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Advances in Microbiology, Infectious Diseases and Public Health

Gianfranco Donelli *Editor*

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Gianfranco Donelli
Editor

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Novel Polycationic Photosensitizers for Antibacterial Photodynamic Therapy

G. A. Meerovich, E. V. Akhlyustina, I. G. Tiganova, E. A. Lukyanets, E. A. Makarova, E. R. Tolordava, O. A. Yuzhakova, I. D. Romanishkin, N. I. Philipova, Yu. S. Zhizhimova, Yu. M. Romanova, V. B. Loschenov, and A. L. Gintsburg

Abstract

Antibacterial photodynamic therapy (APDT) is a promising method of treating local infected foci, in particular, surgical and burn wounds, trophic and diabetic ulcers. Photodynamic inactivation (PDI) is able to effectively destroy bacterial cells without them developing resistance in response to treatment.

This work was dedicated to the study of photophysical and antibacterial properties of new photosensitizers (PS) based on polycationic phthalocyanines and synthetic bacteriochlorins for photodynamic

inactivation of *P. aeruginosa* bacteria and their biofilms. Gram-negative bacteria *P. aeruginosa* are often found in infected wounds, presumably in biofilm state and are characterized by rather low susceptibility to APDT, which is a problem. PS were studied for possible aggregation at various concentrations by means of absorption and fluorescence spectroscopy. The results of studies of the $ZnPcChol_8$, $(3-PyHp)_4BCBr_4$ and $(3-PyEBR)_4BCBr_4$ in water and serum confirm the assumption of a low degree of their aggregation at high concentrations.

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Consequently, their photodynamic efficiency is high enabling to use these PS at high concentrations to sensitize pathological foci for APDT.

It was shown that all the investigated PS had a high efficiency of photodynamic inactivation of Gram-negative bacteria *P. aeruginosa*, as well as their biofilms. Tetracationic hydrophilic near-infrared photosensitizer (3-PyEBr)₄BCBr₄ with reduced molecule size had significantly higher efficacy of photodynamic inactivation of *P. aeruginosa* biofilms compared with other studied photosensitizers.

Keywords

Aggregation · Antibacterial photodynamic therapy; cationic photosensitizer · Bacterial biofilms · Bacteriochlorins · Luminescence

Abbreviations and Symbols

APDT	antibacterial photodynamic therapy
PDI	photodynamic inactivation
PS	photosensitizer
<i>P. aeruginosa</i>	<i>Pseudomonas aeruginosa</i>
<i>S. aureus</i>	<i>Staphylococcus aureus</i>
<i>A. baumannii</i>	<i>Acinetobacter baumannii</i>
<i>K. pneumoniae</i>	<i>Klebsiella pneumoniae</i>
ZnPcChol ₈	zinc octakis(cholanyl)phthalocyanine
(3-PyEBr) ₄ BCBr ₄	<i>meso</i> -tetrakis[1-(2'-bromoethyl)-3-pyridyl]bacteriochlorin tetrabromide
(3-PyHp) ₄ BCBr ₄	<i>meso</i> -tetrakis(1-heptyl-3-pyridyl)bacteriochlorin tetrabromide
Pc	phthalocyanine
Da	unified atomic mass unit or Dalton
CFU/ml	Colony Forming Units per milliliter
MBC	Minimal Bactericidal Concentration

Φ_F	quantum output of fluorescence
Φ_Δ	quantum yields of singlet oxygen
DNA	deoxyribonucleic acid
LB	Luria-Bertani medium

1 Introduction

Infected long-term non-healing complicated wounds of the skin and mucosa, trophic ulcers, pressure sores, ulcers of diabetic feet, represent serious problems for their treatment, especially in the case of resistant and multi-resistant pathogens. Approximately 1% of the population in both developed and developing countries faces these diseases (Bjarnsholt et al. 2011). In recent years, evidence has been obtained of the association of chronicity of wounds with biofilms, as well as with the presence of polymicrobial communities in the wound (Newton H et al. 2017). The exopolymer matrix of biofilms protects bacteria, in particular, from the effects of drugs and host immune defence factors, which complicates treatment. The main pathogens of wound infections are *Pseudomonas aeruginosa* (*P. aeruginosa*), *Staphylococcus aureus* (*S. aureus*), *Acinetobacter baumannii*, *Klebsiella pneumoniae*, which can occur in the form of microbial associations. Antibiotic treatment in the case of a mature biofilm often gives only a temporary result, and even extensive debridement of the wound in combination with skin transplantation does not lead to rapid healing. Experts raise the question of the need for more effective methods of treating wounds (Bjarnsholt et al. 2011).

