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Dr. Indrajit Pan would like to dedicate this book to Prof. (Dr.) Siddhartha Bhattacharyya

Prof. Anirban Mukherjee would like to dedicate this book to all his research scholars

Prof. Vincenzo Piuri would like to dedicate this book to all researchers and professionals who are extraordinarily contributing to make our life better, and to students who will change our future

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Preface

Artificial intelligence is a leading theory of computer science now. Scientists across all engineering disciplines are interested in this concept. Artificial intelligence has opened the door for all research enthusiasts and application developers to propose new-age research and application concepts. The future of artificial intelligence enabled research and application is very promising. This book will discuss the recent research trends and upcoming applications based on artificial intelligence. Many of the versatile fields of artificial intelligence will be categorically addressed in different chapters of this volume.

Over the years scientists have developed several efficient algorithms to address different real-world problems realistically and propose meaningful solution for them. However, the classical problem-solving algorithms often fall short of offering a robust solution to handle the multiple constraints encountered in real-life situations since these core methods are often uncertain and imprecise, which remain intractable to process in practice using the conventional classical methods.

Moreover, with the progress of technology, the need for advanced computational techniques is always called for addressing the complex real-life problems. The objective of such computational paradigm is to give rise to fail-safe and robust solutions to the emerging problems faced by mankind. Imparting intelligence in a machine is the need of the hour. Several intelligent techniques have been in vogue over the year in this direction. Among these techniques, the soft computing techniques stand in good stead. However, it is often noted that the soft computing techniques often fall short in offering a formidable solution. On and above, if the different components of the soft computing paradigm are conjoined together, the resultant hybrid intelligent computing paradigm is found to be more efficient and robust by design and performance in these situations.

This book aims to introduce to the prospective readers the latest trends in artificial intelligence with reference to both the classical and hybrid computational paradigms.

The editors would like to take this opportunity to express their heartfelt regards to the Management of RCC Institute of Information Technology, Kolkata, and all the

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Kolkata, India
January 2021

Indrajit Pan
Anirban Mukherjee
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Prediction and Analysis on COVID-19 Using Positive and Negative Association Rule Mining



Sujit Chakraborty, Sudarsan Biswas, and Sourav Debnath

Abstract The enormous complicated heterogeneous data can be processed easily using data mining. An attempt has been made to generate a pattern for COVID-19 disease, which can be benefited to detect as well as treat the affected patients. Consideration has been made to analyze and predict the most common as well as rare and hidden symptoms via applying both positive (interesting rules) and negative (uninteresting rule) data mining association rules. Thus, study has made on both frequent and infrequent itemsets of affected patients with remembering the risk levels at the pandemic situation worldwide. The extracted frequent and infrequent itemsets assist the medical professionals to make diagnostic recommendations and determine the riskiness of patients at an initial stage as test report has generated after few days of sample collection.

Keywords Data mining · COVID-19 prediction · Medical data · Frequent itemsets · Association rule mining

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1 Introduction

In today's world, COVID-19 is the preeminent human killer pandemic. In India, the cause of death from COVID-19 is co-morbidity in the maximum cases [1]. It claims millions of lives globally and causes thousands of diseases in India until these days. Common symptoms of COVID-19 are fever, tiredness and dry cough [2]. Other symptoms that are less familiar and may affect some patients include sore throat, aches and pains, headache, nasal congestion, loss of taste or smell, conjunctivitis, diarrhea or a rash on skin [3]. Some people who are COVID-19 positive only have very mild symptoms.

Data mining is being used in the clinical field. "Association rule mining" is the most efficient data mining approach for extracting frequent itemsets from enormous datasets. The foremost objective of association rule mining is to bring out frequent itemsets from transactional database. However, "association rule mining" algorithms neglect many valuable infrequent itemsets. These frequent itemsets with low support can give rise to important negative association rules (high confidences). The problems aimed in this paper are extracting positive(+ve) and negative(-ve) association rules from the "frequent" and "infrequent" itemsets. Application of negative rule mining along with positive rule mining in medical field is to extract rare symptoms of a particular disease.

Most research articles that have written on frequent itemsets from medical data to analyses HIV [4, 5], heart disease [6–8], cancer and tumor [9, 10], diabetes mellitus [11, 12] using apriori algorithm [13]. Little researcher work on negative association rule to find out rare features on various diseases [14–20]. There is very rare work on COVID-19 using positive(+ve) and negative(–ve) association rules, which is discussed with experiment in this paper.

