

Mark J. Jackson · Waqar Ahmed *Editors*

Micro and Nanomanufacturing Volume II

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Mark J. Jackson • Waqar Ahmed
Editors

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Chapter 1

Aligned Nanowire Growth

V. Cientanni, W.I. Milne, and M.T. Cole

With many thousands of different varieties to date, the nanowire (NW) library continues to grow at pace. With the continued and hastened maturity of nanotechnology, significant advances in materials science have allowed for the rational synthesis of a myriad of NW types of unique electronic and optical properties, allowing for the realisation of a wealth of novel devices, whose use is touted to become increasingly central in a number of emerging technologies. Nanowires, structures defined as having diameters between 1 and 100 nm, provide length scales at which a variety of inherent and unique physical effects come to the fore [1], phenomena which are often size suppressed in their bulk counterparts [2–4]. It is these size-dependent effects that have underpinned the growing interest in the growth and fabrication, at ever more commercial scales, of nanoscale structures. Nevertheless, many of the intrinsic properties of such NWs become largely smeared and often entirely lost, when they adopt disordered ensembles. Conversely, ordered and aligned NWs have been shown to retain many such properties, alongside proffering various new properties that manifest on the micro- and even macroscale that would hitherto not occur in their disordered counterparts.

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Nanotechnology is already beginning to present itself as a catalyst for change in many industries and commercial sectors, from nanoparticles in sunscreen [5] to nanotube-infused composites in bicycles [6]. Industries are increasingly commercialising nanomaterials, principally because of the opportunities that occur by downsizing existing microstructures and also because of the many advantages that nanostructures afford. The electronics industry is perhaps one of the best examples of how nanostructures have revolutionised computing. Moore's Law [7] captured the near-annual exponential performance gains from computer processing power, but this stimulus has also demanded increased storage capacity and high-resolution displays, alongside reduced power consumption. All of these aspects of computing have benefited from nanotechnology and the continued miniaturisation to the current 14-nm node due to progressive improvements in top-down conventional Si processing procedures. Almost exclusively, across all technologies, the continued quest for performance improvements rests on engagement with increasingly miniaturised engineering.

NW alignment remains a critical component in the development chain. Alignment underpins much of the success of NW integration into many devices, particularly in the electronics and optics industries. More complicated structures, with potential 3D integration thereafter, such as vertical field-effect transistors [8], room temperature UV nanowire lasers [9], integrated circuits [10], solar cells [11], displays [12] and biosensors [13], have all been shown to benefit greatly from alignment of their consistent nanocomponents. Although the amorphous, disorder ensemble growth of 1D nanostructures has been well documented [14], the focus of this chapter is on the aligned growth of NWs.

Aligned 1D nanostructures, wires, belts, rods and tubes [15], are herein termed NWs. NWs have been extensively studied these past two decades, with particular attention given to carbon nanotubes (CNTs) [16] and semiconducting NWs [17]. Though free-standing-aligned NWs have been reported [18], most practical applications of aligned nanostructures typically require a substrate on to which to adhere and are therefore either grown aligned directly on the substrate (in situ) or are aligned post-growth (ex situ) [19]. Broadly, alignment is either perpendicular (vertical) or parallel (horizontal) to the substrate plane. The reproducible production of horizontally aligned NWs has proven particularly challenging, principally due to the nature of the growth processes involved [20]. The vast wealth of literature on CNTs however, both vertically and horizontally aligned (VA-CNTs and HA-CNTs), warrants dedicated discussion which we will consider latterly in the chapter. Nanostructure alignment methods tend to vary between material types, with similar methods (such as chemical vapour deposition, CVD) differing, often dramatically so, between materials. This chapter does not aim to provide a concise overview of the varied nanomaterial production processes; such a review would be prohibitive in scope. Rather, here we discuss the vertical alignment of the three key NW classes that are central to the fabrication of field-effect transistors, namely, NWs that are either metallic, semiconducting or insulating. We also consider the newly emerging class of inorganic NWs, alongside the more established organic NW family (viz. the carbon nanotubes and nanofibres). In each class we consider

only the most common NW types. For the outlined NW types, we explore the production methods, as well as examining the principle growth mechanisms, the degree of alignment and linear packing densities associated with each.

Following the seminal NW synthesis studies in the late 1990s, various growth kinetics have since been reported. Though a great many varied growth models have been proposed, the most popular growth methods typically adopt vapour–liquid–solid (VLS) growth-based approaches. Traditionally, this mechanism involves three components, a substrate (upon which the NWs are grown), a catalyst (which mediates NW nucleation) and a precursor media (which serves as the NW feed-stock). To best outline a general NW growth, here we overview the growth of Si NWs, a prototypical system whose general process flow is, at least in part, applicable to most currently available NW types. In most cases, standard crystalline Si substrates are employed as the growth support, with, in the case of Si NW growth, a gold catalyst deposited by physical vapour deposition (often by sputtering, thermal or electron beam deposition techniques) onto its surface. By increasing the temperature to the substrates eutectic point, a surface alloying between the Au–Si occurs, and the resulting phase becomes liquid. In the case of Si NWs, the precursor gas is typically silicon tetrachloride (SiCl_4) or silane (SiH_4). These gaseous molecules disassociate into their constituent components on contact with the liquid catalyst. The liquid catalyst, following some process-specific critical time, becomes super-saturated with dissociated Si. Precursor disassociation continues despite the super-saturated liquid catalyst, resulting in the extrusion or ‘freezing’ out formation of crystalline Si NWs [21].