Antibacterial photodynamic therapy (APDT) is a promising way to treat infected surgical and burn wounds, trophic and diabetic ulcers (Park et al. 2011; Bertoloni et al. 1992; Percival et al. 2014) using light irradiation of lesions. The activation of photosensitizer (PS) by light, accompanied by the formation of singlet oxygen and other reactive oxygen species, leads to

multiple oxidative destruction of different subcellular structures of pathogens. Photodynamic process is able to effectively inactivate bacterial cells without developing resistance in response to the treatment, and, thanks to local irradiation, does not affect the normal microflora of the patient, as opposed to antibiotics (Nakonieczna et al. 2010; Tavares et al. 2010). The resistance to PS and APDT has not been detected even after 20 consequent cycles of the bacterial flora partial destruction followed by its regrowth (Hamblin and Hasan 2004; Vera et al. 2012). Almost all pathogenic microorganisms, including antibiotic-resistant strains of bacteria, are susceptible to APDT (Maisch 2015).

Chronic wound infections are often accompanied with biofilm formation, which are usually multi-species and include both Gram-positive and Gram-negative bacteria (Almeida et al. 2011). An effective PS must kill both Gram-positive and Gram-negative bacteria in planktonic as well as in biofilm state. Gram-positive and Gram-negative bacteria have fundamental differences in structure and charge of their cell wall, so they differ in sensitivity to drug effects. The sensitivity of Gram-negative bacteria to APDT is much lower compared to Gram-positive bacteria. The cell wall of Gram-positive bacteria has a relatively high degree of porosity. It is not a barrier for penetration by most PS, the molecular mass of which usually does not exceed 1500–1800 Da. The cell wall of Gram-negative bacteria prevents the penetration of large molecules and facilitates the resistance to many drugs (Nikaido 1994). Therefore, the inactivation of Gram-negative bacteria is the most important and difficult target for photodynamic treatment of infected foci (Maisch 2007).

Only polycationic PS with a rather small molecular mass effectively interact with Gram-negative bacteria. The efficiency of bacteria photodynamic inactivation depends on the properties of PS molecules – molecular mass (Meerovich et al. 2018), charge (number of cationic groups) (Simões et al 2016), photodynamic properties, in particular, the singlet oxygen quantum yield. The most likely mechanism ensuring the association of cationic PS with microbial cells is the

electrostatic interaction of positively charged molecules with negatively charged sites of the cell walls.

Many studies of the photodynamic inactivation of Gram-negative bacteria were carried out using cationic phthalocyanines and bacteriochlorins

The cationic phthalocyanines are described in literature, carrying the positively charged substituents (Minnock et al. 1996; Griffith et al. 1997; Soncin et al. 2002; Vecchio et al. 2013; Roncucci et al. 2014; Ke et al. 2014; Spesia and Durantini 2013; Cakir et al. 2015; Colak et al. 2016; Zheng et al. 2013; Mantareva et al. 2013; Osifeko et al. 2015; Di Palma et al. 2015; Lourenço et al. 2015; Rocha et al. 2015; Segalla et al. 2002).

To ensure proper bacteria inactivation it is necessary to use high concentrations of PS during sensitization. The aggregation of tetrapyrrole molecules (van Lier and Spikes 1989; Moan 1984; Chowdhary et al. 2003) leads to a decrease in the intensity of absorption, the lifetime of the excited state of the PS, the quantum yield of fluorescence, as well as a change in the shape of the spectral absorption and fluorescence contours. The Coulomb repulsion of cationic PS molecules can partially diminish the aggregation. An increase in the number of substitutions (n) leads to a decrease of phthalocyanine aggregation degree in aqueous solutions and, consequently, to an increase of the quantum yield of fluorescence and singlet oxygen (Makarov et al. 2007, 2009; Strakhovskaya et al. 2009; Zhang et al. 2015). The rise of cationic phthalocyanines bactericidal photoactivity with n increase may be due both to the dissociation of inactive dimer complexes and increasing the efficiency of bacteria binding. As a result of our study it was found that octacationic zinc octakis(cholinyl)phthalocyanine $ZnPcChol_8$ has the highest bactericidal photoactivity (Kuznetsova et al. 2006; Yakubovskaya et al. 2015).