2 Methodology

This proposed method focuses on both positive(+ve) and negative(–ve) association rules from frequent and infrequent itemsets with considering sample text dataset of COVID-19 symptoms. Here, "minimum support" and "minimum confidence" values are taken from pre-processed dataset to generate frequent itemsets. Finally, positive(+ve) and negative(–ve) association rules are considered from "frequent" and "infrequent" itemsets utilizing lift is the last step as elaborated in Fig. 1.

2.1 Data Source and COVID-19 Symptoms

Symptoms are simulated for COVID-19 dataset containing sample records of 1000 patients [21–24, 19, 20] have tabulated in Table 1.

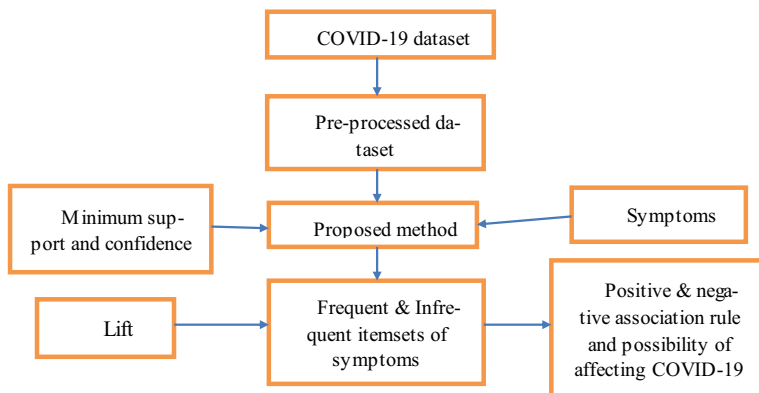


Fig. 1 Block diagram of methodology

Table 1 Symptoms for COVID-19 affected patients

Symptoms number	Symptom name	Symptoms number	Symptom name
1	Shortness of breadth	10	Cough
2	Sneezing	11	Chilblains
3	Throat pain	12	Dizziness
4	Diarrhea	13	Fatigue
5	Vomiting	14	Fever
6	Chest pain	15	Body pain
7	Nausea	16	Changes in heart rhythm
8	Headache	17	Skin rashes or blood clots
9	Conjunctivitis	18	Loss of taste or smell

2.2 Algorithms

All four algorithms are noted below.

2.2.1 Algorithm-1

Input: TRD- Covid-19 dataset; Min_support- “Minimum support” value; Min_conf- “Minimum confidence”

Output: FREQ: “frequent” itemsets; inFREQ: “infrequent” itemsets;

- (1) initialization of FREQ = Φ ; inFREQ = Φ ;
- (2) $tem_1 = \forall P \mid P \in \text{Frequent symptoms}$
- (3) $FREQ_1 = \{P \mid P \in tem_1 \text{ and support } (P) \geq \text{min_support}\}$;
- (4) $inFREQ_1 = tem_1 - FREQ_1$;
- $z = 2$;
- (5) while ($tem_{z-1} \neq \Phi$) do started
 - $D_z = \text{generation of } (tem_{z-1}, \text{min_support})$;
 - for individual transaction $tran \in TRD$
 - do started
 - $D_{tran} = \text{subset } (D_z, tran)$;
 - for individual candidate $d \in D_{tran}$
 - $d.\text{counter}^{++}$;
 - end of loop;
 - $d.\text{supp} = (d.\text{counter}/|TRD|)$;
 - $tem_z = \{d \mid d \in d_z \text{ and } (d.\text{supp} \geq \text{minsupport})\}$;
 - (6) $FREQ_z = \{P \mid P \in tem_z \text{ and } P.\text{supp} \geq \text{min_support}\}$;
 - (7) $inFREQ_z = tem_z - FREQ_z$;
 - (8) $FREQ = \cup_z FREQ_z$;
 - (9) $inFREQ = \cup_z inFREQ_z$;
 - (10) z^{++} ;
 - end;
 - (14) return FREQ and inFREQ;