CVD and functionally similar reactors are some of the most popular methods for implementing Si NW growth [22–24]. Unconventional VLS has also been demonstrated via oxide-assisted growth, where oxides play an important role in the nucleation and growth instead of more conventional metallic catalysts [25]. The field continues to grow. With ever finer in situ metrology being reported, a greater understanding of the growth kinetics is coming increasingly into focus. Other growth mechanisms for Si NWs have been reported, analogous to the VLS mechanism is the solid–liquid–solid (SLS) and vapour–solid–solid (VSS) growth mechanisms [26, 27]. Figure 1.1 illustrates the key features of the VLS and VSS growth mechanisms.

1.1 Metallic NWs

A wide range of metallic NWs have been produced to date. Of the more than 90 metallic elements, only around 20% have been synthesised into pure metallic NWs, including Ni [28], Co [29], Cu [30], Fe [31], Ni [32], Mo [33], Al [34], Au [35], Ag [36], Zn [37], Sn [38], Na [39] and Mg [40]. A similarly wide variety of alloyed NWs have also been reported, with Ni variants dominating this space, including NiCo [41], NiTi [42], NiAu [43], NiCu [44], PtCu [45], $\text{In}_x\text{Ga}_{1-x}\text{P}$ [46] and YFe [47]. Though varied, of these alloyed and pure metallic NWs, it is gold and

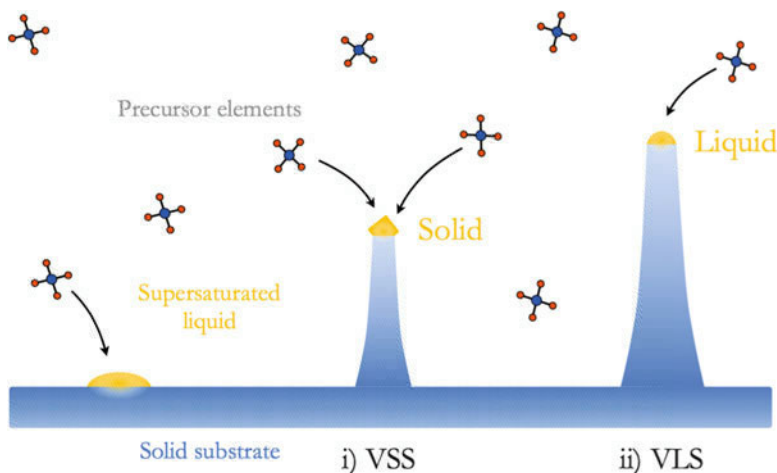


Fig. 1.1 *NW Growth*. Scheme depicting vapour–solid–solid (VSS) and vapour–liquid–solid (VLS) growth regimes. (i) VSS growth occurs at typically lower temperature with a solid catalyst forming on the nanowire tip, whilst (ii) VLS occurs at higher temperature, with the catalyst in the liquid phase

silver NWs that have gleaned perhaps the most attention, in part due to their particularly high electrical and thermal conductivities, facile self-assembly and biocompatibility, all of which have resulted in a breadth of applications, from microelectronics to biosensing [48, 49].

Gold NWs [35, 48–59] are typically prepared from either the self-assembly of gold nanoparticles (bottom-up approach) or from the downscaling or etching of larger systems (top-down approach). The former is typically more popular due to its practicality and scalability and can involve either templated or template-less methods to produce aligned NWs. Here such templates control the NWs direction of growth, often using a porous membrane which serves as a rigid aligning scaffold. There are many soft and hard template methods [52, 56]; however, for aligned gold NWs, hard templates are almost invariably used. A porous anodic aluminium oxide (AAO) or polycarbonate (PC) template is submerged in a solution containing gold nanoparticles which are electrochemically deposited to form NWs [35, 53, 55, 57]. The template is subsequently removed, via etching techniques, leaving vertically aligned NWs. Figure 1.2a shows a typical scanning electron micrograph of vertically aligned Au NWs. Some of the most aligned and densely packed NW forests have been generated by patterning the substrate with either catalysts or pores in some way to introduce isometry to what is an otherwise inherently anisotropic substrate on an atomic level.

Another popular method for synthesising gold NWs is by dielectrophoresis. By applying a potential difference across two electrodes submerged within a gold-containing solution (such as HAuCl_4 in *n*-hexane), NWs grow between the contact electrodes [58, 59]. This powerful method allows accurate control over the macro-scale shape of the NWs with the NW placement dictated by the plating solution.

