Urine Tests

A Case-Based Guide to Clinical Evaluation and Application

Victoria J. A. Sharp Lisa M. Antes M. Lee Sanders Gina M. Lockwood *Editors*

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A Case-Based Guide to Clinical Evaluation and Application

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Preface

Consider a medical environment in which urine tests are not readily available for interpretation. Urinalysis for identification of blood and glucose, urine culture for diagnosis of urinary tract infection, and urine pregnancy and drug screening are just a few of the urine tests that have become mainstays in modern medical practice. More recent technological advances in laboratory testing have allowed for expansion of urine-based testing; they are now considered accurate, noninvasive, and cost-effective options for diagnosis of sexually transmitted infections, renal insufficiency, and even cancer screening.

Urine Tests: A Case-Based Guide to Clinical Evaluation and Application was conceived in response to the recognition that urine tests are essential in both inpatient and outpatient care settings, yet no clinically based resource exists devoted to understanding their nuances. Appropriate collection, analysis, and interpretation of urine for testing are essential for the diagnosis and management of both common and rare patient concerns. Thus, a handbook describing urine collection technique, methods of analyzing urine, specific tests available, and interpretation for simple and complex diagnoses is an essential tool.

This book is a collaborative effort between specialists, primary care providers, administrators, and researchers who have expert knowledge of urine testing. The authors of this book perform urine testing on patients daily and fully understand the advantages and pitfalls of urine-based tests. The focus of this book is to provide practical knowledge and clinically relevant scenarios for urine-based tests in order to improve overall patient care.

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Abbreviations

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Sharp obtained her MD degree from the University of Arizona following a BS in Nursing from George Mason University and later an MBA from the University of Iowa. She did residency training in Urology and Family Medicine at the University of Iowa Hospitals and Clinics and is Board Certified in Family Medicine. As Clinical Professor of Urology and Family Medicine at the University of Iowa Carver College of Medicine, she practices and teaches medical urol-

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Sanders obtained his MD degree from the University of Tennessee Health Science Center after completion of a PhD in Pharmaceutical Sciences. He completed internal medicine residency and chief residency at the University of Iowa Hospitals and Clinics followed by completion of both a general nephrology and transplant nephrology fellowship at Vanderbilt University Medical Center. He is Board Certified

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Lockwood obtained her MD degree from Southern Illinois University School of Medicine. She completed urology residency at the Medical College of Wisconsin. She completed her pediatric urology fellowship at Connecticut Children's Medical Center and obtained her Master of Science degree in Clinical and Translational Research at University of Connecticut. She is Board certified in Urology. She currently practices pediatric urology

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Antes obtained her MD degree from UMDNJ Robert Wood Johnson Medical School. She completed internal medicine residency and chief residency at Montefiore Medical Center and her nephrology fellowship at the University of Pennsylvania. She was in private practice for 2 years prior to joining the nephrology division at the University of Iowa Hospitals and Clinics in 2000. She has been the Nephrology Fellowship Program

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Chapter 1 Urine: The Golden Elixir of Life

M. Lee Sanders and Lisa M. Antes

Objectives

- Understand the basic anatomy of the urinary system
- Discuss glomerular filtration as the process of urine formation
- Describe how urine composition and amount are determined by a series of specialized tubules

M. L. Sanders $(\boxtimes) \cdot$ L. M. Antes

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Overview

Many scientists as well as philosophers have recognized the importance of urination and how this basic physiologic function is vital to sustain life. The urinary system and tract are responsible for the production, refinement and elimination of urine from the body. A thorough examination of urine provides valuable information that assists in patient care. This book is dedicated to the clinical application of urine tests; however before test application, one should understand some basic principles of urine production, refinement and elimination.