In bacterial biofilms, bacteria are additionally protected by a matrix, which significantly reduces the efficiency of photodynamic inactivation, primarily due to the fact that the matrix limits the diffusion of PS molecules and oxygen to bacteria.

The components of the matrix bind a significant part of photosensitizer molecules, including cationic ones, since the volume of the matrix is significantly larger than the total volume of bacteria. Therefore, a significant part of the light is absorbed by the sensitized matrix, consequently, a lot of photodynamic acts are realized at a distance from bacteria exceeding the free path of singlet oxygen ($>1 \mu\text{m}$), so it doesn't reach the bacterial cells.

It should be taken into account that the depth of the foci infected by *P. aeruginosa* can reach 12–15 mm (Bjarnsholt et al. 2011). Therefore, for the proper photodynamic effect on such foci, it is necessary to use PS, the excitation of which is carried out in the 720–850 nm spectral range – so called “biological tissue transparency window”. Moreover, bacteria *P. aeruginosa* produce a number of pigments (in particular, pyocyanin, pyoverdine, pyorubrin, pyomelanin) in the course of their life in wounds (El-Fouly et al. 2015). The presence of these pigments in wound discharge leads to a large absorption in the 660–740 nm spectral range. Therefore, APDT with photosensitizers of the red spectral range may be ineffective due to the large losses of exciting light caused by absorption by both pigments and hemoglobin in host tissues. Thus, for the proper APDT of such foci, it is better to use the cationic PS excited in the 750–850 nm spectral range. That is why synthetic cationic derivatives of bacteriochlorins with intense absorption in near infrared spectral range of 760–850 nm are very promising as PS for APDT. Technological approaches proposed in (Mass and Lindsey 2011; Huang et al. 2010; Schastak et al. 2008, 2010; Dudkin et al. 2013a, b, 2014) allow the cationic derivatives of synthetic bacteriochlorins to be created with charges number from +4 to +8. The studies conducted on tetracationic and octacationic derivatives (Dudkin et al. 2013a, b) with a molecular weight of about 1500–1800 Da showed (Meerovich et al. 2016; Tiganova et al. 2017) that the PS can be used for the photodynamic inactivation (PDI) of Gram-positive bacteria *S. aureus* and Gram-negative bacteria *P. aeruginosa*. For these PS the values of their

Minimal Bactericidal Concentration (MBC) were determined, which completely prevented the growth of bacteria.

This work was dedicated to the study of photophysical and antibacterial properties of new PS based on polycationic synthetic derivatives of phthalocyanines and bacteriochlorins designed for PDI of Gram-negative *P. aeruginosa* bacteria and their biofilms.

2 Materials and Methods

2.1 Synthesis of Photosensitizers

In our study, the original photosensitizers for photodynamic inactivation of bacteria and bacterial biofilms based on polycationic tetrapyrrole derivatives were synthesized in Organic Intermediates and Dyes Institute (Russia): zinc octakis(cholinyl)phthalocyanine ZnPcChol_8 with molecular mass 1678 Da, tetracationic bacteriochlorin derivatives: *meso*-tetrakis[1-(2'-bromoethyl)-3-pyridyl]-bacteriochlorin tetrabromide (3-PyEBr)₄BCBr₄ with molecular mass 1374 Da and *meso*-tetrakis(1-heptyl-3-pyridyl)-bacteriochlorin tetrabromide (3-PyHp)₄BCBr₄ with molecular mass 1339 Da (Fig. 1).

They showed high effectiveness in photodynamic inactivation of antibiotic resistant strains of Gram-negative bacteria (Vorozhtsov et al. 2006a, b; Meerovich et al. 2018).

The proposed method of synthesis of ZnPcChol_8 is presented in Fig. 2. The synthesis includes the chloromethylation of unsubstituted metal phthalocyanine and subsequent treatment of chloromethylated product with tertiary amines. In the case of diamines the resulting product was treated with methyl iodide doubling the number of cationic centres in the molecule.

