultaneously according to a Pitsch-Petch relationship [3–5]. The Pitsch-Petch relationship is also observed when cementite forms at the austenite/ferrite interphase boundary in upper bainite [6]. When cementite precipitation occurs in supersaturated ferrite or martensite during

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-P 2ac 2n [P n m a] #62
a=5.069Å
b=6.736Å
c=4.518Å
α=90.000°
β=90.000°
γ=90.000°
    
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**Fig. 1** Three-dimensional representation of the cementite unit cell where the orange atoms represent iron and the grey atoms represent carbon [2] (Color figure online)

**Table 1** Three orientation relationships between ferrite and cementite

Bagaryatskii	Isaichev	Pitsch-Petch
[100]c    [110]f	[100]c    [111]f	[100]c 2.6° from [311]f
[010]c    [111]f	(031)c ≈    (101)f	[010]c 2.6° from [131]f
(001)c    (112)f		(001)c    (215)f

tempering, the Bagaryatskii and Isaichev ORs are often observed [5, 6]. The Bagaryatskii and Isaichev ORs are closely related; the Isaichev OR deviates from the Bagaryatskii OR by a rotation of 3.8° about the *a*-axis of the cementite lattice [3, 7–12].

## Experimental

The chemical compositions of the X70 steel used in this investigation are shown in Table 2. The thermo-mechanical processing path for the X70 steel is not known, although steels of this grade are usually assumed to be accelerated cooled upon finish rolling. The samples were mounted in Bakelite®, ground and polished to 1 μm

**Table 2** Chemical composition of X70 plate steel [13, 14]

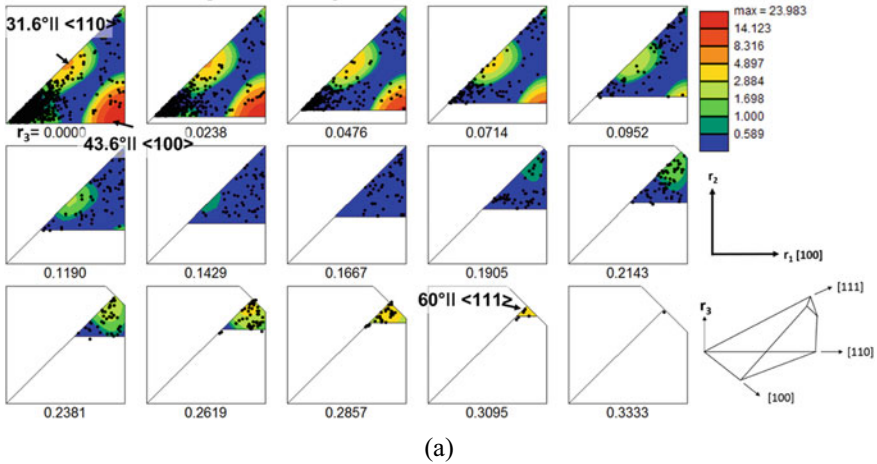
wt pct	C	Mn	Si	Ni	Cr	Ti	Mo	Nb
X70	0.050	1.59	0.3	0.01	0.26	0.013	0.09	0.066
wt pct	V	Al	N	S	P	Cu	Ca	
X70	0.005	0.026	0.0081	0.003	0.010	0.01	–	

grit using standard metallographic procedures, and vibro-polished using 0.02  $\mu\text{m}$  colloidal silica suspension for several hours. Electron backscatter diffraction patterns were obtained using a JEOL<sup>®</sup>-7000 field emission scanning electron microscope (FE-SEM). All EBSD scans were obtained using an accelerating voltage of 20 kV. Step sizes were varied depending on the size of the scan. All data analysis was performed using OIM Data Analysis and no clean-up procedures were applied to the data sets.