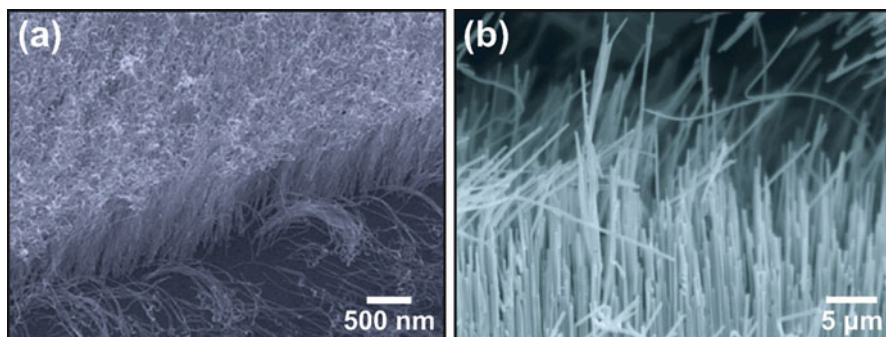


Fig. 1.2 *Metallic NWs*. Example scanning electron micrographs of (a) Au NW growth in a polar solution of 4-mercaptobenzoic acid and Au seeds anchored on oxide substrates to catalyse growth of vertically aligned ultrathin Au NWs with 6 nm diameter [51]. (Scale bar, 500 nm) (Copyright American Chemical Society, 2013). (b) Ag NW arrays demonstrating concurrent high aspect ratio and high packing density, produced using potentiostatic electrodeposition within confined nano-channels of a commercial porous anodic aluminium oxide (AAO) template [64] (Scale bar, 5 μm) (Copyright Elsevier, 2009)

Nevertheless, the growth of individual NWs is often sporadic, with little to directionality in their growth. The spacing between electrodes has been shown to affect the areal packing density and the degree of alignment. Closely spaced electrodes tend to produce higher degrees of alignment. Higher voltages tend to decrease the time required to align the NWs but also stimulate the formation of larger Au nanoparticles and polycrystalline NWs.

Silver NWs [36, 60–70] have also been widely researched with fervour. Of all the transition metals, silver offers one of the highest electrical conductivities, whilst concurrently exhibiting excellent thermal transport. Figure 1.2b shows a typical scanning electron micrograph of vertically aligned Ag NWs. Nanostructured silver surfaces have also been shown to mediate enhanced Raman scattering for the identification and study of surface-bound molecules, and they have subsequently become particularly attractive as mediators for surface-enhanced Raman spectroscopy. Many methods exist to produce silver NWs. As with gold, silver NW synthesis can be broadly subdivided into template-assisted or template-less methods. The templates are often hard, porous structures, such as polymers, silicon wafers and anodic aluminium oxide (AAO), whereas template-less approaches follow a ‘soft solution’ method, involving the surfactant-assisted reduction of silver oxide with platinum seeds (termed the polyol method) [36, 60].

1.2 Semiconducting NWs

Since some of the first studies on the synthesis of nanowhiskers by Hitachi in the early 1990s [71], the field of semiconducting NW growth has developed at an unusually fast pace. Including direct and indirect, as well as pure and compound,

there are more than 130-bulk semiconducting systems known. Of these, but a few semiconducting NWs—including single component, compound, functional oxides, nitrides and carbides—have been synthesised, including IV–IV Group, Si [72], Ge [73] and $\text{Si}_{(1-x)}\text{Ge}_x$; III–V Group (binary), InP [74], InAs [71], GaAs [75] and GaP [76]; III–V Group (ternary), $\text{Ga}(\text{As}_{(1-x)}\text{P}_x)$ [77], $\text{In}(\text{As}_{(1-x)}\text{P}_x)$ [78], $(\text{Ga}_{(1-x)}\text{In}_x)\text{P}$ [79], $(\text{Ga}_{(1-x)}\text{In}_x)\text{As}$ [80] and $(\text{Ga}_{(1-x)}\text{In}_x)(\text{As}_{(1-x)}\text{P}_x)$ [81]; II–VI Group (binary), ZnS [82], ZnSe [83], CdS [84] and CdSe [85]; IV–VI Group (binary), PbSe [86], Bi_2Te_3 [87] and PbTe [87]; as well as the nitrides and carbides, GaN [88], Si_xN_y [89] and SiC [90] and the functional oxides, ZnO [37], TiO_2 [91], SnO_2 [92], CuO [93] and In_2O_3 [94]. Of these, Si has been at the centre of study for many decades and represents a prototypical growth system on which many of the latterly demonstrated alloyed NWs have been based.

Si NWs [17, 21–27, 72, 95–105] have been researched for nearly 60 years; although in truth, the wires produced in 1957 by Treuting et al. were not what we would now strictly classify as NWs due to their overly large diameter [98]. Following a hiatus in research, a reinvigorated research community gave rise to a sprawl of publications since the turn of the millennium, principally in light of advances in ever more miniaturised microelectronics. Morales et al., in 1998, published one of the first reports on truly nanoscale Si NW [100], introducing controlled laser ablation to the wider materials community. Today Si NWs are still extensively researched due to their potential use in computing and microelectronic applications. Aside from CVD, other reported experimental growth systems for the synthesis of aligned Si NWs include reactive atmospheric annealing [105], evaporation of SiO [99], solution-based [72] and laser ablation [95]. Figure 1.3a shows a typical scanning electron micrograph of vertically aligned Si NWs.