The starting zinc phthalocyanine (ZnPc) was synthesized with yield of around 70% by heating the mixture of zinc acetate, *o*-phthalonitrile, N, N-dimethylaminoethanol and dimethylsulfoxide at 125–127 °C with subsequent dilution of chilled reaction mass by methanol, filtration of product and its multiple treatment with hot methanol

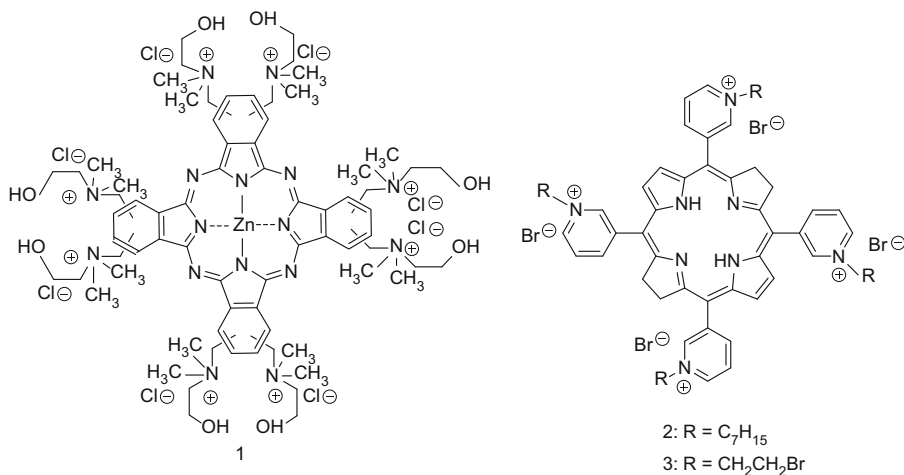


Fig. 1 The structures of the studied PS: 1 – ZnPcChol₈ (left); 2 – (3-PyHp)₄BCBr₄; 3 – (3-PyEBR)₄BCBr₄ (right)

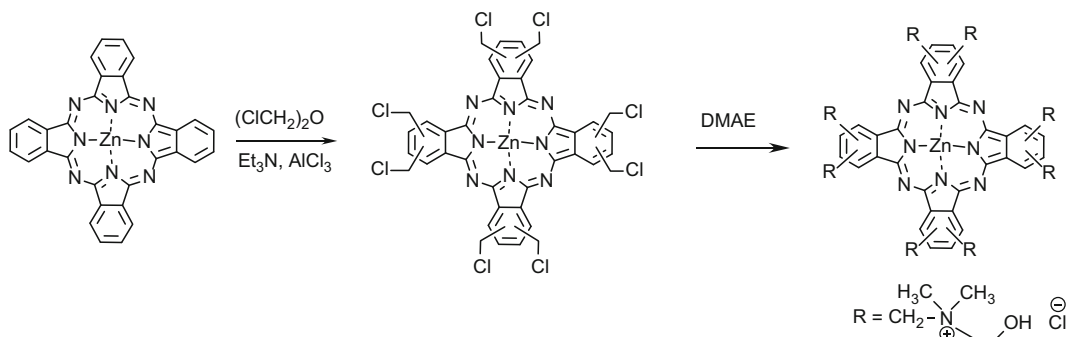


Fig. 2 The scheme of the synthesis of ZnPcChol₈

(Yuzhakova et al. 2005). We have developed the method of chloromethylation of ZnPc using a mixture of aluminum chloride, paraform and thionyl chloride at a temperature of 85–95 °C. This method allows high yield (above 90%) preparation of chloromethylated ZnPc with 7–8 substituents (Yuzhakova et al. 2010).

Tetracationic bacteriochlorin derivatives have been synthesized by alkylation of *meso*-tetra (3-pyridyl)bacteriochlorin with 1,2-dibromethane or heptyl bromide, respectively, in nitromethane in an inert atmosphere for 2 h (Makarova et al. 2018; Nevenon et al. 2018) (Fig. 3).

Compared to the tetracationic bacteriochlorins synthesized and studied by us earlier (Dudkin et al. 2014, Tiganova et al. 2017), the new PS

have reduced molecular mass due to a decrease in the length of the alkylene chain from 4 to 2 methylene units in hydrophilic (3-PyEBR)₄BCBr₄ and from 11 to 7 carbon atoms in alkyl groups for amphiphilic (3-PyHp)₄BCBr₄.