## Results and Discussion

Questions about the efficacy of using EBSD to reliably characterize cementite distribution in low carbon steels arose from work in which the effect of microstructure on hydrogen induced cracking in pipeline steels was assessed [13]. In particular, the work focused on characterizing intergranular cracks by comparing misorientation distributions of the uncracked microstructure to misorientations across cracks [13]. Using a misorientation distribution function (MDF) in Rodriguez-Frank (RF) space allowed for the identification of grain boundaries that occur with a higher frequency than others, as shown in Fig. 2. The MDF of the uncracked microstructure is represented by a color gradient map where red represents boundaries that occur at  $\sim 24$  multiples of a random distribution (MRD) and blue represents boundaries present in amounts equivalent to a random distribution. Individual cracked grain boundaries measured by manually assessing misorientations across the crack are overlaid and represented by black dots. The boundary that appears with the highest MRD in the uncracked microstructure is the  $43.6^\circ \parallel \langle 100 \rangle$  axis/angle pair. This axis/angle pair is of particular interest due to the lack of cracked boundaries (black dots in Fig. 2) measured upon hydrogen introduction, which could indicate that there are particular boundaries that are less susceptible to cracking than others in the low carbon steel of interest.

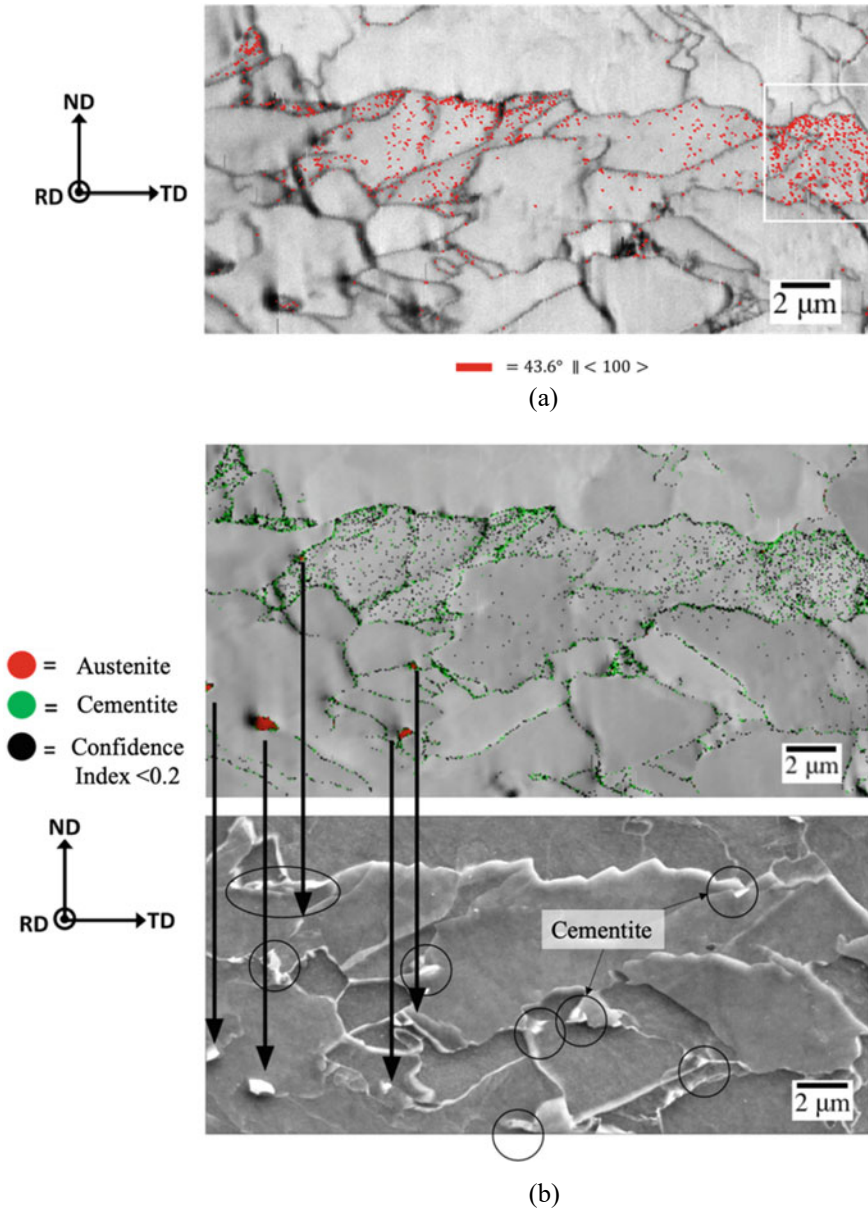
However, when attempting to characterize the spatial distribution of these  $43.6^\circ \parallel \langle 100 \rangle$  boundaries, it was discovered that these boundaries appeared as clusters within particular ferrite grains, indicating that they are not grain boundaries. Figure 3a is an image quality (IQ) map from a scan obtained using a 50 nm step size in the ND-TD plane, with a region rich in  $43.6^\circ \parallel \langle 100 \rangle$  boundaries outlined in white. Figure 3b includes a PRIAS<sup>™</sup> top micrograph with cementite and austenite phases with  $>0.2$  confidence index (CI) overlaid in green and red, respectively. PRIAS<sup>™</sup> is an imaging modality available in EDAX<sup>®</sup> EBSD systems that uses the variation