Due to its high compatibility with Si-based technologies, Ge has also been researched at length. Figure 1.3b shows a typical scanning electron micrograph of vertically aligned Ge NWs. Ge has some important advantages over Si, in particular it's higher charge carrier mobility and larger Bohr exciton radius. Ge NWs have been successfully integrated in lithium-ion batteries [106], field-effect transistors [107], computing memory [108] and many optoelectronic applications [109]. Based on established VLS kinetics, Ge is typically synthesised using systems similar to those used for Si, with CVD and MO-CVD dominating this space, along with molecular beam epitaxy (MBE), and template methods being some of the most popular techniques.

Together with the arguably more conventional Si and Ge, ZnO is one of the most widely studied semiconducting NWs due to its wide band gap, high electron mobility, high room temperature luminescence and high optical transparency [37]. ZnO has been favoured for photodetectors and sensors to, more recently, UV lasers. Figure 1.3c shows a typical scanning electron micrograph of vertically aligned ZnO NWs. Typical production methods include thermal evaporation, MO-CVD (metal-oxide assisted), high-pressure laser ablation and aqueous synthesis (including hydrothermal). Sol–gel processes have also been demonstrated. Typically used for the fabrication of metal oxides of Si and Ti, sol–gel approaches have proven to be extremely popular. Here monomers are converted into a colloidal

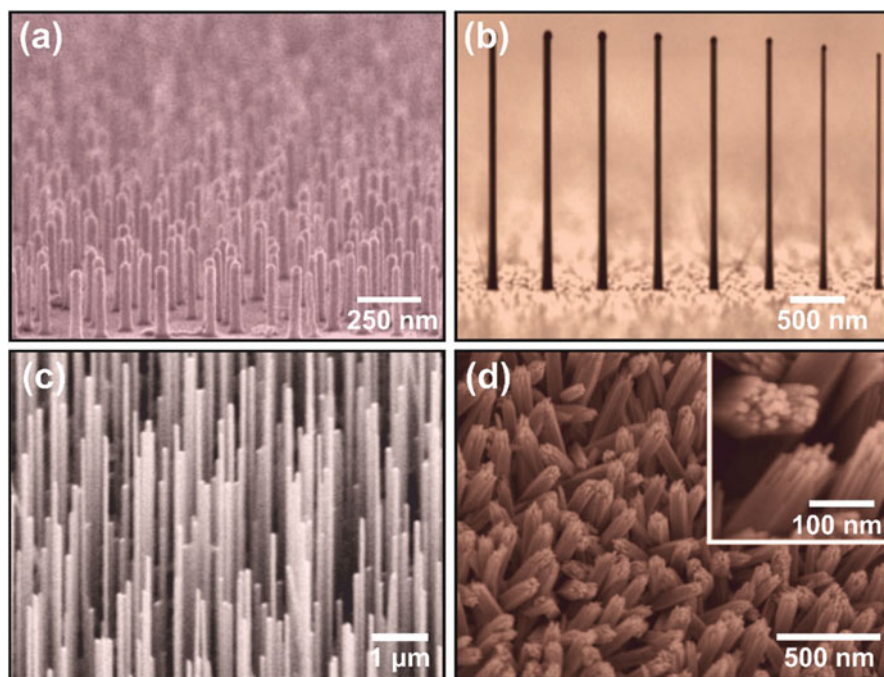


Fig. 1.3 *Semiconducting NWs.* Example scanning electron micrographs depicting the (a) effect of growth temperature on the tapering of Si NWs by epitaxial vapour–solid–solid growth via Al-catalysis [27]. (Scale bar, 250 nm) (Copyright Nature Publishing Group, 2006). (b) A linear array of Ge NWs grown from lithographically patterned Au catalyst dots. Note the systematic reduction in NW length with decreasing diameter (*left to right*) [191] (Scale bar, 3 μm) (Copyright ACS Publications, 2010). (c) VLS growth of highly aligned vertical ZnO NWs, grown using a 5 nm Au catalyst [192] (Scale bar, 500 nm) (Copyright Hindawi Publishing Corporation, 2012). (d) A scanning electron micrograph of TiO₂ NWs grown in an atmospheric glass vessel, using 0.3 mL TiCl₃ and 15 g lauric acid [114] (Scale bar, 1 μm) (Copyright Nature Publishing Group, 2015)

diphase solution which is a precursor for a generated particulate/polymer network, termed the ‘gel’. In order to form aligned NWs, *in situ*, this method is often combined with AAO or similar hard templating techniques [110]. The comparatively low-cost and simple experimental setups associated with hydrothermal and sol–gel techniques have made them especially prevalent in growing research communities.

Wide band gap TiO₂ NWs have shown varied unique solid state chemical and physical properties. Their applications range from electrodes in lithium-ion batteries and fuel cells to hydrogen production and containment, photovoltaics and supercapacitors [111, 112].

