

Ashok Shrawat · Adel Zayed
David A. Lightfoot *Editors*

Engineering Nitrogen Utilization in Crop Plants

 Springer

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This book is dedicated to the speakers of our “Engineering Nitrogen Use Efficiency Workshop,” which has been held every year since 2003 at the Plant and Animal Genomics Conference, San Diego, USA. This tome is planned to be the first of many volumes on this topic because NUE Workshop speakers excel every year.

Please contact us with presentations for future Workshops.

Thanks to all.

Ashok Shrawat, David A. Lightfoot,
and Adel Zayed

Preface

This book celebrates 14 years of the “Nitrogen Use Efficiency Engineering” workshop at the Plant and Animal Genome Conference every January in San Diego, California. Each chapter was volunteered by a presenter.

Nitrogen is important. It is an essential component in cellular physiology being scarce in bio-available forms. In contrast, oxygen, carbon, and hydrogen are much more bio-available. Nitrogen is present in numerous essential compounds including nucleoside phosphates and amino acids that form the building blocks of nucleic acids and proteins, respectively. In plants, nitrogen is used in large amounts in photosynthetic pigments, defense chemicals, and structural compounds. However, inorganic N is difficult to assimilate. Dinitrogen in the atmosphere is highly inert. Reduction to ammonium requires the energy of a lightning bolt, petrochemicals, or 12 ATP dephosphorylations per molecule within a nodule or other anaerobic environment. Global warming may increase the frequency of lightning storms and hence raise NO concentrations. Warmer, more stressed crops will require more nitrogen fertilizers to be applied and heavy rains will increase losses due to runoff.

Warmer days will cause more of the applied ammonium fertilizers to escape from the cell as ammonia gas. Photorespiration, increased by heat stress, releases tenfold more ammonium than is assimilated from the environment, and plants only re-assimilate ~98% of this. Consequently, a haze of ammonia gas is found floating above a photosynthetic canopy. That ammonia may be lost on the wind or returned to the plant or soil by rains or dew falls. Any improvements to these nitrogen cycles can have a massive positive impact on the efficiency of agriculture, reduce its carbon footprint, and over geological timescales reverse some of the anthropogenic contributors to global warming.

The assimilation of ammonium has a second major problem associated with it. Ammonia is assimilated releasing one acidic proton per molecule. There is enough flux to reduce the pH of even well-buffered soils to concentrations that inhibit plant growth, both directly, and by the release of toxic concentrations of micronutrients (Al and Mn in particular). Reduction within a nodule or other anaerobic environment compounds this problem by releasing two protons per ammonium produced. Soil acidification is a worldwide problem on a massive scale. Nitrates and nitrites

provide a solution to the acidification problem, as their reduction to ammonium absorbs 3–4 protons. So a pH-balanced fertilizer should theoretically be a four to one mixture of ammonium and nitrates. Nitrates and nitrites are the ions produced by those lightning bolts that provide about 10% of the world's reduced nitrogen each year. However, they are not without costs and problems. Nitrite is highly toxic to photosynthesis and respiration and so must be immediately reduced to ammonium. Plants produce massive amounts of nitrite reductase for this purpose. Nitrate is benign, easy to store and transport and consequently is the major form of inorganic N found in plants. However, plants still produce tenfold more nitrate reductase than is absolutely needed for assimilation, growth, and yield. Why? This remains unclear.

The major problem with nitrates and nitrates in the environment is that they are water soluble and so are rapidly leached from soils. So much is lost from agricultural soils, industrial activity, and human waste treatments that the world's rivers, lakes, and oceans are significantly fertilized. Algae are the microorganisms that benefit the most from this fertilizer. Unfortunately, they run low on other nutrients (P,K) and so produce toxins to kill other organisms to obtain the limiting nutrients through their decomposition. In addition, they absorb much of the waters' oxygen at night killing even toxin resistant aerobes. Finally they bloom, blocking the light needed for photosynthesis by submerged organisms. Millions of acres of oceans are affected.

The major problem with nitrates in the human diet is that they are metabolized to a potent carcinogen (nitrosamine) in the acid of the human stomach problems. High nitrate and so nitrosamine amounts in human diets are associated with many different cancers as well as fertility problems. However, nitrates are naturally excreted in human and animal saliva for the purpose of producing some nitrosamines in the gut. This is because the combination of acid and nitrosamine effectively kills many human and animal pathogens. *Helicobacter pylori* is one example. This microbe causes stomach ulcers that left untreated often become cancerous. *H. pylori* is endemic and became more abundant as lifestyles became more stressful. Consequently, several epidemiological studies found diets high in nitrate to be healthy in the 1990s and beyond, whereas before that they were significantly unhealthy. Clearly, then the healthiest option is a low nitrate diet and low stress lifestyle. *H. pylori* and like pathogens and the lesions they cause are better treated with drugs than nitrosamines.