**Fig. 2** MDF in RF space for the steel with discrete misorientation angle/axis pairs from cracked boundaries overlaid as black dots. Locations of three special boundaries with relatively high multiples of a random distribution are also overlaid for reference [13]

in the intensity of the diffracting electrons on the phosphor screen to create micrographs with varying diffraction contrast modes [15]. When comparing the EBSD micrographs in Fig. 3a and b, it is clear that the same regions that are rich in  $43.6^\circ \parallel \langle 100 \rangle$  boundaries are also rich in regions classified as cementite by the EBSD phase identification. It is also interesting to note that the regions rich in  $43.6^\circ \parallel \langle 100 \rangle$  boundaries in Fig. 3a are directly adjacent to grains that do not have any  $43.6^\circ \parallel \langle 100 \rangle$  boundaries present, indicating that the pixels identified as cementite are likely not universal errors in phase identification, but rather either correct indexing of cementite that precipitated in specific grains or a pseudosymmetry related error. The term pseudosymmetry is often used when discussing multiple orientation solutions possible for a given experimental Kikuchi pattern, which in practice often results in “speckling”, or systematic color variation within a single grain.

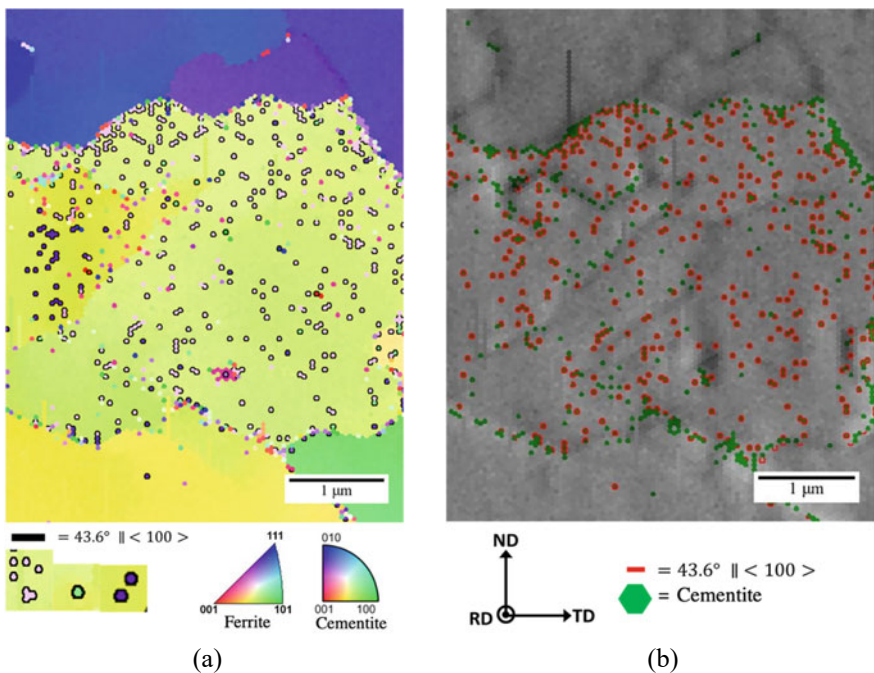
In order to attempt to verify the accuracy of the EBSD software phase identification, fiducial marks were created near the region of interest using a focused ion beam, and the samples were etched with 2 pct nital. A secondary electron (SE) micrograph was then obtained, which is the bottom micrograph in Fig. 3b. Several raised and light-colored constituents are apparent on the surface due to the nital etchant. It is assumed that nital preferentially attacks the ferrite grains and leaves carbon-rich constituents like austenite and cementite in relief. Several observations come about as a result of comparing the light-colored constituents in the SE micrograph to the phase map produced by EBSD. Constituents identified as austenite in the EBSD phase map correlate well with constituents visible in SE upon nital etching and are identified by black arrows. In contrast, isolated pixels identified as cementite occur along boundaries and sporadically within the ferrite grains and do not correlate well to individual light-colored constituents in the SE micrograph. Several constituents