Titanium dioxide is generally compatible with CVD, electrochemical templating and solvo–/hydrothermal techniques. Hydrothermal/solvothermal synthesis methods are normally performed in high-pressure stainless steel vessels

(or Teflon reactors) termed autoclaves. Using substrates such as indium tin oxide (ITO), Si/SiO₂ or glass, an aqueous solution is prepared, and the substrates submerged. The solution often contains a titanium precursor and a strong solvent such as hydrogen chloride. The experimental procedure requires a temperature higher than the boiling temperature of the solvent, which limits their safety and makes large-scale production challenging. Typical hydrothermal synthesis of TiO₂ nanowires requires high concentrations of either strongly base or strongly acidic environments, which results in equipment corrosion, produces volatile waste products and significant issues surrounding process safety, viability and scalability [91, 113].

Surface-limited reaction CVD is popular for TiO₂ synthesis; however, large-scale production has proven problematic due to the high melting point and very low vapour pressure of Ti. The resulting deposition window is small and selective, confining the crystalline nature of the formed NWs [111]. A novel method capable of achieving alignment with high packing densities has been reported, involving low temperature and atmospheric pressure mixing of titanium oxide with fatty acids. Self-hydrolysis, nucleation and crystallisation result in a sealed vial, at room temperature. The NWs can be easily fabricated by careful manipulation of the saturated fatty acids [114].

1.3 Dielectric NWs

Various dielectric nanowires have been reported, including MgO [115], Si₃N₄ [116], SiO₂ [117], Al₂O₃ [118], NiO [119–126], BN [127–136] and WO [137]. Figure 1.4 shows typical scanning electron micrographs of vertically aligned SiO₂ and Si₃N₄ NWs. Ambient pressure, high-temperature thermal annealing has been widely used to synthesise stoichiometric dielectric NWs. Of these Si₃N₄ and *α*-SiO₂ NWs have been demonstrated, with yields and morphologies which show a clear dependence on the flow rates of the gaseous reactants [116]. In the case of SiO₂ NWs, one-pot electrospinning is gaining significant traction due to its low cost and potentially high throughput [138]. Aerosol-mediated spontaneous SiO₂ NW growth via flame spray pyrolysis of organometallic solutions (hexamethyldisiloxane or tetraethyl orthosilicate) has shown potential, though low yields and scale-up issues make the approach otherwise commercially challenging; nevertheless, compatibility with a range of substrates has been suggested increasing the appeal of the approach [139]. Though various metal-catalysed growths are possible [140, 141], such systems pose clear limitations for the realisation of high dielectric constant NWs. Catalyst attrition during growth and sub-nm metallic remnants along the NWs length fundamentally limit the usefulness of these techniques in realising high purity dielectric NWs. Pure-metallic-catalyst-free carbothermal reduction of CuO powders under Ar/O₂ flow has been shown to be one possible approach, though extraneous SiC formation must be quenched if commercially viable purities and yield are to be achieved [142]. As with other

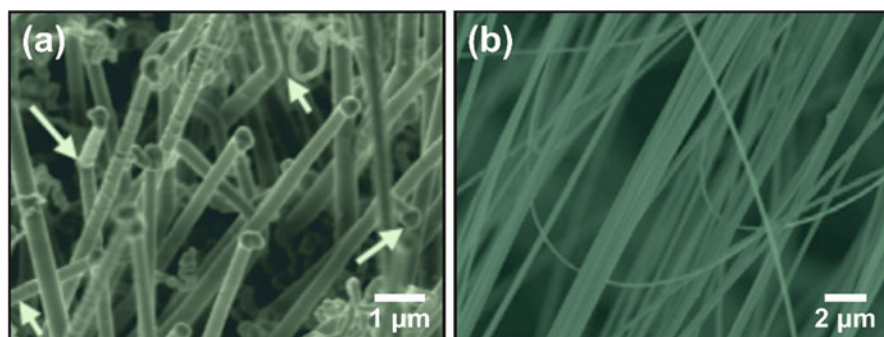


Fig. 1.4 *Dielectric NWs.* Example scanning electron micrographs of (a) CVD-synthesised aligned SiO₂ NWs [117] (Scale bar, 1 µm) (Copyright American Chemical Society, 2006). (b) Centimetre-long α-Si₃N₄ NWs by template-free pyrolysis of polymeric precursors [193]. (Scale bar, 2 µm) (Copyright Institute of Physics, 2008)

CVD process, though many varied catalysts have demonstrated catalytic potential, the yields of each are extremely varied, with, in most cases, metal catalysts producing some of the highest yields, clearly at the expense of the NWs dielectric properties. This issue similarly plagues SiO₂ NW growth; annealing of SiO catalysts on Si and subsequent growth via SLS proffer an attractive and entirely metal-free system, though extremely low areal yields and very poor alignment limit the approach.

1.4 Inorganic NWs

Inorganic molecular NWs have emerged as a new material with radically new functional properties, particularly molybdenum halide and the chalcogenide polymers, along with various other molybdenum chain-based variants. These 1D polymers behave distinctly from CNTs and other NWs, demonstrating anionic bridges with high strength, non-Newtonian mechanical properties and remarkably high Young's moduli [143]. Molecular NWs of the form Mo₆ S_{9-x} I_x (herein MoSI) have been identified as some of the most promising within the wider NW family, with the ability to form bundles with air-stable single wire dispersions, high scalability and reproducibility [144–146].