Microbes in the soil take up the bulk of all applied fertilizers before the plant can. Ammonium can be assimilated or oxidized to nitrite, nitrate, nitrous oxide, or dinitrogen by microbial activities. Plants have to absorb N from microbes by force, using highly efficient enzymes, or by trade through symbiosis. During symbiosis, the microbes are provided with sugars in return for ammonium. The microbes may be free-living in the rhizosphere or housed in specialized structures such as nodules. Symbiotic microbes produce a variety of chemical signals to elicit the delivery of sugars from the plants, and these systems are ripe for manipulation by biotechnology approaches.

Because soil particles do not naturally have many N-containing minerals, and because N can be readily lost from the rooting environment, N is the nutrient element that most often limits plant growth and agricultural yields. As noted above, nitrogen is found in the environment in many forms and comprises about 80% of the Earth's atmosphere as triple-bonded nitrogen gas (N_2). However, this large fraction of N is not directly accessible by plants and must be bonded to one or more of three other essential nutrient elements including oxygen and/or hydrogen through N-fixation processes, and carbon through N-assimilation processes. Plants are able to absorb a little NH_3 from the atmosphere through stomata in leaves, but this is dependent upon air concentrations. The ions NO_3^- and NH_4^+ are the primary forms for uptake in by plants. The most abundant form that is available to the plant roots is NO_3^- , and the most abundant form in leaves is NH_4^+ . The process of nitrification by soil bacteria readily converts fertilizer NH_4^+ to NO_3^- . Relative nitrogen uptake is also dependent on soil conditions. Ammonium uptake is favored by a neutral pH and NO_3^- uptake is favored by low pH. Nitrate also does not bind to the negatively charged soil particles; therefore, it is more freely available to plant roots, especially through mass flow of soil water than is NH_4^+ , which binds to negatively charged soil particles and so moves primarily by diffusion. The assimilation of NH_4^+ by roots causes the rhizosphere to become acidic, while NO_3^- causes the rhizosphere to become more basic.

In conclusion, the assimilation of inorganic nitrogen is a key process in the productivity of all crop plants, and there are many steps at which metabolic improvements can be made. In future, the ability to provide active nodules to non-legumes may provide a new impetus for agriculture, biotechnology, and crop science. Making crop N in foods and feeds will be critical advances. Reducing N loss to air and water will be critical. Therefore, we editors and workshop organizers thank the contributors for their work, often a lifetime avocation that began as a vocation!

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Contents

1	Developing Crop Varieties with Improved Nutrient-Use Efficiency	1
	David A. Lightfoot	
Part I Molecular and Physiological Aspects of Nutrient Use Efficiency		
2	Improving Nitrogen Use Efficient in Crop Plants Using Biotechnology Approaches	15
	Perrin H. Beatty and Allen G. Good	
3	Transcription Factor-Based Genetic Engineering to Increase Nitrogen Use Efficiency	37
	Yoshiaki Ueda and Shuichi Yanagisawa	
4	Modeling Plant Metabolism: Advancements and Future Capabilities	57
	Margaret N. Simons-Senftle, Debolina Sarkar and Costas D. Maranas	
5	Molecular Targets for Improvement of Crop Nitrogen Use Efficiency: Current and Emerging Options	77
	Vikas Kumar Mandal, Narendra Sharma and Nandula Raghuram	
6	From Arabidopsis to Crops: The Arabidopsis <i>QQS</i> Orphan Gene Modulates Nitrogen Allocation Across Species	95
	Seth O’Conner, Andrea Neudorf, Wenguang Zheng, Mingsheng Qi, Xuefeng Zhao, Chuanlong Du, Dan Nettleton and Ling Li	
Part II Nutrients as a Key Driver of Nutrient Use Efficiency		
7	Tackling Nitrogen Use Efficiency in Cereal Crops Using High-Throughput Phenotyping	121
	Nicholas John Sitlington Hansen, Darren Plett, Bettina Berger and Trevor Garnett	

8	Nitrogen Partitioning and Remobilization in <i>Arabidopsis</i> Under Sufficient and Depleted Conditions	141
	Adel Zayed and Robert Crosby	
9	Field Testing for Improved Nitrogen Use Efficiency of Corn—From Whole-Plant Physiology to Agroecosystem Scales	161
	Kevin R. Kosola	
10	Legume Nitrogen Utilization Under Drought Stress	173
	V. Castañeda, E. Gil-Quintana, A. Echeverria and EM. González	
11	Exploiting Genetic Variability of Root Morphology as a Lever to Improve Nitrogen Use Efficiency in Oilseed Rape	185
	Julien Louvieaux, Hugues De Gernier and Christian Hermans	
12	Genetic Improvement of Nitrogen Use Efficiency in Oilseed Rape	207
	Andreas Stahl and Rod Snowdon	
13	The Importance of Organic Nitrogen Transport Processes for Plant Productivity and Nitrogen Use Efficiency	233
	Mechthild Tegeder and Molly Perchlik	
14	New Screening Strategies for Dinitrogen Fixation in Soybean	255
	Raphael Lemes Hamawaki, Curtis Wolf and Stella K. Kantartzi	
	Index	269

