Mathematics for Industry 28 Robert S. Anderssen Philip Broadbridge Yasuhide Fukumoto Kenji Kajiwara Matthew Simpson Ian Turner *Editors* 

Agriculture as a Metaphor for Creativity in All Human Endeavors



# Mathematics for Industry

Volume 28

#### Aims & Scope

The meaning of "Mathematics for Industry" (sometimes abbreviated as MI or MfI) is different from that of "Mathematics in Industry" (or of "Industrial Mathematics"). The latter is restrictive: it tends to be identified with the actual mathematics that specifically arises in the daily management and operation of manufacturing. The former, however, denotes a new research field in mathematics that may serve as a foundation for creating future technologies. This concept was born from the integration and reorganization of pure and applied mathematics in the present day into a fluid and versatile form capable of stimulating awareness of the importance of mathematics in industry, as well as responding to the needs of industrial technologies. The history of this integration and reorganization indicates that this basic idea will someday find increasing utility. Mathematics can be a key technology in modern society.

The series aims to promote this trend by (1) providing comprehensive content on applications of mathematics, especially to industry technologies via various types of scientific research, (2) introducing basic, useful, necessary and crucial knowledge for several applications through concrete subjects, and (3) introducing new research results and developments for applications of mathematics in the real world. These points may provide the basis for opening a new mathematics oriented technological world and even new research fields of mathematics.

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# Agriculture as a Metaphor for Creativity in All Human Endeavors



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### Preface

This book is the proceedings of the conference "Forum Math-for-Industry 2016 (FMfI2016)" held at Queensland University of Technology (QUT), for November 21–23, 2016, for which the unifying theme was "Agriculture as a metaphor for creativity in all human endeavors" and collects together selected papers presented there.

The agricultural process of planting a seed, fertilizing, growing, and harvesting has a clear parallel with the application of mathematics to a practical problem. The seed becomes the question being asked, the fertilization is the conceptualization of the mathematical framework within which to seek the answer, the growing is the solution process, and the harvesting is the articulation and implementation of the answer.

In agriculture, the breeding of the seed to plant involves genetics; the germination of the plant involves moisture alone; the growth involves the interaction between the biology and environment with soil, water, and weather the key drivers; the survival depends on its ability to respond to viral and fungal infections and stress challenges; and the flowering and setting of the seed for the next generation depend on the occurrence of environmental cues.

For understanding the processes and mechanisms involved with each of these steps, mathematical modeling is central. This is reflected in the emergence of new mathematically focused agriculture endeavors such as "precision agriculture," "smart agriculture analytics," and "digital agriculture."

The success of agriculture practice relies fundamentally on its interconnections with and dependence on biology and the environment. Both play fundamental roles including the adaption of biology to cope with environmental challenges of biotic and abiotic stresses and global warming. FMfI2016 explored the contribution of mathematics within the framework of the interaction of agriculture with biology and the environment.

The contents of this volume report on productive and successful interaction between industry and mathematicians, as well as on the cross-fertilization and collaboration that occurred. The book contains excellent examples of the roles of mathematics in innovation and, thereby, the importance and relevance of the concept Mathematics\_FOR\_Industry.

We would like to thank the participants of the forum and the members of the Scientific Board of the Forum, especially Troy Farrell, Matthew Simpson, and Ian Turner of QUT. Without their cooperation and support, we would never have experienced the great excitement and success of the forum. Moreover, we would like to express our deep appreciation for the great help of the conference secretaries during the preparation and organization of the forum, and Chiemi Furutani for the proceedings.