The kidney presents in the highest degree the phenomenon of sensibility, the power of reacting to various stimuli in a direction which is appropriate for the survival of the organism; a power of adaptation which almost gives one the idea that its component parts must be endowed with intelligence. (Frank Starling, 1909)

Urine Production

The kidney is the organ responsible for urine production. Humans normally have two separate "bean shaped" kidney organs located to the left and right of the spine in the retroperitoneal space. The kidneys are highly vascular organs receiving an average 20–25% of the cardiac output, which is remarkable since the kidneys only comprise 0.5% of total body weight $[1, 2]$ $[1, 2]$ $[1, 2]$.

The basic filtering unit of the kidney is the nephron. The nephron is composed of a glomerulus, a series of tubules and a collecting duct. Exact nephron number across individuals is variable but total number is determined/finalized at birth. After birth, new nephrons cannot be developed and lost nephrons cannot be replaced. Each kidney on average contains approximately one million nephrons [[1, 2](#page-27-0)].

Blood is supplied to the nephron through a series of arteries finally reaching the glomerular capillaries via the afferent arteriole and leaving the capillary bed via the efferent arteriole (Fig. [1.1](#page-21-0)). The hydrostatic pressure in the capillary bed forces

FIGURE I.I Capillary bed of the glomerulus. (Courtesy of Teresa Ruggle, University of Iowa.)

fluid to move from the blood compartment across the semipermeable glomerular membrane into the urinary space (Bowman's space). This ultrafiltrate is essentially the same osmolality as plasma and includes water, small molecules, and ions that easily pass through the filtration membrane [\[1, 2](#page-27-0)].

Larger molecules such as proteins and red blood cells are normally prevented from passing through the filtration membrane. This filtration membrane or barrier is comprised of three components: (a) the endothelial cells of the renal capillaries, (b) the basement membrane and (c) the epithelial cells lining the urinary space. Evidence of protein (see Chap. [5\)](#page--1-0) or red blood cells (see Chap. [9\)](#page--1-0) in the urine could therefore be a sign that this barrier is compromised [\[3–5](#page-27-0)].

Urine Refinement

The ultrafiltrate in Bowman's space will then pass through a series of tubules and a collecting duct. This refinement process allows for the secretion of additional waste products in addition to reabsorption of water and solutes from the ultrafiltrate. This refinement process is also required to sustain life. In a typical 70 kilogram individual, the kidney filters approximately 180 liters of fluid daily. Life would cease to exist without the ability to reabsorb solutes and water from the filtrate. The kidneys are very efficient at this process as only 1–2 liters of urine on average are excreted daily while maintaining electrolyte, mineral and pH balance in the blood.

Four major tubular segments of the nephron (Fig. 1.2) determine the final composition and volume of the urine: (a) proximal convoluted tubule, (b) loop of Henle, (c) distal convoluted tubule and (d) collecting duct. Each individual segment of the tubule possesses a unique set of channels and transporters that allow reabsorption and excretion to occur. The main ion that is reabsorbed through these segments is sodium. Filtering 180 liters a day would lead to the theoretical excretion of approximately 25,500 mmol per day of sodium, a

Figure 1.2 The nephron of the kidney. (Courtesy of Teresa Ruggle, University of Iowa.)

loss that would be incompatible with life. The efficient reabsorption mechanism of the renal tubules allows for over 99% of this sodium to be reabsorbed, leading to only about 100 mmol per day sodium excretion [\[1](#page-27-0), [2](#page-27-0)]. Additional examples of the reabsorption efficiency of the kidney are listed in Table 1.1.

The proximal convoluted tubule is the workhorse of the kidney and reabsorbs more solute and water than any other segment of the nephron. Approximately 55–65% of the total ultrafiltrate is reabsorbed in this segment. Almost all of the filtered glucose and amino acids are reabsorbed in this segment along with 90% of the bicarbonate, 65% of the sodium and 55% of the chloride. Since both solutes/ions and water are reabsorbed in the proximal convoluted tubule, the ultrafiltrate leaving the proximal tubule is essentially the same osmolality as the ultrafiltrate that entered. Stated another way, urine is neither concentrated nor diluted in this segment [\[1](#page-27-0), [2\]](#page-27-0).