2.2 Photophysical Studies of PS

The studies of the hydrophilic substances ZnPcChol₈ and (3-PyEBR)₄BCBr₄ were carried out in aqueous solutions, amphiphilic substance (3-PyHp)₄BCBr₄ was solubilized in 4% dispersion of Kolliphor ELP (BASF).

New PS were investigated *in vitro* for possible aggregation at various concentrations by means of absorption and fluorescence spectroscopy. The

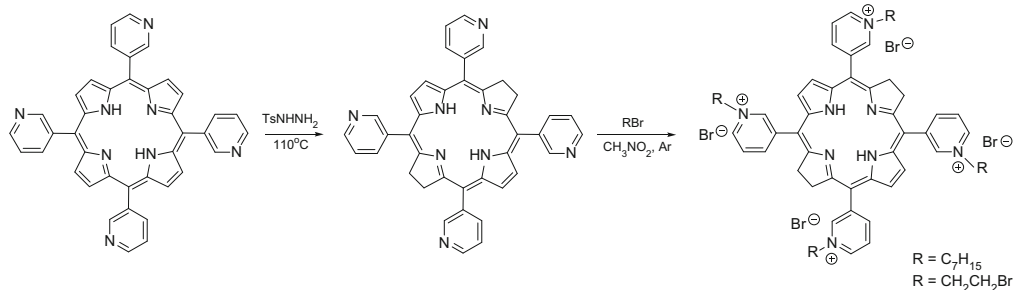


Fig. 3 The scheme of the synthesis of tetracationic bacteriochlorin derivatives

PS absorption in the concentration range from 0.001 mM to 0.1 mM was studied using a two-beam spectrophotometer “Hitachi U-3410” (Hitachi, Japan). Spectral-fluorescence studies were performed using a spectrum analyser “LESA-01-Biospec” (BIOSPEC, Russia). The fluorescence was excited by CW laser radiation at the wavelength of 532 nm (the second harmonic of the neodymium laser) coinciding with the Q_2 -band of bacteriochlorin, and He-Ne laser with wavelength of 632.8 nm. To analyse the changes in the shape of the fluorescence spectral band of the PS, the measurements were performed in the cells of various lengths (1 mm and 10 mm). The shapes of the fluorescence spectral contours were calculated by dividing the intensity of the spectral signals by the intensity of their spectral maxima (so called “normalized fluorescence intensity”).

For the evaluation of luminescence lifetime of PS the Hamamatsu streak-camera with a time-resolution was used. It comprised a laser source Picosecond Light Pulser “PLP-10” (Hamamatsu, Japan) with fibre optic output generating at the wavelength of 637 nm with a pulse duration of 65 ps and a polychromator with fibre optic input and Semrock optical filter “LD 01–785/10–12.5” or Omega Optical “660LP RapidEdge” inlet that transmits only the spectral region of luminescence band of bacteriochlorins or phthalocyanines (Bystrov et al. 2016). The image of the output slit of the polychromator was projected on the photocathode of the streak-camera, which included Streak scope “C10627–13” (Hamamatsu, Japan) with an adjustable time scan from 1 ns to 10 ms in the direction

perpendicular to the image of the slit. The image from the output screen of Streak scope was received by the input of Digital CCD Camera “C9300–508”. The synchronous delay generator “C10647–01” with a repetition rate of up to 1.6×10^7 Hz, synchronized the launch of the laser source and a Streak scope, allowing registering fluorescence signal with a spectral decomposition, and time base. The time-correlated counting method of single photons was used in the measurement process. The signal was recorded on a 5 ns time scan. The received signal was approximated by the function of two exponents with high accuracy of the approximation (5%).

2.3 Microbiological Studies

The microbiological studies were carried out on *P. aeruginosa* 32 and *P. aeruginosa* 21 clinical isolates. Bacteria were cultivated in LB-broth and 1% LB-agar (Difco, USA).