Fukuoka, Japan April 2017 Yasuhide Fukumoto On behalf of the Organizing Committee of the Forum Math-for-Industry 2016 and the Editorial Committee of the Proceedings

	FMfI2016	Brisbane	Nov.21-23	Agriculture as a metaphor for creativity in all human endeavors
<b>Solution</b>	FMf12015	Fukuoka	Oct.26-30	The Role and Importance of Mathematics in Innovation
E E	FIMfI2014	Fukuoka	Oct.27-31	Applications + Practical Conceptualizat ion + Mathematics = fruitful Innovation
	FIMI2013	Fukuoka	Nov.4-8	The Impact of Applications on Mathematics
	FIMI2012	Fukuoka	Oct.22-26	Information Recovery and Discovery
	FIMI2011	Honolulu	Oct.24-28	TSUNAMI - Mathematical Modelling- Using Mathematics for Natural pisaster Prediction, Recovery and Provision for
68-8	FIMI2010	Fukuoka	Oct.21-23	Information Security, Visualization, and Inverse Problems, on the basis of Optimization Techniques
	FIMI2009	Fukuoka	Nov.9-13	Casimir Force, Casimir Operators and the Riemann Hypothesis
	FIMI2008	Tokyo	Sep.16-17	The 1st Forum: Consortium Math For Industry



### Asia Pacific Consortium of Mathematics for Industry Forum "Math-for-Industry" 2016 Agriculture as a metaphor for creativity in all human endeavors November 21-23, 2016

Science and Engineering Centre, Gardens Point Campus, Queensland University of Technology, Brisbane, Australia

#### INVITED SPEAKERS

Alona Ben-Tal Peter Caley Adelle Coster Bronwyn Harch Kei Hirose Tony Jakeman Julien Lerat Osamu Maruyama James McCaw Kerrie Mengersen Mikio Murata Toshiyuki Nakagaki Mark Nelson Takashi Okayasu Tristan Perez Julia Piantadosi Mick Roberts Akiko Satake Jason Sharples Jo Simpson Takeshi Tsuji Hayato Waki Peter Waterhouse Yoshihiro Yamanishi Shintaro Akamine Elliot Carr Anton Gulley

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Asia Pacific Consortium of Mathematics for Industry QUT School of Mathematical Sciences, Science and Engineering Faculty Institute of Mathematics for Industry, Kyushu University



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<b>Forum "Math-for-Industry" 2016</b> Agriculture as a metaphor for creativity in all human endeavors Science and Engineering Centre, Garden's Point Campus, Queensland University of Technology, Brisbane, Australia					
-	20-Nov	21-Nov	22-Nov	23-Nov	
9:00-9:30	SUNDAY	MONDAY Tristan Perez Queensland University of Technology, Australia	Mark Nelson University of Wollongong, Australia	Alona Ben-Tal Massey University, New Zealand	
9:30-10:00		Adelle Coster The University of New South Wales, Australia	Julien Lerat Bureau of Meteorology, Australia	Hayato Waki Kyushu University, Japan	
10:00-10:30		Bronwyn Harch Queensland University of Technology, Australia	<b>Takashi Okayasu</b> Kyushu University, Japan	<b>Takeshi Tsuji</b> Kyushu University, Japan	
10:30-11:00		Morning Tea	Morning Tea	Morning Tea	
11:30-12:00		James McCaw The University of Melbourne, Australia	Jason Sharples The University of New South Wales, Australia	POSTER	
12:00-12:30		Mick Roberts Massey University, New Zealand	Julia Piantadosi University of South Australia, Australia	PRESENTATION	
12:30-13:00		Mikio Murata Tokyo University of Agriculture and Technology, Japan	Peter Caley CSIRO, Australia	Lunch	
13:00-13:30		1	1 . I		
13:30-14:00		Lunch	Lunch	Yoshihiro Yamanishi Kyushu University, Japan	
14:00-14:30		Kerrie Mengersen Queensland University of Technology, Australia		Peter Waterhouse Queensland University of Technology. Australia	
14:30-15:00		Kei Hirose Kyushu University, Japan		Akiko Satake <sub>Kyushu</sub> University, Japan	
15:00-15:30		Anthony Jakeman Australian National University, Australia		Afternoon Tea	
15:30-16:00		Afternoon Tea	EXCURSION	Joanne Simpson Fonterra, New Zealand	
16:00-16:30		Shintaro Akamine Kyushu University, Japan	EXCONCION	Osamu Maruyama Kyushu University, Japan	
16:30-17:00		Elliot Carr Queensland University of Technology, Australia		Toshiyuki Nakagaki Hokkaido University, Japan	
17:00-17:30		Anton Gulley The University of Auckland, New Zealand			
17:30-18:00	APCMfI Meeting	MI-IAD Masting			
18:00-18:30		IMI-IAD Meeting			
18:30-19:00					
19:00-19:30	FMfI Executive Meeting			WORKSHOP DINNER	
19:30-20:00					
20:00-					
Biology	Agriculture	Environment	Early Career Researchers	Other Topics	