Typically, MoSI molecular NWs are formed in a sealed and evacuated quartz ampoule, containing metallic Mo platelets, placed in a single zone furnace at approximately 1000 K, with some annealing the samples for up to 72 h. This creates fur-like NWs, with high mechanical strength and toughness [144, 147]. Bundles can have lengths of over 5 mm; however, shear and mechanical exfoliation of the bundles is simple due to the relatively low inter-wire Van der Waals forces. Alongside this furnace method, hydrothermal/solvothermal methods are also becoming increasingly routine for producing MoSI NWs, with reasonably high

alignment and high linear packing density. Another production route is via soft lithography using PDMS micromolds. Here, networks are created in which the NWs grow, between a substrate such as silicon or glass and the micromold. Dissolving single crystals of LiMo_3Se_3 in polar solvents, such as dimethyl sulfoxide or *N*-methylformamide, are then used to form individual MoSe NWs [148, 149]. The attainable packing density associated with these methods is limited by the resolution obtainable on the stamp between individual trenches. Nevertheless, such stamping techniques tend to produce NWs with excellent alignment as the NWs tend to form in the predetermined channels. Low packing densities are common, though high NW linearity and degree of alignment are often evidenced, with reasonable reproducibility compared to other methods, such as optical lithography which is otherwise well established and widely accepted.

1.5 Organic NWs

Carbon nanotubes (CNTs) are an important member of the NW family. CNTs are seamlessly bonded, rolled sheets of hexagonally latticed carbon atoms consisting of one or more cylindrically nested layers of graphene. Those CNTs consisting of one wall are termed single-walled carbon nanotubes (SWNTs), whilst those with more than one wall are termed multi-walled carbon nanotubes (MWNTs). Since the popularising paper of Iijima in 1991, CNTs have drawn much attention due to their incredible electrical and mechanical properties and wide-ranging potential commercial applications, including the use as electrical conductors, high strength composites, nanosized interconnects and electromagnet shielding (but to name a few) [16, 150]. For many of the mentioned applications, it is highly desirable to produce aligned CNTs (either vertically, VA-CNTs or horizontally, HA-CNTs). The production methods for aligned CNTs are diverse, yielding many different packing densities and degrees of alignment for each method. One of the most popular and scalable methods for producing VA-CNTs is via CVD, with many variants reported, such as water-assisted CVD (WA-CVD) [151], plasma-enhanced CVD (PE-CVD) [152] and photo thermal CVD [153].

Compared to the wider family of NWs, VA-CNTs typically present higher areal packing densities for comparable length scales, along with higher degrees of alignment. CNT forests also tend to be more uniform in nature compared to many other CVD-synthesised NW types. However, at a scale of 2–10 nm (the typical diameter of a SWNT), CNTs tend to afford much less local alignment. SWNTs tend to intertwine and wrap around one another, whereas the wider family of crystalline NWs discussed prior is much more aligned and maintains this alignment across all length scales. Unlike the CNTs, whose morphology can be sinuous and softly varying, any change in growth direction for the majority of NWs tends to be sharp, straight and angular. The issue with isolating individual CNTs and also the loss in the performance of bulk CNTs compared to single tubes currently limits their commercial viability and device performance. VA-CNTs also tend to have less

variance in the alignment and packing density compared to other NW types. This is likely attributed to the fact that CVD methods dominate the research landscape for the production of VA-CNTs, whilst nanowires can be produced with a plethora of methods all yielding different degrees of alignment and nanowire densities. It should also be mentioned that MWNT compared to SWNT tends to present a generally larger degree of vertical alignment and often does not present local spindling.

Horizontally aligned CNTs can be produced in bulk, post synthesis (often from VA-CNT forests), via ex situ alignment. In situ alignment corresponds to manifest directional control during growth. Focussing on in situ, as the literature presented in this chapter thus far has been exclusively directed towards growth methods and hence in situ techniques, there are a number of ways of horizontally aligning CNTs during growth. The three most popular methods used for in situ growth of HA-CNTs are graphoepitaxy (lattice guided), gas flow directed and electric or magnetic field alignment. The first of these methods exploits asymmetries in the atomic lattice of the substrate or through physical contouring of the substrate [154–171]. This method typically presents high alignment, because of the substrates highly orientated lattice. However, the packing density is often reasonably low ($10 \mu\text{m}^{-1}$). Combined gas flow and lattice orientation have been used to achieve high alignment with high packing densities. CNTs aligned with either magnetic or electric fields require growth to be conducted between magnetic poles or electrodes with an applied external electric field (which can be either DC or AC). Electric field-aligned CNTs often show a degree of variance in both the packing density and alignment, likely attributed to spatial and temporal variations in the aligning field. The alignment is strongly dependent on the strength and direction of the electric field as well as the underpinning growth which augments the local fields during the growth. DC and AC fields have been used, with little correlation to alignment en masse [172–181]. Gas flow alignment involves the flow of gases during growth, typically of the growth precursors, which align the CNTs along the velocity vector of the flowing gas. To increase their alignment, gas flow-aligned CNTs are often dependent upon the kinetics of the predetermined catalyst loaded into the reactor. This explains, at least in part, the variation in the resulting distribution in both packing density and degree of alignment [182–190].