Chapter 1

Developing Crop Varieties with Improved Nutrient-Use Efficiency



David A. Lightfoot

Environmental Issues

Nitrogen is an essential component in cellular physiology. Only oxygen, carbon, and hydrogen are more abundant (Marchner 1995; Andrews et al. 2004). Nitrogen is present in numerous essential compounds, including pigments, nucleoside phosphates, and amino acids, that underlie photosynthesis, nucleic acids, and proteins. In plants, nitrogen is used in the largest amounts in photosynthesis, pigments, defense chemicals, and structural compounds. However, inorganic N is difficult to assimilate. Dinitrogen in the atmosphere is highly inert. Reduction to ammonium requires the energy of a lightning bolt, petrochemicals, or 12 ATP dephosphorylations per molecule within a nodule or other anaerobic environment (Kaiser et al. 1998; Reid et al. 2011). Global warming may increase the frequency of lightning storms and hence raise NO concentrations. Warmer, more stressed crops will require more nitrogen fertilizers be applied and heavy rains will increase losses due to runoff.

Warmer days will cause more of the applied ammonium fertilizers to escape from the cell as ammonia gas (Lightfoot et al. 1999; 2001; 2007; 2008; 2009; 2010). Photorespiration, increased by heat stress, releases tenfold more ammonium than is assimilated from the environment, and plants only re-assimilate a portion of this. Consequently, a haze of ammonia gas is found floating above a photosynthetic canopy. That ammonia may be lost on the wind or returned to the plant or soil by rains or dew falls. Any improvements to these nitrogen cycles (Carvalho et al. 2011; Tercé-Laforgue et al. 2004a, b) can have a massive positive impact on the efficiency of agriculture, reduce its carbon footprint, and over geological timescales, reverse some of the anthropogenic contributors to global warming.

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The assimilation of ammonium has a second major problem associated with it (Jahns et al. 1999; 2000; Koivunen et al. 2004a, b). Ammonia is assimilated releasing one acidic proton per molecule (Marchner 1995). There is enough flux to reduce the pH of even well-buffered soils to concentrations that inhibit plant growth, both directly and by the release of toxic concentrations of micronutrients (Al and Mn in particular). Reduction within a nodule or other anaerobic environment compounds this problem by releasing two protons per ammonium produced (Indrasumunar et al. 2011). Soil acidification is a worldwide problem on a massive scale.

Nitrates and nitrites provide a solution to the acidification problem, as their reduction to ammonium absorbs 3–4 protons (Marchner 1995). So a pH-balanced fertilizer should theoretically be a four-to-one mixture of ammonium and nitrates. Nitrates and nitrites are the ions produced by those lightning bolts that provide about 10% of the world's reduced nitrogen each year. However, they are not without costs and problems. Nitrite is highly toxic to photosynthesis and respiration, and so must be immediately reduced to ammonium. Plants produce massive amounts of nitrite reductase for this purpose. Nitrate is benign, easy to store and transport, and consequently is the major form of inorganic N found in plants. However, plants still produce tenfold more nitrate reductase than is absolutely needed for assimilation, growth, and yield (Wang et al. 2000; Kleinhofs et al. 1980). Why this is, remains unclear.

The major problem with nitrates and nitrites in the environment is that they are water soluble and so are rapidly leached from soils (Moll et al. 1982; Lee and Nielsen 1987; David et al. 1997). So much is lost from agricultural soils, industrial activity and human waste treatments that the world's rivers, lakes, and oceans are significantly fertilized (Cherfas 1990; Burkholder et al. 1992). Algae are the micro-organisms that benefit the most from this fertilizer. Unfortunately, they run low on other nutrients (P, K) and so produce toxins to kill other organisms to obtain the limiting nutrients through their decomposition. In addition, they absorb much of the water's oxygen at night killing even toxin-resistant aerobes. Finally they bloom, blocking the light needed for photosynthesis by submerged organisms. Billions of acres of oceans are affected worldwide.

The major problem with nitrates in the human diet and saliva cycle is that they are metabolized to a potent carcinogen (nitrosamine) in the acid of the human stomach (Moller et al. 1990; Mirvish 1985; Duncan et al. 1998; Tannenbaum et al. 1978). Antioxidants in popcorn and tea can help reduce them. High nitrate and so nitrosamine amounts in human diets are associated with many different cancers as well as fertility problems. However, nitrates have uses; they are naturally excreted in human and animal saliva for the expressed purpose of producing some nitrosamines in the gut. This is because the combination of acid and nitrosamine effectively kills many human and animal pathogens. *Helicobacter pylori* is one example. This microbe causes stomach ulcers that left untreated often become cancerous. *H. pylori* is endemic and became more abundant as lifestyles became more stressful. Consequently, several epidemiological studies found diets high in nitrate to be healthy in the 1990s, and beyond, whereas before that, they were significantly unhealthy. Clearly, then the healthiest option is a low-nitrate diet and

low stress lifestyle. *H. pylori* and like pathogens and the lesions they cause are better treated with drugs than nitrosamines.