For the study of the photoinactivation of planktonic bacteria 18 h stationary phase broth culture was diluted 1:20 in LB broth and incubated 1.5 h at 37 °C with aeration. Bacterial suspension in saline was prepared with the initial content of bacteria 1×10^8 CFU/ml (Colony Forming Units per ml) by optical density. PS at different concentrations in water solutions were added in equal volumes to Eppendorf tubes with aliquots of bacterial suspension. After incubation with PS at 37 °C bacterial suspensions were centrifuged, supernatant was discarded and bacteria were resuspended in saline, aliquots of

100 μl from all samples and control (without PS) were placed in 96-well flat-bottom plates, one of them was irradiated, the other served as an unirradiated (“dark”) control, every point in triplicate. The “SPD-M-685 LED” and “SPD-M-760 LED” light sources (BIOSPEC, Russia) at the wavelength of 685 and 760 nm were used for irradiation. “Coherent labmax” meter was used to control the power density. After irradiation, five 10-fold dilutions were prepared from every well and 20 μl from every dilution were plated on Petri dishes with LB agar. A day after, colonies were counted, amount of viable bacteria was estimated as CFU/ml, and means of 3 plates were plotted as a graph. It was estimated for standard conditions: incubation of bacteria with PS for 30 min, the dose of light 20 J/cm².

For estimation of bactericidal activity of PS on biofilms, the bacteria were grown for 20 h at 37 °C in 100 μl of LB in the wells of 96-well flat-bottom plates. Culture medium was replaced with water solution of PS at the desired concentration (every point in triplicate) and water for control and after 1 h incubation in the dark at 37 °C, PS was replaced with 100 μl of saline. Irradiation was performed with light dose 100 J/cm². To disaggregate biofilms, 11 μl of multienzyme substance ENZY MIX (BFR LABORATORIES, Russia) was added to every well for 10 min. The same procedures were performed for dark control plate. Five 10-fold dilutions were prepared from every well and 20 μl from every dilution were placed on Petri dishes. A day after, colonies were counted and the amount of viable bacteria was estimated as CFU/ml.

For spectral analysis of pigments production by biofilm, bacteria were grown in 20 ml of LB broth in Petri dishes for 1 or 3 days. Then the content of dishes was transferred into centrifuge tubes, vigorously vortexed and centrifuged at 7000 RPM for 15 min. Supernatant was filtered through 0,22 μ Millipore filter and vigorously shaken for good aeration before measurement.

Fluorescent microscopy technique was used for visualization of PDI results. The 18 h stationary broth culture was diluted 1:20 with fresh LB and added to glass cover slips. Young biofilms were formed in 3 h at 37 °C, then they were washed with distilled water and 50 μM of PS in

water solution was added (the same volume of water was added for control). After 30 min of incubation in the dark, biofilms were washed 3 times with distilled water and irradiated with LED light sources mentioned above, except for the dark control. The glasses with biofilm were stained with Live/Dead Biofilm Viability Kit (Invitrogen Corp., USA) as specified, for 15 min. Pictures were taken and analyzed using fluorescent microscope Nikon H600L (Eclipse Ni) (Nikon Corp., Japan) using 20 \times objective, with subsequent overlay of images acquired with green and red filters.