Description of Algorithm-1

The present algorithm, “patients” are expressed as “Transaction” and corresponding “symptoms” are represented with “itemsets.” Support is the indication of item that represents frequency of occurrence (itemsets). First of all, “FREQ” and “inFREQ” are initialized with null value. All itemsets having 1 candidate (symptom) is added to “ tem_1 ”. frequent symptoms with support count is greater than least support are assigned to “ $FREQ_1$ ”. “ $FREQ_1$ ” is subtracted from “ tem_1 ” to get infrequent itemsets having 1 candidate, which are assigned to “ $inFREQ_1$ ” and “ z ” is initialized by 2 (>1) where z is the size of itemsets (the number of symptoms for a person). While the value of “ $temp_{z-1}$ ” is not equal to null value, “ $temp_{z-1}$ ” is generated with minimum support and is stored in “ D_z ”, which is candidate z -itemsets. Now database “TRD” is scanned for individual patient, which is represented by “trans”; “ $temp_1$ ” candidates are generated in transaction “trans”, now if itemsets exist in transaction then it is increased by 1. support of z number of itemset is calculated. An item d (symptom) is stored in $temp_z$ where support of symptom d is greater than or equal to minimum support. Frequent symptoms having support count greater than or equal to threshold

support are added to “FREQz”. Infrequent itemsets are added to “inFREQz” by subtracting FREQz from temp_z where support is smaller than least support. Now generated frequent symptoms are added to FREQ and infrequent itemsets (symptoms) are added to “inFREQ” with z number of items. Then itemset size is incremented by 1. Return the value of “FREQ” and “inFREQ”.

2.2.2 Algorithm 2

Input: minisupport: “minimum support”; minconfi: “minimum confidence”; FREQ (frequent itemsets); inFREQ (infrequent itemsets)

Output: POSR: Positive(+ve) Association Rules; NEGR: Negative(-ve) Association Rules;

- (1) POSR = \varnothing ; NEGR = \varnothing ;
- (2) For individual itemset S in FREQ
 - do started
 - for individual itemset $S_1 \cup S_2 = S, S_1 \cap S_2 = \varnothing$
 - do started
- (3) if confidence $(S_1 \Rightarrow S_2) \geq \text{minconfi}$ && lift $(S_1 \Rightarrow S_2) \geq 1$
 - then output of the rule $(S_1 \Rightarrow S_2)$; POSR $\cup (S_1 \Rightarrow S_2)$
 - else
- (4) if confidence $(S_1 \Rightarrow \sim S_2) \geq \text{minconfi}$ && lift $(S_1 \Rightarrow \sim S_2) \geq 1$
 - output of the rule $(S_1 \Rightarrow \sim S_2)$; NEGR $\cup (S_1 \Rightarrow \sim S_2)$
 - if confidence $(\sim S_1 \Rightarrow S_2) \geq \text{minconfi}$ && lift $(\sim S_1 \Rightarrow S_2) \geq 1$
 - output of the rule $(\sim S_1 \Rightarrow S_2)$; NEGR $\cup (\sim S_1 \Rightarrow S_2)$
 - if confidence $(\sim S_1 \Rightarrow \sim S_2) \geq \text{minconfi}$ && lift $(\sim S_1 \Rightarrow \sim S_2) \geq 1$
 - output of the rule $(\sim S_1 \Rightarrow \sim S_2)$; NEGR $\cup (\sim S_1 \Rightarrow \sim S_2)$
- end of loop;
- end of loop ;
- (5) For any itemset S in inFREQ
 - do started
 - For every itemset $S_1 \cup S_2 = S, S_1 \cap S_2 = \varnothing$, support(S_1) \geq minisupport and support(S_2) \geq minisupport
 - Do started
- (6) If confidence $(S_1 \Rightarrow S_2) \geq \text{minconfi}$ && lift $(S_1 \Rightarrow S_2) \geq 1$
 - then output of the rule $S_1 \Rightarrow S_2$;
 - POSR $\cup (S_1 \Rightarrow S_2)$
 - else
 - if confidence $(S_1 \Rightarrow \sim S_2) \geq \text{minconfi}$ && lift $(S_1 \Rightarrow \sim S_2) \geq 1$
 - output of the rule $(S_1 \Rightarrow \sim S_2)$; NEGR $\cup (S_1 \Rightarrow \sim S_2)$
 - if confidence $(\sim S_1 \Rightarrow S_2) \geq \text{minconfi}$ && lift $(\sim S_1 \Rightarrow S_2) \geq 1$
 - output of the rule $(\sim S_1 \Rightarrow S_2)$; NEGR $\cup (\sim S_1 \Rightarrow S_2)$
 - if confidence $(\sim S_1 \Rightarrow \sim S_2) \geq \text{minconfi}$ && lift $(\sim S_1 \Rightarrow \sim S_2) \geq 1$
 - output of the rule $(\sim S_1 \Rightarrow \sim S_2)$; NEGR $\cup (\sim S_1 \Rightarrow \sim S_2)$
 - end of loop;
 - end of loop;
 - (7) return POSR and NEGR;

