



Challenging Authorities

Ethnographies of Legitimacy and Power
in Eastern and Southern Africa

Edited by

Arne S. Steinforth · Sabine Klocke-Daffa

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*Dedicated
in love and gratitude
to the memory of
Rotraut Steinforth (1939–2020)
and
Gerhard Klocke (1931–2019)*

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This volume is the result of long-held and shared concern for investigating power and authority through an actor-centred lens that focuses strongly on solid ethnographic information to be analysed in a theoretically and/or conceptually sound fashion. For us as co-editors, the first tentative steps towards engaging with this endeavour were taken at the conference “Mo(u)vement”, which was hosted jointly by the International Union of Anthropological and Ethnological Sciences (IUAES) and the Canadian Anthropology Society (CASCA) in Ottawa, 2017. From that point onwards, many colleagues have contributed, in one way or another, to bringing this project to fruition, and we are grateful for the part they all played in transforming the initially explorative ideas that sparked the discussion into a more substantive, academic argument. The first to be gratefully acknowledged for their role in initiating this publication project are the participants of that Ottawa conference panel “By Whose Authority: Investigating Alternative Modes of Power and the Legitimization of Expertise”, namely Walter Callaghan, Yanti M. Hölzchen, Nora Danielson Lanier, and Arnal Maud, and especially panel co-organizer and facilitator Sandra Widmer. We greatly appreciate the conversations their presentations have fostered, and we consider ourselves fortunate to build on the larger questions that they helped to formulate.

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CONTENTS

Introduction: Investigating Authority and Its Legitimization in Contemporary Africa	1
Arne S. Steinforth and Sabine Klocke-Daffa	
Part I Power and the (Post)Colonial State	27
Whose State? Whose Nation? Representations of the History of the Arab Slave Trade and Nation-Building in Tanzania	29
Dmitri M. Bondarenko, Anastasia A. Banshchikova, and Oxana V. Ivanchenko	
Between Ethnicity and Medicine: Reinventing Legitimacy in Chokwe and Sukuma Chieftaincies	63
Koen Stroeken and Felix U. Kaputu	
Part II Contested Authorities and State Power	85
By What Authority? Cosmology, Legitimacy, and the Sources of Power in Malawi	87
Arne S. Steinforth	

<i>Bittamo: Authority, Legitimacy, and Duty in Kara, Southern Ethiopia</i>	121
Felix Girke and Dunga Nakuwa Batum	
In Search of Democracy: <i>Gadaa</i> as a Political Ideal, or the Legitimacy of Traditional Authority in Times of Turmoil and Unease	147
Andrea Nicolas	
Contested Authorities, International Experts, and the Quest for Social Justice: Negotiating Social Welfare in an African Setting	185
Sabine Klocke-Daffa	
Challenging Neotraditional Authority in Namibia	219
Mario Krämer	
Part III Power and Authority over Space	243
Changes in Ethnicity and Land Rights Among the !Xun of North-Central Namibia	245
Akira Takada and Erika Miyake	
San Traditional Authorities, Communal Conservancies, Conflicts, and Leadership in Namibia	267
Robert K. Hitchcock, Wayne A. Babchuk, and Judith Frost	
Sacred Spaces, Legal Claims: Competing Claims for Legitimate Knowledge and Authority over the Use of Land in Nharira Hills, Zimbabwe	293
Shannon Morreira and Fiona Iliff	
Part IV Conflict, (In)Justice, and Plural Legitimacies	317
A Magic Momentum: Negotiating Authority in the Bongolava Region, Madagascar	319
Peter Kneitz	

Ungoverned Spaces and Informalization of Violence: The Case of Kenya Police Reservists (KPRs) in Baragoi	347
Willis Okumu and Eric Mutisya Kioko	
Secrecy and Visibility: Challenging Verwoerdism in South Africa's Twentieth Century	375
Julia Koch	
Who Calls the Tune? Submission, Evasion, and Contesting Authorities in Ethiopian Refugee Camps	405
Magnus Treiber and Mulu Getachew Abebe	
Index	433

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LIST OF FIGURES

Whose State? Whose Nation? Representations of the History of the Arab Slave Trade and Nation-Building in Tanzania