Microbes in the soil take up the bulk of all applied fertilizers before the plant can (Trenkel 1997; Cabello et al. 2004; Garcia-Teijeiro et al. 2009). Ammonium can be assimilated, directly by GS, or oxidized to nitrite, nitrate, nitrous oxide, or dinitrogen by microbial activities. Plants have to absorb N from microbes by force or trade, using highly efficient enzymes in force, and by trade through symbiosis [reviewed by (Indrasumunar et al. 2012)]. During symbiosis (endo- or ecto-), the microbes are provided with sugars in return for ammonium (Zhao et al. 2005). The microbes may be free-living in the rhizosphere (ecto-) or housed in specialized structures such as nodules (endo-). Symbiotic microbes produce a variety of chemical signals to elicit the delivery of sugars from the plants, and these systems are ripe for manipulation by biotechnology approaches. The humate industry appears to be manipulating the ecto-systems (Pracharoenwattana et al. 2010; Ohno et al. 2010; Lehmann and Kleber 2015; Taha and Osman 2017). The oleaginous carbon seems to be assimilated by microbes in return for nitrogen released to the plant

Plant Assimilations

Because soil particles do not naturally have many N containing minerals, and because N can be readily lost from the rooting environment, N is the nutrient element that most often limits plant growth and agricultural yields (Specht et al. 1999; Duvick 2005; Krouk et al. 2010). As noted above, nitrogen is found in the environment in many forms and comprises about 80% of the earth's atmosphere as triple bonded nitrogen gas (N_2). However, this large fraction of N is not directly accessible by plants and must be bonded to one or more of three other essential nutrient elements including oxygen and/or hydrogen through N-fixation processes, and carbon through N-assimilation processes (Marchner 1995). Plants are able to absorb a little NH_3 from the atmosphere through stomata in leaves, but this is dependent upon air concentrations. The ions NO_3^- and NH_4^+ are the primary forms for uptake in by plants. The most abundant form that is available to the plant roots is NO_3^- and the most abundant form in leaves is NH_4^+ . The process of nitrification by soil bacteria readily converts fertilizer NH_4^+ to NO_3^- (Trenkel 1997). Relative nitrogen uptake is also dependent on soil conditions. Ammonium uptake is favored by a neutral pH and NO_3^- uptake is favored by low pH. Nitrate also does not bind to the negatively charged soil particles; therefore, it is more freely available to plant roots, especially through mass flow of soil water than is NH_4^+ , which binds to negatively charged soil particles and so moves primarily by diffusion. As noted above, the assimilation of NH_4^+ by roots causes the rhizosphere to become acidic, while NO_3^- causes the rhizosphere to become more basic.

Nitrogen uptake and assimilation summates a series of vital processes controlling plants' growth and development (Lam et al. 2003). Nitrate, nitrite, and ammonium uptakes (and re-uptakes following losses) occur against massive

concentration gradients that require lots of energy to generate and maintain. In agriculture, plants are spaced sufficiently that they have an excess of captured light energy relative to the N and C supplies. Transgenic plants over-expressing low affinity nitrate uptake transporter Nrt1 increased the constitutive but not the induced nitrate uptake. Equally, plants transgenic with Nrt2.1 the high affinity nitrate transporter increased nitrate influx under low N conditions (Fraisier et al. 2000).

Transgenic plants expressing an ammonium transporter increased NUE (Gupta et al. 2008, 2011). Glutamate receptors in transgenic plants provided better growth. Equally, the uptake of short peptides had positive effects. All these transport-associated phenotypes would be desirable in agricultural production systems directed toward greater efficiency and lower environmental impacts, and a stack of the three transgenes would be of interest.

Nitrate acquired in the roots can be reduced in the shoot or the root, or even stored in vacuoles in the root or shoot for later assimilation. However, nitrate must be reduced to a useable form. This occurs via a two-step process catalyzed by the enzymes nitrate reductase (NR) and nitrite reductase to form NH_4^+ . Both enzymes are produced in massive excess compared to the flux needed through the pathway, and mutants that reduce their amounts by 90% do not have phenotypes (Kleinhofs et al. 1980). Equally, some transgenic plants over-expressing NR increased nitrate reduction but were not altered in phenotype (Crete et al. 1997; Curtis et al. 1999; Djannane et al. 2002; Lea et al. 2004). However, two studies of NR over-expressing transgenic plants did record altered phenotypes including increased biomass, reduced drought stress (Ferrario-Méry et al. 1998, 2002), and improved NUE and yield during N limitation (Loussaert et al. 2008). These phenotypes would be desirable in agricultural crops. The coupling of NR to photosynthesis should be possible by transformation of plants with a ferredoxin-dependent NR from cyanobacteria.

The ability to fix dinitrogen is restricted to the bacterial world, but is widespread among microbes (Ferguson and Indrasumunar 2011). Many different *nif* gene families exist, suggesting selection for variation has been favorable for species. The activity of *nif* requires an anaerobic environment, so transferring the enzymes to plants will be difficult. To date, transgenics in this field are bacterial, as in hydrogenase enhanced microbes, or if plant, they are designed to improve the chances of nodule occupancy by improved bacterial strains. Strains that are most likely to set up nodule occupancy are rarely the most efficient nitrogen fixers. Plants also often fail to maintain effective nodules through flowering and pod set. Soybean and common bean for example have senescent nodules by flowering (Sinclair et al. 2007). Some species do have indeterminate nodules and it would be a valid goal of biotechnology to transfer this trait to major legume crops.