Description of Algorithm 2

“Confidence” is an indication of how often the rule has been found to be true and “Lift” is measurement of interestingness of a rule. At first, “PQSR” and “NEGR” are initialized by null value. After that, association rules are generated from **FREQ** (frequent itemsets). For an individual patient S , all symptoms belong to “**FREQ**”. If S_1 and S_2 are any two symptoms of patient S , rules are generated for S_1 implies to S_2 ($S_1 \Rightarrow S_2$). If confidence and lift of ($S_1 \Rightarrow S_2$) are higher than or equal to minimum value of confidence and value 1 respectively, then output is (+ve) rule. Else, if confidence and lift of ($S_1 \Rightarrow \sim S_2$) are more than or equal to least confidence and value 1 respectively, then output is (–ve) rule. If confidence and lift of ($\sim S_1 \Rightarrow S_2$) are higher than or equal to least confidence and value 1 respectively, then output is (–ve) rule. If confidence and lift of ($S_1 \Rightarrow \sim S_2$) are higher than or equal to minimum value of confidence and value 1 respectively, the output belongs to (–ve) rule. If confidence and lift of ($\sim S_1 \Rightarrow \sim S_2$) are more than or equal to least confidence and value 1 respectively, then output is been (–ve) rule. Now association rules are generated from “**inFREQ**” or infrequent itemsets where an individual patient S and corresponding symptoms are S_1 and S_2 . Mentioned support S_1 and S_2 are higher than base support. Rules are generated for ($S_1 \Rightarrow S_2$). If confidence and lift of ($S_1 \Rightarrow S_2$) are more than or equal to minimum threshold of confidence and value 1 respectively, then output is (+ve) rule. Else, if confidence and lift of ($S_1 \Rightarrow \sim S_2$) are higher than or equal to minimum value of confidence and value 1 then output is (–ve) rule. If confidence and lift of ($\sim S_1 \Rightarrow S_2$) are more than or equals to minimum threshold of confidence and value 1 respectively, then output is (–ve) rule. If confidence and lift of ($\sim S_1 \Rightarrow \sim S_2$) are higher than or equal to minimum confidence and value 1 respectively, then output is (–ve) rule. Return the value of “PQSR” and “NEGR”.

2.2.3 Algorithm 3

Given: $\text{support}(S_1 \cup S_2) \geq \text{minisupport}$

- (1) if confidence ($S_1 \Rightarrow S_2$) $\geq \text{minconfi}$, and lift ($S_1 \Rightarrow S_2$) > 1
 then $S_1 \Rightarrow S_2$ is a effective positive rule, S_1 and S_2 are positively correlated with minimum confidence.
 else
- (2) if confidence ($S_1 \Rightarrow S_2$) $< \text{minconfi}$, and lift ($S_1 \Rightarrow S_2$) < 1
 then $S_1 \Rightarrow S_2$ is not a effective positive rule, S_1 and S_2 are negatively correlated with lower than minimum confidence.
 Hence, Negative association rules are generated from itemset S .
- (3) if confidence ($S_1 \Rightarrow \sim S_2$) $\geq \text{minconfi}$, and lift ($S_1 \Rightarrow \sim S_2$) > 1
 then $S_1 \Rightarrow \sim S_2$ is a effective negative rule, S_1 and S_2 are positively correlated with minimum confidence.