Fig. 1	Exposition on slavery and the slave trade in the National Museum, Dar es Salaam. (Photo by Oxana V. Ivanchenko)	37
Fig. 2	Representation of slaves carrying an elephant tusk. In the background, there is a social advertisement against attitude towards agricultural labour as slave labour (the same poster as in Karavan Serai, Fig. 3). National Museum, Dar es Salaam. (Photo by Anastasia A. Banshchikova)	38
Fig. 3	Social advertisement against discrimination of descendants of slaves, Karavan Serai, Bagamoyo. (Photo by Anastasia A. Banshchikova)	40
Fig. 4	Figure of a porter at the entrance to Karavan Serai, Bagamoyo. The compound served as a hostel for free upcountry porters and a resting place for caravan owners, but locals mostly consider it to be a place for accumulation of slaves or a slave market. (Photo by Oxana V. Ivanchenko)	41
Fig. 5	Anglican Cathedral built by Bishop Edward Steere (Universities' Mission to Central Africa) on the former slave marketplace. Stone Town, Zanzibar. (Photo by Dmitri M. Bondarenko)	49
Fig. 6	Group sculpture commemorating the slave trade by the Swedish sculptor, Clara Sörnäs, on the former slave market premises, next to the Anglican Cathedral. Stone Town, Zanzibar. (Photo by Dmitri M. Bondarenko)	50

***Bittamo*: Authority, Legitimacy, and Duty in Kara, Southern Ethiopia**

- Fig. 1 Map of South Omo 126
- Fig. 2 Protective plants laid out by the *bitti* and his aides across a path into the village Dus during a conflict between Kara and Nyangatom, November 2006. (Photo by Felix Girke) 135

In Search of Democracy: *Gadaa* as a Political Ideal or the Legitimacy of Traditional Authority in Times of Turmoil and Unease

- Fig. 1 First *gadaa* bureau of the Tuulama, a rented office space. Bishooftuu town (Debre Zeyt), East Shewa (Oromiyaa), July 2008. (Photo by the author) 156
- Fig. 2 Construction of new *gadaa* centre (*galma gadaa*) in Bishooftuu town (Debre Zeyt), East Shewa (Oromiyaa), June 2011. The compound has a generous outlay, with open-air spaces and room for several office buildings. (Photo by the author) 158
- Fig. 3 *Gadaa* statue in an urban centre. East Shewa (Oromiyaa), July 2011. (Photo by Lise Rangnes) 159
- Fig. 4 *Gadaa* assembly at Odaa Nabee. Odaa Nabee, East Shewa (Oromiyaa), June 2017. Members of the *gadaa* of Meelbaa hold their assembly next to Meelbaa's *odaa* tree. (Photo by the author) 161

Contested Authorities, International Experts, and the Quest for Social Justice: Negotiating Social Welfare in an African Setting

- Fig. 1 View of Otjivero. (Photo by the author) 193
- Fig. 2 Otjivero women in front of their house. (Photo by the author) 194
- Fig. 3 Hierarchies and allegiance (Klocke-Daffa) 201

Challenging Neotraditional Authority in Namibia

- Fig. 1 Utuseb (Namibia), showing Topnaar monument and traditional office. (Photo by the author) 235
- Fig. 2 Topnaar community meeting in Utuseb (Namibia), October 2007. (Photo by the author) 236

Changes in Ethnicity and Land Rights Among the !Xun of North-Central Namibia

- Fig. 1 !Xun people weeding at the cooperative farm at Ekoka, 2000. (Photo by Akira Takada) 259

San Traditional Authorities, Communal Conservancies, Conflicts, and Leadership in Namibia

- Fig. 1 Photograph of Tsamxao #Oma and community members, 1992. (Photo by Paul Weinberg) 272
- Fig. 2 Map of N#u Jaqna and Nyae Nyae Conservancies, Namibia 273