The N acquired as NH_4^+ does not require reduction upon uptake into the root, thus providing some energy savings to the plant over that of the NO_3^- form (Marchner 1995). However, it does require assimilation to avoid loss, and at high concentrations (>10 mM) toxicity to the plant occurs (Meyer et al. 1997; 2006; Godon et al. 1996). This can occur if a double application is made by inaccurate

GIS systems. Various studies have shown that under conditions of excessive NH_4^+ uptake, most plant species will transport this N source to the shoot, which is more sensitive to ammonium ions.

One important process to build key macromolecules in any living organism is the acquisition and utilization of inorganic forms of nitrogen during metabolism (Lea and Mifflin 2011). Plants use amino acids as well as their precursors and catabolic products for important metabolic activities. Various other roles of amino acids include nitrogen storage and transport and the production of a very large number of secondary compounds including structural lignin compounds, light-absorbing pigments, phenolics and plant hormones. Plants convert the available inorganic nitrogen into organic compounds through the process of ammonium assimilation which occurs in plants by two main pathways. The first and primary pathway involves a reaction with glutamate to form glutamine which is catalyzed by glutamine synthetase (GS, EC 6.3.1.2) and requires an energy source of adenosine triphosphate (ATP). There are several isoenzymes of GS based on their location in the plant (Ortega et al. 2006). In the cytosol, GS1 is composed of 3–4 different subunits. There is only one isoform in the root plastids or shoot chloroplasts (GS2) with one subunit. Expressed in germinating seeds or in the vascular bundles of roots and shoots, the cytosolic form (GS1) produces glutamine for intracellular nitrogen transport. GS2 located in root plastids produces amide nitrogen for local consumption, while GS2 in the shoot chloroplasts re-assimilates photorespiratory ammonium (Lam et al. 2003). GS1 is encoded by a set of 3–6 paralogs in different crop species, so hetero-hexamers can form. However, the Kms hardly differ. Amino acid identity between GS1 isoforms is very high and is even similar to GS2. GS2 has a short peptide extension at the C-terminus that might be involved in regulation by phosphorylation. Alleles of the GS1- and GS2-encoding genes do exist that differ in their regulation. Alleles of GS appear to underlie QTL determining NUE and yield (Cañas et al. 2009, 2010). Transgenic analyses have been made of GS2 but not GS1. Among 12 studies in 9 plant species, the phenotypes reported included enhanced accumulation of N, growth under N starvation, herbicide (PPT) tolerance, leaf-soluble protein, ammonia, amino acids, and chlorophyll. Some genes and constructs decreased growth; salt, cold and drought tolerance; seed yield and amino acid content (Cai et al. 2009). Therefore, the use of GS transgenics in agriculture will be useful and desirable but only with careful attention to regulation and expression (Hemon et al. 1990; Coque et al. 2008; Seebauer et al. 2004; 2010).

The glutamine molecules produced by GS are used by a whole series of transaminases to produce the 20 protein amino acids and some nonprotein amino acids. Cardinal among the transaminases is the reaction catalyzed by glutamate synthase (GOGAT, EC 1.4.1.14 and 1.4.7.1) to form glutamate (Forde and Lea 2007). There are two common isoenzymes of GOGAT including a ferredoxin-dependent GOGAT (Fdx-GOGAT) and an NADH-dependent GOGAT (NADH-GOGAT). While both forms are plastidic, the Fdx-GOGAT enzyme is predominately found in photosynthetic organs and the NADH-GOGAT enzyme is found more in non-photosynthetic tissues such as in roots and the vascular bundles of developing

leaves (Lea and Mifflin 2011). An NADPH-dependent GOGAT can be found in certain organs and in many bacteria. Plants transgenic with the NADH-dependent plant GOGAT have been reported. Phenotypes included enhanced grain filling, grain weight, total C and N content, and dry weight. Phenotypes were very similar to the benefits reported from alanine dehydrogenase and asparagine synthase (Good et al. 2007; Shrawat et al. 2008), suggesting the transaminases are acting on a common pathway.