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### The Shape of Things to Come—Using Geometric and Morphometric Analyses to Identify Archaeological Starch Grains

Adelle C. F. Coster and Judith H. Field

**Abstract** Starch grains are tell-tale characteristics of plants that can remain long after the decomposition of the rest of the material. The understanding of historical plant use, for sustenance and plant-based medicines, as well as agricultural practices is enhanced by the identification of residual starch remains. Classifications, however, have previously relied on expert identification using largely subjective features. This can be enormously time consuming and subject to bias. A method has been developed to construct robust classifiers for starch grains of unknown origin based on their geometrical and morphometric features. It was established to allow insight into plant food use from archaeological remains but could be used in many different contexts.

**Keywords** Mathematics-for-Industry · Starch grains · Identification Geometric analysis · Morphometric analysis

#### 1 Introduction

Starch grains can be preserved for millennia on grinding tools and surfaces. They are insoluble granules of carbohydrates that build up in plants. They have an initial growth point, or hilum, and then layers of material build up around this point. As they are simply carbohydrate, it is not possible to tell from their chemical composition the species from which they came. However, due to the different cellular structures of different plants, the starch grains have shapes that are characteristic of the particular species and organelle from which they are derived. The features of the starch grains from known plant species can form a reference library. The within-species

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features and variability can then be utilised to both describe the species and also as a discriminator to classify unknown samples, recovered from artefacts, soils or other materials.

#### 2 Experimental Methods

The starch grains can be recovered from the artefact, soil or other material, suspended in a mixture of glycerol and water and imaged using a brightfield, differential interference contrast microscope. In the current approach, we extract the two-dimensional maximum-projection-area grain shape (identified as the in-focus edge of the grain), or region of interest, ROI, from the light micrograph. Additionally, the hilum point is identified. In our method, a hybrid approach to edge detection is employed which combines automatic outline analysis with some expert intervention to finalise the outline and hilum positioning. This is because purely automated edge detection is difficult across a large variety of images—the grains have different depths of fields, and the assessment of the in-focus edge can be unclear when the morphology causes different shadowings [1]. An accurate edge is important as the ROI is used to obtain the discriminative features to classify the grains. Morphological dilation and erosion, common image analysis techniques for edge detection and object separation, degrade the features of the ROI.

#### 2.1 Geometric and Morphometric Features

The ROI and hilum location are used to calculate the geometric and morphometric features of the grain. These include the area, perimeter and centre of mass of each grain. Hilum offset measures encode the position of the centre of mass and then compared to the hilum position. The maximum length through the hilum of the grain has previously been shown to be a good discriminant for some plant taxa [2]. This maximum length line running through the hilum also provides a reference angle from which other features can be observed. Other characteristics such as circularity and other shape matching measures and curvature metrics can also be calculated.

In our case, the digitised ROI has very closely spaced edge points. For completeness, however, we approximate the periphery radius as a piecewise linear function of the angle about the hilum position relative to the maximum length line.