3 Result and Discussion

3.1 Photophysical Studies

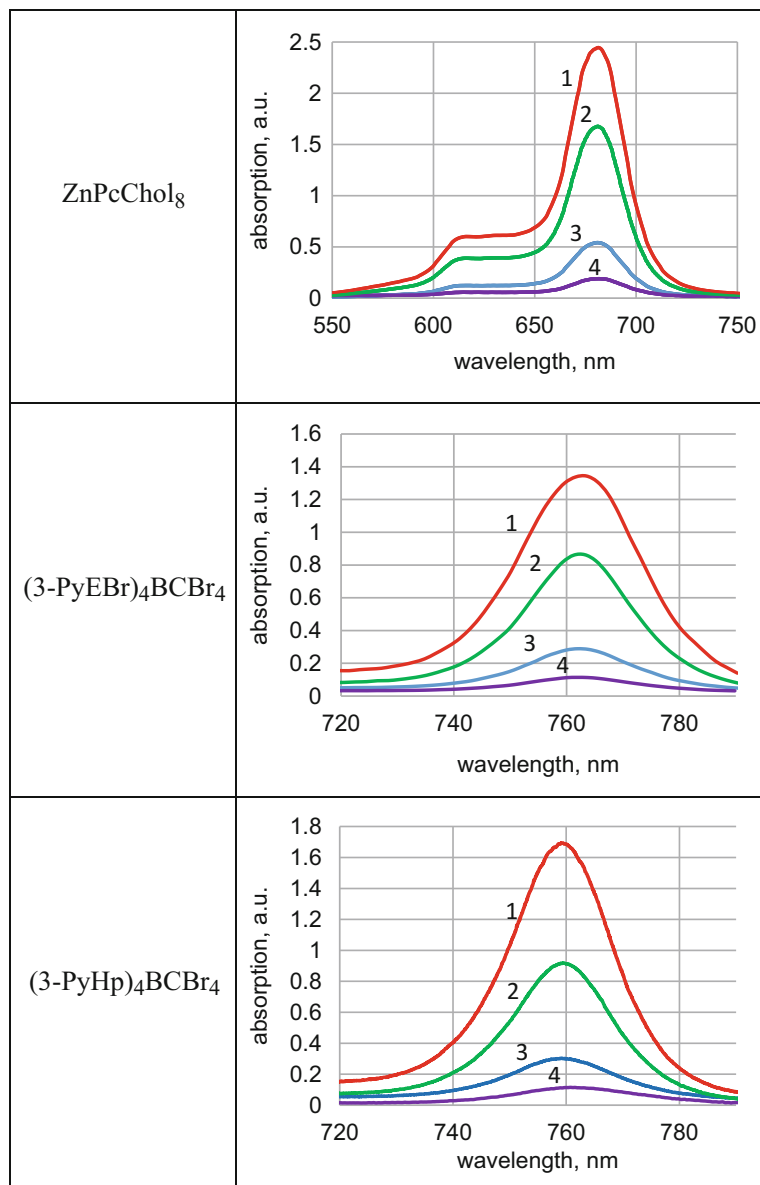
The absorption spectra of ZnPcChol₈, (3-PyHp)₄BCBr₄ and (3-PyEBR)₄BCBr₄ (Fig. 4) with a maximum wavelengths of about 677, 763 and 759 nm had a narrow band (34 nm for ZnPcChol₈, 28 nm for (3-PyHp)₄BCBr₄ and (3-PyEBR)₄BCBr₄).

The shape of the absorption spectra did not change with increasing concentration. The bathochromic shifted dimer band (Makarov et al. 2009) was absent in ZnPcChol₈ spectrum, as well as in (3-PyEBR)₄BCBr₄ and (3-PyHp)₄BCBr spectra even at high concentrations. The absorption dependences on PS concentration was linear (Fig. 5). It agreed with extinction values determined at low concentrations. The experimental studies showed that the signs of aggregation in the absorption spectra behaviour of the studied octacationic phthalocyanine ZnPcChol₈ and tetracationic bacteriochlorin derivatives (3-PyEBR)₄BCBr₄ and (3-PyHp)₄BCBr₄ were not observed in the wide range of concentrations, up to 0.2 mM.

The results of PS absorption studying suggest that the degree of their aggregation at high concentrations was rather low.

As was shown in (Juzenas et al. 2004), the fluorescent properties of PS are more sensitive to aggregation than absorption properties. The fluorescence spectra of studied polycationic

Fig. 4 The absorption spectra of ZnPcChol₈, (3-PyEBr)₄BCBr₄ and (3-PyHp)₄BCBr₄ at various concentrations: ZnPcChol₈: 1–0.15 mM; 2–0.1 mM; 3–0.03 mM; 4–0.01 mM. (3-PyEBr)₄BCBr₄ and (3-PyHp)₄BCBr₄: 1–0.2 mM; 2–0.1 mM; 3–0.03 mM; 4–0.01 mM



phthalocyanine and bacteriochlorin derivatives at concentrations of 0.005 mM are shown in Fig. 6.

The studied PS had a significant (>40%) overlap of the absorption and fluorescence spectral contours. Therefore, the process of reabsorption could affect their fluorescent properties at high concentrations (Fonin et al. 2014). So, fluorescent properties (the shape of the spectral contour of the fluorescence band, sublinear dependence of

fluorescence intensity on concentration as well as value of the excited-state lifetime) at high concentrations could be caused by reabsorption, as well as aggregation (Dhami et al. 1995; Changenet-Barret et al. 2013). However, reabsorption, as a rule, did not affect the photodynamic efficiency, in contrast to aggregation, which reduces the effectiveness of PDT (Moan 1984). Therefore, it was important to assess which of the