**Fig. 3** **a** EBSD image quality map with red regions representing  $43.6^\circ \parallel \langle 100 \rangle$  boundaries and subsequent region of interest outlined in white and **b** EBSD PRIAS™ top micrograph (top) with austenite and cementite phases indicated by red and green, respectively, and (bottom) secondary electron micrograph of 2 pct nital etched steel, with arrows pointing to austenite regions and circles indicating possible cementite precipitates (Color figure online)

that might be classified as cementite using SE micrographs of the nital etched steel are indicated by black circles in Fig. 3b, but cannot be as convincingly tied to regions identified as cementite in the EBSD maps. Therefore, a separate, diffraction-based verification modality was undertaken.

Figure 4a is an inverse pole figure (IPF) map of the white outlined region in Fig. 3a with boundaries within  $5^\circ$  of a  $43.6^\circ \parallel \langle 100 \rangle$  boundary indicated in black. Figure 4b is a PRIAS™ top micrograph of the same region in Fig. 4a with pixels indexed as cementite shown in green and  $43.6^\circ \parallel \langle 100 \rangle$  boundaries shown in red. Data points indexed as cementite appear largely as isolated individual pixels in Fig. 4b and often have red boundaries indicating a  $43.6^\circ \parallel \langle 100 \rangle$  boundary surrounding the pixel. The three different colors (e.g. orientations) of cementite are shown below the IPF micrograph in Fig. 4a. The fact that cementite surrounded by the special boundaries only has 3 different colors in the IPF map may be indicative of 3 separate variants. This observation might then in turn indicate that the cementite data points have an orientation relationship with the ferrite, which is described by the  $43.6^\circ \parallel \langle 100 \rangle$  axis/angle pair. To investigate if the axis/angle pair correlates to one of the three most common ferrite/cementite orientation relationships discussed in the background section, pole figures were created from several adjacent data points with a common  $43.6^\circ \parallel \langle 100 \rangle$  boundary.



**Fig. 4** EBSD maps from a region selected from Fig. 3a where **a** is an IPF map with  $43.6^\circ \parallel \langle 100 \rangle$  boundaries indicated as black outlines and **b** is a PRIAS top micrograph with data points indexed as cementite in green and  $43.6^\circ \parallel \langle 100 \rangle$  boundaries indicated in red. Each pixel represents data indexed by the EBSD software (Color figure online)