The second pathway for ammonium assimilation also results in the formation of glutamate through a reversible reaction catalyzed by glutamate dehydrogenase (GDH, EC 1.4.1.2), with a lower energy requirement than GS/GOGAT. There are also at least two forms of GDH that occur in plants that include an NADH-dependent form found in mitochondria, and an NADPH-dependent form localized in the chloroplasts of photosynthetic organs. In addition, there are enzymes capable of aminating reactions that resemble GDH. GDHs present in plants serves as a link between carbon and nitrogen metabolism due to the ability to assimilate ammonium into glutamate or deaminate glutamate into 2-oxoglutarate and ammonium. However, due to the reversibility of this reaction, the assimilatory role of GDH is severely inhibited at low concentrations of ammonium. Additionally, GDH enzymes have a low affinity for ammonium compared with GS which further limits their assimilatory effectiveness. It has been suggested that the NAD-requiring form of GDH may be involved in carbon rather than nitrogen metabolism (Coruzzi and Bush 2001) with glutamate catabolism providing carbon skeletons for both the TCA cycle and the energy production during carbon or energy deficit. Alternate functions for GDH have also been proposed in which it has been assigned the role of re-assimilating excess ammonium, due to the limited ability of the GS/GOGAT cycle, during specific developmental stages (Limami et al. 1999; Loulakis et al. 2002), such as during germination, seed set, and leaf senescence (Coruzzi and Zhou 2001). In contrast to plant GDHs, those found in microbes are very active in the assimilation of ammonium. Plants did not have the opportunity to incorporate this type of NADPH-dependent GDH because the bacterial lines that gave rise to chloroplasts do not contain *gdhA* genes. The few cyanobacteria with GDH activity have acquired genes by transgenesis or cellular fusions. Transgenic plants in six crop species have been produced that express *gdhA* genes from 3 microbes (Ameziane et al. 2000; Mungur et al. 2005; 2006; Abiko et al. 2010). Phenotypes in plants include increased biomass, water deficit tolerance, nutritional value, herbicide resistance, N assimilation, NUE, WUE, amino acid, and sugar content. GDH genes used in this way are being evaluated for commercialization (Nolte et al. 2004; 2009; 2016). One problem faced by this and the alanine dehydrogenase transgenics is a dependence on soil type for some of the beneficial effects. GDH seems to provide a growth advantage on silty-loam clay soils common in the southern Midwest of the USA. In contrast, the alanine dehydrogenase transgenics seem to work best on sandy soils. Combining the technologies or altering their regulation might provide stable beneficial effects in many soil types and locations.

A variety of other enzymes exist that are capable of aminating reactions. Each will be a candidate for over-expression in transgenic plants (Nelson et al. 2007; Castiglioni et al. 2008; Century et al. 2008; Goldman et al. 2009; Vidal et al. 2010). Phenylalanine ammonia lyase has been used in many transgenic plants. Equally, the enzymes of cyanide assimilations (cysteine metabolism) might be more active than previously thought and could be manipulated. Alteration of the enzymes of heme and chlorophyll biosynthesis might be tried again. The *E. coli hemA* gene was functional but *hemB* became insoluble in plant chloroplasts (unpublished).

In conclusion, the assimilation of inorganic nitrogen is a key process in the productivity of crop plants, and there are many steps at which metabolic improvements can be made (Pathak et al. 2008; Pennisi 2008). In future, the ability to provide active nodules or at least nitrogenases to non-legumes will provide an impetus for biotechnology (Harrigan et al. 2010; Valentine et al. 2011; Rubio et al. 2012; Wang et al. 2012). Nutritional value will be another breakthrough. Simple yield at the grain elevator worked well for 50 years, but now yield of milk and meat from feed has become a major new initiative, reducing waste as feces in the process. In addition, combining new breeding methods, new assays, genome editing, existing transgenes, and new promoters for their regulation will provide for new avenues in crop NUE improvement.

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Part I
Molecular and Physiological Aspects
of Nutrient Use Efficiency

Chapter 2

Improving Nitrogen Use Efficient in Crop Plants Using Biotechnology Approaches



Perrin H. Beatty and Allen G. Good

Introduction

Plants require a source of fixed, or biologically reactive nitrogen (N) to produce molecules such as nucleotide bases and amino acids, in order to make macromolecules like DNA and proteins that are then required for the genome, cellular structures and overall growth. Low or insufficient available N limits the plant growth and yield (both biomass and grain) of crop plants. Plants obtain fixed-N from the soil as ammonia, nitrate, urea, amino acids and peptides. Some plants, such as legumes and poplar trees, form a symbiosis with diazotrophic bacteria where they exchange ammonia from the diazotrophs for carbon (C) molecules and a protected living niche from the plant. Diazotrophic bacteria express a nitrogenase enzyme complex that allows them to reduce atmospheric N_2 to ammonia (NH_3) in a process called biological nitrogen fixation (BNF; Beatty et al. 2015). This enzyme complex has only been found expressed functionally in bacterial species. For centuries, organic N fertilizers (livestock and green manure) have been used to increase crop production. Since the commercialization of the Haber–Bosch process to synthesize ammonia from atmospheric N_2 , synthetic N fertilizers have also been used to increase yields (Smil 2004). Synthetic nitrogen fertilizer use has increased by a factor of nine over the last 50 years, and with the predicted global population of 9 billion by 2050, plus the need to reduce hunger and malnutrition, nitrogen fertilizer use will need to increase in order to increase crop yields (Lassaletta et al. 2014). In 2012, the Food and Agriculture Organization of the United Nations (FAO) suggested that food production will need to increase by 60% between 2005/07 and 2050 to meet the

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needs of 9 billion people. Reducing our global yield gaps to produce more food is essential; however, the estimate increase in N fertilizer application needed to do this is 45–73%. However, ramping up our agricultural outputs needs to be done as sustainable as possible, while also lowering N fertilizer application rates (from either organic or synthetic sources), so as to reduce or even eliminate environmental damage from N pollution.