Description of Algorithm 3

Support of $(S_1 \cup S_2)$ is more than or equal to minimum support. If confidence of $(S_1 \Rightarrow S_2)$ higher than or equal to minimum value of confidence, and lift of $(S_1 \Rightarrow S_2)$ greater than 1 then $S_1 \Rightarrow S_2$ is an effective positive rule, S_1 and S_2 are positively correlated with minimum confidence. Else, if confidence and lift of $(S_1 \Rightarrow S_2)$ less than minimum confidence and value 1 respectively, then $S_1 \Rightarrow S_2$ is not an effective positive rule, S_1 and S_2 are negatively correlated with lower than minimum confidence. Hence, negative association rules are generated from itemset S . If confidence of $(S_1 \Rightarrow \sim S_2) \geq$ minimum value of confidence, and lift of $(S_1 \Rightarrow \sim S_2)$ greater than 1 then $S_1 \Rightarrow \sim S_2$ is an effective negative rule, S_1 and S_2 are positively correlated with minimum confidence.

2.2.4 Algorithm 4

Given: $\text{support}(S_1 \cup S_2) < \text{minisupport}$, and $\text{support}(S_1 \cup S_2) \neq 0$
 $\text{support}(S_1) \geq \text{minisupport}$, and $\text{support}(S_2) \geq \text{minisupport}$,
 (1) if $\text{confidence}(S_1 \Rightarrow S_2) \geq \text{minconfi}$, and $\text{lift}(S_1 \Rightarrow S_2) > 1$
 then $S_1 \Rightarrow S_2$ is a effective positive rule, X and Y is positively correlated with
 minimum confidence
 else
 (2) if $\text{confidence}(S_1 \Rightarrow \sim S_2) \geq \text{minconfi}$ and $\text{lift}(S_1 \Rightarrow \sim S_2) > 1$
 then $S_1 \Rightarrow \sim S_2$ is a effective negative rule, S_1 and $\sim S_2$ is positively correlated
 with minimum confidence.

Description of algorithm- 4

Here, Support of $(S_1 \cup S_2)$ less than minimum support, and support of $(S_1 \cup S_2)$ not equal to 0, minimum support of (S_1) higher than or equal to least support, and support of (S_2) more than equal to least support. If confidence of $(S_1 \Rightarrow S_2)$ more than or equal to minimum threshold of confidence, and lift of $(S_1 \Rightarrow S_2)$ more than 1 then $S_1 \Rightarrow S_2$ is an effective positive rule, S_1 and S_2 is positively correlated with minimum confidence. Else, if confidence of $(S_1 \Rightarrow \sim S_2)$ more than or equal to minimum value of confidence and lift of $(S_1 \Rightarrow \sim S_2)$ greater than 1 then $S_1 \Rightarrow \sim S_2$ is an effective negative rule, S_1 and $\sim S_2$ is positively correlated with minimum confidence.

3 Result and Discussions

The generated itemsets are summarized in Table 2. It has been observed that frequent itemsets are decreased with improving the minsup value. A gradual increase of the infrequent itemsets has also noticed as shown in Fig. 2. All the four types of association rules have implemented and corresponding results are recorded in Tables 3, 4, 5 and 6. These results can also be visualized with Figs. 3, 4, 5 and 6 respectively. Prediction can be made easily for the risk factor of a COVID-19 patient from these datasets. Here, {Cough \Rightarrow Shortness of breath} means “Shortness of breath” is experienced by a patient who is suffering from “Cough” and { ~Throat pain-Headache \Rightarrow ~Cough} means if “Headache” experienced by a patient is not having “Throat pain”, then he may not have “Cough” with high confidence.

Table 2 Generated numbers of frequent and infrequent items

“Support”	“Frequent” itemsets	“Infrequent” itemsets
0.1	14	21
0.15	10	25
0.25	8	27
0.3	6	29
0.4	4	31

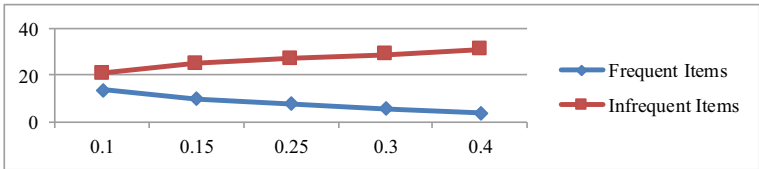


Fig. 2 Graphical representation of generated numbers of frequent and infrequent items

Table 3 [+ve] rules from frequent itemsets

Rules	“Support”	“Confidence”	“Lift”
{cough} {shortness of breath}	0.41	0.63	1.07
{shortness of breath} {fever}	0.39	0.67	1.15
{cough} {fever}	0.39	0.63	1.08
{body pain} {headache}	0.31	0.58	1.18
{cough} {body pain}	0.37	0.69	1.13