The loop of Henle is composed of a descending limb and an ascending limb. The descending limb is relatively impermeable to solutes but freely permeable to water. The ascending limb is water impermeable; thus here begins the diluting

Substance Amount				Filtered Excreted Reabsorbed	% Filtered amount reabsorbed
H ₂ O	L/dav	180	1.5	178.5	99.2
$Na+$	mEq/day	25,200	150	25,050	99.4
K^+	mEq/day	720	100	620	86.1
Ca^{++}	mEq/day 540		10	530	98.2
HCO ₃	mEq/day	4320	2	4,318	$99.9+$
Cl^-	mEq/day	18,000	150	17,850	99.2
Glucose	mmol/day 800		θ	800	100.0
Urea	g/dav	56	28	25	50.0

Table 1.1 Filtration, excretion, and reabsorption of water, electrolytes, and solutes by the kidney in a normal adult

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segment of the nephron because removal of solutes and not water dilutes the ultrafiltrate concentration. The Na⁺K⁺2Cl[−] symporter on the apical membrane of the thick ascending limb allows for 25% of the filtered sodium and chloride to be reabsorbed in this segment of the nephron [\[1](#page-27-0), [2](#page-27-0)]. This transporter is the site of action for the class of diuretics known as loop diuretics. Hereditary or acquired dysfunction of this transporter results in Bartter syndrome. This disorder has clinical features (hypokalemia, metabolic alkalosis, hypercalciuria) similar to those seen in patients given a loop diuretic [[6–8\]](#page-27-0).

The distal tubule is relatively impermeable to water, continuing the diluting segment of the nephron. The Na⁺Cl[−] symporter on the apical membrane of the distal convoluted tubule allows for an additional 5–10% of filtered sodium and chloride to be reabsorbed in this segment of the nephron [[1](#page-27-0), [2](#page-27-0)]. This transporter is the site of action for the class of diuretics known as thiazide diuretics. Hereditary or acquired dysfunction of this transporter results in Gitelman syndrome. This disorder has clinical features (hypokalemia, metabolic alkalosis, hypocalciuria) similar to those seen in patients given a thiazide diuretic [\[6–8\]](#page-27-0).

The collecting duct fine tunes sodium reabsorption as well as potassium and acid excretion. The epithelial sodium channel (ENaC) on the apical membrane of the principal cell in the collecting duct allows for an additional $1-3\%$ of the filtered sodium load to be reabsorbed. This channel is upregulated by the mineralocorticoid aldosterone $\begin{bmatrix} 1, 2 \end{bmatrix}$ $\begin{bmatrix} 1, 2 \end{bmatrix}$ $\begin{bmatrix} 1, 2 \end{bmatrix}$ $\begin{bmatrix} 1, 2 \end{bmatrix}$ $\begin{bmatrix} 1, 2 \end{bmatrix}$. Mineralocorticoid receptor antagonists, such as spironolactone and eplerenone, cause a downregulation of ENaC resulting in sodium diuresis. A hereditary or acquired activating mutation of ENaC results in Liddle syndrome, which is a disorder with clinical characteristics similar to those of a high aldosterone state (excessive sodium reabsorption, hypervolemia, hypertension, hypokalemia) [[8–10\]](#page-27-0). An inactivating mutation has also been described that results in clinical characteristics similar to those of a low aldosterone state (sodium wasting, hypovolemia, hyperkalemia) [[11\]](#page-27-0).

The collecting duct is impermeable to water in the absence of antidiuretic hormone (ADH). When ADH is present, the collecting duct becomes permeable to water through the use of aquaporin channels. It is here in the collecting duct that the urine can be further diluted or concentrated depending on the needs of an individual. The major stimuli for ADH secretion are hyperosmolality and effective circulatory volume depletion [\[1](#page-27-0), [2](#page-27-0)].