The starch grains in our studies have no convexity issues, so it is possible to expand the periphery radius as a radial Fourier series. Thus, we can generate a model from a truncated sum that can approximate the grain shape. In practice, we have found that the perimeter features can be represented by as few as five terms (see [6]). The radial harmonic components of the Fourier decomposition are characteristic of the grain shape and can be used to discriminate between different species. However, if convexity is an issue, other decompositions can be employed such as wavelet shape (e.g. [3]), multi-scale fractal dimension or curvature scale-space analysis (e.g. [4]).

#### 3 Starch Grain Classification

In order to discriminate between species, however, it is important to have a comprehensive, well-curated reference library with which to compare grains of unknown origin. The reference set for the classifier also needs to be appropriate to the geographic region [5]. The reference grains need to be sourced from the appropriate plant parts—e.g. seeds, fruiting bodies and tubers. It is also good practice to use multiple samples for each species, to, for instance, account for variation in environmental conditions and their possible impact on the size of the grains. The reference set for the classifier should also be, for our archaeological purposes, of important economic plants from the appropriate location, altitude and climate from the people occupying the site at the period of interest. Evidence of use by the people using the land is also important.

Samples of starch grains from the reference species were analysed to calculate the predictor variable values for each grain in the population. Within species, the shapes will have some variance and may also vary with orientation. We have found that the within-species variation appears to be captured by approximately 100 grains in the 80 species we have analysed so far, [6, 7], ensuring a statistically significant result and allowing the decomposition of the species into sub-grouping as required.

The morphometric measures of the two-dimensional projections are used to determine the classifiers that were best able to discriminate between the grains. Classifiers were considered for various choices of the predictor variables, the classifier type, the training set (the species to be considered) and the output classes—the species or their sub-groupings, and in latter case, the method of resulting species prediction.

Series of classifiers were built taking different combinations of the predictor variable, which included the maximum length, area, perimeter, circularity, hilum offset measures and the Fourier components. Other measures such as the shape matching variables were investigated but found to be of lesser discriminative value than those listed above.

Possible combinations of predictor variables can be explored by calculating a MANOVA of sets of predictor variables for the reference species (or sub-species). Separation of the species by the MANOVA is an indicator that it may be feasible to discriminate between the species in a classifier. It may be possible, however, to still positively identify the presence of a subset of the training set, even if others are indistinguishable.

In these investigations, the classifiers were broadly discriminant, nearest neighbour and decision tree; however, other algorithms including neural networks and support vector machines could also be used. In deciding which algorithms to use, there is a trade-off in performance and the number of design parameters that need to be explored.

The method to assess the classifiers depends on the type of output desired. For instance, if a distribution of a particular species is to be estimated, then the classifier needs to be designed to best classify all the unknown grains. Designing a classifier to obtain a very high confidence, true-positive identification for some of the unknown grains may be to the detriment of certain classifications for others.

It is the latter option which was taken in assessing the archaeologically provenanced starch grains. Whilst the distribution of species was of interest, it was deemed to be of more importance to know that there was evidence for a particular species being present in the samples—this would, for instance, indicate that a particular plant was consumed if the grain was found on a grinding stone or in tooth calculus.

The accuracy of the different classifiers constructed was assessed both by resubstitution and cross-validation. Re-substitution assesses the accuracy of a classifier that has been constructed using all the data. Each (known) grain in the training set is identified using the classifier and the rate of true and false positive classifications determined. Given the natural within-species variation and possible overlaps between species, it is unlikely that 100% accuracy will be achieved, even when re-substituting the grains used in the classifier construction. Re-substitution accuracy, however, does not necessarily correspond to the accuracy of the classifier when presented with a grain that was not used in its construction. This accuracy can be assessed by crossvalidation, whereby the training set is randomly partitioned into two subsets. One is used to construct the classifier, and the another is withheld, and then used to test the classifier performance. Note that the species of origin for all the grains is known. The process of partitioning, training and testing is repeated multiple times to validate the cross-fold error in classifying the withheld subset. As the process withholds part of the training data each iteration, the classifiers constructed are (a) not identical to that constructed with all the training data and (b) may mean that the cross-validation error may be an overestimate. In general, this means that the accuracy of the crossvalidation classifiers is less than that obtained using re-substitution. Both measures are, however, useful in indicating the classifier performance and can be used in concert to determine the which of the suite of classifiers is of most utility for a given problem.