A Magic Momentum: Negotiating Authority in the Bongolava Region, Madagascar

- Fig. 1 Distribution of the vigilantes Lambamena and Zazamainty in mid-western Madagascar 333
- Fig. 2 Zazamainty near the village Andranomadio, March 2018 (Photo by the author) 334
- Fig. 3 The Lambamena of the village Antanetibe, April 28, 2018 (Photo by the author) 335
- Fig. 4 Badge issued by the SSTC (Photo by the author) 337
- Fig. 5 Two Zazamainty, village Andriambe, November 2017 (Photo by the author) 338
- Fig. 6 Badge of a Lambamena, village Marovavy, March 21, 2018 (Photo by the author) 340
- Fig. 7 Lambamena with their magic mirrors at the village Antsahatanteraka, April 25, 2018 (Photo by the author) 341

Ungoverned Spaces and Informalization of Violence: The Case of Kenya Police Reservists (KPRs) in Baragoi

- Fig. 1 Map of the study area that represents Baragoi (Samburu North Sub-County) and indicates the locations (administrative units) of Samburu North Sub-County. (Illustration by the authors) 354

LIST OF TABLES

San Traditional Authorities, Communal Conservancies, Conflicts, and Leadership in Namibia

Table 1	San traditional authorities in Namibia	271
Table 2	Comparison of governance and land resource management strategies in two Tsumkwe district conservancies, N#u Jaqna and Nyae Nyae	283

Ungoverned Spaces and Informalization of Violence: The Case of Kenya Police Reservists (KPRs) in Baragoi

Table 1	Data on KPRs and their firearms in Samburu North Sub-County	357
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Introduction: Investigating Authority and Its Legitimization in Contemporary Africa

Arne S. Steinforth and Sabine Klocke-Daffa

1 AUTHORITY, POWER, AND LEGITIMACY IN A POST-FACTUAL WORLD

When the notion of ‘alternative facts’ and the alleged dawning of a ‘post-factual’ world first entered globalized public discourses in the aftermath of the 2016 U.S. presidential elections, many social anthropologists found themselves in unexpectedly familiar territory. To them, it may have felt as if their fieldwork had followed them back home. Every anthropologist whose research has confronted them with, for example, local conceptions of religion or witchcraft, allegedly ‘traditional’ (read: non-scientific) forms of healing, ‘traditional’ (read here: non-democratic) political structures,

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or similar local aspects of social life had to straddle the often-quoted cognitive dissonance that comes with apparently incompatible views on reality competing over what is accepted as factual. Fact, as ‘true knowledge’, derives its explanatory as well as political power from social mechanisms of legitimization, thereby demonstrating the deep and dubious interconnection between knowledge accepted as truth and power structures accepted as legitimate, further highlighting the sometimes troubling, uncomfortable experience of fact as a continually contested, volatile social category. Questions of what is and what ought to be considered as fact are inextricably tied to questions of authority, with any contestation of accepted ‘truth’ signifying a challenge to the authorities upholding it—and, in turn, upheld by it. With increased popular concerns of clandestine conspiracies pulling the wool over the global public’s eyes (see Lagalissee 2019; Rabo 2020; West and Sanders 2003), authorities—representing as well as legitimized by some degree of shared truth—are under intense, even anxious scrutiny. Authority itself, it seems, is in crisis.

With the numerous and obvious challenges—be they social, political, or more epistemological in nature—it entails, this perspective serves as the logical launchpad for taking a fresh, ethnographically informed, and theoretically inclined look at authority. For anthropologists—and especially those working in and on African societies—the juxtaposition, opposition, even outright competition between different postulated authorities is decidedly familiar territory that, at the same time, still warrants further in-depth analysis for these territories to be located on the global roadmaps of larger theoretical discussions.

2 CONCEPTUAL CLARIFICATIONS

Ethnographic investigations into the various dimensions of power and authority clearly demarcate the diverse levels that all need to be addressed in order to establish a deeper understanding of emic perspectives. As a rudimentary starting point, it may be helpful to constitute **power** not merely as the ability of an individual or group to influence or outright control the behaviour of people against all odds, as Max Weber proposes (1922, p. 28). Rather, power can be conceptualized to also include the use and (possible) manipulation of networks which are essential for the implementation of programs, ideas, and political concepts (see Adams 1977). And, as Michel Foucault convincingly demonstrates, power may prove itself equally oppressive as productive—because it is engaged in the