Synthetic N fertilizers are costly to produce and expensive to buy and transport; therefore, they are mainly used by farmers in developed countries or countries with synthetic N fertilizer subsidies (Good and Beatty 2011a). Farmers in developing countries tend to not have the resources to buy or transport synthetic N fertilizer. In addition, these small-holder farmers are frequently growing crops on nutrient poor soils, leading them to produce crop yields far below the optimal yield which means they have little to no produce to take to market. This has resulted in a cycle of poverty because low yields do not allow an investment in fertilizers or improved crop management. The advent of Norman Borlaugh's green revolution of the 1960s, where crop plants were bred for high yields, allowed many farmers in developing countries in North and South America to break out of this poverty cycle by growing high yielding varieties (Borlaug 1972; Good and Beatty 2011a). However, these high yielding crop varieties were bred to use high levels of N fertilizer and so promoted non-sustainable agricultural practices such as excess N fertilizer application.

Crop plants tend to have low nitrogen use efficiency (NUE), taking up approximately 40–50% of the applied N. The rest of the applied N fertilizer that is unavailable to the plant enters the environment as N pollution (Good and Beatty 2011a; Galloway et al. 2014; Ng et al. 2016; Beatty and Wong 2017). Global crop NUE has decreased from the early 1960s when it was 68%, to the current value of 47%. This indicates that on a global scale, over half of the N fertilizer inputs to agriculture are lost to the environment. Therefore, unless our cropping systems can improve their N use efficiency in a substantial way, increasing N fertilizer inputs will only provide a low gain in crop yield with further N pollution to the environment (Lassaletta et al. 2014).

Excess N fertilizer can pollute the environment in many different ways, depending on the form of fixed-N in the fertilizer. Nitrate-N fertilizer is water soluble and so easily leaches into waterways, leading to drinking water contamination and proliferation of algal blooms that in turn cause dissolved oxygen levels to drop and subsequent loss of marine life and diversity. A classic example of water pollution in the USA is the 1.57 million metric tons of nitrogen (mainly as nitrate) that is spewed into the Gulf of Mexico from the Mississippi River (US Geological Survey; <https://ks.water.usgs.gov/pubs/fact-sheets/fs.135-00.html>), resulting in the formation of a hypoxic dead zone that can be seen from space. Nitrate left in the soil (not taken up by the plants) is chemically reduced by denitrifying soil bacteria to form nitrite (NO_2^-), nitric oxide (NO gas), nitrous oxide (N_2O gas) and ultimately, biologically inert N_2 (gas). The gaseous reduced N compounds are released into the atmosphere causing environmental damage. Nitric oxide is a precursor chemical that leads to tropospheric ozone pollution, and nitrous oxide is a greenhouse gas (GHG) with 296 times the global warming potential (GWP) of CO_2 plus it is an

ozone-depleting chemical (Galloway et al. 2004; Davidson et al. 2015). Seventeen per cent of global GHG emissions are from agriculture and a third of the agricultural GHG emissions are from N fertilizers (Strange et al. 2008). Ammonia N fertilizer is volatilized to gaseous ammonium (NH_4^+), especially in wet soils and eventually leads to acid rain and dust formation. Acid rain causes soil nutrient and mineral depletion and loss of microbial diversity and is a health hazard for humans and other animals (Erisman et al. 2008). As an additional example of the environmental costs of agriculture N, researchers in the UK recently conducted a life cycle assessment of the pollution sources from the production of a loaf of bread (Goucher et al. 2017). Following the production of the bread from growing the wheat to packaging and transporting the loaf showed that the ammonium nitrate fertilizer supplied to the wheat was directly linked to 48% of the GWP, 39% eutrophication potential and 42% of the human toxicity potential.

We cannot ignore the past, present and future anthropomorphic changes to the Earth's climate and how this will affect agriculture, food production, food security, freshwater availability, available arable land, biodiversity, human health and the environment. It has become obvious that we need to focus on improving the agricultural industry to make it more sustainable and environmentally friendly, even with the realization that we need to increase yields. Please refer to the publications listed here for further information on anthropomorphic changes and sustainable agriculture (Leip et al. 2014; Zhang et al. 2015; Haines et al. 2017).

Measuring NUE

Agronomists have shown that although crop yields may be increasing, the NUE of many crop varieties is declining, in part due to high to excessive use of N fertilizers (Lassaletta et al. 2014). If NUE is calculated based on applied N, rather than soil available N, then as the applied N levels increase the crop NUE declines, even with an increase in yield. This is largely what explains the overall decrease in global NUE, although now that trend has been reversed in a number of key developed countries (Lassaletta et al. 2014). An analysis of the 50 year trend (1961–2009) within 124 countries in crop yield and N fertilizer inputs including; organic plus synthetic fertilizer, biological nitrogen fixation and atmospheric deposits, showed that some countries have improved both their crop NUE and yield whereas other countries have not (Lassaletta et al. 2014). Interestingly, Lassaletta et al. (2014) also saw that countries with a higher proportion of their N inputs from BNF than from synthetic N fertilizer also had better NUE. Other researchers have pointed out that this alternative approach to N fertilizer, of using BNF as an N input, could also improve NUE. The growth of BNF-symbiotic plants, such as legumes, as an N-source is under-utilized globally, given that most countries only dedicate a few per cent of arable land to legume crops (Crews and Peoples 2004) (Table 2.1).