Fig. 5 The dependence of optical density of ZnPcChol₈ and (3-PyEBr)₄BCBr₄ aqueous solutions (1,2), and (3-PyHp)₄BCBr₄ in Kolliphor ELP nanodispersion (3), on their concentration

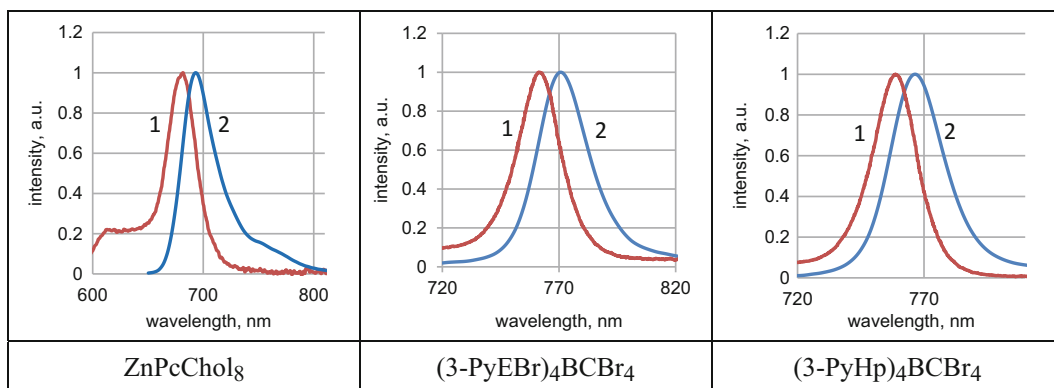
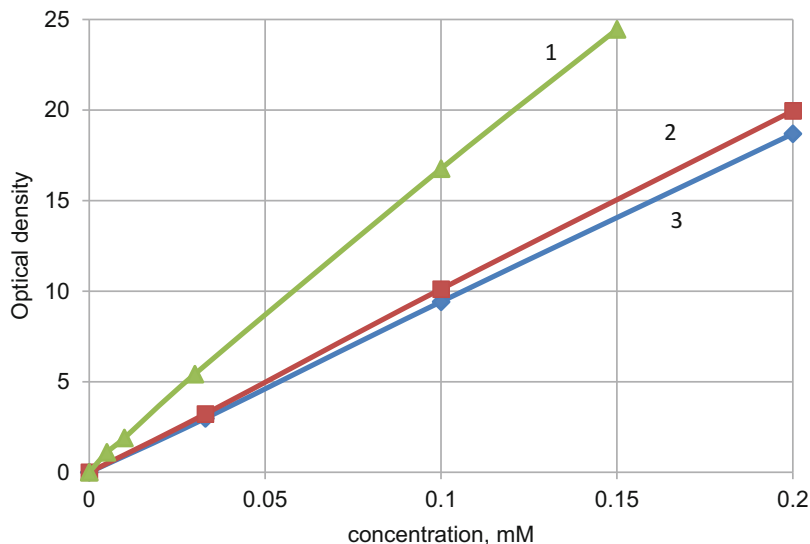


Fig. 6 The spectral contours of absorption (1) and fluorescence (2) bands of the studied PS at a low (0.005 mM) concentration

two phenomena dominates in the studied PS, especially at high concentrations. The signs of reabsorption in spectral-fluorescent studies, in contrast to the signs of aggregation, depended on the length of the cell (Juzenas et al. 2004). Therefore, spectral-fluorescent studies were carried out in cells 1 mm and 10 mm in length to separate the contributions of these phenomena and to evaluate the degree of aggregation of studied PS.

The shapes of the fluorescence spectra of ZnPcChol₈, (3-PyEBr)₄BCBr₄ and (3-PyHp)₄BCBr₄ at different concentrations in cells of various length are shown in Fig. 7.

Analysis of the spectra ZnPcChol₈ showed that an increase of cell length from 1 to 10 mm at low (0.005 mM) PS concentration does not affect the spectral shape (Fig. 8, A, spectra 1,2). This led only to a slight (1.8 nm) shift of the spectral maximum due to reabsorption, the fluorescence band remained narrow. At high concentrations (0.05 mM), a significant long-wavelength shift was observed, which depended on the length of the cell (5.8 nm – in a 1 mm long cell and 8.2 nm in a 10 mm cell). The shape of the spectra remained approximately the same. However, the half-width of the fluorescence