production of reality itself (Foucault 1977). The way power is and can be used therefore depends significantly on the **authority** granted and acknowledged by others. In the broadest sense, authority denotes a social relationship of superiority and subordination—an ‘accepted leadership’ exercised by individuals, governments, religious institutions, or scientific experts, to name some of the most obvious fields of application. And while **legitimacy** may be defined as the justification and acceptance of authority, not every form of power necessarily goes along with authority, and a claim for authority may well be conceived of as illegitimate. Furthermore, classificatory overlaps such as authoritative power, moral legitimacy without political power, and non-hierarchical authority (see discussion of the terms below) clearly demonstrate that any attempt at scientifically analysing power, authority, and legitimacy is far from a straightforward academic exercise. In order to develop a more ethnographically sound understanding of the specific social mechanisms of leadership and authority, we need to launch more in-depth investigations into the quality of social (and socio-cosmological) relationships and the acceptance as well as rejection of power in context.

Much has already been accomplished. Within the past two decades or so, the literature on legitimacy and authority in African postcolonial settings has proliferated, fuelled by the dynamics of ever-faster processes of globalization. The internationalization of research and the growth of interdisciplinary cooperation have contributed substantially to bridging the divide between social and political sciences. This has enabled researchers to focus on political processes and actors from different scientific perspectives, taking a look at ‘traditional’ systems and forms of neo-traditional authority as well as the particularities of modern governance, and conducting multi-level research on the micro as well as the macro levels of analysis (see, e.g., Herbst 2014, Oomen 2005, and edited volumes by Adejumbi 2018; Koechlin and Förster 2015; Vaughan 2005).

What has somehow been neglected are the *answers, solutions, and counter-models* initiated by those we are dealing with: the alternative, resistant, creative, subversive, sometimes oppressive, sometimes liberating, manifest or clandestine *ways of acting* with, within, or against power relations. Whereas the details of institutional settings and processes of doing politics have been intensively studied, the **actors** often remain somehow distant, underexposed, and reduced to bit parts in a larger victim-perpetrator dichotomy that results from binary perspectives on power as a zero-sum game pitting the Powerful against the Powerless. It, therefore,

seems analytically expedient and scientifically productive to focus the investigation on the groundwork processes of **authority-building**, **power-holding**, and **legitimacy-claiming**. Given the fact that globalized news (whether ‘true’ or ‘fake’) are increasingly flooding even the most remote places of the world, that equally globalized but often incomprehensible expert knowledges infringe on the bastions of political decision-making, and that nation-states claiming legitimacy often lack the means (or political will) to act as engaged authorities for and with their citizens, the simple and yet ultimately complex question is: how do these dynamics play out and intersect in real human lives? As anthropologists dedicated to taking people seriously, we must look into the options that are tentatively and ostentatiously exercised for better or worse, the **concepts** hidden behind accepted authorities, and the explanations given as to the **sources** of power. To be sure: this exercise runs the risk of crossing the line of what is considered acceptable within Western democracies. It may seem to turn history upside down, proclaim the truth of shady correlations formerly and conveniently dismissed as primitive or superstitious, or invoke secret knowledge to legitimize a demonstrably unjust ideology against the many for the sake of individual profit or a ‘just cause’.

To consider all details of ethnographic record as holding equal value is a genuinely anthropological approach. Applying such a holistic perspective allows for a hermeneutical analysis that may lead us to understand the crucial specificities of social context. This epistemological interest thus defines the overall question to this volume as **How are power relations exercised or leveraged according to emic constructions of authority and legitimacy?** Within this overall framework, guiding questions include: How do contested forms of power and authority merge into new regimes of legitimacy? Who are the actors, and what are the resources (e.g. religious, political, economic, media-related) that are appropriated, activated, or transformed? Which are the social institutions actively involved in negotiating legitimization and delegitimization? And what are the specific conceptual frameworks underlying such processes?

The contributions collected in this volume explore the variety of ways in which authority is defined and contested in African societies, particularly with the expansion of global institutions and the rapid spread of universalizing forms of knowledge. Generating heterogeneous realities across different settings, these globalized developments urge questions concerning which institution, what kind of knowledge, or whose expertise is accepted as authoritative—questions indicating complex **processes of**

negotiation that highlight the specificities and pluralities in contemporary societies. The inherent contradictions between what is perceived as local vis-à-vis global forms of knowledge, between different discourses vying for social acceptance and legitimacy, characterize these developments as an open-ended process that questions traditions, creates spaces, transforms hierarchies, and re-prioritizes values.