NUE has been defined in many different ways (Table 2.2; Good et al. 2004); however, it is basically a ratio of the harvested product (as grain or biomass) to the

Table 2.1 N-related genes can be grouped into two classes made of a total of six gene families. Adapted from McAllister et al. 2012 and Han et al. 2015

Gene families	Target genes used in biotechnology	Gene name	References		
<i>Class 1 Growth and development</i>					
Signalling	G-protein γ subunit	<i>DEPI</i>	Sun et al. (2014)		
	Mitogen-activated kinase	<i>SMGI</i>	Duan et al. (2014)		
	SNF1-related kinase	<i>SnRK</i>	Wang et al. (2012)		
	Early nodulin-like protein	<i>ENOD</i>	Bi et al. (2009)		
	DNA binding one zinc finger	<i>DoF1</i>	Li et al. (2013)		
	bHLH transcription factor	<i>SAT1</i>	Chiasson et al. (2014)		
	Nuclear factor Y	<i>NFY</i>	Chen et al. (2015)		
	NAM, ATAF1,2 and CUC2	<i>NAC1, 2</i>	Yang et al. (2015)		
	NAC-a6	<i>NAC005</i>	Christiansen et al. (2016)		
	F-box protein	<i>APO</i>	Terao et al. (2010)		
	Arabidopsis nitrate regulated 1	<i>ANR1</i>	Zhang and Forde (1998)		
	ATL31 UBI-ligase	<i>AtI31</i>	Sato et al. (2011)		
Senescence	PII regulatory protein	<i>GLB1</i>	Hsieh et al. (1998)		
	Cytokinin oxidase/dehydrogenase	<i>CKX</i>	Ashikari et al. (2005)		
	Stay-green protein	<i>SGR</i>	Park et al. (2007)		
	<i>Class 2 N metabolism pathways</i>	Transporters	Nitrate transporter	<i>NRT</i>	Tsay et al. (1993)
			Ammonium transporter	<i>AMT, SAT1</i>	Yuan et al. (2007)
Lysine histidine transporter			<i>LHT</i>	Himer et al. (2006)	
Hexose transporter			<i>STP13</i>	Schofield et al. (2009)	
Amino acid permease			<i>AAP1</i>	Rolletschek et al. (2005)	
					(continued)

Table 2.1 (continued)

Gene families	Target genes used in biotechnology	Gene name	References
Amino acid biosynthesis	Alanine aminotransferase	<i>AlaAT</i>	Shrawat et al. (2008)
	Asparagine synthetase	<i>ASN</i>	Lam et al. (2003)
	Aspartate aminotransferase	<i>aspAT</i>	Ivanov et al. (2012)
	Asparaginase	<i>ASNase</i>	Zhou et al. (2009)
	Glutamate dehydrogenase	<i>GDH</i>	Abiko et al. (2010)
	Glutamine synthetase	<i>GS</i>	Brauer et al. (2011)
	Glutamate synthase	<i>GOGAT</i>	Tamura et al. (2011)
	Nitrate reductase	<i>NR</i>	Lea et al. (2006)
	Nitrite reductase	<i>NiR</i>	Takahashi et al. (2001)
	Rubisco small subunit	<i>Rubisco</i>	Masle et al. (1993)
C:N metabolism and storage	Ferredoxin NADP(H) reductase	<i>FNR</i>	Hanke et al. (2008)
	Isopentenyl transferase	<i>IPT</i>	Rubio-Wilhelmi et al. (2011)
	Cell wall invertase	<i>CIN</i>	Wang et al. (2008)

Table 2.2 Definitions, formula and inherent statistical considerations for NUE calculations

#	Term	Numerator	Denominator	Formula	Measurement	Statistical considerations
1	Nitrogen use efficiency (grain)	Yield	Ns	$NUE = Gw/Ns$	Grain yield	Ratio
2	Utilization efficiency (grain)	Yield	Nt	$UtE = Gw/Nt$	Grain yield	Ratio
3	Nitrogen use efficiency (biomass)	Yield (Biomass)	Nt	$NUE = Sw/Nt$	Biomass, feed, food	Ratio
4	Nitrogen use efficiency (grain)	Yield	Np	$NUE = Gw/Np$	Grain yield	Ratio
5	Agronomic Efficiency	Difference in yield	N supplied	$AE = (GwF - GwC)/N\ fert$	Yield response	Numerator is difference, calculation a ratio
6	Physiological efficiency	Difference in yield	N supplied	$PE = (GwF - GwC)/Nfert - Ncon$	Yield response	Numerator is difference, calculation a ratio
7	Efficiency of fertilizer uptake	N in plant	N supplied	$UpE = Nt/Ns$	Uptake of N by plant	Ratio, unaffected by total yield

Gw Grain weight

Ns Total N supplied to plant*

$Nfert$ N Fertilizer applies (kg/ha)

$Soil\ N\ SN$

Total N – SN + Nfert

*In reality, Ns could be N applied, or total N available (N applied + soil N)