In developing the methodology for starch grain identification, we further developed the idea of the cross-fold validation for this system. Given we are interested in true-positive, confident predictions we consider a reverse cross-fold validation error. Rather than the usual cross-validation, where a number of grains of a known species are classified and the number of mis-classifications measured, here we look at classification results from all the grains tested. For a given species classification, we then determine how many were not actually that species. The classifier can perform differently for different species. We developed, [7], a measure to encode the dependence of the true-positive rate on the classifier score for the different output species called the positive prediction value, *PPV*. The *PPV* can then be used to choose the classifier and determine the confidence of the results. If the prediction score corresponds to, say, *PPV* = 0.9 for that species, then 90% of the predictions with scores above this level were correctly classified. Lesser scores, corresponding to lower *PPV* values, mean that the unknown could possibly be the predicted species, albeit with less confidence.

Once the different classifiers are constructed for the training set, using different algorithms and combinations of predictor variables, and assessment can be made of their performance, discarding those with low re-substitution success and high cross-fold validation errors. Further analysis of the performance for the individual species within the training set via the *PPV* and the individual species cross-validation errors then allows us to choose the "best" classifier for the problem at hand.

As part of our studies, once the classifier was optimised and the unknown grains classified, the unknowns were furthermore re-analysed by an expert microscopist, skilled in starch analysis. The quantitative system outlined above does not take categorical or subjective attributes into account when performing the classifications. Subjective features of starch grains include the presence of lamellae and pitting of the grain surface. Taking the cross-fold validation confidence and *PPV* for the predicted species into account, each prediction was deemed to be validated, probable or a false positive. We found a high correspondence with the predicted values, except in some species of similar geometry where subjective features such as lamellae were prominent in some species and not others.

#### 4 Discussion

The methodology has been used to create starch grain classifiers for a number of important archaeological and ethnographic studies. We have identified that the inhabitants of North Queensland rainforests undertook complex processing of some starchy nuts, which were otherwise toxic [7]. Trading patterns and plant use in the highlands of Papua New Guinea have been identified from residue remains on grinding tools. The foraging and consumption of seeds have been investigated from ground stone artefacts from Woomera in South Australia [8].

Whilst the development of the collection of reference grains has been a timeconsuming venture, as we are maintaining user input into the collection of the individual ROIs, this library then becomes accessible to multiple studies. If the plant species is present or is an appropriate inclusion in the training set for a given study, these can be deployed immediately. As an evolving collection, this represents a valuable curated resource. It then remains to analyse the newly sources unknown grains—once they have been found in the field!

The methodology is currently being employed to identify starch grains recovered from a variety of archaeological contexts in Australia, Papua New Guinea, and the USA. It is not, however, limited to archaeological samples, and could, for instance, be used to study the provenance of produce such as honey if starch grains in the sample can be identified.

The cultivation of wheat has been integral to human civilisation for millennia. In modern times, different cultivars of wheat produce 'soft' and 'hard' flours, suitable for

different food preparations. It is planned to characterise the wheat grains producing the different flour qualities and correlate the starch grain features with the kernel strength and hardness profiles [9, 10].

The approach taken here could also be used beyond starch, at, for instance, larger physical scales. Grain shape is a key factor affecting the mechanical properties of granular materials. It has long been of interest in sedimentology (see for instance [11–14]). An accurate classifier of grain shape could be used to quickly and accurately provide information on the contents of seed samples such as wheat, oats, rye and barley. This could be coupled with grain handling operations to recover and sort mixed grains or grade samples. Some work has been done in this area [15] and could be extended to use the approaches of the method developed for starch identification.

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