1.6 Degree of Alignment and Linear Packing Density

From the majority of the NW types presented above, we have conducted an outline meta-analysis to evaluate both their linear packing density (NW per μm) and their degree of alignment. Packing density was determined using a quantitative method, by plotting contrast histograms from extracted SEM imagery or from packing densities explicitly quoted. Out of necessity, the degree of alignment (DoA) was assessed qualitatively. As the assessed DoA is scale dependent and as each source image had invariably differing scales, only broad banding of the DoA was possible.

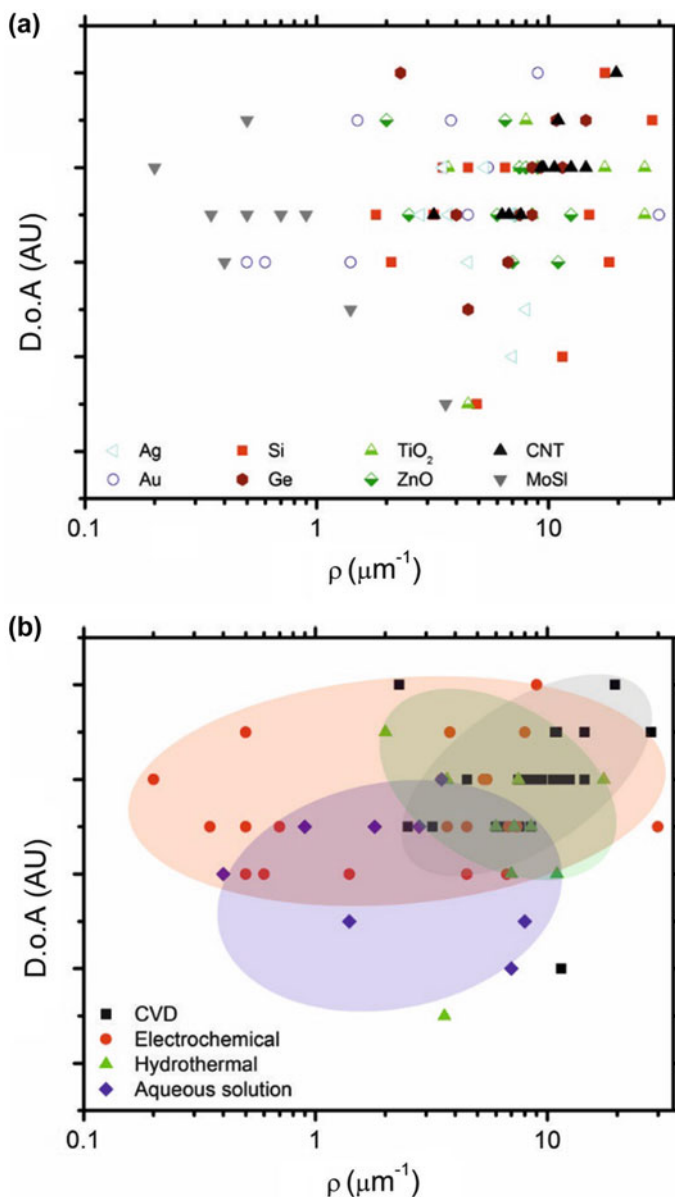


Fig. 1.5 Variation in the degree of alignment (DOA) as a function of linear packing density (ρ), for a select cross-section of the wide class of vertically aligned NWs as a function: (a) material (including the metallic NWs, Au [35, 48–59] and Ag [36, 60–70], the semiconducting NWs, Si [17, 21–27, 72, 95–105] and Ge [73, 191, 194–202] and dielectric NWs, TiO_2 [203] and ZnO [60, 110, 192, 203–211]). Also shown are typical organic (CNTs [150–153, 212–219]) and inorganic (MoSI [33, 143–149, 220, 221] NWs) and (b) synthesis method. Note the distinct grouping of CVD methods (densely packed and highly aligned) as well as electrochemical templating (high alignment). Hydrothermal methods produce relatively modest packing densities, whilst aqueous solution methods appear to produce relatively low packing density and low alignment

To enhance the rigour of the analysis, a randomly selected partial set of images, all of a comparable length scale, was taken, and the DoA was independently quantified using the image analysis methodology as outlined in [18]. A ranking was thereby generated and compared to our original ranking. The two showed a high correlation, herewith independently confirming our initial ranking.