3 AUTHORITIES IN CONTEXT

Like its numerous neighbouring disciplines, anthropology has a long and colourful history of engaging with notions of authority. From the early to mid-twentieth century onward, anthropological work started to apply the concept to analyse cultural structures of community and kin-group leadership within empirical as well as comparative perspectives (e.g. Asad 1970; Doctorow 1963; Miller 1955; Richards 1964; West 1998; Willis 2001), sometimes qualifying their focus as ‘political authority’ (e.g. Ember 1962; Freeman 1964; Lindholm 1986) or as explicitly religious in character (e.g. Beidelman 1971; Bloch 1974; Middleton 1960).

The fundamental recognition of authority as always *socially constructed* rather than normatively predefined, as culturally validated and individually more often contested than accepted, is the result of a long and arduous process that required the turnover of cherished analytical models as well as critical self-reflection and painful self-exposure on the part of Eurocentric academia itself. In the early days of social anthropology, modernist paradigms underlying European/North American efforts to chart its global Other led to a categorical and systematic dismissal of local knowledge systems as untrue, as representations of a ‘pre-factual’ world that had not entered the ostensibly enlightened, rational, disenchanted era of scientific investigation and falsification. Social evolutionist frameworks and their ethnocentric, ideological rationalization of cultural difference as indicative of ‘higher’ versus ‘lower’ levels of social development consistently identified non-European knowledge systems (Comte 1853), structures of political organization (Tylor 1871), technologies and social institutions (Morgan 1877), cosmologies (Frazer 1890), and other aspects of life as structurally inferior to their European (and, by global extension, colonist) counterparts.

Even after the long overdue deconstruction of evolutionist metanarratives in social anthropology, a number of theoretical schools within the social sciences continued to entertain broadly neo-evolutionist

perspectives. For a long time, classical modernization theory postulated modernization as a process that—through necessary mechanisms such as industrialization, urbanization, democratization, rationalization, secularization, and individualization—transformed formerly ‘traditional’ societies into ‘modern’ ones (see Parsons 1966; Weber 1930). When social sciences finally started questioning these ideological bastions of Western exceptionalism by assessing that “we have never been modern” (Latour 1993) or that “we have always been modern—sometimes” (Stroeken 2010, p. 16), some of the foundational tenets of the Western construction of the world became untenable—including classificatory dichotomies of a First and Third World, of developed and underdeveloped (or developing) countries, of the West and the Rest (Hall 1992; Kahn 2001; Nader 2015).

In its wake, the demystification of modernity further entails dispensing with obsolete notions of **tradition** and the all too colonial ideologies they codify. Whereas many contemporary African idioms continue to argue in terms of ‘traditional authorities’, ‘traditional healers’, or ‘local tradition’, these references reveal themselves as strictly discursive, relative markers that offer insights into local narratives of continuity and discontinuity, of Own and Other—rather than ‘true’, analytically solid representations of a presumed stable, ahistorical, primordial status quo. With the axiomatic redefinition of all tradition as ‘invented’ (see Hobsbawm and Ranger 1983), any analysis of local ‘traditions’ is transformed into an effort at unravelling the historical boundedness and fluidity that governs strategic allocations of what sets the old and endemic features of society apart from the new and foreign.

This same general consideration also applies to the notion of the **tribe**, that stereotypical, dead yet undying model of African social structure that has featured as a long-time epithet of African ‘tradition’. Whether referred to as ‘tribes’ or, arguably less primitivizing, as ‘ethnic groups’, these demographic entities should be understood, not unlike nations, as imagined communities (Anderson 1983). As socially constructed and largely colonial institutions, they are grounded not in immovable empirical factualities but in historical contingencies, ideological conventionalities, and political instrumentalities. The notion of tribalism or ethnicity thus continues to inform local articulations of shared identity and difference in many parts of Africa. It needs to be understood within this area of conflict: of its character as ‘genuinely African’ idiom of social classification with very real impact on political organization and national distribution and negotiation of power on the one hand, and as a historical artifact and remnant of the