Urine Elimination

Urine flows from the collecting duct of the nephron to join a converging system of tubules with other collecting ducts. These ducts then join together to form the minor calyces followed by the major calyces that ultimately converge in the renal pelvis (Fig. [1.3\)](#page-26-0). Urine continues to flow from the renal pelvis into the ureter, transporting urine into the urinary bladder. Urine from both kidneys is stored in the bladder until the process of micturition (urination) occurs.

The first urge to void is felt at a bladder volume of approximately 150 milliliters (mL). A marked sense of fullness is felt at about 400 mL and bladder capacity is approximately 500 mL [\[12](#page-27-0)]. Urine is normally excreted voluntarily from the body by flowing through the urethra away from the bladder.

Average daily urine production for adults is usually between 1–2 liters (L) depending on the state of hydration, activity level, and health of the individual. Producing too much or too little urine requires medical attention. Polyuria (see Chap. [15](#page--1-0)) is a condition of excessive urine production (more than 3 L/day). Oliguria is the production of less than 400–500 mL/day and anuria is the production of less than 50–100 mL/day.

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Figure 1.3 Anatomy for urine flow through the kidney. (Courtesy of Teresa Ruggle, University of Iowa.)

Summary

Normal urinary system anatomy consists of two kidneys, two ureters, one urinary bladder and one urethra. The urinary system is responsible for urine production, refinement and elimination. The final composition and amount of urine is determined by the specialized actions of glomerular filtration followed by tubular reabsorption and secretion. Urine can be collected from a patient and its contents provide additional clinical information to the provider. This information may be obtained by a urinalysis using a dipstick to determine chemical composition; microscopic analysis to look for cells, casts or crystals; cultures to assist with infection diagnosis; or specialized tests to assess for cancer, electrolyte abnormalities or substance abuse. Whatever the test, analyzing this golden elixir of life provides a valuable, noninvasive means to assist with patient care.

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Chapter 2 Follow the Money: Costs, Reimbursement and Regulations of Urine Based Testing

Matthew A. Uhlman, Victoria J. A. Sharp, Nora Kopping, and Mark S. Uhlman

Objectives

- Gain an understanding of costs and reimbursement issues related to urine studies
- Recognize regulatory requirements related to obtaining and maintaining accreditation to perform urinebased testing
- Differentiate which urine-based tests are preferable to other testing modalities from financial and quality perspectives

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Overview

Urine-based tests are a critical and increasingly utilized part of the investigative workup for many diseases. As such tests continue to evolve, urine-based diagnostics will increasingly represent a relatively painless and easy-to-collect tool for healthcare providers. While many urine-based tests are available, reimbursement for them may not be, and for others, cost benefit ratios are unacceptable. An understanding of the associated costs, reimbursement and regulatory aspects of such tests is paramount in their successful deployment and utilization. In this chapter we will highlight key financial and regulatory considerations for the clinician considering the use of the urine-based tests currently available.

Costs

Urine-based testing has been a part of medical care since the time of the Sumerian and Babylonian empires [[1\]](#page--1-0) and while similarities still exist in urine-based diagnostics, financial considerations are (assumedly) far more complex today. Most clinicians and providers are familiar with urine-based testing in some respect, but far fewer are versed in the financial and regulatory aspects of such tests. Whether the testing is done under a microscope at a provider's office or delivered overnight for analysis at a reference lab, an understanding of the many different considerations surrounding such tests is increasingly important.

In the United States each year, nearly 1 billion physician office visits occur, with over 500 million visits to primary care offices [\[2](#page--1-0), [3](#page--1-0)]. While only a fraction of patients undergo a urine-based diagnostic test, the number of studies and accrued costs quickly become staggering. In urine-centric specialties such as urology or nephrology, the percentage of patients requiring testing and the number of potential urinebased tests increases drastically.