As shown in Fig. 1.5a, b, the growth technique rather than the NW material tends to dominate the DoA. Etching templating produces NWs with generally high density and with good alignment, with none of the characteristic kinking, or sudden change in direction of growth typical of VLS. Figure 1.5b shows the DoA as a function of linear packing density and growth method. Note the formation of zones coarsely associated with each growth method. Of these, CVD appears to produce growth which tended to have high alignment and high packing density. This is likely due to the nature of the growth, which supports high nucleation and concurrent alignment due to Van der Waals interactions associated with the surrounding NWs. Zoning of the MoSI and broader class of PDMS-printed molecular NWs is evident, with high DoA and low packing density. Other electrochemical methods have produced a range of linear packing densities but almost always give high DoA due to the templating process. Solvo- and hydrothermal methods generally allow for high linear packing densities with a broad range of possible alignments.

1.7 Conclusion

In the present chapter, we have attempted to capture a broad overview of the current status in the field of aligned nanowire growth. Summarising the core nanowire types, we have outlined the leading as well as some of the more exotic growth techniques as they pertain to in situ nanowire alignment. The field is diverse and inevitably governed by the eventual application of the nanoengineered structures. Nonetheless, an inclusive meta-analysis of the present literature suggests that, with the continued miniaturisation of engineering components, CVD techniques allow for controlled alignment and linear packing density and as such represent a clear route to market and an eventual means of gaining commercial traction in the next decade.

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Chapter 2

Taxane Formulations: From Plant to Clinic

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2.1 Plant Origin and Pharmacology of Taxanes

Taxane compounds are anticancer agents derived from a plant source and include paclitaxel and docetaxel (Fig. 2.1). Paclitaxel is isolated from the Pacific yew tree (*Taxus brevifolia*) [1], whilst the semisynthetic taxane docetaxel is derived from the needles of the European yew (*Taxus baccata*) [2, 3]. Taxane formulations can treat various types of cancer including ovarian, breast and bladder carcinomas [4] as well as lung cancer and acute leukaemia [2].

Taxanes are classified as anti-microtubule chemotherapeutic compounds that work by interrupting the microtubule function which is important in cell division. They do so by inhibiting mitosis, causing an incomplete formation of the metaphase plate of chromosomes and hence altering the arrangement of the spindle microtubules [5, 6]. Both paclitaxel and docetaxel bind to β subunit of tubulin (a protein found in microtubules) [7, 8], producing highly stable dysfunctional microtubules [9]. Microtubules are central to cell division forming spindle fibres permitting separation and alignment of chromosomes during mitosis [10]. Paclitaxel inhibits mitosis in the G-phase of the mitotic cycle, whilst docetaxel causes arrest at the

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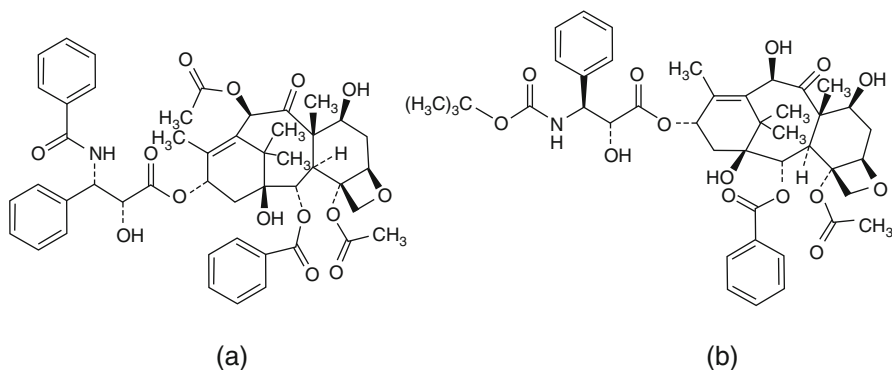


Fig. 2.1 Chemical structure of (a) paclitaxel and (b) docetaxel

S-phase to prevent cell division and produce apoptosis [11]. Docetaxel has been reported to be twice as potent as paclitaxel at inhibiting microtubules [12].

2.2 Conventional Taxane Formulations in Clinical Use

Paclitaxel and docetaxel are highly lipophilic; hence, they are both regarded to be water-insoluble compounds (Table 2.1), making formulation and administration considerably challenging. Paclitaxel is solubilised using a mixture of Cremophor EL (polyethoxylated castor oil) and dehydrated ethanol (50:50 v/v) to provide a drug concentration of 6 mg/mL [13, 14]. Cremophor EL is a non-ionic surfactant that has the ability to form micelles in biological fluids (e.g. plasma) and increase the solubility of paclitaxel. By contrast, docetaxel is slightly more soluble than paclitaxel; therefore polysorbate 80 (Tween 80) and ethanol are used to solubilise it [15, 16]. These paclitaxel and docetaxel formulations are available for clinical use as Taxol[®] and Taxotere[®], respectively, and are administered by intravenous infusion. Before administration of Taxol[®], the formulation is diluted with 5–20-fold using NaCl (0.9%) or dextrose (5%) solutions [9].

2.3 Stability of Taxane Formulations

Stability of taxane is extremely important particularly in situations where chemotherapy is prepared for later administration [17]. In these cases, storage conditions may affect the drug dose received. Docetaxel stability can be influenced by the degree of agitation during preparation and by slight temperature fluctuations during storage [18]. By contrast, for paclitaxel, the optimum storage temperature was