colonially expedient ‘creation of tribes’ (Iliffe 1979, p. 318–341; Vail 1989) that “lay at the heart of indirect rule” (Ranger 1983, p. 250) in many parts of colonized Africa on the other. Nevertheless, the notion of ‘tribe’ is a blurred category experiencing something of a renaissance in recent decades, proactively brought back to the surface and used for delimitation by those, of all people, who should never have been designated by the seemingly colonial term of ‘tribes’ in the first place—such as Namibian Nama groups referring to each other as tribes (in Afrikaans: *stamme*) and all those who use the term within the political contexts of tribal councils, tribal communal lands, and tribal authorities. Anthropologists may not be too pleased about this kind of seemingly politically incorrect wording.

Based on these general considerations, authority—as the expression of specific social strategies of allocation and contestation exposed to competing local discourses on ‘tradition’ and innovation, legitimacy and illegitimacy, conditionality and illimitability—emerges as a category in need of careful investigation. Analytically, academic definitions of the term have been far from unified and, in many cases, far from coherent. While most theoretical contributions define **authority** somewhere in the larger conceptual vicinity of power, there seems to be no general consensus as to the precise relationship between the two. Some consider authority as power legitimized (Weber 1922), as legitimacy and meaning rendered onto power itself (Arendt 1970, 1961; Furedi 2013), or as a specific technique of power in one of its many dimensions (Lukes 1974), yet others regard the two as fundamentally opposed, even mutually exclusive principles (Fried 1967; Skalník 1999, 1996). Other authors use the terms almost interchangeably, insisting that “Authority over others may be acquired by superior force, inherited office, material generosity, or other means; but the power to do or be so is itself deemed that of ancestors, gods, or other external metapersons who are the sources of human vitality and mortality” (Graeber and Sahlin 2017, p. 3). However, the concept of authority remains contested territory when contrasted with notions of legitimacy. While some authors continue to understand authority as society’s collective frame of reference by which normativity is defined (Durkheim 1974), others argue that authority is indeed independent from notions of morality and legitimacy (Sennett 1980). That dilemma seems tentatively resolved by J. Michael Williams’ (2010) notable contribution that analyses authority entirely through the interplay between the two alternative strategies of moral vis-à-vis performative legitimacy. Overall, however, most scholarly

applications of the term have—implicitly or explicitly—maintained the Weberian understanding that, without being legitimized within society, authority degenerates into sheer, ‘brute’ power based on oppression and violence.¹

In an ever-transforming and pluralistic global environment, the analytical distinction between legitimate/consensual versus illegitimate/oppressive power is nowhere near as simple as we might like it to be. According to Stanley Barrett, authority—in the sense of legitimized and institutionalized power—“is essentially ideology which serves the interests of the class or party capable of defining what is legitimate. In other words, authority looks very much like manipulation, because it depends on members of the other classes unwittingly acquiescing to interests that are not their own” (Barrett 2002, p. 68). With classical concepts in the analysis of power—such as cultural hegemony (Gramsci 1971), power/knowledge (Foucault 1980, 1977), cultural and social capital (Bourdieu 1986), or tactical and organizational power (Wolf 1990)—jumping to mind, we find ourselves once again thrown back on the social specificities: on the exact processes by which societies construct their own realities, including their ostensibly preordained power structures and the allegedly primordial truths they represent.

When conceived of not as an apriori, quasi pre-cultural fact—and ontological given of human existence—but as the result of specific and localized processes of social construction (see Berger and Luckmann 1966), power, authority, and legitimacy dissolve into what, for the sake of a meaningful ethnographically centred analysis at the very least, they should be: features of society, grounded in specific historical processes, and increasingly confronted with alternative versions of themselves, global and local, struggling for their share in a truly global marketplace of ideas. It is here that the present volume seeks to latch on to the discussion.

¹ Accordingly, those anthropology textbooks that do offer a definition of authority tend to specify it in terms of a “right to make and enforce public policy” (McCurdy et al. 2016, p. 217), a status of being “recognized by a political community to make decisions on their behalf” (Kurtz 2001, pp. 40–41), or the “use of legitimate power” (Muckle and González 2016, p. 269).